



US 20060252065A1

(19) **United States**(12) **Patent Application Publication** (10) **Pub. No.: US 2006/0252065 A1**  
**Zhao et al.** (43) **Pub. Date: Nov. 9, 2006**(54) **SURFACE ENHANCED RAMAN  
SPECTROSCOPY (SERS) SYSTEMS,  
SUBSTRATES, FABRICATION THEREOF,  
AND METHODS OF USE THEREOF**(76) Inventors: **Yiping Zhao**, Statham, GA (US);  
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**ATLANTA, GA 30339-5948 (US)**(21) Appl. No.: **11/376,661**(22) Filed: **Mar. 15, 2006****Related U.S. Application Data**

- (63) Continuation-in-part of application No. 11/256,385,  
filed on Oct. 21, 2005.
- (60) Provisional application No. 60/620,810, filed on Oct.  
21, 2004. Provisional application No. 60/662,089,  
filed on Mar. 15, 2005. Provisional application No.  
60/703,110, filed on Jul. 28, 2005.

**Publication Classification**

- (51) **Int. Cl.**  
**C12Q 1/68** (2006.01)  
**G01N 33/53** (2006.01)
- (52) **U.S. Cl.** ..... **435/6; 435/7.2; 977/900; 977/924**

(57) **ABSTRACT**

Surface-enhanced Raman spectroscopic (SERS) systems including nanostructures and capable of detecting analytes, in particular biomolecules, of interest are provided. Methods of making the SERS systems and methods for detection of a biomolecule of interest, such as a virus or other infectious agent are also provided.

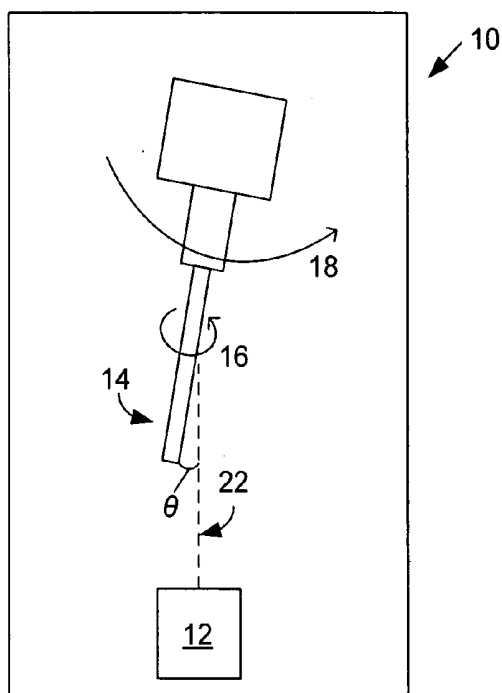


FIG. 1A

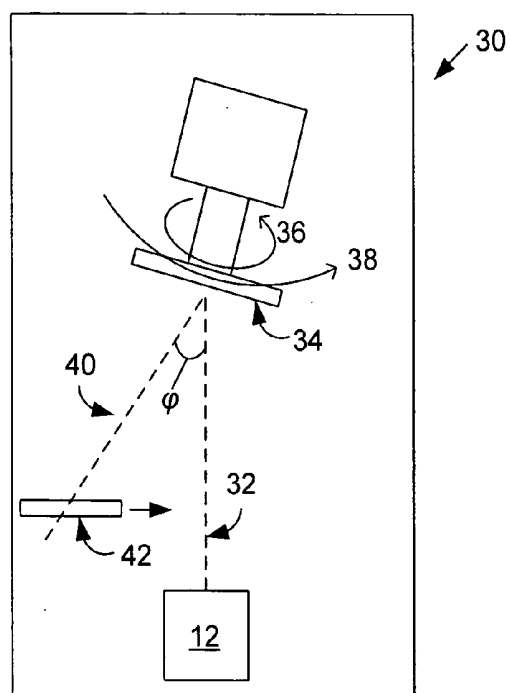


FIG. 1B

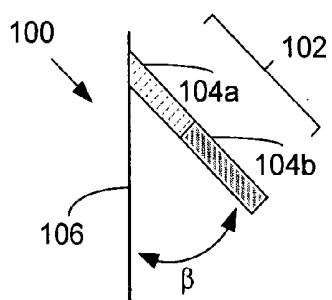


FIG. 2A

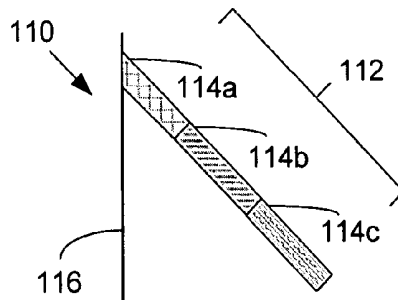


FIG. 2B

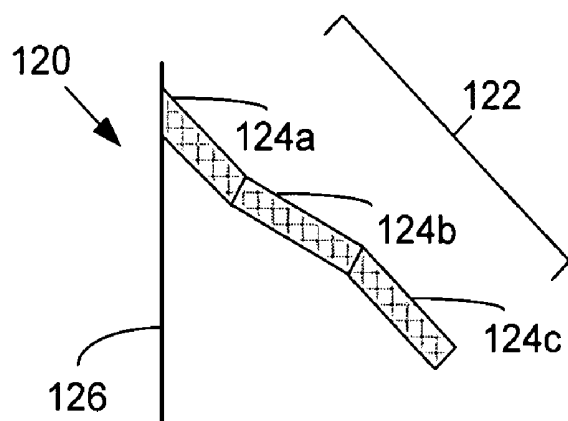


FIG. 2C

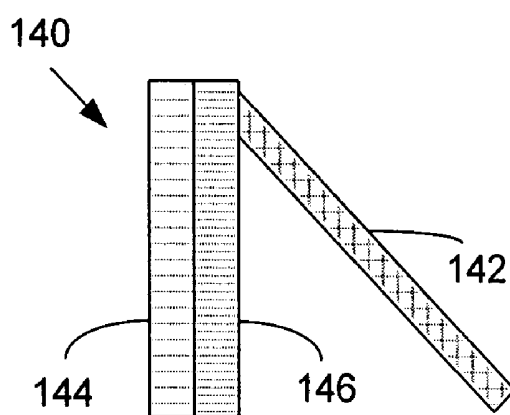


FIG. 2D

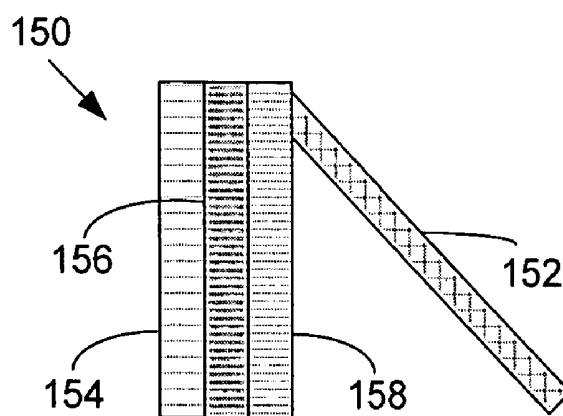


FIG. 2E

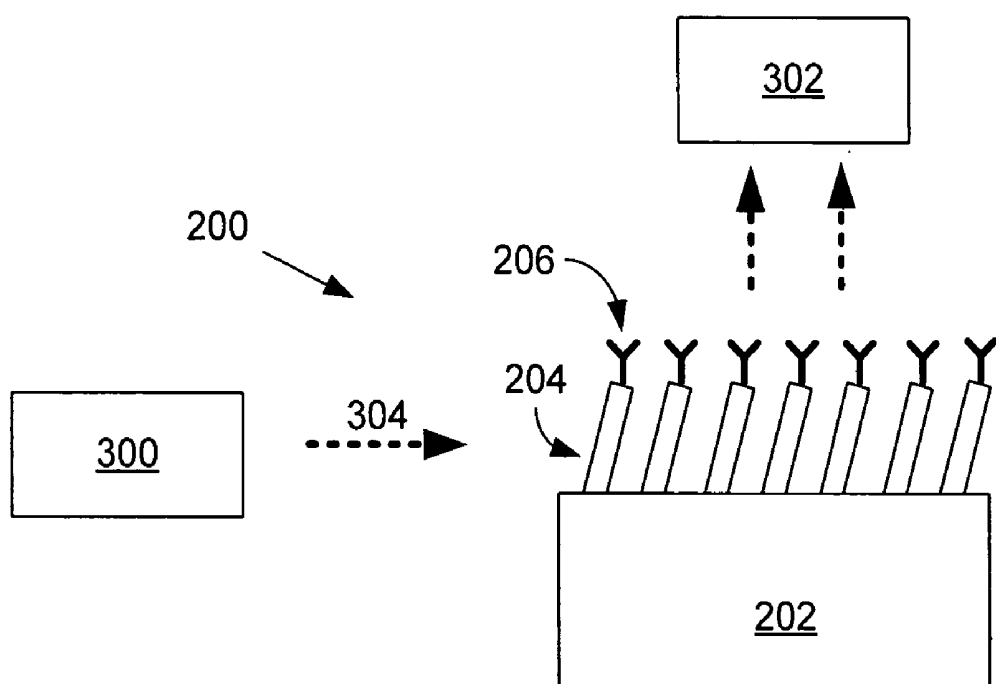


FIG. 3A

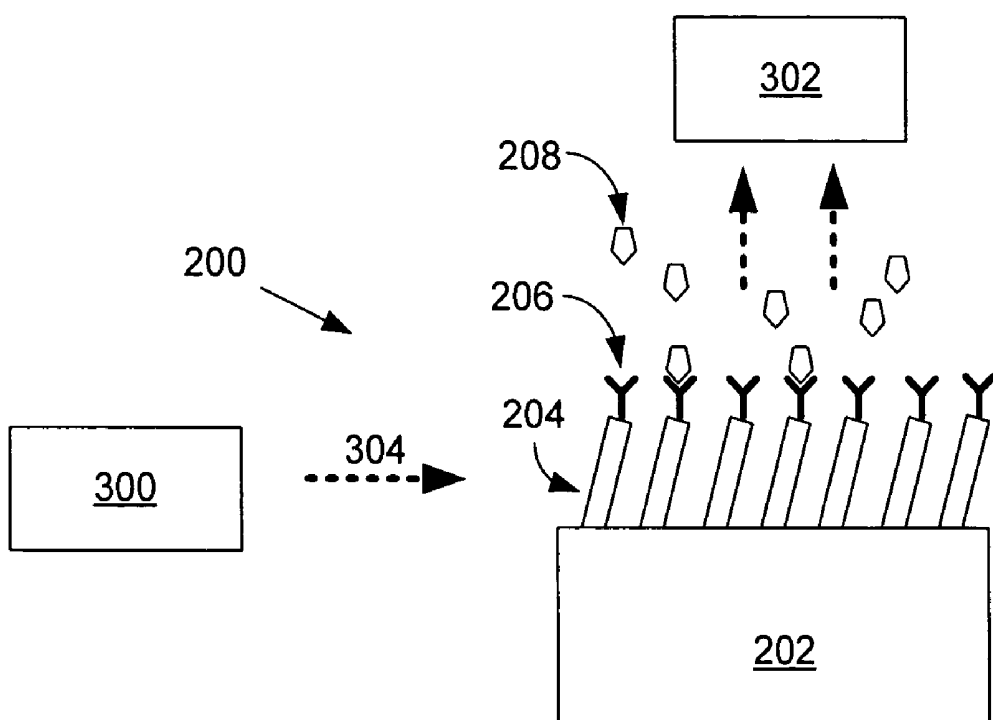


FIG. 3B

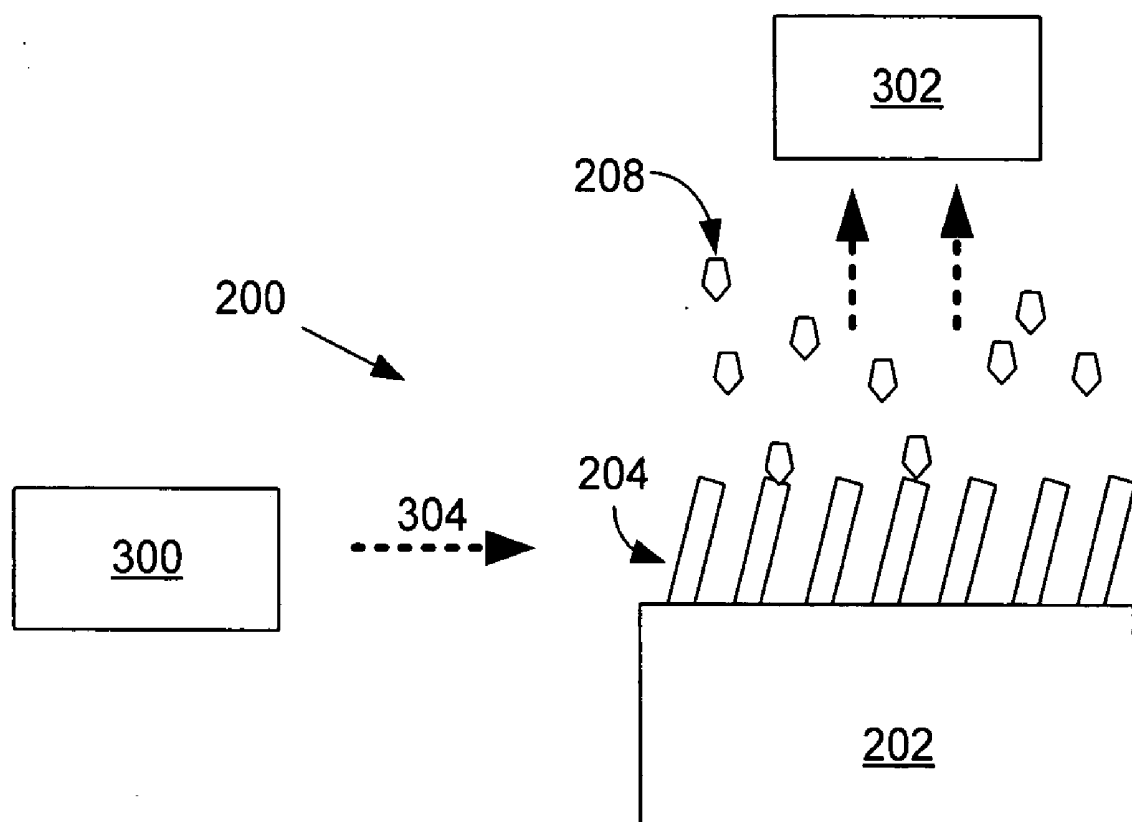


FIG. 3C

FIG. 4

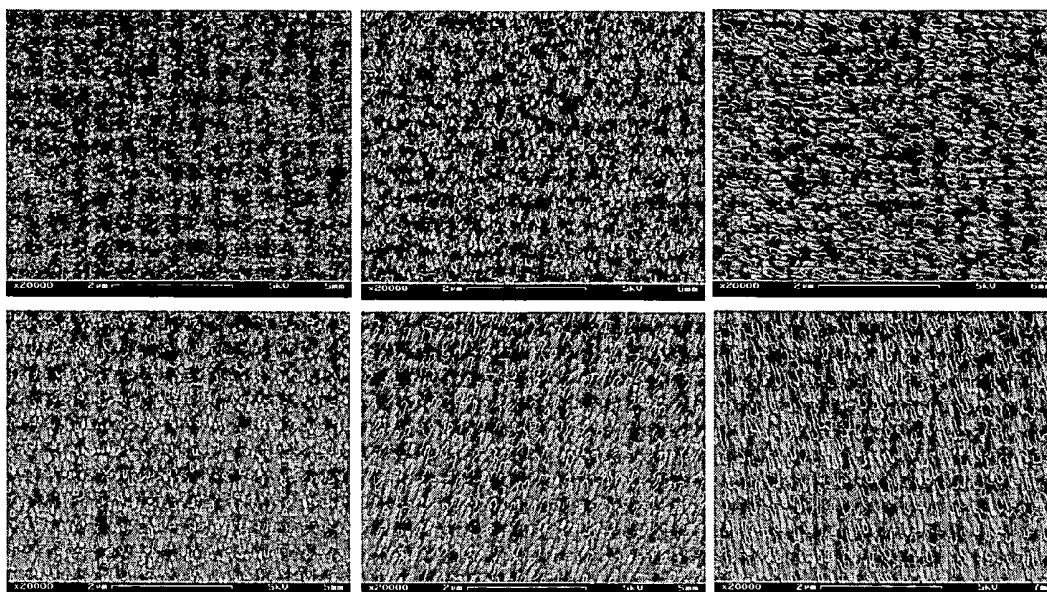


FIG. 5

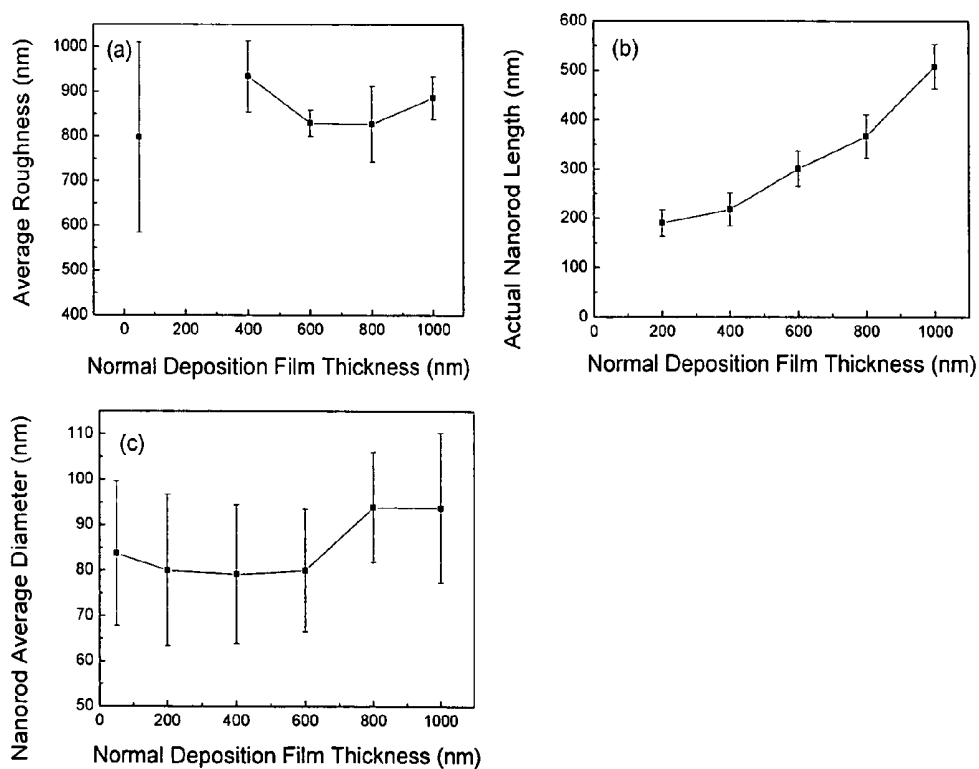


FIG. 6

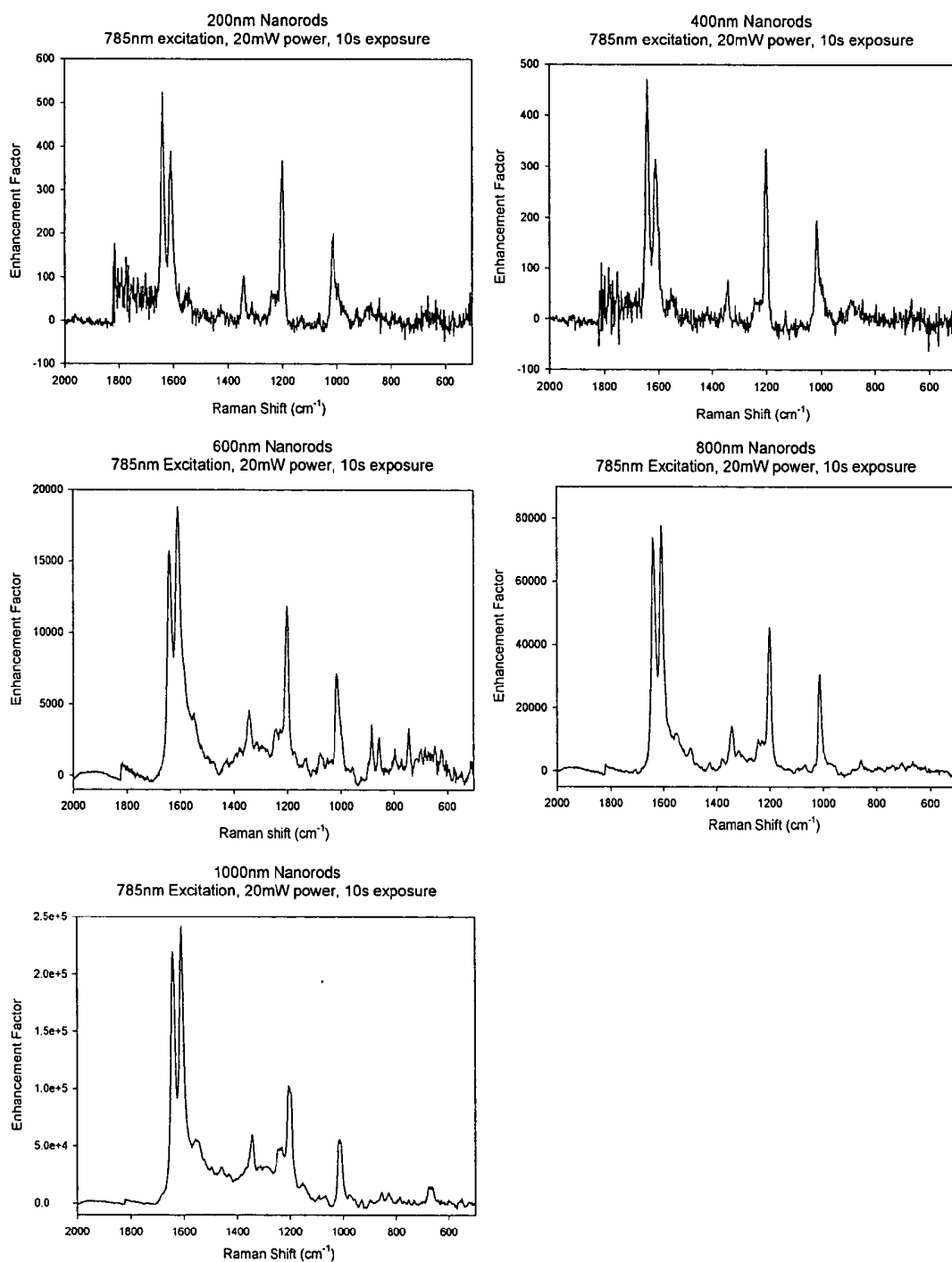


FIG. 7

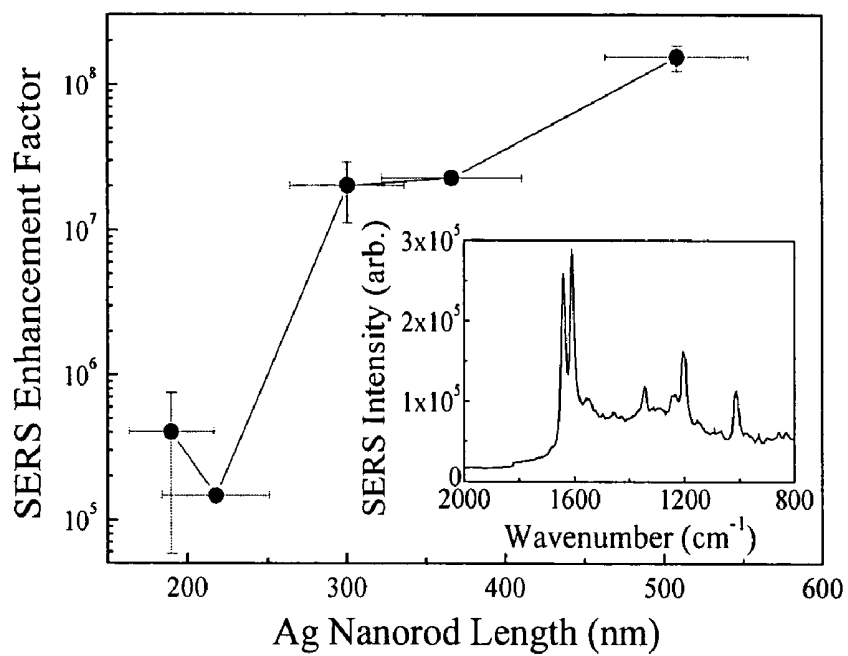


FIG. 8

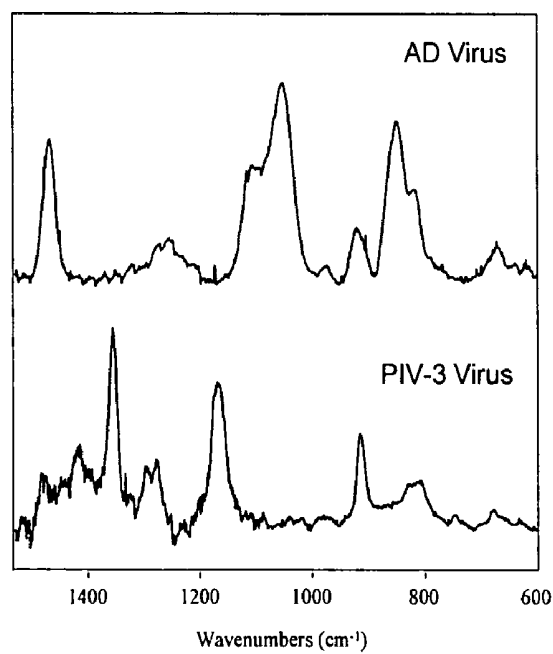




FIG. 9

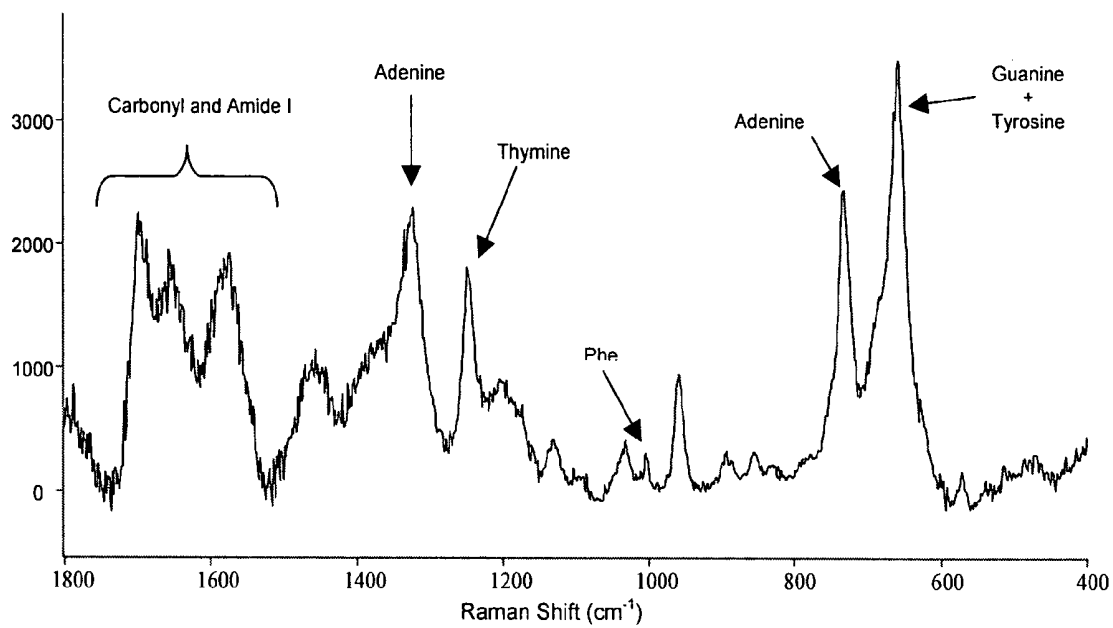


FIG. 10

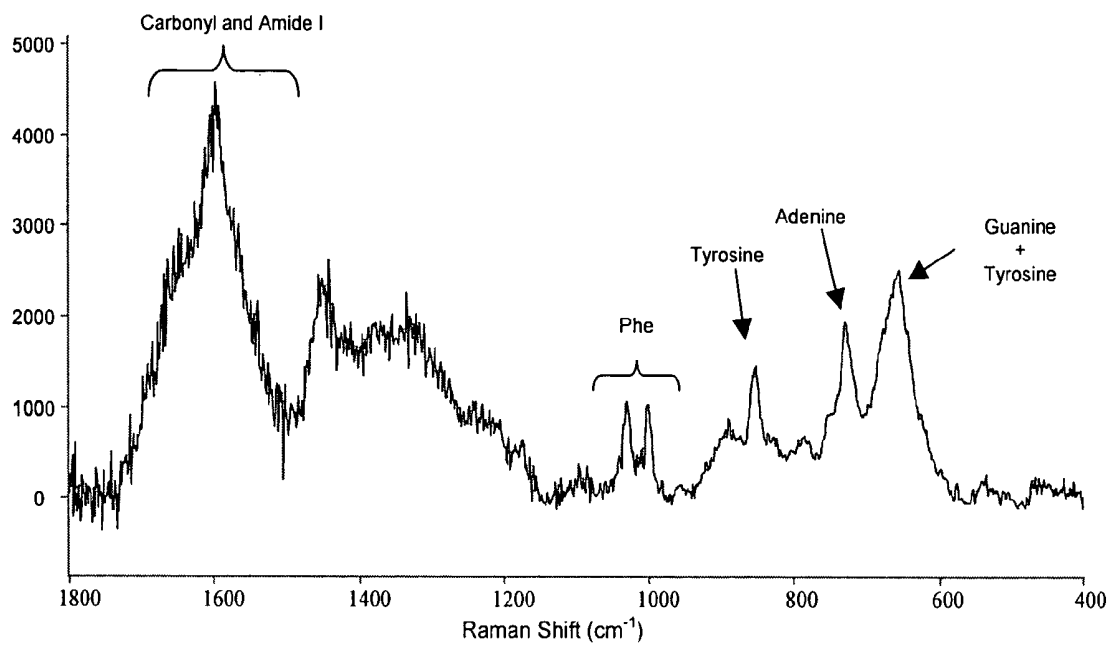
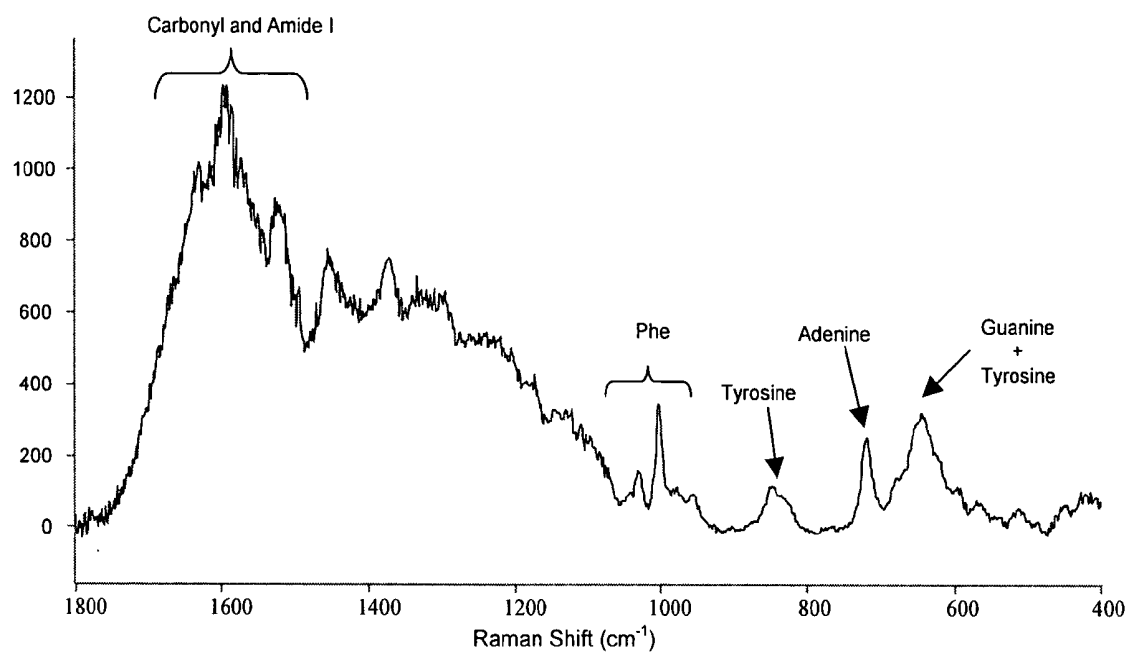
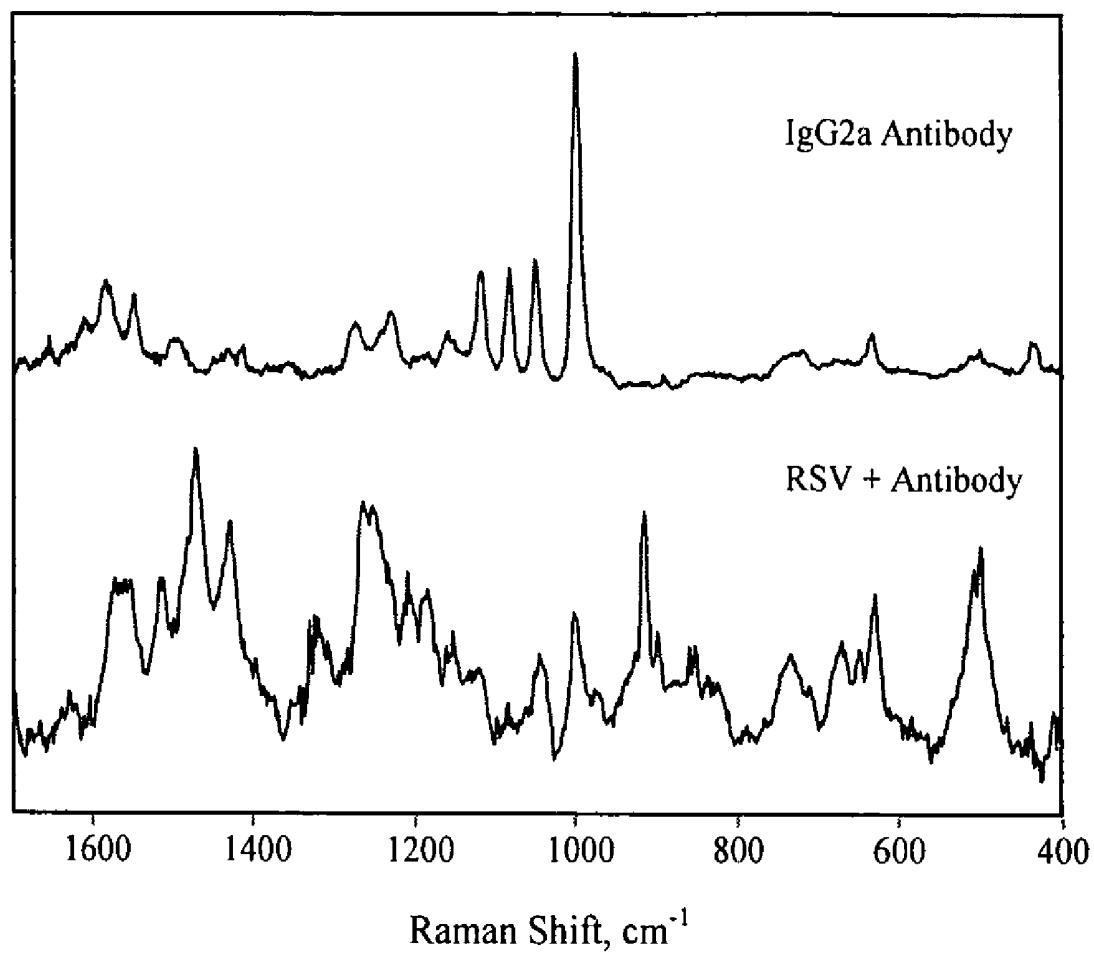


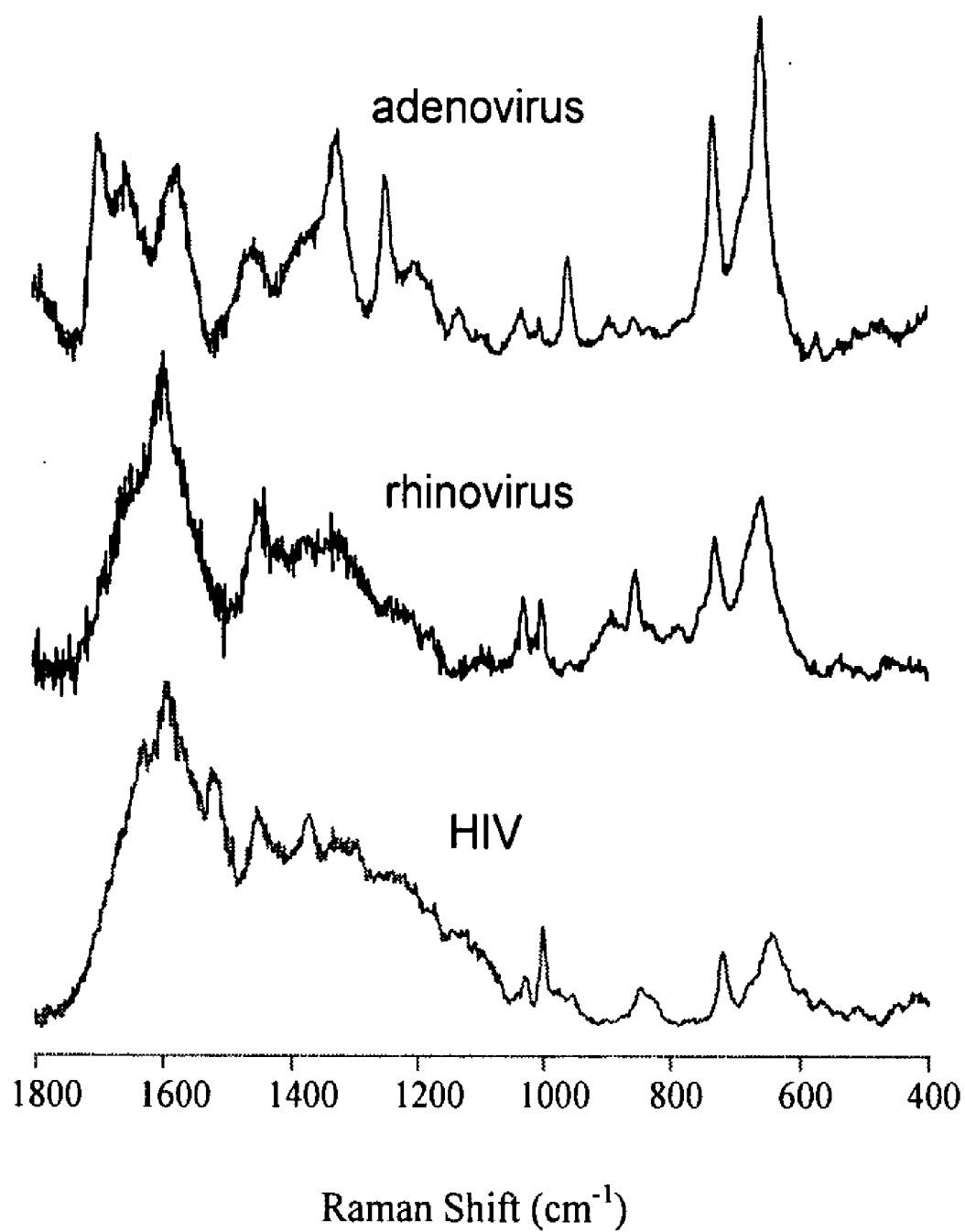
FIG. 11



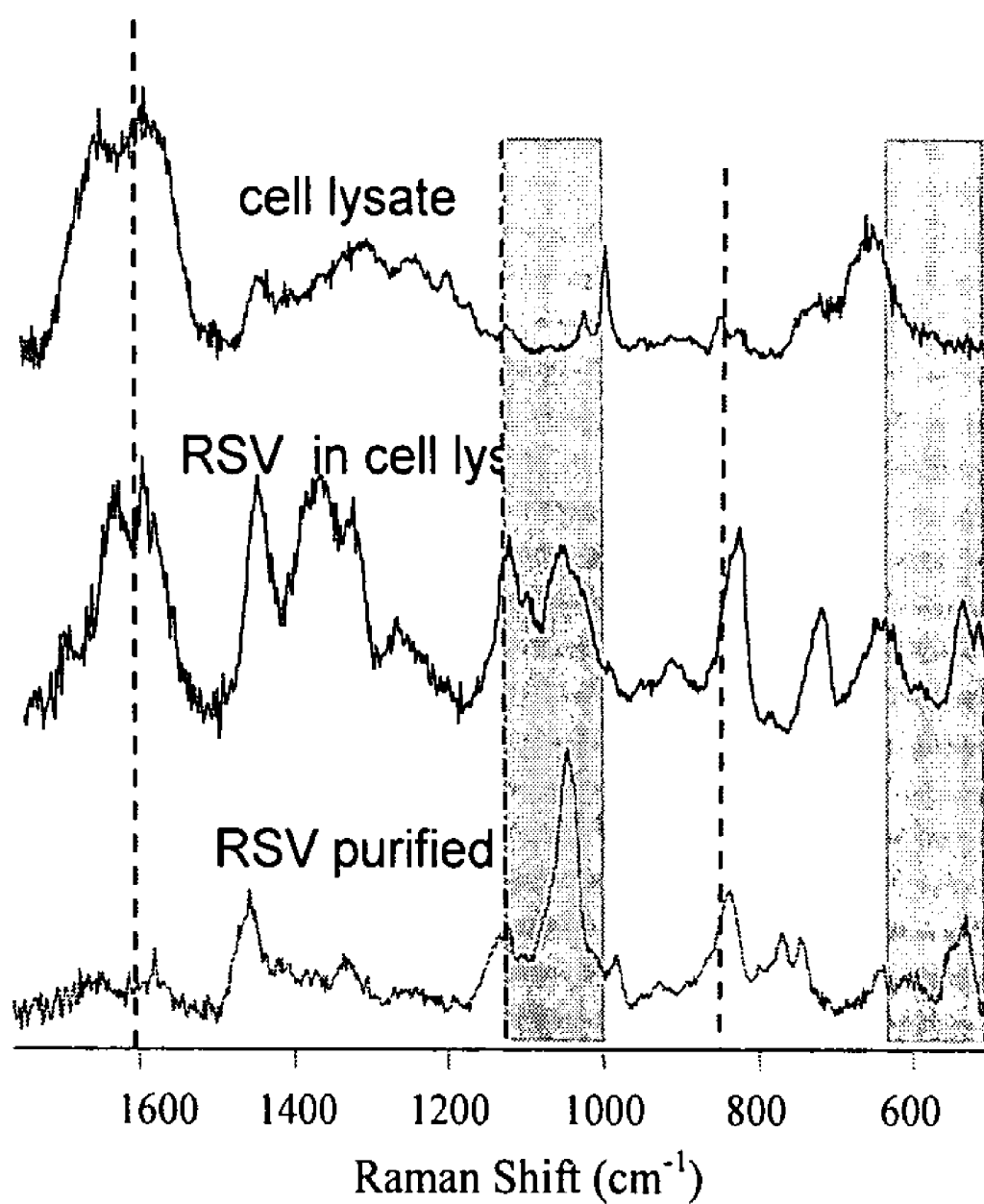
## FIG. 12



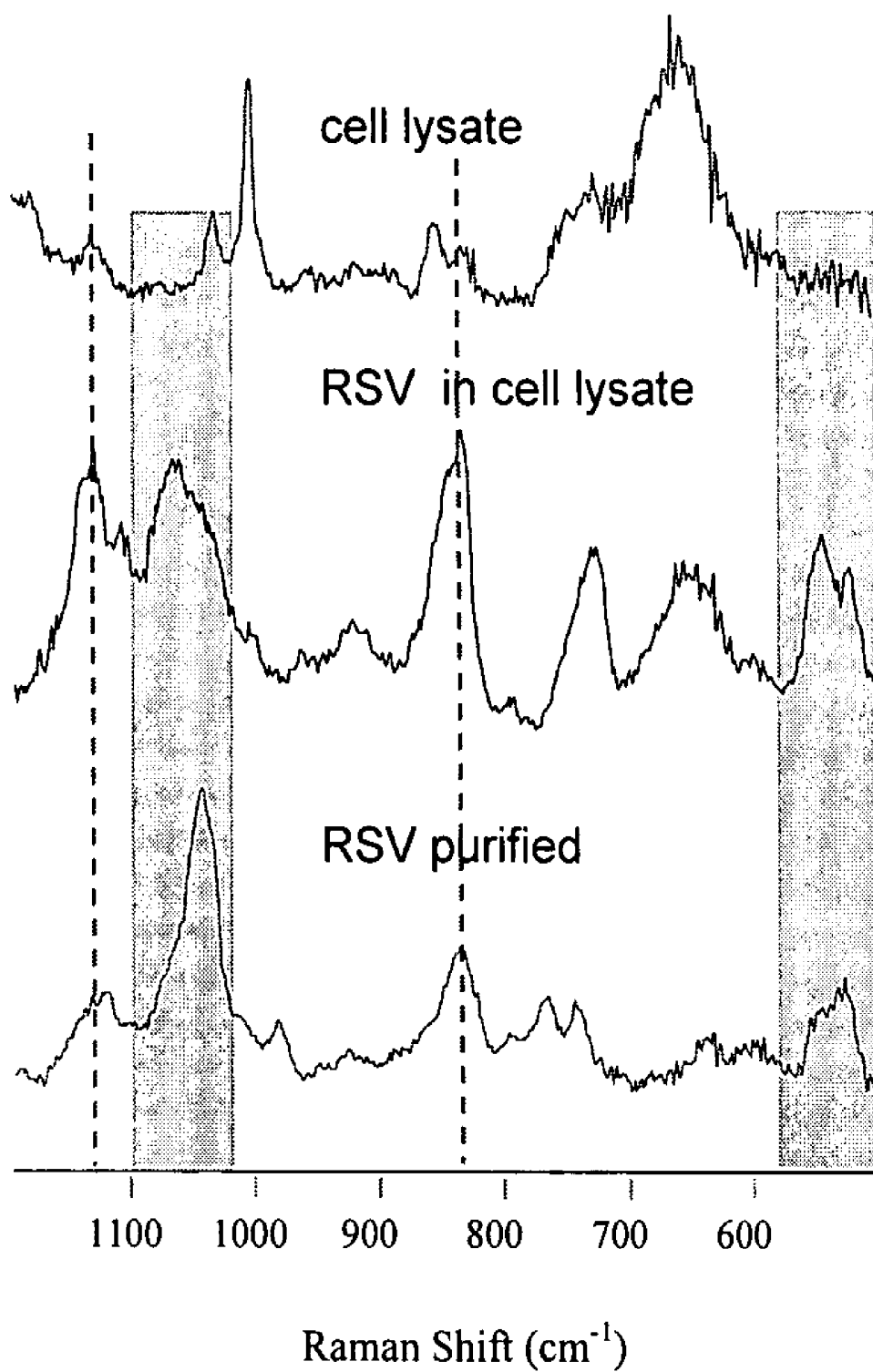
# FIG. 13



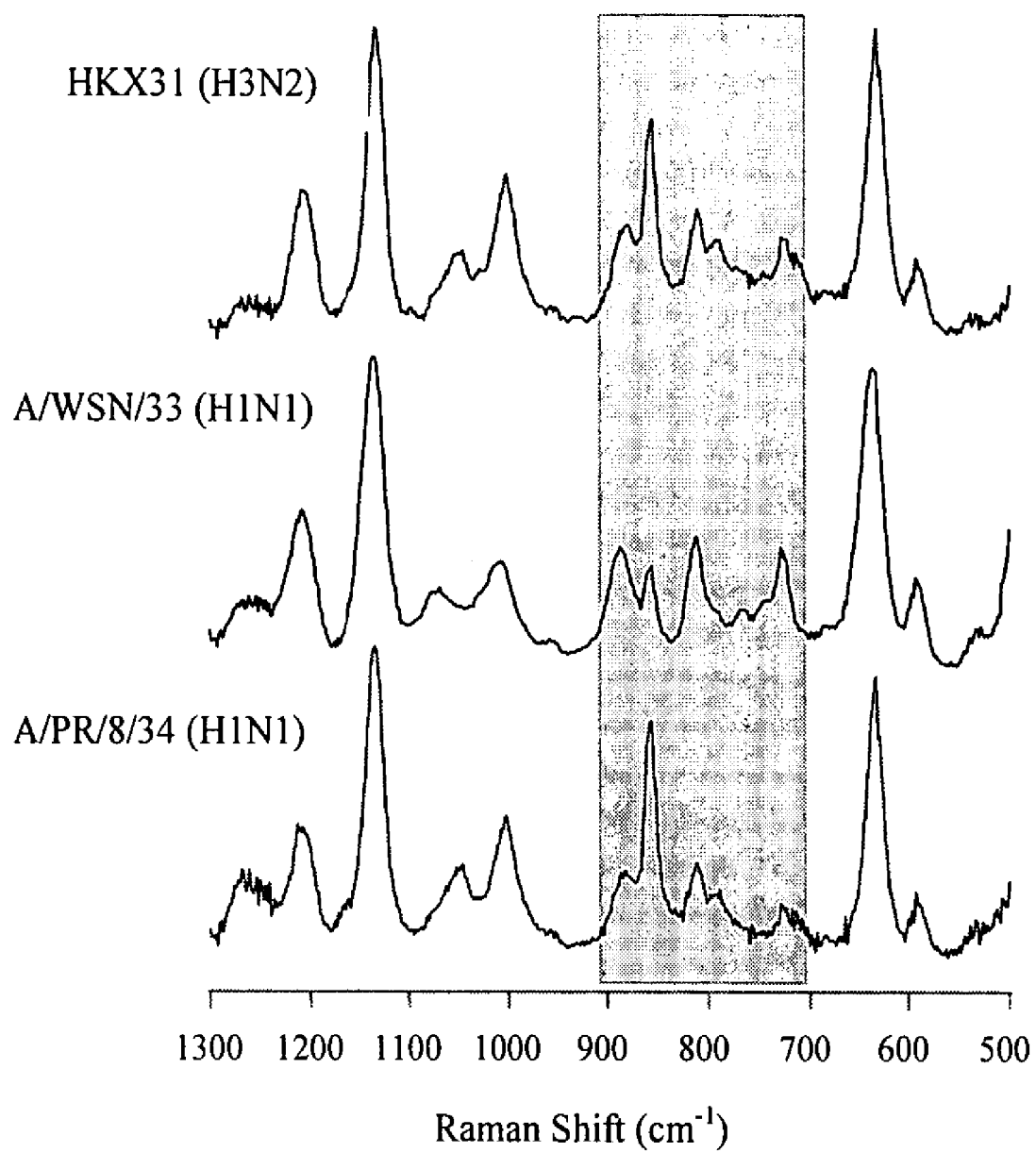
# FIG. 14



# FIG. 15



# FIG. 16





# **SURFACE ENHANCED RAMAN SPECTROSCOPY (SERS) SYSTEMS, SUBSTRATES, FABRICATION THEREOF, AND METHODS OF USE THEREOF**

## **CROSS-REFERENCE TO RELATED APPLICATION**

[0001] This application is continuation-in-part of U.S. patent application entitled, "STRUCTURES HAVING ALIGNED NANORODS AND METHODS OF MAKING," having Ser. No. 11/256,395, filed Oct. 21, 2005, which claims priority to U.S. provisional application entitled, "DIRECT DEPOSITION OF ALIGNED NANOROD ARRAY ONTO CYLINDRICAL OBJECTS," having Ser. No. 60/620,810, filed Oct. 21, 2004, both of which are entirely incorporated herein by reference. This application also claims priority to co-pending U.S. provisional applications entitled "SURFACE ENHANCED RAMAN SPECTROSCOPY (SERS) SYSTEMS, SUBSTRATES, FABRICATION THEREOF, AND METHODS OF USE THEREOF," having Ser. No. 60/662,089, filed Mar. 15, 2005, and "SURFACE ENHANCED RAMAN SPECTROSCOPY (SERS) SYSTEMS, SUBSTRATES, FABRICATION THEREOF, AND METHODS OF USE THEREOF," having Ser. No. 60/703,110, filed Jul. 28, 2005, both of which are entirely incorporated herein by reference.

## **STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT**

[0002] Aspects of this disclosure were made with government support under ECS-0304340 awarded by the National Science Foundation and EB001956 awarded by the National Institute of Health. The government has certain rights in the invention(s).

## **FIELD OF THE INVENTION(S)**

[0003] The present disclosure is generally directed to surface-enhanced Raman spectroscopic (SERS) systems and methods for detection of analytes, in particular, the detection of biomolecules using nanostructures, particularly nanorods. The present disclosure is further directed to SERS systems and methods for detection of a biomolecule of interest, such as a virus or other infectious agent.

## **BACKGROUND**

[0004] The discovery of single-molecule and single-nanoparticle surface-enhanced Raman scattering (SERS) has attracted considerable interest, both for fundamental studies of enhancement mechanisms and for potential applications in ultrasensitive optical detection and spectroscopy. A number of researchers have shown that the enhancement factors are as large as  $10^{14}$ - $10^{15}$ , leading to Raman scattering cross sections that are comparable to or even larger than those of fluorescent organic dyes. This enormous enhancement allows spectroscopic detection and identification of single molecules located on the surface of single nanoparticles or at the junction of two particles at room temperature. Progress has been made concerning both the structural and mechanistic aspects of single-molecule SERS, but it is still unclear how this large enhancement effect might be exploited for applications in analytical chemistry, molecular biology, or medical diagnostics. One major problem is the intrinsic interfacial nature of SERS, which requires the molecules to adsorb on roughened metal surfaces. For

biological molecules such as peptides, proteins, and nucleic acids, surface-enhanced Raman data are especially difficult to obtain, hard to interpret, and nearly impossible to reproduce. Therefore, a need in the industry exists to improve SERS data for biological molecules.

[0005] The current state-of-the-art for viral diagnostic methods involves isolation and cultivation of viruses and may employ (1) an enzyme-linked immunosorbant assay (ELISA), a method that uses antibodies linked to an enzyme whose activity can be used for quantitative determination of the antigen with which it reacts, or (2) polymerase chain reaction (PCR), a method of amplifying fragments of genetic material so that they can be detected. These diagnostic methods are cumbersome, time-consuming, and ELISA has limited sensitivity.

[0006] For example, for RSV, isolation of the virus in cell culture has been considered the reference diagnostic method, followed by immunofluorescence assay (IFA) or enzyme immunoassay (EIA). However, results from virus isolation studies are not rapidly available for patient management, and are not sufficiently sensitive to detect infection in a substantial portion of patients. There is, therefore, a critical need for a rapid, reproducible and highly sensitive and specific method of diagnosing viruses such as RSV that inflict substantial disease burdens on human and animal health and (not insignificantly) for other respiratory viruses that also pose a significant threat as agents for bioterrorism. The emergence of biosensing strategies that leverage nanotechnology for direct, rapid, and increased sensitivity in detection of viruses, are needed to bridge the gap between the unacceptably low sensitivity levels of current bioassays and the burgeoning need for more rapid and sensitive detection of infectious agents.

[0007] Various bacterial strains are responsible for numerous human diseases. Bacterial infection, such as *Escherichia coli*, is the cause of millions of hospitalizations and thousands of deaths each year. Current detection and diagnostic methods for many bacterial pathogens are not sensitive enough for early and rapid detection. For instance, the infectious dose of a pathogen such as *E. coli* O157:H7 is as low as 10 cells and the existing standard for *E. coli* in water is 4-cells/100 ml, which is far beyond the current detection limit with short detection time. Thus, improved systems and methods for the detection of pathogens and other biomolecules is needed.

## **SUMMARY**

[0008] SERS systems for detecting an analyte of interest, particularly a biomolecule of interest, and methods of making and using the SERS systems are disclosed. Briefly described, a representative embodiment of a SERS system of the present disclosure, among others, includes an array of nanorods on at least a portion of a surface of a substrate. The substrate may be a planar or non-planar substrate and may include one or more binding agents disposed on one or more of the nanorods, where the binding agent has an affinity for an analyte of interest. In representative embodiments, among others, the binding agent is a first biomolecule (e.g., a polypeptide, such as an antibody) and the analyte of interest is a second biomolecule (e.g., an antigen, such as a virus, or a viral or bacterial polypeptide). In some embodiments the analyte of interest (e.g., a biomolecule such as a

virus, bacterium, or other pathogen, or portion thereof) binds directly to the nanorod array. The array of nanorods in combination with the binding agent has a first measurable surface-enhanced Raman spectroscopic signature, and the array of nanorods in combination with the binding agent and the analyte of interest has a second measurable surface-enhanced Raman spectroscopic signature that is different than the first measurable surface-enhanced Raman spectroscopic signature.

[0009] A method of detecting an analyte of interest (e.g., a biomolecule) in a sample, includes attaching at least one first biomolecule to an array of nanorods on a substrate, measuring a SERS spectrum, exposing the substrate including the first biomolecule to the sample containing the analyte of interest (e.g., a second biomolecule), and measuring a second SERS spectrum. Association of the first biomolecule with the second biomolecule can be detected because the SERS spectrum of the array of nanorods and first biomolecule is detectably different than the SERS spectrum of the array of nanorods, first biomolecule, and the second biomolecule. Another method of detecting an analyte of interest (e.g., a biomolecule) in a sample, includes contacting a sample suspected of containing the analyte of interest with a SERS substrate including an array of nanostructures (e.g., nanorods) and measuring a SERS spectrum. Association of the analyte of interest with the substrate is detected because the SERS spectrum of the array of nanorods alone is detectably different than the SERS spectrum of the array of nanorods and the analyte of interest. Another method includes distinguishing between one or more analytes of interest present in one or more samples based on differences in the SERS spectrum of the array of nanorods with one analyte as compared to the SERS spectrum of the array of nanorods with another analyte.

[0010] A method of making a SERS sensor of the present disclosure includes providing a substrate (e.g., a planar or a non-planar substrate), rotating the substrate in a polar direction relative to a vapor arrival line of a vapor flux of a material to achieve a desired incident angle between the vapor arrival line and the substrate, optionally rotating the substrate azimuthally, and exposing at least a portion of a surface of the substrate to the vapor flux of a material at the desired incident angle to form an array of nanostructures (e.g., nanorods) on the surface of the substrate. Then, one or more binding agents (e.g., first biomolecules) having an affinity for an analyte of interest (e.g., a second biomolecule) are disposed on the surface of one or more of the nanostructures. The array of structures in combination with the first biomolecule has a first measurable surface-enhanced Raman spectroscopic signature, and the array of nanostructures in combination with the first biomolecule and the second biomolecule has a second measurable surface-enhanced Raman spectroscopic signature that is different than the first measurable surface-enhanced Raman spectroscopic signature, thereby allowing detection of an association between the first and second biomolecules and indicating the presence of the second biomolecule in a sample of interest.

[0011] Other aspects, compositions, methods, features, and advantages of the present disclosure will be or become apparent to one with skill in the art upon examination of the following drawings and detailed description. It is intended that all such additional compositions, methods, features, and

advantages be included within this description, be within the scope of the present disclosure, and be protected by the accompanying claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0012] Many aspects of this disclosure can be better understood with reference to the following drawings. The components in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating the principles of the present disclosure. Moreover, in the drawings, like reference numerals designate corresponding parts throughout the several views.

[0013] FIG. 1 illustrates embodiments of modified oblique angle deposition (OAD) systems for a non-planar substrate (1A) and planar substrate (1B).

[0014] FIGS. 2A through 2E illustrate exemplary schematic representations of various combinations and shapes of nanostructures on SERS substrates.

[0015] FIGS. 3A through 3B illustrate exemplary schematics of a SERS system according to the present disclosure having nanostructures deposited on the surface or portions of the surface of a substrate and a binding agent attached to the surface of the nanostructures (FIG. 3A), which is capable of binding a target analyte (FIG. 3B).

[0016] FIG. 3C is an exemplary schematic illustration of an embodiment of a SERS system according to the present disclosure having nanostructures deposited on the surface or portions of the surface of a substrate, which are capable of binding and detecting a target analyte directly, without a binding agent.

[0017] FIG. 4 illustrates SEM images of various length nanorods on a planar substrate.

[0018] FIG. 5 illustrates various nanorod parameters (a) RMS roughness, (b) nanorod length, and (c) nanorod diameter as functions of normal deposition thickness.

[0019] FIG. 6 illustrates SERS spectra for samples having various length nanorods.

[0020] FIG. 7 illustrates a graph of the SERS enhancement factor relative to the length of the nanorods.

[0021] FIG. 8 illustrates a bulk phase Raman spectra of virus isolates of Adenovirus (AD) and Parainfluenza Virus (PIV-3).

[0022] FIG. 9 illustrates a SERS spectrum of Adenovirus.

[0023] FIG. 10 illustrates a SERS spectrum of Rhinovirus.

[0024] FIG. 11 illustrates a SERS spectrum of Human Immunodeficiency Virus (HIV).

[0025] FIG. 12 illustrates a SERS spectra of IgG2a antibody complex (top) and RSV-IgG2a complex (bottom) on an Ag nanorod array made according to an embodiment of the present disclosure.

[0026] FIG. 13 illustrates a SERS spectra of adenovirus (top), rhinovirus (middle), and HIV (bottom) on an Ag nanorod array substrate, without a binding agent.

[0027] FIG. 14 illustrates a SERS spectra of Vero cell lysate before (top) and after (middle) infection with RSV, as compared to the SERS spectrum of purified RSV (bottom).

[0028] FIG. 15 illustrates a comparison of the SERS spectra of Vero cell lysate before (top) and after (middle) infection with RSV and a purified RSV composition (bottom).

[0029] FIG. 16 illustrates a comparison of the SERS spectra of three different strains of the influenza virus using the Ag nanorod SERS substrates of the present disclosure. Strain HKX31 (H3N2) is the top, A/WSN/33 (H1N1) is the middle, and A/PR/8/34 (H1N1) is the bottom spectrum.

#### DETAILED DESCRIPTION

[0030] Before the embodiments of the present disclosure are described in detail, it is to be understood that unless otherwise indicated the present disclosure is not limited to particular materials, reagents, reaction materials, manufacturing processes, or the like, as such may vary. It is also to be understood that the terminology used herein is for purposes of describing particular embodiments only, and is not intended to be limiting. It is also possible in the present disclosure that steps may be executed in different sequence where this is logically possible.

[0031] It must be noted that, as used in the specification and the appended claims, the singular forms “a,” “an” and “the” include plural referents unless the context clearly dictates otherwise. In this specification and in the claims that follow, reference will be made to a number of terms that shall be defined to have the following meanings, unless a contrary intention is apparent.

[0032] Definitions:

[0033] Use of the phrase “biomolecule” is intended to encompass deoxyribonucleic acid (DNA), ribonucleic acid (RNA), nucleotides, oligonucleotides, nucleosides, proteins, peptides, polypeptides, selenoproteins, antibodies, protein complexes, combinations thereof, and the like. In particular, the biomolecule can include, but is not limited to, naturally occurring substances such as polypeptides, polynucleotides, lipids, fatty acids, glycoproteins, carbohydrates, fatty acids, fatty esters, macromolecular polypeptide complexes, vitamins, co-factors, whole cells, eukaryotic cells, prokaryotic cells, microorganisms such as viruses, bacteria, protozoa, archaea, fungi, algae, spores, apicomplexan, trematodes, nematodes, mycoplasma, or combinations thereof.

[0034] In a preferred aspect, the biomolecule is a virus, including, but not limited to, RNA and DNA viruses. In particular the biomolecule is a virus, which may include, but is not limited to, a retrovirus (e.g., human immunodeficiency virus (HIV), a feline immunodeficiency virus (FIV), a simian immunodeficiency virus (SIV), a feline leukemia virus, a bovine immunodeficiency virus, a bovine leukemia virus, a equine infectious anemia virus, a human T-cell leukemia virus), a Pneumovirus (e.g., respiratory syncytial virus (RSV)), Paramyxoviridae (e.g., Paramyxovirus (Parainfluenzavirus 1-4, Sendai virus, mumps, Newcastle disease virus)), a Metapneumovirus (e.g., human and avian metapneumovirus), and Orthomyxoviridae (e.g., an influenza virus A, B, C). In addition, the biomolecule may include additional viruses including, but not limited to, an astroviridae, a caliciviridae, a herpes virus, a picomaviridae,

a poxviridae, a reoviridae, a togaviridae, an avian influenza virus, a polyomavirus, an adenovirus, a rhinovirus, a Bunyavirus, a Lassa fever virus, an Ebola virus, a corona virus, an arenavirus, a Filovirus, a rhabdovirus, an alphavirus, a flavivirus, Epstein-Barr Virus (EBV), and viruses of agricultural relevance such as the Tomato Spotted Wilt Virus.

[0035] In another exemplary embodiment, the biomolecule is a surface molecule or surface antigen on the surface of a pathogen (e.g., a bacterial cell), or the biomolecule is a toxin or other byproduct of a pathogen (e.g., a toxin produced by a bacterial cell). Other examples of biomolecules are viral projections such as Hemmagglutinin and Neuraminidase.

[0036] Use of the phrase “peptides”, “polypeptide”, or “protein” is intended to encompass a protein, a glycoprotein, a polypeptide, a peptide, fragments thereof and the like, whether isolated from nature, of viral, bacterial, plant, or animal (e.g., mammalian, such as human) origin, or synthetic, and fragments thereof. Polypeptides are disclosed herein as amino acid residue sequences. Those sequences are written left to right in the direction from the amino to the carboxy terminus. In accordance with standard nomenclature, amino acid residue sequences are denominated by either a three letter or a single letter code as indicated as follows: Alanine (Ala, A), Arginine (Arg, R), Asparagine (Asn, N), Aspartic Acid (Asp, D), Cysteine (Cys, C), Glutamine (Gln, Q), Glutamic Acid (Glu, E), Glycine (Gly, G), Histidine (His, H), Isoleucine (Ile, I), Leucine (Leu, L), Lysine (Lys, K), Methionine (Met, M), Phenylalanine (Phe, F), Proline (Pro, P), Serine (Ser, S), Threonine (Thr, T), Tryptophan (Trp, W), Tyrosine (Tyr, Y), and Valine (Val, V).

[0037] Use of the phrase “polynucleotide” is intended to encompass DNA and RNA, whether isolated from nature, of viral, bacterial, plant or animal (e.g., mammalian, such as human) origin, or synthetic; whether single-stranded or double-stranded; or whether including naturally or non-naturally occurring nucleotides, or chemically modified. As used herein, “polynucleotides” include single or multiple stranded configurations, where one or more of the strands may or may not be completely aligned with another. The terms “polynucleotide” and “oligonucleotide” shall be generic to polydeoxynucleotides (containing 2-deoxy-D-ribose), to polyribonucleotides (containing D-ribose), to any other type of polynucleotide which is an N-glycoside of a purine or pyrimidine base, and to other polymers in which the conventional backbone has been replaced with a non-naturally occurring or synthetic backbone or in which one or more of the conventional bases has been replaced with a non-naturally occurring or synthetic base. An “oligonucleotide” generally refers to a nucleotide multimer of about 2 to 100 nucleotides in length, while a “polynucleotide” includes a nucleotide multimer having any number of nucleotides greater than 1, although they are often used interchangeably.

[0038] Use of the term “affinity” can include biological interactions and/or chemical interactions. The biological interactions can include, but are not limited to, bonding or hybridization among one or more biological functional groups located on the first biomolecule and the second biomolecule. In this regard, the first (or second) biomolecule can include one or more biological functional groups that

selectively interact with one or more biological functional groups of the second (or first) biomolecule. The chemical interaction can include, but is not limited to, bonding among one or more functional groups (e.g., organic and/or inorganic functional groups) located on the biomolecules.

[0039] Discussion:

[0040] In accordance with the purpose(s) of the present disclosure, as embodied and broadly described herein, embodiments of the present disclosure, in one aspect, relate to surface-enhanced Raman spectroscopic (SERS) systems, methods of using the SERS systems to detect an analyte, SERS substrates, and methods of fabricating the substrates. The present disclosure provides, in general, methods and systems for the detection, analysis, and/or quantification of a biomolecule. One aspect, among others, provides methods and systems for the detection of a biomolecule using SERS systems including a SERS substrate including an array of nanostructures.

[0041] In particular, the SERS system of the present disclosure can be used to determine the presence, qualitatively and/or quantitatively, of one or more types of biomolecules, cells, toxins, drugs, viruses, explosives, nuclear wastes, contaminants, biohazards, and other chemical and biological compounds of interest. For clarity, this disclosure describes the use of the SERS system with biomolecules, but one skilled in the art would understand that the SERS system can be used to determine the presence, qualitatively and/or quantitatively, of other targets of interest such as those described above, to which a complimentary binding agent exists or can be designed. Embodiments of the present disclosure also relate to methods of using the SERS system to detect biomolecules in a sample. The SERS system can enhance the detection molecules (e.g., biomolecules) by a number of orders of magnitude (e.g., 5-12 orders of magnitude) in a reproducible manner.

[0042] In general, the SERS system includes an array of nanostructures on a substrate. In preferred embodiments, the nanostructure is a nanorod. In an exemplary embodiment, the nanostructure is functionalized with one or more binding agent(s) capable of binding (e.g., ionically covalently, hydrogen binding, and the like) or otherwise associating (e.g., chemically, biologically, etc.) with one or more analytes (e.g., biomolecule(s)) of interest.

[0043] The nanostructures can include, but are not limited to, nanorods, nanowires, nanotubes, nanospirals, combinations thereof, and the like, and uniform arrays of each. The nanostructures (e.g., nanorods) can be fabricated of one or more materials such as, but not limited to, a metal, a metal oxide, a metal nitride, a metal oxynitride, a metal carbide, a doped material, a polymer, a multicomponent compound, a compound (e.g., a compound or precursor compound (organic or inorganic compound) including a metal, a metal oxide, a metal nitride, a metal oxynitride, a metal carbide, a doped material), and combinations thereof. The metals can include, but are not limited to, silver, nickel, aluminum, silicon, gold, platinum, palladium, titanium, copper, cobalt, zinc, other transition metals, composites thereof, oxides thereof, nitrides thereof, suicides thereof, phosphides ( $P^{3-}$ ) thereof, oxynitrides thereof, carbides thereof, and combinations thereof. In particular the materials can include one or more of the following: silver, gold, nickel, silicon, germanium, silicon oxide, and titanium oxide. The composition of

the nanorods is the same as that of the materials described herein or a combination of the materials described herein, or alternative layers of each.

[0044] In an embodiment of the SERS substrate of the present disclosure, the nanostructure is a nanorod. In particular embodiments, the nanorod is formed in a uniform and aligned array on the substrate. The nanorod can have the dimensions and characteristics as described below. In particular, the nanorods (e.g., silver, nickel, silicon, and titanium oxide) are disposed on a planar substrate, such as a glass or silicon slide or disk, or a non-planar substrate, such as an optical fiber, or other cylindrically symmetric substrates.

[0045] A method of making a SERS substrate of the present disclosure includes providing a substrate and depositing the nanorods on the substrate by a modified oblique angle deposition (OAD) technique/system or glancing angle deposition (GLAD). In an embodiment of a modified OAD technique, the OAD system can include a two-axis substrate motion apparatus in a physical vapor deposition (PVD) system (e.g., thermal evaporation, e-beam evaporation, sputtering growth, pulsed laser deposition, and the like) that operates at temperatures lower than the melting point of the material used to form the nanostructures. In an embodiment, the substrate motion system provides two rotation movements: one is the polar rotation, which changes angle between the substrate surface normal and the vapor source direction, and one is the azimuthal rotation, where the sample rotates about its center axis of rotation (e.g., normal principle axis). In some embodiments, the nanorods are disposed on a thin film (e.g., silver, nickel, silicon, and titanium oxide) or a multilayer thin film (e.g., layers of silver, nickel, silicon, and titanium oxide, composites thereof, and nitrides thereof) that is deposited onto those substrate prior to nanorod deposition.

[0046] At least one advantage of using the OAD system is that the nanostructures (e.g., nanorods) can be formed at temperatures compatible with substrates such as, but not limited to, optical fibers, waveguides, and the like. This is in contrast to other techniques that operate under conditions (e.g., high temperatures) that are not compatible with many substrates of interest. Another advantage of using the OAD system is that catalysts are not needed to form the nanostructures, in contrast to currently used technologies. Since a vacuum system is used, the purity of the nanorods is very high, and the vacuum system is compatible with conventional microfabrication processes.

[0047] In some embodiments the substrate is a planar (or flat) substrate, such as a silicon, quartz, or glass substrate. Planar substrates may also be made of materials including, but not limited to, semiconductors (e.g., Si, GaAs, GaAsP, and Ge), oxides (e.g.,  $SiO_2$ ,  $Al_2O_3$ ), and polymers (e.g., polystyrene, polyacetylene, polyethylene, etc.). In other embodiments the substrate is a non-planar substrate such as a cylindrical or conical substrate (e.g., an optical fiber or pipette tip). The substrates can also be microfabricated or nanofabricated substrates, such as substrates with a regular array of micropatterns, such as a dot array, line array, or well array, or similar nanopatterns.

[0048] FIG. 1 illustrates an embodiment of an OAD system for a planar substrate 30 (FIG. 1B) and an embodiment of an OAD system for a non-planar substrate 10 (FIG. 1A). The OAD systems 10 and 30 include, but are not

limited to, an evaporation source **12**, a substrate **14** or **34**, and a substrate manipulation mechanism (e.g., one or more motors) to move (e.g., rotate) the substrate relative to the evaporation source **12**. A motor of the OAD system **10** can move the non-planar substrate **14** in a polar rotation **18**, which changes the incident angle ( $\theta$ ) between the substrate rotating axis (e.g., center axis of rotation) and the vapor source direction (e.g., vapor arrival line **22**). The OAD system **30** for the planar substrate **34** also includes a motor for moving the planar substrate **34** in a polar rotation **38**, which changes the incident angle ( $\phi$ ) between the surface normal axis of the substrate (e.g., axis **40**) and the vapor source direction (e.g., vapor arrival line **32**).

[0049] Another motor of the OAD system **10** can move the substrate in an azimuthal rotation **16**, where the sample rotates about its center axis of rotation (normal principle axis) to allow deposition of nanorods around entire surface of the non-planar substrate **14**. In the case of a planar substrate **34**, while azimuthal rotation of the substrate is not required for deposition of the nanorods, the OAD system **30** may optionally include a second motor for rotating the planar substrate in an azimuthal rotation **36**, which allows additional control over the shape of the nanorods. For both planar and non-planar substrates, varying the incident angles  $\theta$  and  $\phi$  and the rate and pattern of azimuthal rotation can result in various shapes, sizes, and/or distribution of nanorods on the substrate surface. The OAD systems **10** and **30** can also include appropriate vacuum pumps and electronic control equipment as are known in the art. Additional details regarding the OAD systems are described in the Examples below.

[0050] Embodiments of the OAD systems **10** and **30** can include a physical vapor deposition (PVD) system, such as thermal evaporation, e-beam evaporation, molecular beam epitaxy (MBE), sputtering growth, pulsed laser deposition, combinations thereof, and the like. In this embodiment, the PVD is a thermal evaporation source **12**, where a material can be heated to an appropriate temperature to evaporate the material. The heating temperature depends primarily on the properties of the material to be deposited, but may also depend, at least in part, on the substrate **14** or **34**, and other conditions in the OAD system. Typically, the temperature is less than the melting point (e.g., less than one-third of the melting point) of the material being evaporated.

[0051] In an alternative embodiment, the system can be adapted to include a Chemical Vapor Deposition (CVD) or a Plasma-Enhanced Chemical Vapor Deposition (PECVD) system. In such systems an appropriate molecular precursor is evaporated at the source and undergoes decomposition at the surface of the substrate **14** or **34**. The decomposition leads to the deposition of a material of interest onto the substrate **14** or **34** with concomitant elimination of molecular fragments, which can be easily purged from the system. CVD and PECVD allow for the single-step deposition of unitary—(e.g., metals), binary—(e.g., alloys, oxides, carbides), ternary—(e.g.,  $(\text{Si,Ge})\text{O}_4$ ), and higher other compounds.

[0052] Modification of the system for use in conjunction with CVD and PECVD deposition techniques can be inferred from standard CVD and PECVD systems described in the art (e.g., D. M. Dobkin, M. K. Zuraw, *Principles of Chemical Vapor Deposition*, (2003) Springer, N.Y.; Srinivasan Sivaram, *Chemical Vapor Deposition: Thermal and plasma deposition of electronic materials (Electrical Engineering)*, (1995), Springer N.Y.).

vasan Sivaram, *Chemical Vapor Deposition: Thermal and plasma deposition of electronic materials (Electrical Engineering)*, (1995), Springer N.Y.).

[0053] The OAD systems can operate at a substrate temperature less than the melting point of the material being evaporated. In particular, the substrates of the OAD systems can operate at or near room temperature, be cooled to liquid nitrogen temperature, or be heated to a temperature of about  $\frac{1}{3}$  of the melting temperature of the material being evaporated. Thus, substrates having a relatively low melting point (e.g., plastics such as those used in fiber optics) can be used, unlike other high temperature techniques. The OAD systems can operate at a pressure where the mean free path of the gas in the chamber during deposition is comparable or larger than the source-substrate distance.

[0054] The substrate **14** and/or **34** can be mounted or otherwise attached to an arm or other component in communication with the motors that move the substrate. In one embodiment, to deposit nanostructures (e.g., nanorods) onto a non-planar substrate **14**, the substrate **14** is slightly rotated polarly in order to make an angle  $\theta$  less than about  $15^\circ$  (e.g.,  $\theta$  less than about  $12^\circ$ ,  $\theta$  less than about  $10^\circ$ ,  $\theta$  less than about  $8^\circ$ , and  $\theta$  less than about  $5^\circ$ ; and where  $\theta$  is from about  $\theta$ , about  $0$  to  $12^\circ$ , about  $0$  to  $10^\circ$ , about  $0$  to  $8^\circ$ , and about  $0$  to  $5^\circ$ ), with respect to the incoming vapor direction. Then, the source material is evaporated at a constant rate (e.g., the rate is about  $0.1$  nm/sec to  $0.3$  nm/sec, about  $0.1$  nm/sec to  $0.6$  nm/sec, about  $0.1$  nm/sec to  $1$  nm/sec, about  $0.1$  nm/sec to  $1.5$  nm/sec, and about  $0.1$  nm/sec to  $2$  nm/sec), or substantially constant rate, in the evaporation source **12**, while the substrate **14** is rotated with a constant speed azimuthally (e.g., the speed is about  $0.01$  rev/sec to  $0.05$  rev/sec, about  $0.01$  rev/sec to  $0.1$  rev/sec, about  $0.01$  rev/sec to  $0.2$  rev/sec, and about  $0.01$  rev/sec to  $0.4$  rev/sec). The nanostructures of the evaporated material are thereby deposited (e.g., uniformly deposited) onto the sidewall (e.g., the inner and/or outer sidewall or selected portions thereof) of the substrate.

[0055] Such non-planar substrates are symmetrical about one center axis of rotation. The non-planar surface can be an inside surface and/or an outside surface of the substrate. The non-planar surface can include, but is not limited to, a cylindrical surface, a tapered surface, a conical surface, a tapered cylindrical surface, a cylindrical ringed substrate, and the like. The length of the substrate can be from about  $1$  mm to about  $75$  mm. The diameter of the substrate can be about  $1$  mm to about  $75$  mm. Exemplary substrates include, but are not limited to, optical fibers, waveguides, glass tubes, capillary tubes, metallic rods/tubes, and the like. Methods of forming nanostructure arrays on non-planar surfaces is described in greater detail in U.S. patent application Ser. No. 11/256,385, which is incorporated by reference herein.

[0056] In another embodiment, to deposit nanostructures (e.g., nanorods) onto a planar substrate **34** (e.g., a glass microscope slide), the substrate is mounted to the OAD device **30**, as shown in **FIG. 1B**. Depending on the size of the OAD system, the size of the substrate may vary from about  $1 \times 1$  mm<sup>2</sup> to about  $30 \times 30$  cm<sup>2</sup>. In some embodiments, it is preferable to deposit one or more thin film base layers of material (such as the materials described above for forming the nanostructures) on the substrate. This can be accomplished by first positioning the substrate at a normal incidence (e.g.,  $\phi=0^\circ$ ) to the evaporation source (e.g., where

the substrate is face down to the evaporation source). A thin film base layer, or multilayer thin film base layer, may also be deposited on non-planar substrates by first positioning the substrate with the central axis of rotation perpendicular to the vapor line of arrival **22** from the evaporation source **12** (e.g.,  $\theta=90^\circ$ ), while continually rotating the substrate azimuthally at a constant rate of rotation. Additional details of the thin film are described below. In some embodiments the thickness of the film is from about 10 nm to about 1000 nm; in a particular embodiment it is between about 50 nm and about 500 nm. To deposit the nanorods on the planar substrate **34**, the substrate is then rotated polarly in order to make an incident angle  $\phi$  less than about  $89^\circ$  (e.g., where  $\phi$  is from about  $75^\circ$  to  $89^\circ$ , about  $80^\circ$  to  $86^\circ$ , and about  $86^\circ$ ), of the surface normal of the substrate with respect to the incoming vapor direction.

[0057] The nanorods are then deposited on the planar substrate by oblique angle vapor deposition. The source material is evaporated at a constant rate (e.g., the rate is about 0.1 nm/sec to 0.3 nm/sec, about 0.1 nm/sec to 0.6 nm/sec, about 0.1 nm/sec to 1 nm/sec, about 0.1 nm/sec to 1.5 nm/sec, and about 0.1 nm/sec to 2 nm/sec), or substantially constant rate, in the evaporation source **12**, while the substrate **34** is optionally rotated azimuthally. The speed can be constant, or can vary, depending on the shape of the nanostructures desired (e.g., the speed is about 0.01 rev/sec to 0.05 rev/sec, about 0.01 rev/sec to 0.1 rev/sec, about 0.01 rev/sec to 0.2 rev/sec, and about 0.01 rev/sec to 0.4 rev/sec). The nanostructures of the evaporated material are thereby deposited (e.g., uniformly deposited) onto the surface of the substrate.

[0058] The temperature, the pressure, the deposition rate, the angle of vapor incidence, the evaporating material, and the speed and direction of the azimuthal rotation can be adjusted to control the properties of the nanostructures (e.g., the length, diameter, density, composition, and the like). Additional details regarding the process are described in the following Examples.

[0059] In some embodiments of methods of making the SERS substrates of the present disclosure, the nanorods are deposited in steps including exposing a first portion of a substrate to a metal vapor (e.g., via chemical metal vaporization) by opening a shutter **42** to a first setting. The first setting exposes a predetermined portion of the substrate. A first nanorod at a first position on the substrate is formed. The first nanorod grows to a first height (e.g., about 200 nanometers). Subsequently, the shutter is opened to a second setting, thereby exposing the first portion and a second portion to the metal vapor. A second nanorod is formed at a second position on the substrate. The second nanorod grows to the first height (e.g., about 200 nanometers). In this step the first nanorod grows to a second height (e.g., 400 nanometers), where the second height is about twice as high as the first height. This process can be repeated to expose a plurality of portions on the substrate to create a plurality of nanorods of various lengths on the substrate. For example, nanorods of the following lengths can be prepared: about 200 nanometers, about 400 nanometers, about 600 nanometers, about 800 nanometers, and about 1000 nanometers.

[0060] The length is the largest dimension of the nanostructure and is the dimension extending from the substrate (FIGS. 2A-E). The length/height of the nanorod can be from

a few hundred nanometers or less to over a few thousand nanometers. In embodiments, the nanostructure can have a length of about 10 nm to 10000, about 10 nm to 5000 nm, about 10 nm to 4000 nm, about 10 nm to 3000 nm, about 10 nm to 2000 nm, about 10 nm to 1000 nm, about 10 nm to 500 nm, about 10 nm to 250 nm, about 10 nm to 100 nm, and about 10 nm to 50 nm. In particular, the nanostructures can have a length of about 100 nm to about 1500 nm. The length depends, at least in part, upon the deposition time, deposition rate, and the total amount of evaporating materials. The substrate can have nanorods of the same height or of varying heights on one or more portions of the substrate.

[0061] The diameter is the dimension perpendicular to the length. The diameter of the nanostructure is about 10 to 30 nm, about 10 to 60 nm, about 10 to 100 nm, about 10 to 150 nm. In particular, the nanorods can have a diameter of about 50 to 120 nm. One or more of the dimensions of the nanostructure could be controlled by the deposition conditions and the materials.

[0062] The substrate can have from tens to tens of thousands or more nanorods formed on the substrate. The array of nanostructures can be defined as having a distance of about 10 to 30 nm, about 10 to 60 nm, about 10 to 100 nm, about 10 to 150 nm, and about 10 to 200 nm, between each of the nanostructures. Alternatively, the array of nanostructures can be defined as having an average density of about 11 to 2500/ $\mu\text{m}^2$ . The number of nanorods, height and diameter of the nanorods, and the material that the nanorods of fabricated of will depend upon the specific application of the SERS system.

[0063] In embodiments of the SERS substrates of the present disclosure, as illustrated in FIG. 2A, the nanorods also have a tilt angle,  $\beta$ , formed between the nanostructure **102** and the substrate **106**. The angle  $\beta$  is less than  $90^\circ$ , particularly from about  $0^\circ$  to about  $50^\circ$ , and in preferred embodiments can be from about  $5^\circ$  to about  $20^\circ$ , from about  $15^\circ$  to about  $30^\circ$ , and from about  $25^\circ$  to about  $40^\circ$ . The conditions and the materials used to prepare the nanostructure **102** can be used to determine/select the tilt angle. The tilt angle is important in creating SERS enhancement factors with sufficient sensitivity to detect binding of an analyte of interest to the SERS sensors of the present disclosure.

[0064] It should also be noted that the nanostructure could have multiple layers of different materials or alternating materials. FIGS. 2A and 2B illustrate nanostructures (e.g., nanorods) fabricated from two and three materials, respectively. In particular, FIG. 2A illustrates a nanostructure **102** disposed on a substrate **100** having a surface **106**. The nanostructure **102** includes two layers of different materials **104a** and **104b**. The materials can be any combination of the materials described herein. The dimensions of the nanostructure **102** can include those described herein. In another embodiment, additional layers of materials can be formed on the nanostructure **102**, as shown in FIG. 2B. For example, a repeating pattern of layers **104a** and **104b** can be created, or three layers **114a**, **114b**, and **114c** of a nanostructure **112** can be created (FIG. 2B).

[0065] FIG. 2C illustrates a nanostructure **122** disposed on a substrate **120** having a surface **126**. The nanostructure **122** includes three layers of one or more materials **124a**, **124b**, and **124c**, in a zig-zag pattern. The dimensions of the nanostructure **122** can include those described herein. The

zig-zag nanostructure can be created by changing the angle periodically from  $\phi_1$  to  $\phi_2$  (or from  $\theta_1$  to  $\theta_2$ , in the case of non-planar substrates) during vapor deposition to change the tilt angle  $\beta$  of the nanostructure being formed. The material for layers **124a**, **124b**, and **124c** can be the same material, or can be two or more different materials.

[0066] **FIG. 2D** illustrates a nanostructure **142** disposed on a layer **146** disposed on a substrate **140** having a surface **144**. The layer **146** can be made of a materials such as those described herein for forming the nanostructures, such as, but not limited to, a metal, a metal oxide, a metal nitride, a metal oxynitride, a doped material, a polymer, a multicomponent compound, and combinations thereof. The layer **146** can have a thickness of about 10 to 50 nm, about 10 to 100 nm, about 10 to 200 nm, about 10 to 500 nm, about 10 to 800 nm, about 10 to 1000 nm, and about 10 to 2000 nm. The dimensions of the nanostructure **142** can include those described herein. The layer **146** can be made by changing the incident angle  $\phi$  first to  $0^\circ$  (in the case of non-planar substrates,  $\theta$  to  $90^\circ$ ), depositing a uniform first layer **146** by continuous azimuthal rotation. Then, angle  $\phi$  is changed to a larger angle (or angle  $\theta$  is changed to a smaller angle) to deposit nanostructure **142** on top of the film **146**.

[0067] **FIG. 2E** illustrates a nanostructure **152** disposed on a second layer **158** disposed on a first layer **156** that is disposed on a substrate **150** having a cylindrical surface **154**. The first and second layers **156** and **158** can each be made of a material, such as, but not limited to, a metal, a metal oxide, a metal nitride, a metal oxynitride, a doped material, a polymer, a multicomponent compound, and combinations thereof. The first and second layers **156** and **158** can each have a thickness of about 10 to 50 nm, about 10 to 100 nm, about 10 to 200 nm, about 10 to 500 nm, about 10 to 800 nm, about 10 to 1000 nm, and about 10 to 2000 nm. The dimensions of the nanostructure **152** can include those described herein. The first and second layers **156** and **158** can be made by changing the incident angle  $\phi$  first to  $0^\circ$  (in the case of non-planar substrates,  $\theta$  to  $90^\circ$ ), depositing a uniform first layer **156** by continuous azimuthal rotation, and subsequently depositing a uniform second layer **158** by continuous azimuthal rotation. Then, angle  $\phi$  is changed to a larger angle (or angle  $\theta$  is changed to a smaller angle) to deposit nanostructure **152** on top of the second layer **158**.

[0068] Additional combinations of uniform layer(s), nanorods with layers of multiple materials, and shaped nanorods are described in U.S. patent application Ser. No. 11/256,385, which is incorporated by reference herein. The nanostructures can also be formed in various shapes by varying the incident angle  $\phi$  or  $\theta$  and/or varying the speed, direction, and/or pattern of azimuthal rotation as described in Y. P. Zhao, D. X. Ye, Pei I. Wang, G. C. Wang, and T. M. Lu, "Fabrication Si nano-columns and square springs on self-assembly colloid substrates," International Journal of Nanoscience 1, 87 (2002); and Y.-P. Zhao, D.-X. Ye, G.-C. Wang, and T.-M. Lu, "Designing nanostructures by glancing angle deposition," SPIE Proceedings Vol. 5219, 59 (2003), which are hereby incorporated by reference herein in their entirety.

[0069] As illustrated in the SERS system **200** of **FIG. 3A**, once the nanorods **204** are formed on the substrate **202**, a binding agent **206**, such as a biomolecule, is disposed on one or more of the nanorods **204**. The binding agent **206** is generally a biomolecule (as defined above), such as, a

polynucleotide, polypeptide, carbohydrate, lipid, or the like. Exemplary polypeptide binding agents include, but are not limited to, antibodies or fragments thereof. The binding agent **206** can be attached/coupled to a surface of the nanostructure **204** using conventional linking chemistry (e.g., biologically (e.g., hybridization) and/or chemically (e.g., ionically or covalently)). For instance, the nanorods **204** can be functionalized by immobilizing the binding agent **206** (e.g., an antibody) on the nanorod surface by annealing to the metal (e.g., Ag or Au) surface of the nanorod via a linking agent (e.g., DSP (dithiobis(succinimidyl propionate)) or SAM (self-assembly monolayer)). Additional details regarding the disposition of the binding agent on the nanostructures are provided in the examples below.

[0070] A single type (e.g., the same polymer sequence) of binding agent **206** can be disposed or otherwise attached to the nanorods **204** on the substrate **202** (e.g., on the nanorods) or a plurality of types (e.g., two or more different polymer sequences) of binding agent can be disposed on the one or positions of the substrate.

[0071] Typically, the binding agent **206**, or first biomolecule, is disposed in an area of the substrate **202** having a plurality of nanorods **204**. The array of nanorods **204** in combination with the first biomolecule **206** has a first measurable surface-enhanced Raman spectroscopic signature. Then, as illustrated in **FIG. 3B**, when an analyte of interest **208**, such as a biomolecule (e.g., a second biomolecule), is introduced to the SERS system **200**, the biomolecule **208** binds or otherwise interacts with the binding agent **206** bound to the nanostructure **204**. Generally, the biomolecule **208** can be present or believed to be present in a sample, such as a gasseolus, tissue or fluid sample. Exemplary samples include buccal cells, buffered solutions, saliva, sweat, tear, phlegm, urine, blood, plasma, cerebrospinal fluid, or combinations thereof.

[0072] The binding agent/first biomolecule **206** has an affinity for a second biomolecule **208**. If the second biomolecule **208** bonds or otherwise attaches to the first biomolecule **206**, the array of nanorods **204** in combination with the first biomolecule **206** and the second biomolecule **208** has a second measurable surface-enhanced Raman spectroscopic signature that is different (e.g., a statically significant difference, in that it is unlikely to have occurred randomly or by chance) than first measurable surface-enhanced Raman spectroscopic signature. Therefore, the interaction of the first biomolecule **206** and the second biomolecule **208** can be measured using the SERS system **200**. Additional details regarding the detection of a second biomolecule binding event by measuring the surface-enhanced Raman spectroscopic signatures are provided in the Examples below.

[0073] In other embodiments of the SERS system **200**, as illustrated in **FIG. 3C**, the analyte of interest **208** (e.g., a biomolecule including, but not limited to, a virus, bacterium, or other pathogen or fragment thereof) can be disposed directly on the nanorods **204**. A particular biomolecule of interest can be detected because individual biomolecules of interest have a unique SERS spectra that is detectably different, and thus distinguishable, from the SERS spectra of other biomolecules, as demonstrated in Example 4 and **FIGS. 13-16**, below. For example, the SERS spectra of adenovirus is distinguishable from that of rhinovirus and HIV, as illustrated in **FIG. 13**. Individual strains of virus can

even be distinguished in this way, as demonstrated with three strains of influenza in Example 5 and FIG. 16, below. Thus, individual biomolecules, such as viruses, have a unique SERS "fingerprint" that allow a particular biomolecule of interest to be distinguished from other biomolecules or background media.

[0074] Embodiments of the SERS system 200, also include an excitation source 300. The excitation source includes, but is not limited to, illumination sources such as a diode laser and an optical fiber laser, dye laser, solid state laser. In some embodiments, the excitation source 300 provides a stream of incident light 304 directed to the SERS substrate 202 to provide excitation for generating the Raman signal. In preferred embodiments the incident light 304 is perpendicular to the nanorods 204, as illustrated in FIG. 3B. The SERS system 200, also may include a data collection and analysis system, such as an optical data collection port 302 for collecting the Raman signal produced by the excitation of the SERS substrate and a system for producing the SERS spectra. Additional details regarding the excitation source and SERS data collection and analysis systems are provided in the examples below.

[0075] It should be emphasized that the above-described embodiments of the present disclosure, particularly, any "preferred" embodiments, are merely possible examples of implementations, and are merely set forth for a clear understanding of the principles of the disclosure. Many variations and modifications may be made to the above-described embodiment(s) of the disclosure without departing substantially from the spirit and principles of the disclosure. All such modifications and variations are intended to be included herein within the scope of this disclosure and protected by the following claims.

## EXAMPLES

### Example 1

#### Sample Preparation

[0076] All of the samples were prepared using an electron beam/sputtering evaporation system (E-beam) that was custom built by Torr International. A schematic of the set-up is shown in FIG. 1A. A glass microscope slide with size 1x3" and 1 mm thick (Gold Seal®) was used as a substrate 34. A custom shutter 42 was built that could be controlled externally by a feed through, and the shutter was used to selectively reveal increasing portions of the substrate 34 during the deposition process. This method can produce one single sample with 6 different active areas. As an example, one particular sample had a 50 nm thin film deposited at normal incidence and then it was rotated to an incident angle  $\theta$  of 86°. Then nanorods were deposited in steps of 200 nm; i.e. the shutter 42 was opened partially and 200 nm was deposited, then the shutter was opened slightly more exposing more of the substrate and another 200 nm was deposited while keeping the previously exposed area still open making two sections one with 200 nm rods and one with 400 nm. This was repeated until a total of 1000 nm was reached for the first open area. The purpose of this particular setup is to achieve an environment in which all experimental conditions are the same for each different rod length. In a conventional setup (one rod length per sample, per run), the time needed to complete the experiments would be 5 days opposed to 1 day.

[0077] The background pressure was  $4.5 \times 10^{-6}$  Torr for, and the base temperature was 48.5° C. The source to substrate distance was approximately 12". The deposition was divided into two sections: the first was depositing the 50 nm thin film at a rate of 0.4 Å/s, and the second was depositing the rods at a rate of 2.0 Å/s. The schematic of the resulting film and nanorod is shown in FIG. 2D.

[0078] The actual length and density of the rods were measured using Scanning Electron Microscopy (SEM), and the roughness of the surfaces was measured using Atomic Force Microscopy (AFM). FIG. 4 shows the SEM images of the nanorods at different section on the substrate. The average roughness, diameters, and actual lengths of each section of nanorods are displayed in the graphs illustrated in FIG. 5.

[0079] The actual rod length denotes the fact that when depositing at an angle of about 86°, the deposition rate displayed by the thickness monitor is not the same as the amount of material actually deposited onto the substrate due to a reduced flux. The diameter is representative of the average width of the tips of several hundreds of rods at a given length.

#### SERS Measurements:

[0080] Surface Enhanced Raman spectra were acquired using a Kaiser Optical Systems confocal Raman microscope (Kaiser Optical Systems Incorporated, Ann Arbor, Mich.) equipped with a liquid nitrogen cooled Charge Coupled Device (CCD) camera (Princeton, Instruments, Trenton, N.J.). The spectrograph used was a Holospec f/1.8-NIR spectrometer equipped with a HoloPlex grating that simultaneously measures the range of 100 to 3450  $\text{cm}^{-1}$  at an excitation wavelength of 785 nm illumination supplied by a Coherent Radiation 899 Ti:Sapphire Ring Laser (Coherent, Santa Clara, Calif.) pumped by a Coherent Radiation Innova 300 Series Ar<sup>+</sup> laser (Coherent, Santa Clara, Calif.). SERS spectra were collected with ~20 mW laser power at the sample under the microscope objective.

[0081] All spectra were collected using the Holograms 4.0 software supplied by the manufacturer. Post processing of the collected spectra was performed using GRAMS32/AI spectral software package (Galactic Industries, Nashua, N.H.). Center of Gravity calculations were made using a GRAMS32 based program written in our laboratory (R. A. Dluhy, unpublished). All spectra were baseline corrected for clarity.

[0082] The molecular probe used in this study was trans-1,2-bis(4-pyridyl)ethene (BPE, Aldrich, 99.9+%). BPE solutions were prepared by sequential dilution of HPLC grade methanol (Aldrich). BPE solution was applied to each of the SERS substrates and allowed to dry before the acquisition of spectra. The concentration of the BPE and the volume applied were calculated so as to produce a surface coverage of about 0.21 monolayers (assuming  $7 \times 10^{14}$  BPE molecules per  $\text{cm}^2$  in a monolayer). It has been observed that at greater monolayer coverage the SERS intensity drops off significantly. This drop-off has been attributed to inter-adsorbate interactions and coverage-dependent dielectric interactions. Spectra were acquired for about 10 s and obtained for multiple spots on each substrate. BPE was chosen as the probe to calculate enhancement factors because of its high Raman scattering cross-section and its ability to adsorb



strongly and irreversibly to the Ag substrate. The  $1200\text{ cm}^{-1}$  peak of BPE was chosen for the quantification because of its relative insensitivity to molecular orientation on a Ag surface. **FIG. 6** shows the SERS spectroscopy of different samples with different nanorod lengths.

#### Calculation of Surface Enhancement Factor

**[0083]** The Surface Enhancement Factor (SEF) is defined as the ratio of the integrated intensities contributed by the molecules on the surface and in the solution, respectively.

$$SEF = \frac{I_{\text{surf}} / N_{\text{surf}}}{I_{\text{bulk}} / N_{\text{bulk}}}$$

where  $I_{\text{surf}}$  and  $I_{\text{bulk}}$  denote the integrated intensities for the  $1200\text{ cm}^{-1}$  band of the BPE adsorbed on the Ag surface and BPE in solution respectively, whereas  $N_{\text{surf}}$  and  $N_{\text{bulk}}$  represent the corresponding number of BPE molecules excited by the laser beam. Thus from the surface Raman signal detected, the solution spectrum, and the solution concentration, the Surface Enhancement Factor was calculated for the different SERS substrates.

**[0084]**  $N_{\text{surf}}$  was calculated using the following approximation:

$$N_{\text{surf}} = A_{\text{substrate}} \times 0.21 \times 7 \times 10^{14} \times \pi a^2$$

where  $A_{\text{substrate}}$  is the geometric area of the SERS substrate (in  $\text{cm}^2$ ); and  $a$  is the radius of the laser focal spot.

**[0085]**  $N_{\text{bulk}}$  was calculated using the following approximation:

$$N_{\text{bulk}} = \pi a^2 h c N_A$$

where  $c$  is the concentration of the BPE solution in the cuvette;  $h$  (in  $\mu\text{m}$ ) is the confocal depth; and  $N_A$  is the Avogadro number.

**[0086]**  $I_{\text{surf}}$  and  $I_{\text{bulk}}$  were calculated from the integrated area under the  $1200\text{ cm}^{-1}$  band in the BPE spectrum using a Center of Gravity algorithm written by the present investigators in the GRAMS32 environment.

Surface Enhancement Factors (EF) were calculated for each of the spectra collected on all the SERS substrates and were plotted with error bars against the nanorod length. **FIG. 7** shows the actual EF versus nanorod length.

#### Example 2

**[0087]** This example describes a method to prepare nanostructured SERS substrates that allow for rapid and sensitive detection of the molecular fingerprint of RNA and DNA viruses using Raman spectra, as well as providing structural and quantitative information about the viruses.

**[0088]** Development of diagnostic methods for rapid and sensitive identification of viruses is essential for defining the emergence of viral infection, determining the period that preventive measures should be applied, for evaluating drug and vaccine efficacy, for preventing epidemics, and determining agents of bioterrorism. Current diagnostic methods are either cumbersome, time-consuming, or have limited sensitivity. This example describes a nanofabrication technique to create novel SERS substrates that can be used to rapidly detect the Raman viral nucleic acid spectra of RNA

and DNA viruses. Monoclonal antibodies reactive for individual RNA or DNA viruses were conjugated to these substrates, and it was demonstrated that low-levels of virus can be captured and unique SERS virus nucleic acid spectra generated for individual viruses. These SERS virus spectra provide a unique “molecular fingerprint” of individual viruses, and can be rapidly and sensitively used to detect clinically important respiratory viruses such as respiratory syncytial virus, adenovirus and parainfluenza virus type 3. These results show that SERS can differentiate RNA and DNA viral nucleic acid spectra, and indicate that a SERS-based viral biosensor would provide a framework for providing the molecular fingerprint of any virus.

**[0089]** This example further demonstrates a nanofabrication technique based on glancing angle vapor deposition that produces gold nanorod arrays that exhibit extremely high electromagnetic field enhancements when they are used as surface-enhanced Raman spectroscopy (SERS) substrates. Experiments were conducted to test whether the gold nanorods could be used to detect binding of virus to supported monoclonal antibodies via SERS. A self-assembled multi-layer nanorod-antibody-virus immunoassay system was developed for binding of virus. In this system, thiol-derivatized IgG2a monoclonal antibodies were immobilized to the gold nanorod substrate. SERS spectra of the Ag nanorod/antibody complex were collected at 5 different spots on the substrate using the same spectrograph and under similar conditions: 785 nm excitation wavelength, 20 mW power, 10 s exposure time (data not shown).

**[0090]** The results of these experiments show that of the spectral features apparent in the IgG2a antibody spectrum (**FIG. 12**, top), the most intense band at  $\sim 1000\text{ cm}^{-1}$  likely arises from the in-plane ring deformation mode of Phe in IgG. In the spectrum of the RSV+IgG complex (**FIG. 12**, bottom), prominent bands are observed in the  $1400\text{--}1600\text{ cm}^{-1}$  region, presumably due to selectively enhanced nucleic acid and/or side-chain vibrations. A more complete analysis of the SERS vibrational modes of these complexes is available. This example illustrates several of the advantages SERS possesses over other widely used biomedical detection methods. For example, no biochemical amplification (e.g. by PCR) of the viral nucleic acids is needed to enhance sensitivity. Also, no fluorescent reporter molecule is needed for SERS, and the narrow bandwidth of the SERS vibrations may allow identification of specific chemical constituents of the RSV virus, e.g. nucleic acids (G vs. U vs. A) or amino acids (Tyr vs. Trp vs. Phe).

**[0091]** In addition to the data showing that Raman spectroscopy may be used for RSV identification, bulk Raman spectra has been acquired for other viruses. **FIG. 8** shows the Raman spectra of two additional virus in the region  $1500\text{--}600\text{ cm}^{-1}$ . The spectra of both parainfluenza virus (PIV-3) and adenovirus (AD) are presented. PIV-3 is a filamentous virus with a single-stranded RNA genome while AD is an icosahedral virus with no membrane envelope and a double-stranded DNA genome. **FIG. 8** shows that the bulk phase Raman spectra of these two respiratory viruses are significantly different and idiosyncratic. Based on these spectra, Raman marker bands have been identified that distinguish nucleoside conformations, base hydrogen bonding, DNA packing and stacking interactions. Different virions were able to be distinguished on the basis of their Raman band positions and intensities.

[0092] These results indicate that novel SERS substrates can be used to amplify the sensitivity of SERS as a diagnostic tool to detect the Raman spectra of extremely low levels of virus, as well as provide structural and quantitative information about the virus. The assay is rapid, ultra-sensitive, and does not require virus manipulation to achieve results. SERS spectroscopy can be used to develop molecular fingerprints of RNA and DNA viruses, to detect strain differences, and gene deletions or insertions.

### Example 3

#### Experimental Method

[0093] The concentrations of the prepared virus samples in Dulbecco's Modified Eagle Medium (DMEM) were  $10^7$  plaque forming units (pfus)/mL for HIV,  $10^5$  TCID<sub>50</sub>/mL for Rhinovirus and  $10^6$  TCID<sub>50</sub>/mL for the Adenovirus. After preparation, the virus samples were stored at  $-80^\circ\text{C}$ . until the day of the experiment. The samples were thawed for about 5 minutes and an Eppendorf pipette was used to withdraw about 0.5  $\mu\text{L}$  from the sample vial which was then allowed to spread onto the silver nanorod substrate corresponding to about 5000 plaque forming units (pfus) of HIV, about 350 pfus of Adenovirus and about 35 pfus of Rhinovirus. The virus droplet was allowed to dry and bind to the silver surface for  $\sim 1$  hour prior to the Raman experiment.

[0094] Surface Enhanced Raman spectra were acquired using a Kaiser Optical Systems confocal Raman microscope (Kaiser Optical Systems Incorporated, Ann Arbor, Mich.) equipped with a liquid nitrogen cooled Charge Coupled Device (CCD) camera (Princeton, Instruments, Trenton, N.J.). The spectrograph used was a Holospec f/1.8-NIR spectrometer equipped with a HoloPlex grating that simultaneously measures the range of 100 to  $3450\text{ cm}^{-1}$  at an excitation wavelength of 785 nm illumination supplied by a Invictus Diode Laser (Kaiser Optical Systems Incorporated, Ann Arbor, Mich.). The spectra were collected using a  $10\times$  objective with  $\sim 20\text{ mW}$  of 785 nm light shining on the sample. SERS spectra were collected at different spots on the sample with collection times between 10 s-30 s.

[0095] All spectra were collected using the Holograms 4.0 software supplied by the manufacturer. Post processing of the collected spectra was performed using GRAMS32/AI spectral software package (Galactic Industries, Nashua, N.H.). Center of Gravity calculations were made using a GRAMS32 based program written in our laboratory (R. A. Dluhy, unpublished). All spectra were baseline corrected for clarity.

#### Results:

[0096] Adenovirus, belonging to the *Adenoviridae* family of viruses, is about 80 nm in diameter with an icosahedral core and contains double stranded DNA within the core. Rhinovirus belongs to the *Picornaviridae* family, is about 30 nm in diameter and the core has a single stranded RNA genome. Human Immunodeficiency Virus (HIV) belongs to the *Retroviridae* family, is approximately 120 nm in diameter and has a single stranded RNA genome contained within a lipid-bilayer envelope. Spectra collected from different spots on the SERS substrate did not differ greatly and the displayed spectra are representative of the entire data set. The SERS spectra of the Adenovirus, Rhinovirus and HIV specimens are shown in FIGS. 9-11, respectively, and the corresponding band assignments are shown in Tables 1-3.

[0097] Raman bands can be assigned to chemical constituents such as DNA, RNA, phenylalanine, tyrosine and proteins for all the spectra. The most prominent spectral features observed in the spectra are at  $654\text{ cm}^{-1}$ ,  $730\text{ cm}^{-1}$ ,  $1247\text{ cm}^{-1}$  and  $1326\text{ cm}^{-1}$  corresponding to Guanine, the Adenine ring vibration, thymine and Adenine respectively. The Raman bands between  $1580\text{ cm}^{-1}$  and  $1700\text{ cm}^{-1}$  can be attributed to carbonyl groups on the amino acid side chains and the Amide I vibration while the spectral region near  $1000\text{ cm}^{-1}$  has bands due to Phenylalanine ( $1001\text{ cm}^{-1}$  and  $1030\text{ cm}^{-1}$ ).

TABLE 1

<u>Adenovirus</u>	
Raman Shift ( $\text{cm}^{-1}$ )	Band Assignments
653	Tyrosine + Guanine (A - DNA)
684	Guanine (disordered or B - DNA)
730	Adenine Ring Vibration
855	Tyrosine
957	Protein (C—C) or DNA backbone
1001	Phenylalanine
1031	Phenylalanine
1240	Thymine (A - DNA)
1323	Adenine (B - DNA)
1371	Tryptophan + Phenylalanine
1454	$\text{CH}_2$ deformation
1585	Carbonyl
1625	Carbonyl, Amide I

[0098]

TABLE 2

<u>Rhinovirus</u>	
Raman Shift ( $\text{cm}^{-1}$ )	Band Assignment
660	Tyrosine + Thymine + Guanine
730	Adenine Ring Vibration
1001	Phenylalanine
1031	Phenylalanine
1323	Adenine
1369	Tryptophan + Phenylalanine
1449	$\text{CH}_2$ deformation
1597	Tryptophan + Phenylalanine + Adenine + Guanine
1650	Amide I

[0099]

TABLE 3

<u>HIV</u>	
Raman Shift ( $\text{cm}^{-1}$ )	Band Assignment
652	Tyrosine + Guanine
685	Guanine
730	Adenine Ring Vibration
1001	Phenylalanine
1031	Phenylalanine
1096	RNA Backbone
1137	Protein (C—N)
1371	Tryptophan + Phenylalanine
1454	$\text{CH}_2$ deformation
1600	Carbonyl

## Example 4

## Virus Detection Using Ag Nanorod-Based SERS

**[0100]** The present examples present experiments demonstrating the use of embodiments of the SERS system of the present disclosure (Ag nanorod substrates) as a rapid and sensitive method for virus detection.

## Virus Preparation Methods

**[0101]** Four human viruses were analyzed, including respiratory syncytial virus (RSV) strain A2, rhinovirus type 4/strain 16/60 (rhino), adenovirus type 6/tonsil strain 99 (Ad), and a CXCR4-tropic strain of human immunodeficiency virus (HIV). RSV, Ad and rhino viruses were propagated using Vero cells maintained in Dulbecco's Modified Eagles Medium (DMEM; GIBCO BRL laboratories, Grand Island, N.Y.) supplemented with 2% heat-inactivated (56° C.) FBS (Hyclone Laboratories, Salt Lake City, Utah) until detectable cytopathic effect. The control for these studies was uninfected Vero cell lysate cleared of cell debris by centrifugation (4000×g, 15 min, 4° C.). RSV, Ad and rhino viruses were harvested in serum-free DMEM following by two freeze-thaws (−70° C./4° C.), after which the contents were collected and centrifuged at 4000×g for 15 min at 4° C. The virus titers were similar and ranged between  $5 \times 10^6$ – $1 \times 10^7$  pfu/ml determined by an immunostaining plaque assay as previously described (R. A. Tripp, D. Moore, L. Jones, W. Sullender, J. Winter and L. J. Anderson, "Respiratory syncytial virus G and/or SH protein alters Th1 cytokines, natural killer cells, and neutrophils responding to pulmonary infection in BALB/c mice", *J. Virol.* 1999, 73, 7099-7107, which is hereby incorporated by reference herein).

**[0102]** RSV was purified as previously described (A. Mbuguino and J. Menezes, "Purification of human respiratory syncytial virus—superiority of sucrose gradient over percoll, renografin, and metrizamide gradients", *J. Virol. Meth.* 1991, 31, 161-170. and B. F. Fernie and J. L. Gerin, "The stabilization and purification of respiratory syncytial virus using  $\text{MgSO}_4$ ", *Virology* 1980, 106, 141-144, which is hereby incorporated by reference herein). Virus purification was performed using a sucrose cushion to allow comparison of spectral bands in the SERS spectrum of RSV infected cell lysate to virus alone. RSV-infected cell lysate was layered onto a 77% sucrose solution in MHN buffer (0.1M magnesium sulfate, 0.15M sodium chloride, 0.05M HEPES) and centrifuged at 27,000×g for 1.5 hours at 4° C. The interphase was collected, diluted with 2 volumes of MHN buffer and layered onto a step gradient of 77% sucrose in MHN buffer and 33% sucrose in MHN buffer and centrifuged at 100,000×g for 1 hour at 4° C. The interphase on the top layer containing purified RSV was collected and dialyzed against PBS. HIV was propagated by infecting human white blood cells (WBCs) previously stimulated with phytohemagglutinin (PHA, Sigma) as previously described (J. S. McDougal, S. P. Cort, M. S. Kennedy, C. D. Cabridilla, P. M. Feorino, D. P. Francis, D. Hicks, V. S. Kalyanaraman and L. S. Martin, "Immunoassay for the detection and quantitation of infectious human retrovirus, lymphadenopathy-associated virus (LAV)", *J. Immunol. Methods* 1985, 76, 171-183, which is hereby incorporated by reference herein), and virus titers assayed by ID50 to determine the number of infectious particles per mL. Virus was inactivated by treatment with 4% paraformaldehyde at room temperature for 3 hours. The concentrations of the prepared virus samples in

Dulbecco's Modified Eagle Medium (DMEM) were  $10^7$  pfu/mL for HIV,  $10^5$  TCID<sub>50</sub>/mL for Rhinovirus,  $10^6$  TCID<sub>50</sub>/mL for the Adenovirus, and  $10^3$  pfu/mL for RSV.

## SERS Procedures

**[0103]** SERS spectra were acquired using a near-IR confocal Raman microscope (Hololab Series 5000, Kaiser Optical Systems, Inc., Ann Arbor, Mich.). A fiber-optic interfaced 785 nm near-IR diode laser (Invictus, Kaiser Optical) was used as the laser source. The spectrograph was a Kaiser Optical Holospec f/1.8-NIR equipped with a LN<sub>2</sub>-cooled CCD camera (1024EHRB, Princeton Instruments, Trenton, N.J.). The laser power at the sample was ~15 mW with spectral collection times ranging between 30 s-50 s. SERS spectra were collected from multiple spots across the substrate and from multiple substrates. Approximately 0.5-1.0  $\mu\text{L}$  of intact virus was applied to the SERS substrate and allowed to bind for 1 hour at room temperature prior to spectrum acquisition.

## SERS can Detect RSV Bound to a Surface

**[0104]** The present example demonstrates that Ag nanorods prepared as described in Example 4, hereinabove can be used to detect binding of RSV to supported antibodies via SERS. A self-assembled multilayer nanorod-antibody-virus immunoassay was developed for binding of RSV. In this system, thiol-derivatized IgG2a monoclonal antibodies were immobilized to the Ag nanorod substrate. After 1 hour the excess unreacted antibody was washed off with saline solution. SERS spectra of the Ag nanorod/antibody complex were collected at 5 different spots on the substrate using the same spectrograph and similar spectral data collection conditions to those described above: 785 nm excitation wavelength, ~20 mW power, 10 s exposure time.

**[0105]** Virus binding was accomplished by exposing the IgG-coated Ag nanorod to a solution of RSV virus. After 1 hour incubation, the excess RSV on the surface of the substrate was removed. SERS spectra of the RSV-IgG complex on the Ag nanorods were then collected using the same spectral conditions as before.

**[0106]** The results of these experiments are shown in FIG. 12. Of the spectral features apparent in the IgG2a antibody spectrum (FIG. 12, top), the most intense band at ~1000  $\text{cm}^{-1}$  likely arises from the in-plane ring deformation mode of Phe in IgG. In the spectrum of the RSV-IgG complex (FIG. 12, bottom), prominent bands are observed in the 1400-1600  $\text{cm}^{-1}$  region, presumably due to selectively enhanced nucleic acid and/or side-chain vibrations, although the amide III protein mode at ~1260  $\text{cm}^{-1}$  may be observed in both the IgG and RSV-IgG spectra.

**[0107]** FIG. 12 illustrates several of the advantages SERS possesses over other widely used biomedical spectroscopic tools such as fluorescence. For example, no biochemical amplification (e.g. by PCR) of the viral nucleic acids is needed to enhance sensitivity. Also, no fluorescent reporter molecule is needed for SERS, and the narrow bandwidth of the SERS vibrations may allow identification of specific chemical constituents of the RSV virus, e.g. nucleic acids (G vs. U vs. A) or amino acids (Tyr vs. Trp vs. Phe).

### SERS can Distinguish Between Different Viruses Based on their Raman Spectra

[0108] The present example also demonstrates that the SERS system of the present disclosure can be used to differentiate between different viruses, including both RNA and DNA viruses by contacting a virus sample directly with nanorod array of the SERS substrate without functionalizing the nanorods with a virus-specific binding agent. Instead, different viruses can be distinguished based on their unique SERS spectra. The baseline corrected enhanced Raman spectra of Ad, rhino and HIV viruses are shown in **FIG. 13**. The Ad SERS spectrum is characterized by strong bands due to nucleic acid bases at  $650\text{ cm}^{-1}$  (G),  $731\text{ cm}^{-1}$  (A),  $1325\text{ cm}^{-1}$  (A) and  $1248\text{ cm}^{-1}$  (G). The  $650\text{ cm}^{-1}$  band may also have contributions due to Tyr. The Raman lines at  $1003\text{ cm}^{-1}$  and  $1033\text{ cm}^{-1}$  have been assigned to the symmetric ring breathing mode and the in-plane C—H bending mode of Phe, respectively, while the bands at  $1457\text{ cm}^{-1}$ ,  $1576\text{ cm}^{-1}$ , and  $1655\text{ cm}^{-1}$  can be attributed to the  $\text{CH}_2$  deformation mode of proteins, the carboxylate stretching vibration ( $\nu_a\text{ COO}^-$ ) of Trp, and the amide I vibration of peptide groups, respectively. A notable characteristic of the Ad SERS spectrum is the relative intensity of the bands associated with the nucleic acids indicating direct binding to the silver substrate. The strong band at  $731\text{ cm}^{-1}$  has been assigned to denatured DNA caused by its interaction with the silver SERS substrate.

[0109] In the SERS spectrum for rhinovirus, the major Raman bands are present at  $656\text{ cm}^{-1}$  (G),  $729\text{ cm}^{-1}$  (A),  $853\text{ cm}^{-1}$  (Tyr),  $1002\text{ cm}^{-1}$  and  $1030\text{ cm}^{-1}$  (Phe),  $1448\text{ cm}^{-1}$  ( $\text{CH}_2$  deformation), and  $1597\text{ cm}^{-1}$  ( $\nu_a\text{ COO}^-$  in Trp). On comparison with the Ad SERS spectrum, a shift in the frequency of the guanine band is apparent. Other differences that distinguish the SERS spectrum of rhino from Ad are the relative intensity of the nucleic acid bands compared to the other bands in the spectrum and the absence of strong nucleic acid bands at higher wave numbers. For the HIV SERS spectrum, the Raman bands at  $643\text{ cm}^{-1}$ ,  $719\text{ cm}^{-1}$ ,  $848\text{ cm}^{-1}$ ,  $1002\text{ cm}^{-1}$ ,  $1371\text{ cm}^{-1}$ ,  $1454\text{ cm}^{-1}$ , and  $1523\text{ cm}^{-1}$  can be assigned to G, A, Try, Phe, the  $\nu_a\text{ COO}^-$  stretch of Trp, the  $\text{CH}_2$  deformation band, and the  $\nu_a\text{ COO}^-$  of Trp, respectively. Notable differences include the spectral positions of the guanine band ( $643\text{ cm}^{-1}$ ) and the adenine band ( $719\text{ cm}^{-1}$ ) that are shifted with respect to the bands for the Ad and rhino spectra, and the presence of a band at  $1523\text{ cm}^{-1}$  in the HIV spectrum that is absent in the spectra of the other two viruses. These unique spectral features provide distinguishing spectral characteristics for each of the viruses examined.

[0110] These results, along with the spectra of RSV in **FIG. 12**, demonstrate that SERS can be used to establish molecular fingerprints of several important human respiratory viruses, as well as HIV. These results highlight the use of SERS as a detection method for important pathogenic viruses central to human health care.

### SERS can Detect Viruses in Biological Media

[0111] The present example also demonstrates that Ag nanorod-based SERS is not only sensitive to purified virus, but also is able to sense the presence of virus after infection of biological media without the use of a virus-specific binding agent to functionalize the nanorod array. To demonstrate this, the SERS spectra of uninfected Vero cell

lysate, RSV-infected cell lysate, and purified RSV were compared (**FIGS. 14 and 15**). The results show that major Raman bands can be assigned to different constituents of the cell lysate and the virus, such as nucleic acids, proteins, protein secondary structure units and amino acid residues present in the side chains and the backbone. However, significantly, it was shown that vibrational modes due to the virus could be unambiguously identified in the SERS spectrum of the Vero cell lysate after infection.

[0112] In the SERS spectrum of purified RSV, the bands at  $527\text{ cm}^{-1}$  and  $546\text{ cm}^{-1}$  can be assigned to a disulfide stretching mode. This region is identified with the shaded bands in **FIGS. 14 and 15**. The strong band at  $837\text{ cm}^{-1}$  (identified with a dashed line in **FIGS. 14 and 15**) corresponds to Tyr. An important feature in the RSV SERS spectrum is a strong band at  $1044\text{ cm}^{-1}$  (identified with the shaded band in **FIGS. 14 and 15**) that has been assigned to the C—N stretching vibration in previous SERS studies. Since RSV has spike-like glycoprotein projections on the membrane envelope including three different glycoproteins (e.g., F, G and SH) it is believed that the virus binds to the Ag nanorod SERS substrate through an amino group giving rise to a strong C—N stretching mode. The Raman band at  $1456\text{ cm}^{-1}$  can be assigned to the  $\text{CH}_2$  deformation vibration arising from the proteins or the lipids in the membrane. In the case of the SERS spectrum of the uninfected Vero cell lysate, the bands at  $658\text{ cm}^{-1}$  and  $730\text{ cm}^{-1}$  can be assigned to the nucleic acids guanine and adenine, respectively, while the Raman bands observed above  $1500\text{ cm}^{-1}$  in **FIG. 14** (identified the left-hand dashed line) can be assigned to amino acids and Amide I and II vibrations.

[0113] The SERS spectrum of the RSV-infected cell lysate shows several bands that are also observed in the uninfected cell lysate spectrum and can be attributed to the constituents of cell debris (**FIGS. 14 and 15**). However, strong bands can be observed at  $1066\text{ cm}^{-1}$  (C—N stretch),  $835\text{ cm}^{-1}$  (Tyr) and a doublet at  $545\text{ cm}^{-1}$  and  $523\text{ cm}^{-1}$  (S—S stretch) that are present in the SERS spectrum of the RSV-infected cell lysate, but not in the spectrum of the uninfected cell lysate. The appearance of these bands clearly indicates the presence of RSV in the biological medium that is the cell lysate. The small difference in the wavenumber position of the C—N stretching mode between the purified RSV and RSV infected cell lysate is most likely due to the interaction between the RSV and the Vero cells.

[0114] In these examples, small spectral bands were observed on the blank SERS substrate that have been attributed to carbonaceous material adsorbing onto the substrate during the fabrication of the SERS substrate and its storage under ambient conditions. However, these bands (data not shown) were found to remain unchanged throughout the experimentation, and exposure to laser radiation did not affect their position or intensities. Except for minor differences in relative band widths and intensities, the SERS virus spectra collected from multiple spots on the Ag nanorod substrate were substantially identical.

### Example 5

#### SERS can Detect Different Strains Within a Single Virus Type

[0115] The influenza (flu) viruses, HKX-31(H3N2), A/WSN/33 (H1N1) and A/PR/8/34 (H1N1) belonging to the

strain A, were analyzed using SERS as described above by contacting samples containing the virus (prepared as set forth in Example 4 above) directly with the Ag nanorod surface of the SERS substrate (without a binding agent). The corresponding baseline corrected spectra ( $1300\text{ cm}^{-1}$ - $500\text{ cm}^{-1}$ ) are shown in **FIG. 16**. Influenza A is an enveloped virus with two distinct glycoproteins on the surface (e.g., hemagglutinin and neuraminidase) that are embedded in a lipid bilayer. These two proteins are responsible for all of the known different subtypes of influenza, with 14 different known hemagglutinins and 9 different known neuraminidases. As can be seen in **FIG. 16**, the SERS spectra of the three strains within a single virus type (**FIG. 16**) are more similar than are the SERS spectra between virus types. The main bands in the spectra of the flu strains in **FIG. 16** appear at  $1206\text{ cm}^{-1}$  (Tyr),  $1130\text{ cm}^{-1}$   $\nu(\text{C}=\text{C})$ ,  $1047\text{ cm}^{-1}$   $\nu(\text{C}=\text{N})$ ,  $1003\text{ cm}^{-1}$  (Phe),  $885\text{ cm}^{-1}$  (Gly),  $635\text{ cm}^{-1}$  (Tyr), and  $592\text{ cm}^{-1}$  (Gly). These vibrations can be assigned to amino acid, protein or nucleic acid molecules, as indicated. The band at  $812\text{ cm}^{-1}$  has been assigned to the phosphate backbone stretch of the RNA on previous bulk Raman studies on viruses. The flu viruses are known to contain a single stranded RNA within their core. In the case of the A/WSN sample, the band at  $1071\text{ cm}^{-1}$  is slightly shifted from  $1047\text{ cm}^{-1}$  as compared to the other two samples. This could be due to a difference in the nature of binding of the surface proteins on the surface of this particular virus.

[0116] Although they appear somewhat similar, small differences in the flu strain Raman spectra in **FIG. 16** are apparent that may allow the individual identification flu strains in a complex mixture. For example, the spectral regions between  $900\text{--}700\text{ cm}^{-1}$  (highlighted in **FIG. 16**) show intensity differences as well as frequency shifts in the spectra of the three strains.

Therefore, at least the following is claimed:

1. A surface-enhanced Raman spectroscopic (SERS) system, comprising:

an array of nanorods on a surface of a substrate, wherein a tilt angle  $\beta$  between an individual nanorod and the substrate surface is less than  $90^\circ$ ;

one or more first biomolecules disposed on one or more of the nanorods, wherein the array of nanorods in combination with the first biomolecule has a first measurable surface-enhanced Raman spectroscopic signature, wherein the first biomolecule has an affinity for a second biomolecule, wherein the array of nanorods in combination with the first biomolecule and the second biomolecule has a second measurable surface-enhanced Raman spectroscopic signature that is different than the first measurable surface-enhanced Raman spectroscopic signature.

2. The SERS system of claim 1, wherein the nanorods are selected from one of the following materials: a metal, a metal oxide, a metal nitride, a metal oxynitride, a polymer, a multicomponent material, and combinations thereof.

3. The SERS system of claim 2, wherein the material is selected from one of the following: silver, nickel, aluminum, silicon, gold, platinum, palladium, titanium, cobalt, copper, zinc, oxides of each, nitrides of each, oxynitrides of each, and combinations thereof.

4. The SERS system of claim 1, wherein the SERS system further comprises one or more substantially uniform layers

of material disposed between the substrate surface and the nanorods and wherein the material is selected from: a metal, a metal oxide, a metal nitride, a metal oxynitride, a polymer, a multicomponent material, and combinations thereof.

5. The SERS system of claim 1, wherein the first biomolecule is selected from: a polynucleotide, a protein, a polypeptide, a glycoprotein, lipid, a carbohydrate, a fatty acid, a fatty ester, a macromolecular polypeptide complex, and combinations thereof.

6. The SERS system of claim 1, wherein the second biomolecule is selected from: a polypeptide, protein, glycoprotein, nucleic acid, eukaryotic cell, prokaryotic cell, virus, bacterium, protozoa, apicomplexan, trematodes, nematodes, fungus, spore, carbohydrate, lipid, vitamin, and combinations thereof.

7. The SERS system of claim 1, wherein the first biomolecule is an antibody of the second biomolecule.

8. The SERS system of claim 1, wherein the second biomolecule is a virus and the first biomolecule is an antibody to the virus.

9. The SERS system of claim 1, wherein the second biomolecule is a virus selected from: human immunodeficiency virus (HIV), Parainfluenza virus (PIV), adenovirus (Ad), rhinovirus, respiratory syncytial virus (RSV), and influenza virus.

10. The SERS system of claim 1, wherein the array of nanorods includes nanorods having a height of about 100 to 10000 nanometers, and a diameter of about 50 to 120 nanometers.

11. The SERS system of claim 10, wherein the array of nanorods includes nanorods of a plurality of heights.

12. The SERS system of claim 10, wherein the array of nanorods includes nanorods of substantially the same height.

13. The SERS system of claim 10, wherein the array of nanorods includes nanorods of a plurality of diameters.

14. The SERS system of claim 10, wherein the array of nanorods includes nanorods of substantially the same diameter.

15. The SERS system of claim 1, wherein the angle  $\beta$  is between about  $0^\circ$  and about  $50^\circ$ .

16. The SERS system of claim 1, wherein a distance between individual nanorods in the array is between about 10 nm and about 200 nm.

17. The SERS system of claim 1, further comprising an excitation source and a SERS data collection and analysis system.

18. The SERS system of claim 1, wherein the excitation source is a laser illumination source.

19. A surface-enhanced Raman spectroscopic (SERS) system, comprising:

an array of nanorods on a surface of a substrate;

one or more binding agents disposed on one or more of the nanorods, wherein the array of nanorods in combination with the binding agent has a first measurable surface-enhanced Raman spectroscopic signature, wherein the binding agent has an affinity for an analyte of interest, wherein the array of nanorods in combination with the binding agent and the analyte of interest has a second measurable surface-enhanced Raman spectroscopic signature that is different than the first measurable surface-enhanced Raman spectroscopic signature.

20. A method of detecting at least one biomolecule in a sample, comprising:

attaching at least one first biomolecule to an array of nanorods on a substrate;

exposing the substrate including the first biomolecule to the sample containing a second biomolecule; and

measuring a surface enhanced Raman spectroscopy (SERS) spectrum, wherein a SERS spectrum of the array of nanorods and first biomolecule is detectably different than a SERS spectrum of the array of nanorods, first biomolecule, and the second biomolecule.

21. The method of claim 20, wherein the nanorods are selected from one of the following materials: a metal, a metal oxide, a metal nitride, a metal oxynitride, a polymer, a multicomponent material, and combinations thereof.

22. The method of claim 21, wherein the material is selected from one of the following: silver, nickel, aluminum, silicon, gold, platinum, palladium, titanium, cobalt, copper, zinc, oxides of each, nitrides of each, oxynitrides of each, carbides of each, and combinations thereof.

23. The method of claim 20, wherein the first is selected from: a polynucleotide, a protein, a polypeptide, a glycoprotein, lipid, a carbohydrate, a fatty acid, a fatty ester, a macromolecular polypeptide complex, and combinations thereof.

24. The method of claim 20, wherein the second biomolecule is selected from: a polypeptide, protein, glycoprotein, nucleic acid, eukaryotic cell, prokaryotic cell, virus, bacterium, protozoa, apicomplexan, trematodes, nematodes, fungus, spore, carbohydrate, lipid, vitamin, and combinations thereof.

25. The method of claim 20, wherein the first biomolecule is an antibody of the second biomolecule.

26. The method of claim 20, wherein the second biomolecule is a virus and the first biomolecule is an antibody to the virus, or a fragment thereof.

27. The method of claim 20, wherein the second biomolecule is a virus selected from:

human immunodeficiency virus (HIV), Parainfluenza virus (PIV), adenovirus (Ad), rhinovirus, respiratory syncytial virus (RSV), and influenza virus.

28. The method of claim 20, wherein the second biomolecule is an influenza virus, and wherein the method can distinguish between different strains of influenza virus.

29. The method of claim 20, wherein the sample is selected from: blood, saliva, tears, phlegm, sweat, urine, plasma, lymph, spinal fluid, cells, microorganisms, a combination thereof and aqueous dilutions thereof.

30. A method of making a surface-enhanced Raman spectroscopic (SERS) sensor comprising:

providing a substrate;

rotating the substrate in a polar direction relative to a vapor arrival line of a vapor flux of a material to achieve a desired incident angle between the vapor arrival line and the substrate;

optionally rotating the substrate azimuthally;

exposing at least a portion of a surface of the substrate to the vapor flux of a material at the desired incident angle;

forming an array of nanostructures on the surface of the substrate; and

disposing one or more first biomolecules on the surface of one or more of the nanostructures, wherein the array of structures in combination with the first biomolecule has a first measurable surface-enhanced Raman spectroscopic signature, wherein the first biomolecule has an affinity for a second biomolecule, wherein the array of nanostructures in combination with the first biomolecule and the second biomolecule has a second measurable surface-enhanced Raman spectroscopic signature that is different than the first measurable surface-enhanced Raman spectroscopic signature.

31. The method of claim 30, wherein the substrate is planar, wherein an incident angle  $\phi$  is defined by the vapor arrival line and the surface normal of the planar substrate, and wherein  $\phi$  is greater than about  $75^\circ$ .

32. The method of claim 31, wherein  $\phi$  is between about  $75^\circ$  and about  $89^\circ$ .

33. The method of claim 31, wherein  $\phi$  is about  $86^\circ$ .

34. The method of claim 30, wherein the substrate is non-planar, wherein an incident angle  $\theta$  is defined by the vapor arrival line and the center axis of rotation of the non-planar substrate, and wherein  $\theta$  is from about  $0^\circ$  to about  $15^\circ$ .

35. The method of claim 30, wherein the nanostructures are nanorods.

36. The method of claim 35, wherein the nanorods have a tilt angle  $\beta$  between an individual nanorod and the substrate surface, and wherein  $\beta$  is less than  $90^\circ$ .

37. The method of claim 36, wherein the angle  $\beta$  is between about  $0^\circ$  and about  $50^\circ$ .

38. The method of claim 30, wherein the vapor flux of material includes a material selected from: a metal, a metal oxide, a metal nitride, a metal oxynitride, a polymer, a multicomponent material, and combinations thereof.

39. The method of claim 38, wherein the material is selected from one of the following: silver, nickel, aluminum, silicon, gold, platinum, palladium, titanium, cobalt, copper, zinc, oxides of each, nitrides of each, oxynitrides of each, and combinations thereof.

40. The method of claim 30, further comprising disposing one or more substantially uniform layers of a material on a portion of the substrate surface and forming the array of nanostructures on the uniform layer.

41. The method of claim 40, wherein the material is selected from: a metal, a metal oxide, a metal nitride, a metal oxynitride, a polymer, a multicomponent material, and combinations thereof.

42. The method of claim 30, wherein the one or more first biomolecules are disposed on the one or more nanorods via a linking agent.

43. The method of claim 39 wherein the linking agent includes linking agents selected from: dithiobis(succinimidyl propionate) (DSP) and a self-assembly monolayer (DSP).

44. The method of claim 30, wherein the first biomolecule is selected from: a polynucleotide, a protein, a polypeptide, a glycoprotein, lipid, a carbohydrate, a fatty acid, a fatty ester, a macromolecular polypeptide complex, and combinations thereof.

45. The method of claim 30, further comprising:

exposing the substrate having nanostructures of a first type of material to a vapor flux of a second type of material and forming nanostructures of the second type of material on the substrate.

46. The method of claim 45, further comprising forming nanostructures of the second type of material on the nanostructures of the first type of material.

47. The method of claim 30, further comprising varying one or more of the incident angle, a speed of azimuthal rotation, a direction of azimuthal rotation, and a rate of vapor deposition to control one or more of the size, shape, and distribution of the nanostructures on the substrate.

48. A method of making a surface-enhanced Raman spectroscopic (SERS) substrate having an array of nanorods having various heights comprising:

providing a substrate;

exposing a first portion of the substrate to a vapor flux of a material by opening a shutter to a first setting;

forming a first nanorod at a first position on the substrate, wherein the first nanorod grows to a first height;

exposing the first portion and a second portion of the substrate to a vapor flux of a material by opening the shutter to a second setting;

forming a second nanorod at a second position on the substrate, wherein the second nanorod grows to the first height, wherein the first nanorod grows to a second height, wherein the second height is about twice as high as the first height;

exposing the first portion, the second portion, and a third portion of the substrate to a vapor flux of a material by opening the shutter to a third setting; and

forming a third nanorod at a third position on the substrate, wherein the third nanorod grows to the first height, wherein the second nanorod grows to the second height, wherein the first nanorod grows to a third

height, wherein the third height is about the height of the addition of the first height and the second height.

49. The SERS system of claim 1, wherein the nanorods have a shape selected from:

a needle shape, a zig-zag shape, and a spiral shape.

50. The SERS system of claim 1, wherein the array of nanorods includes nanorods of a plurality of sizes, shapes and distribution.

51. A surface-enhanced Raman spectroscopic (SERS) system, comprising:

an array of nanorods on a surface of a substrate, wherein an angle  $\beta$  between an individual nanorod and the substrate surface is less than  $90^\circ$ ;

wherein the SERS system can distinguish between one or more analytes of interest,

wherein the array of nanorods in combination with a first analyte of interest has a first measurable surface-enhanced Raman spectroscopic signature and

wherein the array of nanorods in combination with a second analyte of interest has a second measurable surface-enhanced Raman spectroscopic signature that is different than the first measurable surface-enhanced Raman spectroscopic signature.

52. A method of detecting a biomolecule of interest in a sample:

providing an a substrate having an array of nanorods disposed on a surface of the substrate;

exposing the substrate to a sample containing a biomolecule of interest; and

measuring a surface enhanced Raman spectroscopy (SERS) spectrum, wherein a SERS spectrum of the array of nanorods and the biomolecule of interest is detectably different than a SERS spectrum of the array of nanorods and a biomolecule other than the biomolecule of interest.

\* \* \* \* \*

专利名称(译)	表面增强拉曼光谱 ( SERS ) 系统，基板，其制造及其使用方法		
公开(公告)号	<a href="#">US20060252065A1</a>	公开(公告)日	2006-11-09
申请号	US11/376661	申请日	2006-03-15
[标]申请(专利权)人(译)	赵一平 DLUHY RICHARD 特里普拉尔夫 CHANEY STEPHEN SHANMUKH SARATCHANDRA		
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IPC分类号	C12Q1/68 G01N33/53		
CPC分类号	B82Y5/00 C12Q1/6816 C12Q1/6825 G01N21/658 G01N33/531 G01N33/54373 C12Q2565/632		
优先权	60/703110 2005-07-28 US 60/662089 2005-03-15 US 60/620810 2004-10-21 US		
其他公开文献	US7738096		
外部链接	<a href="#">Espacenet</a> <a href="#">USPTO</a>		

#### 摘要(译)

提供了表面增强拉曼光谱 ( SERS ) 系统，其包括纳米结构并且能够检测感兴趣的分析物，特别是生物分子。还提供了制备SERS系统的方法和检测感兴趣的生物分子 ( 例如病毒或其他感染因子 ) 的方法。



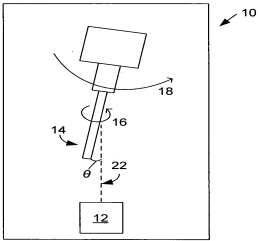


FIG. 1A

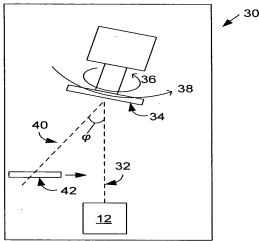


FIG. 1B

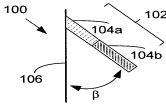


FIG. 2A

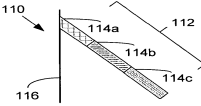


FIG. 2B