(19)

(12)





(11) **EP 2 796 874 A1**

EUROPEAN PATENT APPLICATION

(43) Date of publication: (51) Int Cl.: G01N 33/53 (2006.01) G01N 33/68 (2006.01) 29.10.2014 Bulletin 2014/44 (21) Application number: 14178582.4 (22) Date of filing: 03.08.2011 (84) Designated Contracting States: (72) Inventors: AL AT BE BG CH CY CZ DE DK EE ES FI FR GB · Horsch, Andrea GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO 68259 Mannheim (DE) PL PT RO RS SE SI SK SM TR · Zdunek, Dietmar 82327 Tutzing (DE) (30) Priority: 26.08.2010 EP 10174182 · Hess, Georg 06.10.2010 EP 10186710 55130 Mainz (DE) (62) Document number(s) of the earlier application(s) in (74) Representative: Dick, Alexander accordance with Art. 76 EPC: Herzog Fiesser & Partner Patentanwälte PartG 11743231.0 / 2 609 427 mbB Dudenstraße 46 (71) Applicants: 68167 Mannheim (DE) • Roche Diagnostics GmbH 68305 Mannheim (DE) Remarks: **Designated Contracting States:** This application was filed on 25-07-2014 as a DE divisional application to the application mentioned • F. Hoffmann-La Roche AG under INID code 62. 4070 Basel (CH) **Designated Contracting States:** AL AT BE BG CH CY CZ DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR

(54) Use of biomarkers in monitoring a medication in a subject suffering from heart failure

(57) The present invention relates to a method of monitoring a medication in a subject subjected to said medication, said medication being selected from ACE inhibitors, angiotension receptor blockers and aldoster-

one antagonists. Also concerned is a method of deciding on the adaptation of a medication selected from ACE inhibitors, angiotension receptor blockers and aldosterone antagonists in a subject.

Printed by Jouve, 75001 PARIS (FR)

Description

[0001] The present application is a divisional application of the European patent application no 11 743 231.0 which herewith is incorporated by referenced with respect to its entire disclosure content.

- ⁵ **[0002]** The present invention relates to a method of predicting the risk of an individual bearing risk factors of developing heart failure, to suffer from heart failure. The present invention further relates to diagnosing early stages of functional and/or structural abnormalities of the heart preceding left ventricular hypertrophy (LVH) and/or heart failure in an individual bearing risk factors of developing heart failure. In a preferred embodiment, the subject suffers from hypertension and/or diabetes. In another preferred embodiment, the subject suffers from diastolic dysfunction. In yet another preferred
- ¹⁰ embodiment the subject is female. In particular, the present invention provides a method of predicting the risk of heart failure before left ventricular hypertrophy (LVH) is apparent (i.e. visible by e.g. echocardiography or ECG). The present invention, furthermore, relates to a method of predicting the risk of such an individual to suffer from heart failure as a consequence of the presence of functional and/or structural abnormalities of the heart. The present invention further relates to a method of predicting the risk of a female subject to suffer from left ventricular hypertrophy. The present
- ¹⁵ invention, furthermore, relates to a method of predicting the risk of such an individual to suffer from cardiovascular and renal events preceding heart failure: Ischemic stroke, detrimental non-fatal stroke, myocardial ischemia/ myocardial infarction, arterial aneurysm, chronic kidney failure and finally heart failure. The diagnosis is carried out by measuring the concentrations of at least one cardiac troponin. As the case may be, optionally one further marker selected from GDF-15 and IGFBP7 is determined. The method can also be used for deciding on a possible treatment of an individual
- ²⁰ bearing risk factors of suffering from heart failure and/or having been diagnosed for functional and/or structural abnormalities of the heart preceding heart failure and/or predicted an elevated risk of suffering from heart failure. Moreover, the present invention relates to a device and a kit adapted to carry out the method of the present invention. Also encompassed by the present invention is the use of the above-specified plurality of markers for diagnosing and/or predicting, in an individual bearing risk factors of developing heart failure, the above-referenced health states.
- [0003] An aim of modem medicine is to provide personalized or individualized treatment regimens. Those are treatment regimens which take into account a patient's individual needs or risks. Personalized or individual treatment regimens shall be even taken into account for measures where it is required to decide on potential treatment regimens.
 [0004] Heart failure (HF) is a major and growing public health problem. It is estimated that approximately 5 million
- patients in the USA have HF, more than 500 000 patients are diagnosed with HF for the first time each year, and more than 50.000 patients in the US die each year of HF as a primary cause. Heart failure (HF) is one of the main causes of morbidity and mortality in developed countries. Because of aging of the population and greater longevity of patients with cardiovascular disease incidence and prevalence of HF are increasing.

[0005] Identification of people at increased risk of developing HF, ischemic stroke, detrimental non-fatal stroke, myocardial ischemia/ myocardial infarction, arterial aneurysm, chronic kidney failure and finally heart failure and improved risk stratification in patients with early HF (stages A/B according to AHA/ACC classification) is important to initiate appropriate preventive treatment before non-reversible progression of HF or major complications occur.

[0006] Heart failure is a complex clinical syndrome that can result from any structural or functional cardiac disorder that impairs the ability of the ventricle to fill with or eject blood and to ensure the body's metabolic needs for supply with blood/oxygen. In such cases, the body tries to compensate lack of supply by structural changes of the myocardium (e.g.

- fibrosis, apoptosis, necrosis) aiming at maintaining the required supply. First structural changes to the myocardium are, in general, reversible changes but which, when untreated, turn to non reversible permanent changes which finally lead to chronic HF with the final stage of terminal HF.
 - [0007] HF is classified into various degrees of severity.
- [0008] One classification is the so-called NYHA (New York Heart Association) classification. Heart failure patients are classified NYHA classes I, II, III and IV. A patient having heart failure has already experienced structural and functional changes to his pericardium, myocardium, coronary circulation or cardiac valves. He will not be able to fully restore his health, and is in need of a therapeutical treatment. Patients of NYHA Class I have no obvious symptoms of cardiovascular disease but already have objective evidence of functional impairment. Patients of NYHA class II have slight limitation of physical activity. Patients of NYHA class III show a marked limitation of physical activity. Patients of NYHA class IV
- ⁵⁰ are unable to carry out any physical activity without discomfort. They show symptoms of cardiac insufficiency at rest. [0009] This functional classification is supplemented by the more recent classification by the American College of Cardiology and the American Heart Association (see J. Am. Coll. Cardiol. 2001;38;2101-2113, updated in 2005, see J. Am. Coll. Cardiol. 2005;46;e1-e82). 4 stages A, B, C and D are defined. Stages A and B are not HF but are considered to help identify patients early before developing "truly" HF. Stages A and B patients are best defined as those with risk
- ⁵⁵ factors for the development of HF. For example, patients with coronary artery disease, hypertension, or diabetes mellitus who do not yet demonstrate impaired left ventricular (LV) function, hypertrophy, or geometric chamber distortion would be considered stage A, whereas patients who are asymptomatic but demonstrate LV hypertrophy (LVH, a phenomenon in which the walls of the ventricles thicken) and/or impaired LV function would be designated as stage B. Stage C then

denotes patients with current or past symptoms of HF associated with underlying structural heart disease (the bulk of patients with HF), and stage D designates patients with truly refractory HF.

[0010] Arterial hypertension places increased tension on the left ventricular myocardium that is manifested as stiffness and hypertrophy. Independently thereof, atherosclerosis develops within the coronary vessels as a consequence of

- ⁵ hypertension. Subjects with hypertension have increased risk of detrimental non-fatal stroke. Antihypertensive medication may help to reduce the risk of detrimental non-fatal stroke. It is known that subjects suffering from diabetes mellitus are at an elevated risk of suffering from atherosclerosis. Subjects suffering from atherosclerosis are at an elevated risk of ischemic stroke. Antihypertensive medication may help to reduce the risk of ischemic stroke and ischemic heart disease. [0011] Systolic dysfunction has been found to be associated with an increased risk to develop symptomatic systolic
- ¹⁰ HF. Diastolic dysfunction is believed to be a precursor of diastolic HF. Early treatment of hypertension may improve diastolic function. A large number of individuals with left ventricular dysfunction, systolic as well as diastolic dysfunction, remain undiagnosed and untreated, although early therapy may improve outcome. Untreated hypertension is associated with an increased risk to develop heart failure, which is often is preceded by other cardiovascular and renal events: Hypertension or diabetes leading to ischemic stroke, detrimental non-fatal stroke, myocardial ischemia/ myocardial
- ¹⁵ infarction, arterial aneurysm and finally heart failure, if the patient lives long enough. Persistent hypertension is a leading cause of chronic kidney failure. Early treatment of hypertension may help to prevent disease progression to a variety of cardiovascular as well as renal death risks.

[0012] In general, HF is diagnosed by e.g. echocardiography and Doppler sonography which, however, only permit to diagnose symptomatic later stages of HF. Individuals suffering from presymptomatic forms of HF cannot be diagnosed

by these established methods. The poor diagnostics is one of the reasons that the survival rate for individuals diagnosed for HF is only 50% for 5 years.

[0013] Recent therapeutic strategies focus on preventing HF (i.e. ACC/AHA stages C or D) by early treatment of individuals having undergone functional and/or structural changes to their myocardium. These subjects, accordingly, are those which, in general, have carried risk factors of suffering from heart failure and further cardiovascular and renal

²⁵ diseases and are about to develop (early stage) or have developed (late stage) functional and/or structural abnormalities of the heart preceding heart failure and caused by functional and/or structural changes to their myocardium. In general, these subjects will be classified in ACC/AHA stage B (i.e. are about to leave stage A and are about to enter stage B or have entered stage B).

30 object underlying the invention

[0014] Accordingly, there is a strong need to have methods and means at hand which allow to identify in subjects functional and/or structural abnormalities of the heart preceding heart failure caused by functional and/or structural changes to their myocardium, before the patient develops symptoms of overt heart failure (e.g. those typical for stages

- ³⁵ C or D of the ACC/AHA classification). Preferably, the methods and means shall permit to identify subjects at an early stage of impaired cardiac function, i.e. the subjects are about to develop impaired cardiac function. Preferably, the subjects should be at a stage where minimum functional and/or structural changes to the patient's pericardium, myocardium, coronary circulation or cardiac valves (e.g. structural changes to the patient's left ventricle) have occurred, preferably before left ventricular hypertrophy becomes apparent. Preferably, the subject should be about to leave stage
- 40 A of the ACC/AHA classification and be about to enter stage B of the ACC/AHA classification. The method and means permit the identification of subjects at increased risk of developing HF and associated further cardiovascular and renal diseases and improved risk stratification in patients with early functional and/or structural abnormalities of the heart preceding heart failure (stages A/B according to AHA/ACC classification) in order to initiate appropriate preventive treatment before non-reversible progression of HF or major complications occur. The methods and means shall permit
- to make early decisions on an appropriate treatment of the respective individual/subject and to monitor the treatment. [0015] In the studies carried out in the context of the present invention, it was surprisingly found that the determination of the concentration of a cardiac troponin allows for the identification of subjects at early stage B even before hypertrophy becomes apparent, and thus, of subjects having risk factors of suffering from heart failure and showing first structural changes to the left ventricle and/or diastolic dysfunction, but not suffering from left ventricular hypertrophy. Thus, the
- 50 determination of a cardiac troponin allows for differentiating between subjects with first structural changes to the left ventricle and/or diastolic dysfunction and subjects without first structural changes to the left ventricle and/or diastolic dysfunction. In particular, the determination of a cardiac troponin allows identification of female subjects at early stage B. [0016] In contrast, the studies carried out in the context of the present invention further showed that NT-proBNP, i.e. a well-known marker for the diagnosis of heart failure, failed to identify subjects with first structural changes to the left
- ⁵⁵ ventricle and/or diastolic dysfunction. Rather, NT-proBNP was only increased in patients with left ventricular hypertrophy (LVH). The level of NT-proBNP was not increased in patients without LVH, and thus in patients with a left ventricular mass index below cutoff used to define LVH. Thus, in contrast to NT-proBNP, cardiac troponins are valuable markers for the diagnosis of first functional and/or structural abnormalities of the heart preceding LVH and heart failure (and,

thus, in subjects without LVH).

[0017] The findings of the present invention are advantageous since they allow for an early diagnosis of structural and/or functional abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy. Early functional and/or structural abnormalities of the heart and heart failure often remain undiagnosed, particularly in women.

⁵ First structural changes to the heart are, in general, reversible changes but which, when untreated, turn to non reversible permanent changes which finally may lead to chronic HF with the final stage of terminal HF. Therefore, the early diagnosis of structural changes to the heart since appropriate preventive treatment can be initiated before progression to LVH and /or to HF or other major complications occur.

10 method of diagnosing

[0018] Accordingly, the present invention relates to a method of diagnosing in a subject functional and/or structural abnormalities of the heart typically heart failure, based on the comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these marker(s) in a control sample.

- 15 these marker(s) in a control sample. [0019] The method of the invention may comprise the following steps: a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof; b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure; and c) diagnosing the said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in
- 20 step (b) to reference amounts, e.g to the concentration of this marker or these markers as established in a control sample. [0020] Therefore, the present invention provides a method of diagnosing in a subject functional and/or structural abnormalities of the heart preceding heart failure, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and

c) diagnosing said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount.

- ³⁰ **[0021]** In general, the subject does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium. Preferably, the subject bears risk factors for heart failure. These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure. Most preferably, the subject does not show LVH.
- [0022] Preferably, the subject suffers from hypertension, diabetes, obesity, metabolic syndrome and/or a history of smoking (i.e. the subject has a history of smoking). In a particularly preferred embodiment, the subject suffers from hypertension and/or diabetes.

[0023] Preferably, the structural and/or functional abnormality of the heart preceding heart failure and/or left ventricular hypertrophy is an abnormality selected from left ventricular structural changes, an increased septum diameter, increased posterial wall diameter, and diastolic dysfunction.

40 **[0024]** Preferably, the subject is female.

[0025] Accordingly, the present invention particularly relates to a method of diagnosing functional and/or structural abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy in a subject suffering from hypertension, diabetes, obesity, metabolic syndrome and/or having a history of smoking, the method comprising the steps of:

45

50

25

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
- c) diagnosing said functional and/or structural abnormalities by comparing the concentration determined in step (a)
- and optionally the concentration(s) determined in step (b) with a reference amount

wherein the subject, preferably, does not show left ventricular hypertrophy, and wherein the structural and/or functional abnormalities of the heart preceding heart failure and/or left ventricular hypertrophy comprise an abnormality selected from a left ventricular structural change, an increased septum diameter, an increased posterial wall diameter, and diastolic dysfunction.

55 dysfunct

method of differentiating

[0026] In a further embodiment, the present invention relates to a method of differentiating between a subject only bearing risk factors of developing heart failure and a subject not only bearing risk factors but already suffering from functional and/or structural abnormalities of the heart preceding heart failure, based on the comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these marker(s) in a control sample.

[0027] The method of the invention may comprise the following steps: a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof; b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure; and c) assessing the said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts, e.g. to the concentration of this marker or these markers as established in a control sample. [0028] Accordingly, the present invention provides a method of differentiating between a subject only bearing risk factors of developing heart failure and a subject not only bearing risk factors but already suffering from functional and/or

¹⁵ structural abnormalities of the heart preceding left venricular hypertrophy and/or preceding heart failure, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
 c) differentiating between said subjects (i.e. those only bearing risk factors of developing heart failure and those not only bearing risk factors but already suffering from functional and/or structural abnormalities of the heart preceding heart failure) by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount.
- 25

5

[0029] In general, the subject (i.e. the individual bearing risk factors and/or suffering from functional and/or structural abnormalities of the heart preceding heart failure) does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium, and he will be able to fully restore his health, and he is not classified into stage C or D of the ACC/AHA classification. The risk factors for heart failure are known to the person

- 30 skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure. Most preferably, the subject does not show LVH.
 [0030] Preferably, the structural and/or functional abnormality of the heart preceding heart failure and/ or preceding left ventricular hypertrophy is an abnormality selected from left ventricular structural changes, an increased septum diameter, increased posterial wall diameter, and diastolic dysfunction.
- ³⁵ **[0031]** Thus, the present invention also relates to a method of differentiating between a subject only bearing risk factors of developing heart failure and a subject not only bearing risk factors but already suffering from functional and/or structural abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy, the method comprising the steps of
- 40 a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,
 - b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
 - c) differentiating between said subjects by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount,
- 45

wherein the subject, preferably, does not show left ventricular hypertrophy wherein the structural and/or functional abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy comprise an abnormality selected from a left ventricular structural change, an increased septum diameter, an increased posterial wall diameter, and diastolic dysfunction.

- ⁵⁰ **[0032]** The present invention also provides methods and means to predict the risk of subjects to suffer from heart failure. Preferably, the subjects bear risk factors of developing heart failure and are preferably subjects classified in stage A. The present invention, preferably, provides methods and means to predict the risk of heart failure before left ventricular hypertrophy (LVH) is apparent.
- [0033] The present invention also provides methods and means to diagnose subjects in stage A which are about to enter into stage B (ACC/AHA classification), in particular when left ventricular hypertrophy is not apparent (not identifiable by e.g. echocardiography and/or ECG), and which are at a high risk to progress to left ventricular hypertrophy within the next months or years.

[0034] The individual bearing risk factors may have experienced physiological changes towards functional and/or

structural abnormalities of the heart preceding heart failure, preferably left ventricular diastolic dysfunction, or left ventricular diastolic dysfunction with a preserved left ventricular ejection fraction (LVEF). These functional/structural abnormalities of the heart preceding heart failure are diagnosed by the methods of the present invention, which will be explained in greater detail below, in the following paragraphs.

- ⁵ [0035] The individual bearing risk factors may in particular have experienced physiological changes towards functional and/or structural abnormalities of the heart preceding heart failure without LVH being apparent, preferably ventricular structural changes without LVH, in particular left ventricular diastolic dysfunction without LVH, but also functional and/or structural damage of the myocardium, epicardium, valves, or coronary circulation. These functional/structural abnormalities of the heart preceding heart failure, but without LVH being apparent are diagnosed by the methods of the present invention, which will be explained in greater detail below, in the following paragraphs.
- ¹⁰ invention, which will be explained in greater detail below, in the following paragraphs. [0036] In a further embodiment, the present invention provides methods and means to stratify these subjects for treatment with antihypertensive drugs, in particular as a consequence of early diagnosis of functional and/or structural abnormalities of the heart and thereby prediction of heart failure even before LVH is apparent (in particular, even before LVH is visible by e.g. echocardiography or ECG).
- 15 [0037] The methods and means of the present invention permit to diagnose, in a straightforward and simple manner, if a subject suffers from functional and/or structural abnormalities of the heart preceding heart failure. In particular, the present invention advantageously permits to diagnose early stages of functional and/or structural abnormalities of the heart preceding heart failure in a subject, as defined below, in particular before left ventricular hypertrophy LVH becomes apparent. Accordingly, the present invention also provides a method of differentiating between risk factors of developing
- heart failure (subjects bearing risk factors of developing heart failure) and functional and/or structural abnormalities of the heart preceding heart failure.
 [0038] The methods and means of the present invention permit to predict the risk of an individual bearing risk factors of developing heart failure to suffer from heart failure. In particular the present invention advantageously permits to
- predict the risk of heart failure before left ventricular hypertrophy (LVH) is apparent. Further methods of the present
 invention permit therapy adaption of subjects at risk of heart failure before LVH becomes apparent as a consequence
 of diagnosing functional and/or structural abnormalities of the heart preceding heart failure.
 [0039] Further methods of the present invention include methods of deciding on the therapy and monitoring the therapy

of an individual having been identified to suffer from functional and/or structural abnormalities of the heart preceding heart failure. These embodiments will become apparent from studying the present application in its entirety. For reasons of clarity, the principles of the present invention including its preferred embodiments will be laid out hereinafter in the context of the methods of the present invention which have been cited in the form of an independent claim beforehand

(diagnosing functional and/or structural abnormalities of the heart preceding heart failure and differentiating between individuals having the said functional and/or structural abnormalities of the heart and those only bearing risk factors). If not stated otherwise, these principles and embodiments also apply in respect to the further methods belonging to the
 ³⁵ present invention.

general definitions

- [0040] Heart failure can be classified into a functional classification system according to the New York Heart Association (NYHA). Patients of NYHA Class I have no obvious symptoms of cardiovascular disease but already have objective evidence of functional impairment. Physical activity is not limited, and ordinary physical activity does not cause undue fatigue, palpitation, or dyspnea (shortness of breath). Patients of NYHA class II have slight limitation of physical activity. They are comfortable at rest, but ordinary physical activity results in fatigue, palpitation, or dyspnea. Patients of NYHA class III show a marked limitation of physical activity. They are comfortable at rest, but less than ordinary activity causes
- ⁴⁵ fatigue, palpitation, or dyspnea. Patients of NYHA class IV are unable to carry out any physical activity without discomfort. They show symptoms of cardiac insufficiency at rest. Heart failure, i.e., an impaired systolic and/or diastolic function of the heart, can be determined also by, for example, echocardiography, angiography, scintigraphy, or magnetic resonance imaging. This functional impairment can be accompanied by symptoms of heart failure as outlined above (NYHA class II-IV), although some patients may present without significant symptoms (NYHA I).
- ⁵⁰ **[0041]** The present invention refers to the more recent ACC/AHA classification of heart failure, see introductory part, identifying 4 stages involved in the development of the HF syndrome. The first 2 stages (A and B) are clearly not HF but are an attempt to help healthcare providers identify patients early who are at risk for developing HF. Stages A and B patients are best defined as those with risk factors that clearly predispose toward the development of HF. Stage C then denotes patients with current or past symptoms of HF associated with underlying structural heart disease, and Stage D
- ⁵⁵ designates patients with truly refractory HF who might be eligible for specialized, advanced treatment strategies such as mechanical circulatory support, procedures to facilitate fluid removal, continuous inotropic infusions, or cardiac transplantation or other innovative or experimental surgical procedures, or for end-of-life care, such as hospice. This classification recognizes that there are established risk factors and structural prerequisites for the development of HF and

that therapeutic interventions introduced even before the appearance of LV dysfunction or symptoms can reduce the population morbidity and mortality of HF.

[0042] The definition of the various stages is as follows (taken from the ACC/AHA guidelines for the evaluation and management of chronic heart failure in the adult, J. Am. Coll. Cardiol. 2001;38;2101-2113). The following includes a description of the stage and examples for pathophysiological states in individuals which would be classified in the respective stage.

Stage A:

- 10 [0043] Description: Patients at high risk of developing HF because of the presence of conditions that are strongly associated with the development of HF. Such patients have no identified structural or functional abnormalities of the pericardium, myocardium, coronary circulation or cardiac valves and have never shown signs or symptoms of HF. [0044] Examples: Systemic hypertension; coronary artery disease; diabetes mellitus; history of cardiotoxic drug therapy or alcohol abuse; personal history of rheumatic fever; family history of cardiomyopathy.
- 15

5

Stage B:

[0045] <u>Description:</u> Patients who have developed structural heart disease that is strongly associated with the development of HF but who have never shown signs or symptoms of HF.

²⁰ **[0046]** Examples: Left ventricular hypertrophy or fibrosis; left ventricular dilatation or hypocontractility; asymptomatic valvular heart disease; previous myocardial infarction.

Stage C:

²⁵ [0047] <u>Description</u>: Patients who have current or prior symptoms of HF associated with underlying structural heart disease.

[0048] <u>Examples</u>: Dyspnea or fatigue due to left ventricular systolic dysfunction; asymptomatic patients who are undergoing treatment for prior symptoms of HF.

30 Stage D:

[0049] <u>Description:</u> Patients with advanced structural heart disease and marked symptoms of HF at rest despite maximal medical therapy and who require specialized interventions.

[0050] <u>Examples</u>: Patients who are frequently hospitalized for HF or cannot be safely discharged from the hospital; patients in the hospital awaiting heart transplantation; patients at home receiving continuous intravenous support for symptom relief or being supported with a mechanical circulatory assist device; patients in a hospice setting for the management of HF.

[0051] The ACC/AHA stages A, B, C and D specified beforehand are referred to in the context of the present invention as "stage A", "stage B", "stage C", and "stage D".

- 40 [0052] In the context of the present invention, subjects of stage A having risk factors typically associated with the development of heart failure but no identified structural or functional abnormalities of the pericardium, myocardium, cardiac valves or coronary circulation are subjects not having functional and/or structural abnormalities of the heart preceding heart failure. Subjects of stage B who have developed functional and/or structural heart disease that is strongly associated with the development of and typically precedes HF but who have never shown signs or symptoms of HF are
- ⁴⁵ subjects having functional and/or structural damage of the myocardium, epicardium, valves, or coronary circulation. The present invention advantageously diagnoses early stages of functional and/or structural abnormalities of the heart preceding heart failure (early stage B) and even preceding LVH (late stage B). The present invention also differentiates between early stages of functional and/or structural abnormalities of the heart preceding heart failure (early stage B) and even preceding abnormalities of the heart preceding heart failure (early stage B) and later stages of functional and/or structural abnormalities of the heart preceding heart failure (late stage B).
- 50

55

functional and/or structural abnormalities of the heart preceding heart failure

[0053] In the context of the methods of the present invention, functional and/or structural abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy shall be diagnosed. The term "abnormalities" as used herein, preferably, is intended to mean "at least one abnormality". Thus, one abnormality or more than one abnormality may be diagnosed in the context of the methods decribed herein.

[0054] The term "functional and/or structural abnormalities of the heart preceding heart failure" relates to several pathological states of the myocardium which are known in the art to typically occur prior to heart failure (i.e. they typically

precede heart failure). Functional and/or structural abnormalities of the heart preceding heart failure, in the sense of the present invention, are generally symptomless functional and/or structural abnormalities of the heart preceding heart failure. Functional and/or structural abnormalities of the heart preceding heart failure may disappear after removing the underlying cause or by training exercise. Functional and/or structural abnormalities of the heart failure, and the sense of the heart failure, and the sense of t

⁵ in particular symptomless functional and/or structural abnormalities of the heart preceding heart failure, may also develop into heart failure.

[0055] The term "functional and/or structural abnormalities of the heart preceding heart failure" as used in the present invention relates to functional and/or structural change and/or a functional and/or structural damage of the myocardium, epicardium, coronary circulation or valves, and is to be regarded as a functional abnormality of the heart and/or a

- ¹⁰ structural abnormality of the heart. An example for a functional abnormality of the heart is an impaired pumping or filling capacity, often a systolic or a diastolic impairment. An example for a structural abnormality of the heart is a change in the geometry of the left ventricle. Often, the structural and/or functional heart abnormality/impairment is reversible when the underlying cause is remedied (e.g. when appropriately treated) without leaving permanent structural or functional damage to the myocardium typical for heart failure (i.e. an individual classified in stage B can enter back into stage A).
- An individual having functional and/or structural abnormalities of the heart preceding heart failure does not show signs of heart failure (thus the individual, preferably) is apparently healthy).
 [0056] Heart failure often starts with a change in the geometry of the left ventricle, changing potentially both systolic and diastolic function (left ventricular dysfunction LVD). LVD is sub-divided into systolic LVD and diastolic LVD. LVD may develop into left ventricular hypertrophy (LVH) in which the walls of the ventricle thicken.
- 20 [0057] Left ventricular dysfunction begins with stress or injury to the myocardium and is generally a progressive process, resulting in a change in the geometry and structure of the LV. The chamber dilates and/or hypertrophies and becomes more spherical. This process is generally referred to as cardiac remodeling. This change in chamber size and structure increases the hemodynamic stresses on the walls of the (failing) heart and depresses its mechanical performance. Furthermore, this may also increase regurgitant flow through the mitral valve, sustaining and exacerbating the
- ²⁵ remodeling process. Cardiac remodeling generally precedes the development of symptoms (which may take months or even years), continues after the appearance of symptoms, and contributes substantially to worsening of symptoms. Progression of coronary artery disease, diabetes mellitus, hypertension, or the onset of atrial fibrillation may also contribute to the progression of HF.
- [0058] In the context of the methods of the present invention, functional and/or structural abnormalities of the heart preceding heart failure can be diagnosed even before the subject suffers from left ventricular hypertrophy. Thus, the method of the present invention allows for diagnosing structural abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy. The term "left ventricular hypertrophy" is well known in the art. A detailed overview on left ventricular hypertrophy can be, e.g. found in standard text books (see Swamy Curr Cardiol Rep (2010) 12:277-282). LVH can be detected by electrocardiography, echocardiography, or cardiac magnetic resonance imaging (MRI). Pref-
- ³⁵ erably, LVH is detected by echocardiography. Moreover, criteria for the diagnosis of LVH are well known in the art (Mancia et al., European Heart J. 2007, 28: 1462, Die Innere Medizin: Referenzwerk fur den Facharzt - Wolfgang Gerok - 2007, page 293., Swamy Curr Cardiol Rep (2010) 12:277-282).

40

[0059] The diagnosis of LVH, preferably, includes measurements of the septum diameter, left ventricular posterial wall thickness and end diastolic diameter, with calculation of left ventricular mass according to formulae known in the art. Particularly preferred criteria for diagnosing LVH are e.g. disclosed in the guidelines (Mancia et al., European Heart J.

2007, 28: 1462).[0060] Preferably, the Cornell voltage criteria, the Cornell product criteria, the Sokolow-Lyon voltage criteria or the Romhilt-Estes point score system is/are used (Mancia et al., European Heart J. 2007, 28: 1462).

- [0061] The term "left ventricular hypertrophy" (abbreviated "LVH") as used herein, preferably, relates to a thickening of the walls of the ventricles. LVH is, preferably, a response to a chronically increased workload on the heart. LVH is found in patients suffering from arterial hypertension is a disease requiring treatment. In the context of the present invention functional and/or structural abnormalities of the heart typically precedes left ventricular hypertrophy and/or heart failure.
- [0062] Hypertrophy as a response to the increased afterload associated with elevated systemic vascular resistance is necessary and protective up to a certain point. Beyond that point, a variety of dysfunctions accompany LVH, including lower coronary vasodilatory capacity, depressed left ventricular wall mechanics, and abnormal left ventricular diastolic filling pattern.

[0063] Preferably, a male subject shows LVH (and, thus, preferably, suffers from LVH) if the ratio of the left ventricular mass to body surface is larger than 112 g/m^2 , or more preferably, if the ratio is larger than 125 g/m^2 . Preferably, a female

⁵⁵ subject shows LVH (and, thus, preferably, suffers from LVH) if the ratio of the left ventricular mass to body surface is larger than 89 g/m², or more preferably, if the ratio is larger than 110 g/m². (see, e.g. Drazner MH, Dries DL, Peshock RM, Cooper RS, Klassen C, Kazi F,Willett D, Victor RG. Left ventricular hypertrophy is more prevalent in blacks than whites in the general population: the Dallas Heart Study. Hypertension. 2005;46:124 -129). **[0064]** In the context of the method of the present invention, the term LVH may also include concentric or eccentric hypertrophy.

[0065] It is known that treatment of arterial hypertension will cause LVH to regress. Treatment with antihypertensive drugs has been shown to cause LVH regression. With regression, left ventricular function usually improves and cardiovascular morbidity decreases.

[0066] The present invention refers to a subject who is known to bear at least one risk factor as specified elsewhere in the present application for developing heart failure and who has entered into the state of functional and/or structural abnormalities of the heart preceding heart failure. The functional and/or structural abnormality can be an early or a late functional and/or structural abnormality of the heart preceding heart failure.

- ¹⁰ **[0067]** In an embodiment of the present invention, the individual has previously been classified into stage A and has entered into functional and/or structural abnormalities of the heart preceding heart failure (late stage B). In a preferred embodiment, the individual is about to enter into functional and/or structural abnormalities of the heart preceding heart failure (early stage B).
- 15 stage B

5

[0068] Accordingly, the method of the present invention permits to identify individuals suffering from any functional and/or structural abnormalities of the heart typically preceding left ventricular hypertrophy and typically preceding heart failure, including those with advanced structural heart disease typical for advanced stage B.

- 20 [0069] Individuals having entered into functional and/or structural abnormalities of the heart preceding heart failure typically proceed to heart failure via suffering from one or more of the following structural abnormalities of the heart which are known to precede heart failure. The list below includes early and late stages of functional and/or structural abnormalities of the heart. The individuals preferably belong to class B of the ACC/AHA classification including early and late stages of stage B: systolic and diastolic irregularities; geometric chamber distortion; left ventricular enlargement;
- ²⁵ cardiac remodeling; left ventricular hypertrophy; concentric hypertrophy, left ventricular fibrosis; left ventricular hypocontractility; asymptomatic valvular heart disease; regional wall-motion abnormality, left ventricular systolic dysfunction, preferably with a LVEF of ≤ 50%; left ventricular diastolic dysfunction (DD), preferably mild DD as an abnormal relaxation without increased LV end-diastolic filling pressure (decreased E/A ratio < 0.75) or moderate or pseudonormal" DD as an abnormal relaxation with increased LV end-diastolic filling pressure (E/A 0.75 to 1.5, deceleration time > 140 ms, and
- 30 2 other Doppler indices of elevated LV end-diastolic filling pressure); DD as myocardial dysfunction with preserved ejection fraction, cardiac dysfunction as six minutes walk test, cardiac dysfunction as detectable with coronary catheterization; previous myocardial infarction.

[0070] Preferably, the functional and/or structural abnormalities of the heart preceding heart failure as referred to herein are early (early stage) functional and/or structural abnormalities. Preferably, early (early stage) functional and/or structural abnormalities of the heart precede heart failure and left ventricular hypertrophy.

- ³⁵ structural abnormalities of the heart precede heart failure and left ventricular hypertrophy. [0071] In the context of the present invention, early stage functional and/or structural abnormalities of the heart preceding heart failure individuals (preferably early stage B) in general suffer from at least one of the following functional and/or structural abnormalities of the heart: functional and/or structural damage of the myocardium, epicardium, valves, or coronary circulation; impaired pumping or filling capacity, often a systolic or a diastolic impairment; change in the
- 40 geometry of the left ventricle; hypertension associated with geometric chamber distortion; hypertension associated with left ventricular structural changes without developing or developed left ventricular hypertrophy, preferably hypertension associated with increased septum diameter (or septum enlargement) without hypertrophy; hypertension associated with increased posterial wall diameter, hypertension associated with concentric increased myocardial enlargement, hypertension associated with eccentric increased myocardial enlargement, asymptomatic diastolic left ventricular dysfunction
- with preserved left ventricular ejection fraction (LVEF), in particular a LVEF of > 50 %.
 [0072] In a preferred embodiment, the functional and/or structural abnormality of the heart preceding heart failure and/or left ventricular hypertrophy is at least one abnormality selected from
 - left ventricular structural changes,
- an increased septum diameter (and/or septum enlargement), in particular hypertension associated with increased septum diameter (or septum enlargement),
 - increased posterial wall diameter, in particular hypertension associated with increased posterial wall diameter, and
 - diastolic dysfunction, in particular with preserved ejection fraction.
- ⁵⁵ **[0073]** Preferably, the diastolic dysfunction is asymptomatic diastolic left ventricular dysfunction with preserved left ventricular ejection fraction (LVEF), in particular a LVEF of > 50 %.

[0074] Thus, a preferred structural abnormality of the heart preceding heart failure and/or left ventricular hypertrophy is selected from left ventricular structural changes, an increased septum diameter (and/or septum enlargement), and

increased posterial wall diameter. Thus, a preferred functional abnormality of the heart preceding heart failure is diastolic dysfunction, in particular diastolic dysfunction with preserved ejection fraction.

[0075] Preferably, the septum diameter is increased (and/or the septum is enlarged), if the septum wall is thicker than 11 mm. More preferably, the septum diameter is increased (and/or the septum is enlarged) if the thickness of the septum wall is larger than 11 but lower than 16 mm, or, most preferably, if the thickness is between 12 and 16 mm.

- **[0076]** Preferably, the posterial wall diameter is increased, if the posterial wall is thicker than 11 mm. More preferably, the posterial wall diameter is increased if the thickness of the posterial wall is larger than 11 but lower than 16 mm, or, most preferably, if the thickness is between 12 and 16 mm.
- [0077] In a preferred embodiment of the present invention, the patient having early stage functional and/or structural abnormalities of the heart preceding heart failure (early stage B) shows the following risk factors of suffering from heart failure: history of cigarette smoking, obesity, metabolic syndrome, diabetes type 1 and type 2, arterial hypertension. Thus, the subject, preferably, suffers from hypertension, diabetes, obesity, metabolic syndrome and/or a history of smoking (and, thus, has a history of smoking).
 - [0078] In a particularly preferred embodiment, the subject suffers from hypertension and/or diabetes.
- 15

5

diastolic dysfunction

[0079] In a preferred embodiment of the present invention, the method permits to diagnose asymptomatic diastolic dysfunction in the individual having early stage functional and/or structural abnormalities of the heart preceding heart

- failure (early stage B). The individual may also suffer from systolic dysfunction. Furthermore, the individual may suffer from morphological changes in its left ventricle. Preferably, the individual suffering from diastolic dysfunction does not suffer from a reduced left ventricular ejection fraction LVEF (i.e. the individual has a preserved LVEF). In one preferred embodiment, the individual suffering from diastolic dysfunction does not suffer from systolic dysfunction. The individual, in particular, does not suffer from left ventricular hypertrophy LVH.
- ²⁵ **[0080]** In an even more preferred embodiment of the present invention, the patient having early stage functional and/or structural abnormalities of the heart preceding heart failure (early stage B) and suffering from diastolic dysfunction, as specified in the preceding paragraph, shows at least one of the following risk factors of suffering from heart failure: history of cigarette smoking, obesity, metabolic syndrome, diabetes type 1 and type 2, arterial hypertension, in particular arterial hypertension.
- 30

35

55

heart failure

[0081] Heart failure is a condition that can result from any structural or functional cardiac disorder that impairs the ability of the heart to fill with or pump a sufficient amount of blood throughout the body. Heart failure is a chronic disease; it can, inter alia, occur either following an acute cardiovascular event (like myocardial infarction), or it can occur e.g. as a consequence of inflammatory or degenerative changes in myocardial tissue.

[0082] Functional and/or structural functional and/or structural abnormalities of the heart and heart failure often remain undiagnosed, particularly when the condition is considered "mild". A patient having heart failure, however, will not be able to fully restore his health. Even with the best therapy, heart failure is associated with an annual mortality of about 10%.

- 40 [0083] The term "heart failure" as used herein relates to an impaired systolic and/or diastolic function of the heart being accompanied by overt signs of heart failure as known to the person skilled in the art. Preferably, heart failure referred to herein is also chronic heart failure. Heart failure according to the present invention includes overt and/or advanced heart failure. In overt heart failure, the subject shows symptoms of heart failure as known to the person skilled in the art. [0084] The term "heart failure" as used herein refers to stages C and D of the ACC/AHA classification; in these stages,
- ⁴⁵ the subject shows typical symptoms of heart failure. i.e. the subject is not apparently healthy. The subject having heart failure and being classified into stage C or D has undergone permanent, non reversible structural and/or functional changes to his myocardium, and as a consequence of these changes, full health restoration is not possible. A subject having attained stage C or even D of the ACC/AHA classification cannot go back to stage B or even A.
- [0085] Permanent structural or functional damages to the myocardium which are typical for heart failure are known to
 the person skilled in the art and include a variety of molecular cardiac remodelling processes, such as interstitial fibrosis, inflammation, infiltration, scar formation, apoptosis, necrosis.
 [0086] A stiffer ventricular wall due to interstitial fibrosis causes inadequate filling of the ventricle in diastolic dysfunction.

Permanent structural or functional damages to the myocardium are caused by dysfunction or destruction of cardiac myocytes. Myocytes and their components can be damaged by inflammation or by infiltration. Toxins and pharmacological agents (such as ethanol, cocaine, and amphetamines) cause intracellular damage and oxidative stress. A common mechanism of damage is ischemia causing infarction and scar formation. After myocardial infarction, dead myocytes are replaced by scar tissue, deleteriously affecting the function of the myocardium. On echocardiogram, this is manifest by abnormal or absent wall motion.

[0087] Manifestations (symptoms) of HF are dyspnea and fatigue and fluid retention, which may lead to pulmonary congestion and peripheral edema, typical signs on the physical examination are edema and rales. There is no single diagnostic test for HF because it is largely a clinical diagnosis that is based on a careful history and physical examination. [0088] The clinical syndrome of HF may result from disorders of the pericardium, myocardium, endocardium, or great

- ⁵ vessels, but the majority of patients with HF suffers from an impairment of LV myocardial function. Heart failure may be associated with a wide spectrum of LV functional abnormalities, ranging from e.g. normal LV size and preserved EF to severe dilatation and/or markedly reduced EF. In most patients, abnormalities of systolic and diastolic dysfunction coexist. Patients with normal EF may have a different natural history and may require different treatment strategies than patients with reduced EF.
- ¹⁰ **[0089]** The various alterations of systolic and diastolic function seen with LVH obviously can progress into congestive heart failure (CHF).

[0090] Systolic and diastolic heart failure can be diagnosed by methods known to the person skilled in the art, preferably echocardiography, in particular tissue Doppler echocardiography (TD).

[0091] In general, systolic heart failure is apparent by a reduced left ventricular ejection fraction (LVEF). In an embodiment of the present invention, heart failure as used herein is accompanied by a left ventricular ejection fraction (LVEF) of less than 50 % or midwall fractional shortening (MFS) <15%.</p>

[0092] Diastolic heart failure (DHF) is supposed to account for more than 50% of all heart failure patients and is also referred to as heart failure with normal LVEF ejection fraction (HFNEF). The diagnosis of HFNEF requires the following conditions to be satisfied: (i) signs or symptoms of heart failure; (ii) normal or mildly abnormal systolic LV function; (iii)

- evidence of diastolic LV dysfunction. Normal or mildly abnormal systolic LV function implies both an LVEF > 50% and an LV end-diastolic volume index (LVEDVI) <97 mL/m². Diastolic LV dysfunction is preferably diagnosed by tissue Doppler (TD), wherein a ration *E*/*E*' > 15 is regarded as diagnostic evidence for diastolic LV dysfunction (E being early mitral valve flow velocity; and E' being early TD lengthening velocity) If TD yields an *E*/*E*' ratio suggestive of diastolic LV dysfunction (15 > *E*/*E*' > 8), additional non-invasive investigations are required for diagnostic evidence of diastolic
- ²⁵ LV dysfunction. (e.g. Doppler of the lateral mitral annulus, Doppler of mitral valve or pulmonary veins, echo measures of LV mass index or left atrial volume index, electrocardiographic evidence of atrial fibrillation). For more detailed information on diastolic LV dysfunction, reference is made to the Consensus statement on the diagnosis of heart failure with normal left ventricular ejection fraction by the Heart Failure and Echocardiography Associations of the European Society of Cardiology, European Heart Journal 2007, 28, 2359-2550.
- 30

risk factors

[0093] In general, prior to carrying out the methods of the present invention, the respective individual is diagnosed for risk factors of developing heart failure.

- 35 [0094] Risk factors are known to the person skilled in the art and include the following: hypertension; age, systolic and diastolic hypertension; coronary artery disease (CAD); subclinical organ damage, e.g. of the heart, brain, kidneys, blood vessels; obesity, preferably obesity defined as body mass index BMI < 25kg/m²; adipositas; metabolic syndrome; diabetes mellitus type 1 or type 2, in particular type 2 diabetes mellitus; type 1 diabetes with microalbuminuria, cigarette smoking; history of revascularization; history of cardiotoxic drug therapy or alcohol abuse; dyslipidemia; total cholesterol, total cholesterol/HDL-cholesterol ratio, personal history of rheumatic fever; family history of cardiomyonathy.
- ⁴⁰ cholesterol/HDL-cholesterol ratio, personal history of rheumatic fever; family history of cardiomyopathy.
 [0095] It is to be understood that the above list is not exhaustive. A more exhaustive citation of risk factors is found in the 2007 Guidelines for the Management of Arterial Hypertension, European Heart Journal (2007) 28, 1462-1536 which is incorporated herein in its entirety in respect to the risk factors, in particular tables 1, 2 and 3 and figure 1.
 [0096] Individual risk factors comprise the risk of CVD and of developing fatal atherosclerotic events, which is calculated
- ⁴⁵ using the SCORE system available from the European Society of Hypertension (European Society of Hypertension Scientific Newsletter 2010, 11, No 48). According to the recommended scoring system of the ESC of Hypertension based on the number and levels of risk factors different risk groups are formed (low, low-moderate ...) and accordingly therapy is adapted. The disclosure of the European Society of Hypertension Scientific Newsletter 2010, 11, No 48 is incorporated by reference in its entirety in the present application.
- ⁵⁰ **[0097]** The term "subclinical organ damage" as used herein refers to a pathophysiological state (damage) of an organ. The term is known to the person skilled in the art. Subclinical organ damage may be caused by various reason, e.g. by one or more risk factors of suffering from heart failure as specified above, in particular arterial hypertension, does not give rise to clinical symptoms and cannot be recognized by the person skilled in the art without referring to an established diagnostic method (e.g. cardiac hypertrophy, low grade albuminuria, pulse wave velocity, ...). For a more detailed defi-
- ⁵⁵ nition of subclinical organ damage, reference is made to the European Heart Journal (2007) 28, 1462-1536 which is incorporated herein in its entirety in respect to the risk factors, in particular chapter 3.6 including box 7.
 [0098] It is to be understood that the above list is not exhaustive. Furthermore, the respective individual may show one risk factor, or 2 or even more risk factors. For example, it is known that diabetes mellitus in general triggers CAD

and/or hypertension; coronary artery disease, irrespective of the existence of diabetes mellitus, often leads to hypertension.

[0099] An individual suffering from one or more of the risk factors as specified beforehand and not having symptoms of heart failure (apparently healthy) will in general and preferably be classified into ACC/AHA stage A in case no functional and/or structural abnormalities of the heart preceding heart failure is diagnosed in the individual.

- ⁵ and/or structural abnormalities of the heart preceding heart failure is diagnosed in the individual. [0100] It is important to note that the criteria are not ambiguous and the classification of an individual may vary depending on the physician carrying out examination. The first criterium for the classification of an individual in stage A (and also in stages B, C and D) of the ACC/AHA classification is the description of the patient (see the above definition of the ACC/AHA classification, as taken from the original publication of the Guidelines). The examples for risk fac-
- tors/pathophysiological state of each stage cannot be exhaustive, as becomes clear from an inspection of the original publications. This means that, in the context of the present invention, an individual will in general and preferably be classified into stage A of the ACC/AHA classification even in case the risk factors which the individual bears are not explicitly cited in the guidelines.

[0101] In an embodiment of the present invention, the individual bearing risk factors of developing heart failure is an

- ¹⁵ individual which has been classified into ACC/AHA stage A prior to carrying out the methods according to the present invention. The individual classified in stage A may have experienced physiological changes towards pathophysiological states belonging to ACC/AHA stage B (i.e. functional and/or structural abnormalities of the heart preceding heart failure). In the context of the present invention, this state is referred to as "early stage of functional and/or structural abnormalities of the heart preceding heart failure" (early stage B) and is different from a "late stages of functional and/or structural
- ²⁰ abnormalities of the heart preceding heart failure" (late stage B). Preferably, the individual does not show obvious symptoms of heart failure. It is even more preferred if the individual does not show left ventricular hypertrophy (i.e. the individual is apparently healthy).

25

[0102] As the individual, preferably, does not show obvious or overt signs of heart failure and, more preferably, of left ventricular hypertrophy (i.e. the individual is apparently healthy) the physiological changes which may have occurred are not diagnosed without carrying out a detailed diagnosis, either with the methods known in the art (which are onerous,

costly and time-consuming) or with the methods of the present invention. [0103] Preferably, the subject suffers from hypertension, in particular from arterial hypertension. In the context of the present invention, an individual suffering from hypertension will be referred to as "hypertensive individual". The hypertension can be any form of hypertension known to the person skilled in the art. Non-limiting examples include subjects

³⁰ suited for anti-hypertensive medication due to their cardiovascular risk profile according to the recommendations of the guidelines as well as subjects already at anti-hypertensive medication. In this respect, reference is made to the 2007 Guidelines for the Management of Arterial Hypertension, European Heart Journal (2007) 28, 1462-1536 and the European Society of Hypertension Scientific Newsletter 2010, 11, No 48).

[0104] In a preferred embodiment of the present invention, the individual suffers from arterial hypertension, systolic and/and or diastolic hypertension. Hypertension may be accompanied by one or more of the above-referenced further risk factors. Thus, a subject bearing risk factors of heart failure may suffer from hypertension accompanied by one or more of the above-referenced further risk factors. However, it is also contemplated that said subject suffers from hypertension alone.

- [0105] In another preferred embodiment of the present invention, the individual suffers from diabetes mellitus, in
 particular diabetes mellitus type 2. In yet another preferred embodiment, the individual suffers from obesity. In yet another preferred embodiment, the individual suffers from metabolic syndrome. In yet another preferred embodiment the individual suffers from low, moderate, high or very high risk according to the risk chart of the European Society of Hypertension.
 [0106] Diagnosis of risk factors generally occurs by methods known to the person skilled in the art, in general cardiac auscultation and/or ECG and/or chest x-ray and/or echocardiography, tissue Doppler echocardiography, coronary cath-
- eterization, determination of blood pressure, determination of pulse wave velocity, determination of intima media thickness, determination of diabetes mellitus, determination of metabolic syndrome, determination of body mass index, determination of smoking habitus, determination of total cholesterol, determination of LDL-cholesterol, determination of blood glucose determination of cardiovascular risk profile according to risk chart of the European Society of Hypertension and according to the Guidelines for the Management of Arterial Hypertension, European Heart Journal (2007) 28, 1462-1536 and the European Society of Hypertension Scientific Newsletter 2010, 11, No 48)
- [0107] In a preferred embodiment one or more of the additional diagnostic steps cited beforehand are a part of the present invention, i.e. these additional steps may be carried out in addition to the method of the present invention making use of a cardiac troponin or a variant thereof for diagnosing functional and/or structural abnormalities of the heart preceding heart failure. This also applies for the other methods of the present invention.
- ⁵⁵ **[0108]** Accordingly, the present invention relates to a method of diagnosing in a subject functional and/or structural abnormalities of the heart preceding heart failure, based on the diagnosis of risk factors of developing heart failure by a method or methods known to the person skilled in the art and, furthermore, comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the con-

centration of this or these marker(s) in a control sample.

[0109] The method of the invention may comprise the following steps: a) diagnosing risk factors of developing heart failure by a method or methods known to the person skilled in the art, b) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof; c) optionally measuring in the sample the con-

centration of one or more other marker(s) of heart failure; and d) assessing the said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to the concentration of this marker or these markers to reference amounts, e.g. to the concentration of this marker or these markers as established in a control sample.

[0110] The present invention provides a method of diagnosing in a subject functional and/or structural abnormalities of the heart preceding heart failure, the method comprising the steps of

a) diagnosing risk factors of developing heart failure by a method or methods known to the person skilled in the art,b) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- c) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
- d) assessing the said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts.
- [0111] The methods known to the person skilled in the art are the following:
- 20

25

15

cardiac auscultation and/or ECG and/or chest x-ray and/or echocardiography, tissue Doppler echocardiography, coronary catheterization, determination of blood pressure, determination of pulse wave velocity, determination of intima media thickness, determination of diabetes mellitus, determination of metabolic syndrome, determination of body mass index, determination of smoking habitus, determination of total cholesterol, determination of LDL-cho-lesterol, determination of blood glucose determination of cardiovascular risk profile according to risk chart of the European Society of Hypertension and according to the Guidelines for the Management of Arterial Hypertension, European Heart Journal (2007) 28, 1462-1536 and the European Society of Hypertension Scientific Newsletter

- 2010, 11, No 48)
- 30 [0112] In the above method, step a) and step b), or step a) and steps b) to d) can be carried out simultaneously (i.e. at the same point in time) or subsequently (i.e. at different points in time). In case the steps are carried out subsequently, the time interval between the steps is not long enough to allow a change in the pathophysiological state of the subject. [0113] In accordance with the present invention, an increased concentration of cardiac troponin or a variant thereof, in particular troponin T or a variant thereof is indicative for functional and/or structural abnormalities of the heart preceding
- ³⁵ heart failure. The subject bears risk factors of developing heart failure and is preferably an asymptomatic subject, more preferably suffering from left ventricular dysfunction (LVD), more preferably diastolic left ventricular dysfunction, even more preferably diastolic left ventricular dysfunction with preserved left ventricular systolic function. In particular, the individual has not developed LVH (i.e. LVH is not apparent). A decreased concentration of a cardiac troponin, preferably troponin T or a variant thereof or Troponin I or a variant thereof, in particular Troponin T or a variant thereof is indicative for the absence of heart disease.
- **[0114]** In accordance with the foregoing, troponin T concentrations of \geq (equal to or higher than) about 5.0 pg/mL, preferably \geq about 6.0 pg/mL, in particular \geq about 7,0 pg/mL are increased troponin T concentrations which are indicative for functional and/or structural abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy, as laid out in the preceding paragraph. Moreover troponin T concentrations of equal to or higher than about 3.3
- ⁴⁵ pg/ml, preferably of equal or higher than about 3.5 pg/ml are increased troponin T concentrations which are indicative for functional and/or structural abnormalities of the heart preceding heart failure and/or left ventricular hypertrophy. [0115] Moreover, troponin I concentrations of, preferably, equal or higher than 0.2 9.0 pg/ml, or more preferably, of equal to or higher than about 9 pg/ml are increased troponin I concentrations which are indicative for functional and/or structural abnormalities of the heart preceding heart failure and/or left ventricular hypertrophy. A concentration of a structural abnormalities of the heart preceding heart failure and/or left ventricular hypertrophy.
- ⁵⁰ cardiac troponin, preferably troponin T or I, lower of the aforementioned concentrations, preferably is indicative for the absence of functional and/or structural abnormalities of the heart preceding heart failure and/or left ventricular hypertrophy.

[0116] In the studies carried out in the context of the present invention, it was surprisingly found, that an increase of a cardiac troponin, in particular of troponin T, that is at least 20% or more preferably, of at least 30% larger than the amount of a cardiac troponin, in particular of troponin T, is indicative for the diagnosis of functional and/or structural abnormalities preceding heart failure and/or preceding left ventricular by ventrophy. According to the output of the output to the output of the output

⁵⁵ amount of a cardiac troponin, in particular of troponin T, is indicative for the diagnosis of functional and/or structural abnormalities preceding heart failure and/or preceding left ventricular hypertrophy. According to the explanation of the terms "decreased" or "increased", as used in the context of the present invention, preferably, denote with an increase or decrease of at least 10 %, of at least 20%, preferably at least 30 %, preferably, in respect to the amount/concentration

of the marker in a sample from a healthy individual/subject and/or from healthy individuals/subjects. In respect to reference amounts no increase of Nt-ProBNP was observed in the in the subjects belonging to groups 1 to 3 described in Example 1. **[0117]** In the studies carried out in the context of the present invention, it was further surprisingly found, that an increase of a cardiac troponin, in particular of troponin T, in a sample that is derived from a female subject that is at least 30%,

- or more preferably, at least 50% larger than the amount of a cardiac troponin, in particular of troponin T, in a sample of a healthy female subject (or group of healthy female subjects) is indicative for the diagnosis of functional and/or structural abnormalities preceding heart failure and/or preceding left ventricular hypertrophy.
 [0118] If the amount of troponin T is measured, the reference amount serving as a cut-off, preferably, is within a range
- of 2 to 14 pg/ml. Even more preferably, the reference amount, serving as cut-off for Troponin is within a range of 3.2 to
 4 pg/ml. Particularly preferred reference amounts of troponin T that may serve as cut-off amount are, in increasing order
 of preference, 3.2, 3.4 and 4.2 pg/ml. A preferred reference amount of troponin I that may serve as cut-off amount is 9.0 pg/ml. An amount of a cardiac troponin in sample, preferably in a serum or plasma sample, of a subject larger than the reference amount, preferably, is indicative for the diagnosis of functional and/or structural abnormalities preceding heart failure and/or preceding left ventricular hypertrophy. An amount of a cardiac troponin in sample, preferably a serum or
- plasma sample, of subject lower than the reference amount, preferably, indicates that the subject has no functional and/or structural abnormalities preceding heart failure and/or preceding left ventricular hypertrophy.
 [0119] The above values were established using Roche's electrochemiluminescence ELISA sandwich test Elecsys Troponin T hs (high sensitive) STAT (Short Turn Around Time) assay, as specified in the examples, under "Methods".
 [0120] Moreover, it has been found that each of said biomarkers is statistically independent from each other.
- ²⁰ **[0121]** The present invention relates to a method which permits to diagnose or assess or evaluate if a subject who is bearing risk factors for heart failure only bears risk factors or if the risk factors have developed into functional and/or structural abnormalities of the heart preceding heart failure.

[0122] An individual suffering from functional and/or structural abnormalities of the heart preceding heart failure as specified beforehand and not having symptoms of heart failure (apparently healthy) will in general and preferably be classified into ACC/AHA stage B in case heart failure is not diagnosed in the individual.

[0123] It is important to note that the criteria are not ambiguous and the classification of an individual may vary depending on the physician carrying out examination. The first criterium for the classification of an individual in stage B (and also in stages A, C and D) of the ACC/AHA classification is the description of the patient (see the above definition of the ACC/AHA classification, as taken from the original publication of the Guidelines). The examples for risk fac-

25

50

- ³⁰ tors/pathophysiological state of each stage cannot be exhaustive, as becomes clear from an inspection of the original publications. This means that, according to the present invention, an individual will in general and preferably be classified into stage B of the ACC/AHA classification even in case functional and/or structural abnormalities of the heart preceding heart failure which the individual bears is not explicitly cited in the guidelines.
- [0124] The proteins which are measured in the context of the present invention can be measured in one single sample or various samples of the subject, e.g. 2, 3, 4 or 5 samples. The samples may be obtained at the same time or at different time points. For example, the samples may be collected before and/or during and/or after therapy of the patient.
 [0126] Breferably, the cardiac teners is transmin T or a variant therapin L or a variant therapin.
 - **[0125]** Preferably, the cardiac troponin is troponin T or a variant thereof or troponin I or a variant thereof, in particular troponin T or a variant thereof.
- [0126] In further embodiments of the present invention, at least one further marker to the marker selected from cardiac troponins, namely at least on further marker of heart failure, is used in the methods of the present invention. The at least one marker of heart failure is selected from GDF-15 and variants thereof and IGFBP7 and variants thereof. In an embodiment of the present invention, the cardiac troponin is selected from troponin T and variants thereof and troponin I and variants thereof, in particular the cardiac troponin is troponin T or a variant thereof. In one embodiment of the present invention, the following markers are determined in combination: the cardiac troponin is selected from troponin
- T and troponin I and variants thereof, in particular the cardiac troponin is troponin T or a variant thereof; GDF-15 or a variant thereof; and IGFBP7 or a variant thereof.
 [0127] The term "diagnosing" as used herein means assessing, identifying, evaluating or classifying if a subject suffers from functional and/or structural abnormalities of the heart preceding heart failure. The term "diagnosing" also refers to
 - from functional and/or structural abnormalities of the heart preceding heart failure. The term "diagnosing" also refers to distinguishing between a subject only bearing risk factors of developing heart failure, or already suffering from functional and/or structural abnormalities of the heart preceding heart failure.
 - **[0128]** The person skilled in the art is aware of methods to diagnose if a subject suffers from functional and/or structural abnormalities of the heart preceding heart failure or is about to develop functional and/or structural abnormalities of the heart preceding heart failure, where the subject is classified into ACC/AHA stage A. This diagnosis is in general costly, time-consuming and requires medical skill and experience. Methods of evaluation are known to the person skilled in the
- ⁵⁵ art and are typically based on medical history, further evaluation includes examination using diagnostic apparatusses/devices (cardiac auscultation, ECG, echocardiography, chest x-ray, radionuclide imaging, ventriculography, CT scan, MRI and/or stress testing, coronary angiography, ultrasonography, coronary catheterization). Other tests may be done as needed to determine the cause. Treatment depends on the specific type and severeness of the functional and/or

structural abnormalities of the heart preceding heart failure.

[0129] For example, a preliminary diagnosis of left ventricular hypertrophy can usually be made based on the results of a physical examination. The heart sounds heard through a stethoscope are usually characteristic. Echocardiography is the best way to confirm the diagnosis. Electrocardiography (ECG) and a chest x-ray are also helpful. Cardiac cathe-

⁵ terization, an invasive procedure, is performed to measure pressures in the heart chambers only if surgery is being considered.

[0130] The diagnostic methods listed above can be used supplementary/complementary with the methods of the present invention based on the determination of the cited markers.

- **[0131]** The markers (peptides) which are used in the present invention can also be used, in further embodiments of the present invention, for the confirmation of a diagnosis established by a conventional diagnostic method known in the art, and vice versa. Accordingly, the present invention also relates to a method of confirming a diagnosis which is not or only partly-based on the determination of the markers used in the present invention, by determining the concentration of the markers used in the present invention, comparing these to the concentration of said marker in a control sample, and confirming or not confirming the diagnosis obtained by methods according to the state of the art.
- 15

confirmation

[0132] In a further embodiment, the present invention relates to a method of confirming in a subject functional and/or structural abnormalities of the heart preceding heart failure, based on the diagnosis of risk factors of developing heart

failure by a method or methods known to the person skilled in the art and, furthermore, comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these marker(s) in a control sample.

[0133] The method of the invention may comprise the following steps: a) diagnosing risk factors of developing heart failure by a method or methods known to the person skilled in the art, b) measuring in a sample obtained from the subject

- the concentration of at least one cardiac troponin or a variant thereof; c) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure; and d) confirming the said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts, e.g to the concentration of this marker or these markers as established in a control sample. [0134] Accordingly, the present invention provides a method of confirming in a subject functional and/or structural
- 30 abnormalities of the heart preceding heart failure, the method comprising the steps of

a) diagnosing in the subject risk factors of developing heart failure by a method or methods known to the person skilled in the art,

- ³⁵ b) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,
 - c) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
 - d) confirming the said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts.
- 40

[0135] The methods known to the person skilled in the art generally use diagnostic apparatusses/devices and are selected from the following: transvenous endomyocardial biopsy, cardiac catheterization, cardiac auscultation, ECG, echocardiography, chest x-ray, radionuclide imaging, ventriculography, CT scan, MRI and/or stress testing, coronary angiography, ultrasonography,

- ⁴⁵ [0136] In the above method, step a) and step d), or steps a) to c) and step d) can be carried out simultaneously (i.e. at the same point in time) or subsequently (i.e. at different points in time). In case the steps are carried out subsequently, the time interval between the steps is not long enough to allow a change in the pathophysiological state of the subject. [0137] As already mentioned beforehand, more than one pathomechanism may be the cause for the occurrence of pathological left ventricular hypertrophy in a subject.
- 50

risk prediction

55

[0138] In a further embodiment, the present invention provides a method of predicting the risk of a subject to suffer from heart failure, or respective cardiovascular and renal events preceding heart failure, based on the comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these marker(s) in a control sample.

[0139] The method of the invention may comprise the following steps: a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof; b) optionally measuring in the sample the

concentration of one or more other marker(s) of heart failure; and c) predicting the risk of the subjects to suffer from heart failure or from cardiovascular and renal events, by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts, e.g to the concentration of this marker or these markers as established in a control sample.

⁵ **[0140]** Accordingly, the present invention provides a method of predicting the risk of a subject to suffer from heart failure, or respective cardiovascular and renal events preceding heart failure, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- ¹⁰ b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and c) predicting the risk of the subjects to suffer from heart failure or from cardiovascular and renal events by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount.
- ¹⁵ **[0141]** The above method of predicting may comprise an optional step bb) of diagnosing functional and/or structural abnormalities of the heart preceding heart failure. This step bb) may identify any of the heart diseases cited beforehand, including preferably those found in early stage functional and/or structural abnormalities of the heart preceding heart failure. In a preferred embodiment of the present invention, the individual is an individual for whom the risk of suffering from heart failure is determined is an individual wherein LVH is not apparent.
- 20

25

method of predicting prior to LVH

[0142] Therefore, in a further embodiment, the present invention provides a method of predicting the risk of heart failure in a subject before left ventricular hypertrophy (LVH) is apparent, based on the comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these marker(s) in a control sample.

[0143] The method of the invention may comprise the following steps: a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof; b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure; and c) predicting the risk of the subjects to suffer from

- ³⁰ heart failure by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts, e.g. to the concentration of this marker or these markers as established in a control sample.
 [0144] Accordingly, the present invention provides a method of predicting the risk of heart failure in a subject before left ventricular hypertrophy (LVH) is apparent, the method comprising the steps of
- ³⁵ a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,
 - b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
 - c) predicting the risk of the subjects to suffer from heart failure by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts.
- 40

[0145] The subject, preferably, bears risk factors for heart failure, e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.

diastolic dysfunction

45

[0146] In a preferred embodiment of the present invention, the above method permits to predict the risk of suffering from heart failure in an individual having early stage functional and/or structural abnormalities of the heart preceding heart failure (early stage B) and suffering from asymptomatic diastolic dysfunction. The individual may also suffer from systolic dysfunction. Furthermore, the individual may suffer from morphological changes in its left ventricle. Preferably,

- 50 the individual suffering from diastolic dysfunction does not suffer from a reduced left ventricular ejection fraction LVEF (i.e. the individual has a preserved LVEF). In one preferred embodiment, the individual suffering from diastolic dysfunction does not suffer from systolic dysfunction. The individual, in particular, does not suffer from left ventricular hypertrophy LVH. [0147] In an even more preferred embodiment of the above method, the patient having early stage functional and/or structural abnormalities of the heart preceding heart failure (early stage B) and/or suffering from diastolic dysfunction,
- ⁵⁵ as specified in the preceding paragraph, shows the following risk factors of suffering from heart failure: history of cigarette smoking, obesity, metabolic syndrome, diabetes type 1 and type 2, arterial hypertension, in particular arterial hypertension.

[0148] In case of a prediction in a subject having a diastolic dysfunction, the method of prediction according to the

invention may comprise an optional step bb) of diagnosing (asymptomatic) diastolic dysfunction. This step bb) may identify any of the functional and/or structural abnormalities of the heart cited beforehand, including preferably those found in early stage functional and/or structural abnormalities of the heart preceding heart failure.

[0149] It is known in the art that individuals having a diastolic dysfunction are predisposed to suffer from heart failure
 as a consequence of the diastolic dysfunction. This is published, for example, in; Med Clin North Am. 2009 May;93(3):647-64; Am J Hypertens. 2001 Feb;14(2):106-13; Hypertension. 2002;40:136-14.

prediction of early stage B

- ¹⁰ **[0150]** In a preferred embodiment of the present invention, the above method permits to predict the risk of an individual preferably having risk factors of developing heart failure (stage A) to suffer from functional and/or structural abnormalities of the heart preceding heart failure (stage B) and/or preceding left ventricular hypertrophy. In a preferred embodiment of the above method, the risk to suffer from a left ventricular dysfunction, in particular a diastolic dysfunction, of the individual having risk factors is predicted. Preferably, the individual suffering from diastolic dysfunction does not suffer
- ¹⁵ from a reduced left ventricular ejection fraction LVEF (i.e. the individual has a preserved LVEF). In one preferred embodiment, the individual suffering from diastolic dysfunction does not suffer from systolic dysfunction. In a further preferred embodiment of the above method, the risk to suffer from a structural change of the left ventriculum is predicted. In particular, the risk is predicted before the individual suffers from LVH (i.e. the risk of an individual preferably having risk factors of developing heart failure (stage A) to suffer from early stage functional and/or structural abnormalities of the
- ²⁰ heart (early stage B) typically preceding heart failure left ventricular hypertrophy (late stage B), is predicted. [0151] In an even more preferred embodiment of the above method, the subject having risk factors of suffering from heart failure (stage A) or to suffer from functional and/or structural abnormalities of the heart preceding heart failure (stage B), as specified in the preceding paragraphs, shows the following risk factors of suffering from heart failure: history of cigarette smoking, obesity, metabolic syndrome, diabetes type 1 and type 2, arterial hypertension, in particular arterial
- ²⁵ hypertension.

[0152] In case of a prediction in a subject having a diastolic dysfunction, the method of prediction according to the invention may comprise an optional step bb) of diagnosing (asymptomatic) diastolic dysfunction. This step bb) may identify any of the functional and/or structural abnormalities of the heart cited beforehand, including preferably those found in early stage functional and/or structural abnormalities of the heart preceding heart failure.

30

method of predicting the risk of a female subject to suffer from LVH

- [0153] The definitions and explanation given herein, preferably, apply mutatis mutandis to the following:
- ³⁵ Moreover, the present invention relates to a method of predicting the risk of a female subject to suffer from left ventricular hypertrophy, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
 c) predicting the risk of the subjects to suffer from left ventricular hypertrophy by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount.
- [0154] The method of the present invention, preferably, is an ex vivo method. Moreover, it may comprise steps in addition to those explicitly mentioned above. For example, further steps may relate to sample pre-treatments or evaluation of the results obtained by the method. The method may be carried out manually or assisted by automation. Preferably, the steps may in total or in part be assisted by automation, e.g., by a suitable robotic and sensory equipment for the determination or a computer-implemented comparison based on said comparison. More preferably, the method is carried out entirely in an automated manner. In such a case, the prognostic result which is established in step c) is generated
- 50 in a suitable output format so that it can be used as an aid for establishing the final clinical prognosis by, e.g., a medical practitioner.
 104551 In the content of the dependence of the content investigation of a female subject to suffer female subject to

[0155] In the context of the aforementioned method of the present invention, the risk of a female subject to suffer from LVH shall be predicted. The term "predicting the risk" as used herein, preferably, refers to assessing the probability according to which the female subject as referred to herein will suffer from left ventricular hypertrophy. More preferably,

⁵⁵ the risk/probability to suffer from left ventricular hypertrophy within a certain time window is predicted. In a preferred embodiment of the present invention, the predictive window, preferably, is an interval of at least 6 month, at least 9 month, at least 1 year, at least 2 years, at least 3 years, at least 4 years, at least 5 years, at least 10 years, at least 15 years or any intermitting time range. In a particular preferred embodiment of the present invention, the predictive window,

preferably, is an interval of 10 years, or more preferably, of 5 years. Preferably, said predictive window is calculated from the time point at which the sample to be tested has been obtained.

[0156] As will be understood by those skilled in the art, the aforementioned prediction is usually not intended to be correct for 100% of the subjects to be analyzed. The term, however, requires that the assessment will be valid for a

- ⁵ statistically significant portion of the subjects to be analyzed. Whether a portion is statistically significant can be determined without further ado by the person skilled in the art using various well known statistic evaluation tools, e.g., determination of confidence intervals, p-value determination, Student's t-test, Mann-Whitney test, etc. Details are found in Dowdy and Wearden, Statistics for Research, John Wiley & Sons, New York 1983. Preferred confidence intervals are at least 90%, at least 95%, at least 97%, at least 98% or at least 99 %.
- ¹⁰ **[0157]** The term "predicting", preferably, relates to assessing whether a subject is at risk or not at risk to suffer from left ventricular hypertrophy (the term left ventricular hypertrophy has been defined elsewhere herein, the definition applies accordingly). Preferably, it shall be assessed whether a female risk is at elevated risk or at reduced risk as compared to the average risk in a population of subjects. The term "predicting the risk to suffer from left ventricular hypertrophy" as used herein means that the subject to be analyzed by the method of the present invention is allocated either into the
- ¹⁵ group of subjects being at risk to suffer from left ventricular hypertrophy, or into a group of subjects being not at risk to suffer from left ventricular hypertrophy. Having a risk to suffer from left ventricular hypertrophy as referred to in accordance with the present invention, preferably, means that the risk to suffer from left ventricular hypertrophy is increased (preferably, within the predictive window). Preferably, said risk is elevated as compared to the average risk in a cohort of female subjects, in particular in a cohort of subjects bearing at least one risk factor to suffer from heart failure (preferably,
- 20 the same risk factor(s) as the test subject). A subject who is not at risk to suffer from left ventricular hypertrophy as referred to in accordance with the present invention, preferably, has a reduced risk to suffer from left ventricular hypertrophy (preferably within the predictive window). Preferably, said risk is reduced as compared to the average risk in a cohort of female subjects, in particular in a cohort of subjects bearing at least one risk factor (preferably, the same risk factor(s) as the test subject) to suffer from heart failure. A subject who is at risk to suffer from left ventricular hypertrophy
- ²⁵ preferably has a risk of 10-20%, or larger, or, more preferably of 20% or larger to suffer from left ventricular hypertrophy, preferably, within a predictive window of 5 years. A subject who is not at risk to suffer from left ventricular hypertrophy, preferably, has a risk to suffer from left ventricular hypertrophy of lower than 10 %, and more preferably of lower than, 5 % or lower preferably, within a predictive window of 10 years.
- [0158] The term "subject" has been described elsewhere herein. The definition applies accordingly. The subject in accordance with the aforementioned method shall be a female subject.
- **[0159]** In a preferred embodiment of the aforementioned method, the female subject to be tested shows at least one (and, thus, one or more than one) risk factor of suffering from heart failure. In particular, the female subject to be tested, preferably, shows at least one risk factor selected from the group consisting of history of cigarette smoking, obesity, metabolic syndrome, diabetes type 1 and type 2, and hypertension, in particular arterial hypertension. Thus, the female
- ³⁵ subject, preferably, suffers from hypertension (in particular arterial hypertension), diabetes, obesity, metabolic syndrome and/or a history of smoking (i.e. the subject has history of smoking). In a particularly preferred embodiment, the female subject bearing at least one risk factor of heart failure suffers from hypertension (in particular arterial hypertension) and/or diabetes.

[0160] The term "reference amount" has been described elsewhere herein. The definition applies accordingly. Moreover. the term "reference amounts" or "reference values" as used herein in the context of the aforementioned method refers to an amount of the polypeptides which allow to predict the risk to suffer from LVH.

[0161] Therefore, the reference amounts will in general be derived from a subject known to be at risk to suffer from LVH or from a subject known to be at not at risk to suffer from LVH.

- [0162] Preferably, an increased amount for a cardiac troponin or a variant thereof as measured from a sample derived from a female subject to be tested indicates that the female subject is at risk to suffer from LVH. Also, an increased amount of GDF15 or a variant thereof and IGFBP7 or a variant thereof as measured from a sample derived from a female subject to be tested is indicative for the risk to suffer from LVH. Preferably increased amounts with respect to the reference amount are indicative for the risk to suffer from LVH.
- [0163] The amounts for cardiac troponin or a variant thereof, GDF15 or a variant thereof and IGFBP7 or a variant thereof as measured in a control group or a control population are for example used to establish a cut-off amount or a reference range. An amount above such cut-off amount or out-side the reference range and its higher end is considered as elevated.

[0164] In a one embodiment a fixed cut-off value is established. Such cut-off value is chosen to match the diagnostic question of interest.

⁵⁵ **[0165]** In the context of the aforementioned method, the terms "decreased" and "increased", preferably, may refer to amounts that are increased or decreased with respect to the average amount in a population of subjects, in particular the median amount. Preferably, said population is a population of healthy subjects.

[0166] Preferably, an amount of a cardiac troponin, in particular of troponin T, in a sample of female subject that is at

least 30%, or more preferably, at least 50% larger than the amount of a cardiac troponin, in particular of troponin T, in a sample of a female subject (or group of female subjects) who is (are) not at risk to suffer from LVH indicates that the test female subject is at risk of suffer from LVH. A subject who is not at risk of suffering from LVH, preferably, is a subject without functional and structural abnormalities of the heart preceding heart failure and/or preceding left ventricular

- ⁵ hypertrophy (for a definition of this term, see elsewhere). Said subject may bear at least one risk factor for heart failure (and, thus, is, preferably, in stage A as described elsewhere herein). Preferably, however, the subject who is not at risk to suffer from LVH is a healthy subject. Preferably, the sample is serum or plasma sample. [0167] Thus, the reference amount is derived from a healthy female subject (or from a group thereof). Preferably, an
- increase of a cardiac troponin (in particular of troponin T) of at least 30%, or, more preferably, of at least 50% as compared
 to the amount in a healthy female subject (or to the median amount in group of healthy female subjects) is indicative for a risk of the subject to suffer from left ventricular hypertrophy.
 [0168] If the amount of troponin T is measured, the reference amount serving as a cut-off, preferably, is within a range
- of 2 to 14 pg/ml. Even more preferably, the reference amount, serving for troponin T as cut-off is within a range of 3.2 to 4 pg/ml. Particularly preferred reference amounts of troponin T that may serve as cut-off amount are, in increasing
 order of preference, 3.2, 3.4 and 4.2 pg/ml. A preferred reference amount of troponin I that may serve as cut-off amount is within a range of 0.2 to 9.0 pg/ml. A further preferred reference amount of troponin I that may serve as cut-off amount
- is 9.0 pg/ml. An amount of a cardiac troponin in sample, preferably a serum or plasma sample, of a female subject larger than the reference amount, preferably, indicates that the subject is a risk to suffer from LVH. An amount of a cardiac troponin in sample, preferably a serum or plasma sample, of a female subject lower than the reference amount, preferably, indicates that the subject lower than the reference amount, preferably, indicates that the subject lower than the reference amount, preferably, indicates that the subject lower than the reference amount, preferably, indicates that the subject lower than the reference amount, preferably,
- **[0169]** If the amount of IGFBP7 is measured, the reference amount serving as a cut-off, preferably, is within a range of 33.3 pg/ml to 46.2 pg/ml. Even more preferably, the reference amount, serving for IGFBP7 as cut-off is within a range of 42.6 to 46.2 pg/ml. Particularly preferred reference amounts of IGFBP7 that may serve as cut-off amount are, in increasing order of preference, 42.6 pg/ml and 46.2 pg/ml.
- ²⁵ [0170] In an embodiment of the methods and uses of the present invention IGFBP7 can also used as single marker instead of the cardiac Troponin (thus, IGFBP7, preferably, may replace the cardiac Troponin).
 [0171] In a further embodiment, the present invention provides a method of diagnosing heart failure in a subject, the

[01/1] In a further embodiment, the present invention provides a method of diagnosing heart failure in a subject, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and

c) assessing heart failure by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) to reference amounts.

35

- **[0172]** The methods of the present invention, preferably, are *in vitro* methods. Preferably, the concentrations of at least one marker are determined in a sample obtained from said subject. Moreover, it may comprise steps in addition to those explicitly mentioned above. For example, further steps may relate to sample pre-treatments or evaluation of the results obtained by the method. The method of the present invention may be also used for monitoring, confirmation,
- 40 and subclassification of the subject. The method may be carried out manually or assisted by automation. Preferably, the step of determining the concentration of at least one cardiac troponin in at least one sample of said subject, the step of comparing the thus determined concentration of the said marker(s) as determined in the preceding step to the concentration of this marker established in a control sample, and/or the step of diagnosing/differentiating/predicting may in total or in part be assisted by automation, e.g., by a suitable robotic and sensory equipment.
- 45 [0173] As will be understood by those skilled in the art, such an assessment is usually not intended to be correct for all (i.e. 100%) of the subjects to be identified. The term, however, requires that a statistically significant portion of subjects can be identified (e.g. a cohort in a cohort study). Whether a portion is statistically significant can be determined by the person skilled in the art using various well known statistic evaluation tools, e.g., determination of confidence intervals, p-value determination, Student's t-test, Mann-Whitney test etc.. Details are found in Dowdy and Wearden, Statistics for
- 50 Research, John Wiley & Sons, New York 1983. Preferred confidence intervals are at least 90%, at least 95%, at least 97%, at least 98% or at least 99%. The p-values are, preferably, 0.1, 0.05, 0.01, 0.005, or 0.0001. More preferably, at least 60%, at least 70%, at least 80% or at least 90% of the subjects of a population can be properly identified by the method of the present invention.
- [0174] The terms "individual", "subject" and "patient" may be used interchangeably herein and relate to an animal, preferably a mammal, and, more preferably, a human. The subject may be a male or a female subject. It is preferred that the subject is a female subject.

[0175] It is envisaged in accordance with the aforementioned method of the present invention that the subject shall be a subject belonging to ACC/AHA stage A, and showing one or more of the risk factors for developing HF. Furthermore,

the subject may have entered into stage B or may be about to enter into stage B (early stage B) of the ACC/AHA classification. Said subject shall not exhibit symptoms and/or physical signs known to be associated with HF (i.e. the subject is apparently healthy).

[0176] The method of the present invention makes use of so-called "markers" or "molecular markers". These terms are known to the person skilled in the art and refer to polypeptides or proteins which are expressed in the body of the subject. On the one hand, the expression or elevated expression can be the consequence of a pathophysiological state which has occurred or is occurring in the subject, and an elevated concentration, in respect to "normal" values (which, as the case may be, can be zero) measured in a physiologically healthy subject, is indicative of the pathophysiological

state (or the "disease") occurring in the subject. On the other hand, the protein can be expressed in certain concentrations
 in physiologically healthy subjects, and the expression is raised in consequence of a pathophysiological state which has occurred or is occurring in the subject.

[0177] In the context of the present invention, the markers which are measured all belong to the first group, i.e. they are expressed or expressed in higher concentrations than normal if the subject suffers from a pathophysiological state or disease. All the marker types and markers employed in the present invention are known to the person skilled in the art.

- ¹⁵ **[0178]** The present invention makes use of cardiac troponins and variants thereof. It is known that patients suffering from myocardial infarction MI can be diagnosed using cardiac troponins, preferably troponin T or I, most preferably troponin T. Myocardial infarction is regarded as being caused by a necrotic state of the myocard, i.e. cell death. Cardiac troponins are released following cell death and can hence be used for the diagnosis of MI. If the concentration of Troponin T in the blood is elevated, i.e. above 0.1 ng/mI, an acute cardiovascular event is assumed and the patient is treated
- accordingly. However, it is known that cardiac troponins are also released (in small concentrations) in pathological states preceding cell death, e.g. ischemia.
 [0179] The term "cardiac Troponin" refers to all Troponin isoforms expressed in cells of the heart and, preferably, the subendocardial cells. These isoforms are well characterized in the art as described, e.g., in Anderson 1995, Circulation
- Research, vol. 76, no. 4: 681-686 and Ferrieres 1998, Clinical Chemistry, 44: 487-493. Preferably, cardiac Troponin
 ²⁵ refers to Troponin T and/or Troponin I, and, most preferably, to Troponin T. It is to be understood that isoforms of Troponins may be determined in the method of the present invention together, i.e. simultaneously or sequentially, or individually, i.e. without determining the other isoform at all. Amino acid sequences for human Troponin T and human Troponin I are disclosed in Anderson, loc cit and Ferrieres 1998, Clinical Chemistry, 44: 487-493.
- **[0180]** The term "cardiac Troponin" encompasses also variants of the aforementioned specific Troponins, i.e., preferably, of Troponin I, and more preferably, of Troponin T. Such variants have at least the same essential biological and immunological properties as the specific cardiac Troponins. In particular, they share the same essential biological and immunological properties if they are detectable by the same specific assays referred to in this specification, e.g., by ELISA Assays using polyclonal or monoclonal antibodies specifically recognizing the said cardiac Troponins. Moreover, it is to be understood that a variant as referred to in accordance with the present invention shall have an amino acid
- ³⁵ sequence which differs due to at least one amino acid substitution, deletion and/or addition wherein the amino acid sequence of the variant is still, preferably, at least about 50%, at least about 60%, at least about 70%, at least about 80%, at least about 85%, at least about 90%, at least about 92%, at least about 95%, at least about 97%, at least about 98%, or at least about 99% identical with the amino sequence of the specific Troponin. Variants may be allelic variants or any other species specific homologs, paralogs, or orthologs. Moreover, the variants referred to herein include fragments
- 40 of the specific cardiac Troponins or the aforementioned types of variants as long as these fragments have the essential immunological and biological properties as referred to above. Preferably, the cardiac troponin variants have immunological properties (i.e. epitope composition) comparable to those of human troponin T or troponin I. Thus, the variants shall be recognizable by the aforementioned means or ligands used for determination of the concentration of the cardiac troponins. Thus, the variants shall be recognizable by the aforementioned means or ligands used for determination of
- ⁴⁵ the concentration of the cardiac troponins. Such fragments may be, e.g., degradation products of the Troponins. Further included are variants which differ due to posttranslational modifications such as phosphorylation or myristylation. Preferably the biological property of troponin I and its variant is the ability to inhibit actomyosin ATPase or to inhibit angiogenesis in vivo and in vitro, which may e.g. be detected based on the assay described by Moses et al. 1999 PNAS USA 96 (6): 2645-2650). Preferably the biological property of troponin T and its variant is the ability to form a complex with
- ⁵⁰ troponin C and I, to bind calcium ions or to bind to tropomyosin, preferably if present as a complex of troponin C, I and T or a complex formed by troponin C, troponin I and a variant of troponin T. It is known that low concentrations of circulating cardiac troponin may be detected in subjects at various conditions, but further studies are required to understand their respective role and rate (Masson et al., Curr Heart Fail Rep (2010) 7:15-21).
- [0181] The term "Growth-Differentiation Factor-15" or "GDF-15" relates to a polypeptide being a member of the transforming growth factor (TGF)-β cytokine superfamily. The terms polypeptide, peptide and protein are used interchangeable throughout this specification. GDF-15 was originally cloned as macrophage-inhibitory cytokine-1 and later also identified as placental transforming growth factor-β, placental bone morphogenetic protein, non-steroidal anti-inflammatory drugactivated gene-1, and prostate-derived factor (Bootcov loc cit; Hromas, 1997 Biochim Biophys Acta 1354:40-44; Lawton

1997, Gene 203:17-26; Yokoyama-Kobayashi 1997, J Biochem (Tokyo), 122:622-626; Paralkar 1998, J Biol Chem 273:13760-13767). Similar to other TGF- β -related cytokines, GDF-15 is synthesized as an inactive precursor protein, which undergoes disulfide-linked homodimerization. Upon proteolytic cleavage of the N-terminal pro-peptide, GDF-15 is secreted as a ~28 kDa dimeric protein (Bauskin 2000, Embo J 19:2212-2220). Amino acid sequences for GDF-15 are

- ⁵ disclosed in WO99/06445, WO00/70051, WO2005/113585, Bottner 1999, Gene 237: 105-111, Bootcov loc. cit, Tan loc. cit., Baek 2001, Mol Pharmacol 59: 901-908, Hromas loc cit, Paralkar loc cit, Morrish 1996, Placenta 17:431-441 or Yokoyama-Kobayashi loc cit. GDF-15 as used herein encompasses also variants of the aforementioned specific GDF-15 polypeptides. Such variants have at least the same essential biological and immunological properties as the specific GDF-15 polypeptides. In particular, they share the same essential biological and immunological properties if they are
- detectable by the same specific assays referred to in this specification, e.g., by ELISA assays using polyclonal or monoclonal antibodies specifically recognizing the said GDF-15 polypeptides. A preferred assay is described in the accompanying Examples. Moreover, it is to be understood that a variant as referred to in accordance with the present invention shall have an amino acid sequence which differs due to at least one amino acid substitution, deletion and/or addition wherein the amino acid sequence of the variant is still, preferably, at least about 50%, at least about 60%, at
- ¹⁵ least about 70%, at least about 80%, at least about 85%, at least about 90%, at least about 92%, at least about 95%, at least about 97%, at least about 98%, or at least about 99% identical with the amino sequence of the specific GDF-15 polypeptides, preferably with the amino acid sequence of human GDF-15, more preferably over the entire length of the specific GDF-15, e.g. of human GDF-15. The degree of identity between two amino acid sequences can be determined by algorithms well known in the art. Preferably, the degree of identity is to be determined by comparing two optimally
- ²⁰ aligned sequences over a comparison window, where the fragment of amino acid sequence in the comparison window may comprise additions or deletions (e.g., gaps or overhangs) as compared to the reference sequence (which does not comprise additions or deletions) for optimal alignment. The percentage is calculated by determining the number of positions at which the identical amino acid residue occurs in both sequences to yield the number of matched positions, dividing the number of matched positions by the total number of positions in the window of comparison and multiplying
- the result by 100 to yield the percentage of sequence identity. Optimal alignment of sequences for comparison may be conducted by the local homology algorithm of Smith and Waterman Add. APL. Math. 2:482 (1981), by the homology alignment algorithm of Needleman and Wunsch J. Mol. Biol. 48:443 (1970), by the search for similarity method of Pearson and Lipman Proc. Natl. Acad. Sci. (USA) 85: 2444 (1988), by computerized implementations of these algorithms (GAP, BESTFIT, BLAST, PASTA, and TFASTA in the Wisconsin Genetics Software Package, Genetics Computer Group
- 30 (GCG), 575 Science Dr., Madison, WI), or by visual inspection. Given that two sequences have been identified for comparison, GAP and BESTFIT are preferably employed to determine their optimal alignment and, thus, the degree of identity. Preferably, the default values of 5.00 for gap weight and 0.30 for gap weight length are used. Variants referred to above may be allelic variants or any other species specific homologs, paralogs, or orthologs. Moreover, the variants referred to herein include fragments of the specific GDF-15 polypeptides or the aforementioned types of variants as long
- as these fragments have the essential immunological and biological properties as referred to above. Such fragments may be, e.g., degradation products of the GDF-15 polypeptides. Further included are variants which differ due to post-translational modifications such as phosphorylation or myristylation.
 [0182] The Insulin like growth factor binding protein (IGFBP) system plays an important role in cell growth and differ-
- entiation. It comprises two ligands, IGF-I and IGF-II, two receptors, type 1 and type 2 IGF receptors, and as of 1995 six
 IGF-binding proteins (IGFBPs), IGFBP-1 to -6 (Jones, J.I., et al., Endocr. Rev. 16 (1995) 3-34). Recently the IGFBP family has been expanded to include the IGFBP-related proteins (IGFBP-rPs), which have significant structural similarities with the IGFBPs (Hwa, V., et al., Endocr. Rev 20 (1999) 761-787). Thus, the IGFBP superfamily includes the six con-
- ventional IGFBPs, which have high affinity for IGFs, and at least 10 IGFBP-rPs, which not only share the conserved amino-terminal domain of the IGFBPs but also show some degree of affinity for IGFs and insulin. The IGFBP-rPs are a
 group of cysteine-rich proteins that control diverse cellular functions, such as cellular growth, cell adhesion and migration, and synthesis of the extracellular matrix. In addition, these proteins might be involved in biological processes like tissue proliferation and differentiation, reproduction, angiogenesis, wound repair, inflammation, fibrosis, and tumorigenesis
- proliferation and differentiation, reproduction, angiogenesis, wound repair, inflammation, fibrosis, and tumorigenesis (Hwa, V., et al., Endocr. Rev 20 (1999)761-787). [0183] IGF binding protein 7 (= IGFBP7) is a 30-kDa modular glycoprotein known to be secreted by endothelial cells,
- ⁵⁰ vascular smooth muscle cells, fibroblasts, and epithelial cells (Ono, Y., et al., Biochem Biophys Res Comm 202 (1994) 1490-1496). In the literature this molecule has also been denominated as FSTL2; IBP 7; IGF binding protein related protein I; IGFBP 7; IGFBP 7v; IGFBP rPI; IGFBP7; IGFBPRP1; insulin like growth factor binding protein 7; insulin like growth factor binding protein 7 precursor; MAC25; MAC25 protein; PGI2 stimulating factor; and PSF or Prostacyclin stimulating factor. Northern blot studies revealed a wide expression of this gene in human tissues, including heart, brain, placenta, liver, skeletal muscle, and pancreas (Oh, Y., et al., J. Biol, Chem. 271 (1996) 30322-30325).
- ⁵⁵ placenta, liver, skeletal muscle, and pancreas (Oh, Y., et al., J. Biol. Chem. 271 (1996) 30322-30325).
 [0184] IGFBP7 was initially identified as a gene differentially expressed in normal leptomeningeal and mammary epithelial cells, compared with their counterpart tumor cells, and named meningioma-associated cDNA (MAC25) (Burger, A.M., et al., Oncogene 16 (1998) 2459-2467). The expressed protein was independently purified as a tumor derived

adhesion factor (later renamed angiomodulin) (Sprenger, C.C., et al., Cancer Res 59 (1999) 2370-2375) and as a prostacyclin stimulating factor (Akaogi, K., et al., Proc Natl Acad Sci USA 93 (1996) 8384-8389). It has additionally been reported as T1Al2, a gene downregulated in breast carcinomas (StCroix, B., et al., Science 289 (2000) 1197-1202).

- [0185] The biological roles of IGFBP7 have not yet been clearly established. Preliminary experimental data are somewhat controversial and relate to diverse actions for IGFBP7, such as tumor suppression (Sprenger, C.C., et al., Cancer Res 59 (1999) 2370-2375), tumor growth promotion (Lopez-Bermejo, A., et al., J. Clinical Endocrinology and Metabolism 88 (2003) 3401-3408, stimulation of prostacyclin (Akaogi, K., et al., Proc. Natl. Acad. Sci. USA 93 (1996) 8384-8389) and involvement in angiogenesis (Yamauchi, T., et al., Biochem J. 303 (1994) 591-598) and senescence (Lopez-Bermejo, A., et al., Endocrinology 141 (2000) 4072-4080).
- ¹⁰ **[0186]** Differential expression of IGFBP7 mRNA was measured in patients suffering from various diseases including cardiac disease, kidney disease, inflammatory diseases (US 6,709,855 to Scios Inc.) and vascular graft disease (US 2006/0,003,338).

[0187] A number of different assays has been described and used to test for the hormone binding properties of IGFBP7. Low affinity IGF binding was analyzed via competitive affinity cross-linking assays. Recombinant human mac25 protein

¹⁵ specifically binds IGF-I and-II (Oh, Y., et al., J. Biol. Chem. 271 (1996) 20322-20325; Kim, H.S., et al., Proc. Natl. Acad. Sci USA 94 (1997) 12981-12986.) IGFBP activity can also be detected by measuring the ability of the protein to bind radiolabeled IGF in Western ligand blotting.

[0188] Immunological determination of circulating IGFBP7 was performed recently. Low levels of this analyte were detected in random human sera and increased serum levels have been seen in association with insulin-resistance

20 (Lopez-Bermejo, A., et al., J. Clinical Endocrinology and Metabolism 88 (2003) 3401-3408, Lopez-Bermejo, A., et al., Diabetes 55 (2006) 2333-2339).

[0189] The term "reference amounts" or "reference values" as used herein in this embodiment of the invention refers to amounts of the polypeptides which allow to diagnose if the respective individual classified in ACC/AHA class A has developed heart disease typically preceding heart failure or is about to develop heart disease typically preceding heart failure

²⁵ failure.

[0190] Therefore, the reference amounts will in general be derived from a subject known to have heart disease typically preceding heart failure and/or being about to develop heart disease typically preceding heart failure. In an embodiment of the present invention, subject is classified in ACC/AHA class A.

- [0191] The expression "comparing the concentration ... to the concentration as established in a control sample" is merely used to further illustrate what is obvious to the skilled artisan anyway. The control sample may be an internal or an external control sample. In one embodiment an internal control sample is used, i.e. the marker level(s) is(are) assessed in the test sample as well as in one or more other sample(s) taken from the same subject to determine if there are any changes in the level(s) of said marker(s). In another embodiment an external control sample is used. For an external control sample the presence or concentration of a marker in a sample derived from the individual is compared to its
- ³⁵ presence or concentration in an individual known to suffer from, or known to be at risk of, a given condition; or an individual known to be free of a given condition, i.e., "normal individual". For example, a marker level in a patient sample can be compared to a level known to be associated with a specific course of disease in HF. Usually the sample's marker level is directly or indirectly correlated with a diagnosis and the marker level is e.g. used to determine whether an individual is at risk for HF. Alternatively, the sample's marker level can e.g. be compared to a marker level known to be associated
- 40 with a response to therapy in patients suffering from structural and/or functional abnormalities of the heart preceding heart failure, the differential diagnosis of risk factors for developing heart failure and structural and/or functional abnormalities of the heart preceding heart failure, the guidance for selecting an appropriate drug to treat structural and/or functional abnormalities of the heart preceding heart failure, in particular early stages, in judging the risk of disease progression, or in the follow-up of patients having structural and/or functional abnormalities of the heart preceding heart
- ⁴⁵ failure. Depending on the intended diagnostic use an appropriate control sample is chosen and a control or reference value for the marker established therein. It will be appreciated by the skilled artisan that such control sample in one embodiment is obtained from a reference population that is age-matched and free of confounding diseases. As also clear to the skilled artisan, the absolute marker values established in a control sample will be dependent on the assay used. Preferably samples from 100 well- characterized individuals from the appropriate reference population are used
- to establish a control (reference) value. Also preferred the reference population may be chosen to consist of 20, 30, 50, 200, 500 or 1000 individuals. Healthy individuals represent a preferred reference population for establishing a control value.

[0192] An increased value for cardiac troponin or a variant thereof as measured from a sample derived from an individual indicates structural and/or functional abnormalities of the heart preceding heart failure, an increased value of GDE15 or a variant thereof and ICERPZ or a variant thereof as measured from a sample derived from an individual in

⁵⁵ GDF15 or a variant thereof and IGFBP7 or a variant thereof as measured from a sample derived from an individual is indicative for heart failure. The values for cardiac troponin or a variant thereof, GDF15 or a variant thereof and IGFBP7 or a variant thereof as measured in a control group or a control population are for example used to establish a cut-off value or a reference range. A value above such cut-off value or out-side the reference range and its higher end is considered as elevated.

[0193] In a one embodiment a fixed cut-off value is established. Such cut-off value is chosen to match the diagnostic question of interest.

[0194] In one embodiment values for cardiac troponin or a variant thereof, GDF15 or a variant thereof and IGFBP7

- or a variant thereof as measured in a control group or a control population are used to establish a reference range. In a preferred embodiment an cardiac troponin or a variant thereof, GDF15 or a variant thereof and IGFBP7 or a variant thereof concentration is considered as elevated if the value measured is above the 90% -percentile of the reference range. In further preferred embodiments an cardiac troponin or a variant thereof, GDF15 or a variant thereof and IGFBP7 or a variant thereof concentration is considered as elevated if the value measured is above the 95%-percentile, the 96%-percentile, the 97%-percentile or the 99%-percentile of the reference range.
- [0195] In one embodiment the control sample will be an internal control sample. In this embodiment serial samples are obtained from the individual under investigation and the marker levels are compared. This may for example be useful in assessing the efficacy of therapy.
- [0196] The sensitivity and specificity of a diagnostic and/or prognostic test depends on more than just the analytical "quality" of the test-they also depend on the definition of what constitutes an abnormal result. In practice, Receiver Operating Characteristic curves, or "ROC" curves, are typically calculated by plotting the value of a variable versus its relative frequency in "normal" and "disease" populations. For any particular marker of the invention, a distribution of marker levels for subjects with and without a disease will likely overlap. Under such conditions, a test does not absolutely distinguish normal from disease with 100% accuracy, and the area of overlap indicates where the test cannot distinguish
- 20 normal from disease. A threshold is selected, above which (or below which, depending on how a marker changes with the disease) the test is considered to be abnormal and below which the test is considered to be normal. The area under the ROC curve is a measure of the probability that the perceived measurement will allow correct identification of a condition. ROC curves can be used even when test results don't necessarily give an accurate number. As long as one can rank results, one can create an ROC curve. For example, results of a test on "disease" samples might be ranked
- ²⁵ according to degree (say 1=low, 2=normal, and 3=high). This ranking can be correlated to results in the "normal" population, and a ROC curve created. These methods are well known in the art. See, e.g., Hanley et al, Radiology 143: 29-36 (1982).

[0197] In certain embodiments, markers and/or marker panels are selected to exhibit at least about 70% sensitivity, more preferably at least about 80% sensitivity, even more preferably at least about 85% sensitivity, still more preferably

- at least about 90% sensitivity, and most preferably at least about 95% sensitivity, combined with at least about 70% specificity, more preferably at least about 80% specificity, even more preferably at least about 85% specificity, still more preferably at least about 90% specificity, and most preferably at least about 95% specificity. In particularly preferred embodiments, both the sensitivity and specificity are at least about 75%, more preferably at least about 80%, even more preferably at least about 85%, still more preferably at least about 95%, and most preferably at least about 95%. The term "about" in this context refers to +/- 5% of a given measurement.
- [0198] In other embodiments, a positive likelihood ratio, negative likelihood ratio, odds ratio, or hazard ratio is used as a measure of a test's ability to predict risk or diagnose a disease. In the case of a positive likelihood ratio, a value of 1 indicates that a positive result is equally likely among subjects in both the "diseased" and "control" groups; a value greater than 1 indicates that a positive result is more likely in the diseased group; and a value less than 1 indicates that
- ⁴⁰ a positive result is more likely in the control group. In the case of a negative likelihood ratio, a value of 1 indicates that a negative result is equally likely among subjects in both the "diseased" and "control" groups; a value greater than 1 indicates that a negative result is more likely in the test group; and a value less than 1 indicates that a negative result is more likely in the control group. In certain preferred embodiments, markers and/or marker panels are preferably selected to exhibit a positive or negative likelihood ratio of at least about 1.5 or more or about 0.67 or less, more preferably
- at least about 2 or more or about 0.5 or less, still more preferably at least about 5 or more or about 0.2 or less, even more preferably at least about 10 or more or about 0.1 or less, and most preferably at least about 20 or more or about 0.05 or less. The term "about" in this context refers to +/- 5% of a given measurement.
 [0199] In the case of an odds ratio, a value of 1 indicates that a positive result is equally likely among subjects in both
- the "diseased" and "control" groups; a value greater than 1 indicates that a positive result is more likely in the diseased group; and a value less than 1 indicates that a positive result is more likely in the control group. In certain preferred embodiments, markers and/or marker panels are preferably selected to exhibit an odds ratio of at least about 2 or more or about 0.5 or less, more preferably at least about 3 or more or about 0.33 or less, still more preferably at least about 4 or more or about 0.25 or less, even more preferably at least about 5 or more or about 0.2 or less, and most preferably at least about 10 or more or about 0.1 or less. The term "about" in this context refers to +/- 5% of a given measurement.
- ⁵⁵ **[0200]** In the case of a hazard ratio, a value of 1 indicates that the relative risk of an endpoint (e.g., death) is equal in both the "diseased" and "control" groups; a value greater than 1 indicates that the risk is greater in the diseased group; and a value less than 1 indicates that the risk is greater in the control group. In certain preferred embodiments, markers and/or marker panels are preferably selected to exhibit a hazard ratio of at least about 1.1 or more or about 0.91 or less,

more preferably at least about 1.25 or more or about 0.8 or less, still more preferably at least about 1.5 or more or about 0.67 or less, even more preferably at least about 2 or more or about 0.5 or less, and most preferably at least about 2.5 or more or about 0.4 or less. The term "about" in this context refers to +/-5% of a given measurement.

- [0201] While exemplary panels are described herein, one or more markers may be replaced, added, or subtracted from these exemplary panels while still providing clinically useful results. Panels may comprise both specific markers of a disease (e.g., markers that are increased or decreased in bacterial infection, but not in other disease states) and/or nonspecific markers (e.g., markers that are increased or decreased due to inflammation, regardless of the cause; markers that are increased or decreased due to changes in hemostasis, regardless of the cause, etc.). While certain markers may not individually be definitive in the methods described herein, a particular "fingerprint" pattern of changes may, in
- ¹⁰ effect, act as a specific indicator of disease state. As discussed above, that pattern of changes may be obtained from a single sample, or may optionally consider temporal changes in one or more members of the panel (or temporal changes in a panel response value).

[0202] The diagnosis if individuals are healthy or suffer from a certain pathophysiological state is made by established methods known to the person skilled in the art. The methods differ in respect to the individual pathophysiological state.

- [0203] The algorithms to establish the desired diagnosis are laid out in the present application, in the passages referring to the respective embodiment, to which reference is made.
 [0204] Accordingly, the present invention also comprises a method of determining the threshold level indicative for a physiological and/or a pathological state and/or a certain pathological state, comprising the steps of determining in appropriate patient groups the levels of the appropriate marker(s), collecting the data and analyzing the data by statistical
- appropriate patient groups the levels of the appropriate marker(s), collecting the data and analyzing the data by statistical
 methods and establishing the threshold values.
 [0205] In the present invention, the appropriate markers are cardiac troponins and variants thereof, preferably troponin
 T or a variant thereof or troponin I or a variant thereof, in particular troponin T or a variant thereof; and optionally at least

one further marker selected from GDF-15 or a variant thereof and IGFBP7 or a variant thereof.

- [0206] The present invention also relates to a method of deciding on the therapy of a subject as referred to above based on the aforementioned steps. Therefore, the method of the present invention allows to decide which kind of intervention or which pharmaceutical or pharmaceuticals should be taken by a subject suffering from functional and/or structural abnormalities of the heart preceding heart failure or being about to develop functional and/or structural abnormalities of the heart preceding heart failure, where the subject is classified into ACC/AHA stage A. Treatment can aim at treating the functional and/or structural abnormalities of the heart preceding heart failure as such, or to preventing
- ³⁰ further deterioration of the functional and/or structural abnormalities of the heart preceding heart failure.

treatment decision

[0207] Accordingly, the present invention relates to a method of deciding on the treatment of functional and/or structural abnormalities of the heart preceding heart failure in a subject, based on the comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these marker(s) in a control sample.

[0208] The method of the invention may comprise the following steps: a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof; b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure; c) comparing the thus determined concentration to reference amounts, e.g. to the concentration of this marker or these markers as established in a control sample.

[0209] Preferably, the therapy decision is taken by comparing the determined concentrations with a reference amount.[0210] The present invention therefore provides a method of deciding on the treatment of functional and/or structural abnormalities of the heart preceding heart failure in a subject, the method comprising the steps of

45

40

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
- c) deciding on treatment of the subject by comparing the thus determined concentration with a reference amount.
- 50

55

[0211] Preferably, before deciding on the treatment, it is diagnosed if the subject suffers from functional and/or structural abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy.

[0212] In general, the subject does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium. Preferably the subject has a left ventricular mass index below the criteria used to define left ventricular hypertrophy. Preferably, the subject bears risk factors for heart failure. These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.

[0213] The subject has in general been classified into ACC/AHA stage A, prior to carrying out the method of the present

24

invention.

[0214] The person skilled in the art is aware of methods to diagnose if a subject suffers from functional and/or structural abnormalities of the heart preceding heart failure or is about to develop functional and/or structural abnormalities of the heart preceding heart failure, where the subject is classified into ACC/AHA stage A. This diagnosis is in general costly,

- time-consuming and requires medical skill and experience. Thus early functional and/ or structural abnormalities often remain underdiagnosed. Methods of evaluation are known to the person skilled in the art and are typically based on medical history, further evaluation includes examination using diagnostic apparatusses/devices (cardiac auscultation, ECG, echocardiography, chest x-ray, radionuclide imaging, ventriculography, CT scan, MRI and/or stress testing, coronary angiography, ultrasonography, coronary catheterization. Other tests may be done as needed to determine the
- ¹⁰ cause. Methods described above are applied reluctant, because of limited sensitivity to detect structural and/or functional abnormalities of the heart preceding LVH and/or heart failure. Treatment depends on the specific type and severity of the functional and/or structural abnormalities of the heart preceding heart failure. Preventive treatment may be initiated before progression to LVH and /or to HF or other major complications. As a consequence of diagnosing first structural abnormalities in subjects with arterial hypertension or diabetes, these patients, that may rapidly progress to LVH need
- ¹⁵ more intensive medication or adaption of medication and more intensive monitoring. [0215] In a preferred embodiment, the present invention provides a method of therapy adaption a consequence of diagnosis of early functional and/or structural abnormalities of the heart preceding heart failure, in particular preceding LVH. The therapeutic consequence is a change of antihypertensive medication with a preference for angiotensin-converting enzyme (ACE) inhibitors, Angiotensin-II-receptor blocker (ARB), aldosteron antagonists, beta blockers versus
- 20 diuretics and Calcium antagonists. Accordingly, the administration of angiotensin-converting enzyme (ACE) inhibitors, Angiotensin-II-receptor blocker (ARB), and/or aldosteron antagonists is preferably recommended, if early functional and/or structural abnormalities of the heart preceding heart failure are diagnosed. **102161** In a further embodiment of the present investion, the supplementary/complementary methods as laid out be-

[0216] In a further embodiment of the present invention, the supplementary/complementary methods as laid out beforehand can be used for the methods of deciding on the treatment of a subject as referred to above, based on the aferementioned atom. These methods are laid out further below in the present application and allow to decide which

- aforementioned steps. These methods are laid out further below in the present application and allow to decide which pharmaceutical or pharmaceuticals should be taken by said subject or which other therapy the subject should undergo.
 [0217] The invention also encompasses the use of at least one marker selected from cardiac troponins and variants thereof, and, optionally, at least one marker selected from: GDF-15 or a variant thereof and IGFBP7 or a variant thereof, for deciding on the treatment of functional and/or structural abnormalities of the heart preceding heart failure in a subject bearing risk factors of developing heart failure but not showing overt signs of heart failure.
- [0218] In an embodiment of the present invention, the cardiac troponin is selected from troponin T or a variant thereof, in particular the cardiac troponin is troponin T or a variant thereof. In one embodiment of the present invention, the following markers are determined in combination: the cardiac troponin is selected from troponin T or a variant thereof and troponin I or a variant thereof and troponin I or a variant thereof. In one embodiment of a variant thereof and troponin I or a variant thereof. To read the cardiac troponin T or a variant thereof and troponin T or a variant thereof. In one embodiment of a variant thereof and troponin I or a variant thereof. In particular the cardiac troponin is troponin T or a variant thereof. To read the cardiac troponin T or a variant thereof.
- **[0219]** The term "deciding" as used herein means assessing as to whether a certain medication or treatment should be administered to a subject having undergone the test according to the present invention.

therapy, treatment

40

[0220] The term "therapy" as used in the context of the present invention encompasses life style changes, diet regimen, interventions on the body as well as administration of appropriate drugs for the treatment of a subject according to the present invention. The subject suffers from functional and/or structural abnormalities of the heart preceding left ventricular hypertrophy and/or heart failure or is about to develop functional and/or structural abnormalities of the heart preceding

- ⁴⁵ heart failure, and the subject is preferably classified into ACC/AHA stage A . More preferably, the subject has arterial hypertension, systolic and/and or diastolic hypertension, obesity, metabolic syndrome, and/or diabetes mellitus (preferably type 2 diabetes mellitus). In yet another preferred embodiment the individual suffers from low, moderate, high or very high risk according to the risk chart of the European Society of Hypertension.
- [0221] Pharmaceuticals suitable for the treatment of functional and/or structural abnormalities of the heart preceding heart failure are well known in the art, see e.g. Heart Disease, 2008, 8th Edition, Eds. Braunwald, Elsevier Sounders, chapter 24 (in respect to heart failure) and chapter 41 (in respect to hypertension). These treatments are a part of the present invention. Preferably, the administration of such pharmaceuticals aims to treat the symptoms and signs of functional and/or structural abnormalities of the heart preceding heart failure caused and which aim to prevent a further progression of functional and/or structural abnormalities of the heart preceding heart failure and/or development of heart
- ⁵⁵ failure. Accordingly, also contemplated are pharmaceuticals that aim to treat functional and/or structural abnormalities of the heart preceding left ventricular hypertrophy and/or heart failure and/or left ventricular dysfunction and/or heart failure.

[0222] Life style changes include smoking cessation, moderation of alcohol consumption, increased physical activity,

weight loss, sodium (salt) restriction, weight management and healthy eating, daily fish oil, salt restriction.

[0223] The therapy may also include interventions. One preferred intervention in the context of the present invention is administration of antihypertensive medication with a preference for angiotensin-converting enzyme (ACE) inhibitors, Angiotensin-II-receptor blocker (ARB), aldosteron antagonists, beta blockers versus diuretics and Calcium antagonists.

- In a preferred embodiment, the present invention provides a method of therapy adaption a consequence of diagnosis of early functional and/or structural abnormalities of the heart preceding heart failure and/or in particular even preceding LVH. The therapeutic consequence is a change of antihypertensive medication with a preference for angiotensin-converting enzyme (ACE) inhibitors, Angiotensin-II-receptor blocker (ARB), aldosteron antagonists, beta blockers versus diuretics and Calcium antagonists.
- ¹⁰ **[0224]** When a level of a cardiac troponin or variants thereof, in particular Troponin T or a variant thereof, indicates that the subject has functional and/or structural abnormalities of the heart preceding left ventricular hypertrophy and/or preceding heart failure, one or more of the following drugs should be administered:

Diuretics like loop diuretics, thiazide and thiazide-like diuretics, K-sparing diuretics, type I mineralocorticoid receptor antagonists, antialdosterone, carbonic anhydrase inhibitors, vasopressure antagonists.

[0225] Beta blockers like proprenolol, metoprolol, bisoprolol, carvedilol, bucindolol, nebivolol; Calcium antagonists like dihydropyridines, verapamil, diltiazem;

adrenergic agonists, like dobutamine, dopamine, epinephrine, isoprotenerol, norepinephrine, phenylephrine;

²⁰ positive inotropic agents, like digoxin, digitoxin;

ACE inhibitors like Enalapril, Captopril, Ramipril, Trandolapril;

angiotensin receptor antagonists like Losartan, Valsartan, Irbesartan, Candesartan, Telmisartan, Eprosartan; aldosterone antagonists like Eplerone, Spironolactone, Canrenone, Mexrenone, Prorenone; statines, in particular Atorvastatin, Fluvastatin, Lovastatin, Pravastatin, Rosuvastatin, Simvastatin;

²⁵ Hydazaline and isosorbide dinitrate

[0226] When a level of a cardiac troponin or a variant thereof, in particular troponin T or a variant thereof, indicates that the subject suffers from early stage functional and/or structural abnormalities of the heart preceding heart failure (early stage B), more preferably does not show LVH, even more preferably diastolic dysfunction without LVH, one or more of the following drugs should be administered:

30

Beta blockers like proprenolol, metoprolol, bisoprolol, carvedilol, bucindolol, nebivolol; adrenergic agonists, like dobutamine, dopamine, epinephrine, isoprotenerol, norepinephrine, phenylephrine; aldosterone antagonists like Eplerone, Spironolactone, Canrenone, Mexrenone, Prorenone; prefered thearpeutics include:

35

ACE inhibitors like Enalapril, Captopril, Ramipril, Trandolapril; angiotensin receptor antagonists like Losartan, Valsartan, Irbesartan, Candesartan, Telmisartan, Eprosartan;

treatment monitoring

40

50

[0227] Furthermore, the present invention provides a method of monitoring treatment of functional and/or structural abnormalities of the heart preceding heart failure in a subject, based on the comparison of the concentrations of at least one cardiac troponin or a variant thereof and repeatedly determined, and optionally of one or more other markers of heart failure and repeatedly determined, to the concentration of this or these marker(s) in a control sample.

⁴⁵ **[0228]** The method of the invention may comprise the following steps:

a) repeatedly determining, within given time intervals, the concentration of at least one cardiac troponin or a variant thereof, b) optionally repeatedly measuring in the sample the concentration of one or more other marker(s) of heart failure, and c) comparing the thus determined concentration of the said markers as determined in step a) to its concentration in a control sample, and d) assessing, based on the differences in the determined concentrations in one or more of the above-cited markers, whether the subject has undergone a change in its pathophysiological state.

[0229] Preferably, the assessment is carried out by comparing the thus determined concentration of the said markers as determined in step a) and optionally step b) to its concentration in a control sample

⁵⁵ **[0230]** The present invention therefore provides a method of monitoring treatment of functional and/or structural abnormalities of the heart preceding heart failure in a subject, the method comprising the steps of

a) determining the concentration of at least one cardiac troponin or a variant thereof,

b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, andc) assessing whether the subject has undergone a change in its pathophysiological state by comparing the thus determined concentration of the said markers as determined in step a) to its concentration in a control sample.

⁵ **[0231]** In a preferred embodiment of the present invention, the determination of the cardiac troponin and, optionally, the one or more other markers of heart failure is carried out repeatedly, i.e. at least 2 times, and preferably within a given time interval or time intervals.

[0232] In general, the subject does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium. Preferably, the subject bears risk factors for heart failure. These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.

[0233] The concentration cited in step b) may be the concentration cited in the present application in respect to the therapy decision beforehand, or may be the concentrations determined before the therapy was initiated, or both.

[0234] In general, prior to carrying out the monitoring method of the present invention, the method of deciding on the therapy of treating left ventricular hypertrophy is carried out, in a preferred embodiment of the present invention.

therapy adaptation

10

- [0235] In a further embodiment of the present invention provides a method of therapy adaption a consequence of predicting the risk of heart failure in a subject with diagnosed functional and/or structural abnormalities of the heart preceding heart failure, in particular when LVH is not apparent. In case of risk of heart failure, the therapeutic consequence is a change of antihypertensive medication with a preference for angiotensin-converting enzyme (ACE) inhibitors, Angiotensin-II-receptor blocker (ARB), aldosteron antagonists, beta blockers versus diuretics and Calcium antagonists. The methods of the present invention provide antihypertensive therapy adaption of subjects with stage A and/or early
- ²⁵ stage B HF as a consequence of diagnosis of structural changes preceding left ventricular hypertrophy and/or heart failure with a preference for angiotensin-converting enzyme (ACE) inhibitors, Angiotensin-II-receptor blocker (ARB), aldosteron antagonists, beta blockers versus diuretics and Calcium antagonists. Advantageously further progression to left ventricular hypertrophy and/or heart failure may be prevented.
- **[0236]** Determining the concentration of a cardiac troponin or a variant thereof and, as the case may be, GDF-15 or a variant thereof, or IGFBP7 or a variant thereof, or any other peptide or polypeptide referred to in this specification relates to measuring the concentration or concentration, preferably semi-quantitatively or quantitatively. Measuring can be done directly or indirectly. Direct measuring relates to measuring the concentration or concentration of the peptide or polypeptide based on a signal which is obtained from the peptide or polypeptide itself and the intensity of which directly correlates with the number of molecules of the peptide present in the sample. Such a signal - sometimes referred to
- ³⁵ herein as intensity signal -may be obtained, e.g., by measuring an intensity value of a specific physical or chemical property of the peptide or polypeptide. Indirect measuring includes measuring of a signal obtained from a secondary component (i.e. a component not being the peptide or polypeptide itself) or a biological read out system, e.g., measurable cellular responses, ligands, labels, or enzymatic reaction products.
- [0237] In a further embodiment of the present invention, there are provided methods of therapy monitoring and therapy adaptation in a subject receiving administration of a medicament selected from ACE inhibitors, angiotensin receptor blockers and aldosterone antagonists, or any combination of the aforecited documents and, as the case may be, in addition to any further medicament or combination of medicaments known for the treatment of the hereinafter cited diseases. The method according to this embodiment of the present invention, in particular, permits to decide on the administration of aldosterone antagonists, optionally in addition to ACE inhibitors and angiotensin receptor blockers and,
- as the case may be, in addition to any further medicament known for the treatment of heart failure and/or structural and/or functional abnormalities of the heart preceding heart failure.
 [0238] In one embodiment, the subject has heart failure, in particular the subject is classified into stage C of the ACC/AHA system. In a further embodiment, the individual suffers from structural and/or functional abnormalities of the heart preceding heart failure.
- ⁵⁰ **[0239]** According to the state of the art, it is currently recommended to treat patients at risk of heart failure with ACE inhibitors and/or angiotensin receptor blockers. This includes patients classified into stages A and B according to the ACC/AHA guidelines.

[0240] Starting from stage C (which includes patients with structural heart disease having prior or current symptoms of heart disease) diuretics are recommended which include aldosterone antagonists in selected patients according to

⁵⁵ the ACC/AHA guidelines. Selected patients include those with moderate to severe heart failure and evidence of recent decompensation (see ACC/AHA guidelines).

[0241] It is appreciated that the effect of ACE inhibitors as well as of angiotensin receptor blockers is affected by functional polymorphisms (see McNamara, Heart Failure Clin 6 (2010), p 35-43, in particular Table 1). It has been shown

that the prevalence of aldosterone escape on ACE inhibitors is greatest in the ACE DD genotype (Mc Namara et al, p. 39), supporting the rationale for the use of aldosterone antagonists.

[0242] Collagen formation in heart tissue is preceded by inflammation. It is known that inflammation is present in heart failure patients through activation of the renin-angiotension- aldosterone (RAAS) system, supporting the use of aldosterone antagonists in heart failure. Previously it has been shown that the aldosterone antagonist eplerone reduces collagen formation in heart failure patients as indicated by PIIINP levels (see G. Mak et al, JACC vol 54, no 18, 2009,

5

- p 1674-1682, in particular Figure 2). This is further supported by ongoing studies to test the use of aldosterone antagonists in NYHA class II patients (Mc Murray NEJM 362, 228 238, 2010, in particular p 236, "Areas of uncertainty"). This is however consistent with a recent review indicating that aldosterone promotes collagen synthesis in the heart and promotes
 maladaptive cardiac remodelling (KIM Y.S., Current Treatment Options in Cardiovascular Medicine 11, 455 466, 2009,
- in particular p. 462, "ARAs").
 [0243] It was found by the present inventors that cardiac troponins and variants thereof, preferably troponin T or a variant thereof or troponin I or a variant thereof, in particular troponin T or a variant thereof, and GDF-15 or a variant
- thereof and, optionally, a natriuretic peptide selected from ANP type and BNP type natriuretic peptides and variants thereof, preferably BNP or a variant thereof or NT-proBNP or a variant thereof, in particular NT-proBNP or a variant thereof, give relevant information on the efficacy of treatment of the aforementioned subjects. On the one hand, these subjects are those having heart failure, in particular being classified into stage C of the ACC/AHA system. On the other hand, the subjects have risk factors of suffering from heart failure and are, preferably, classified into stage A of the ACC/AHA classification, or suffer from structural and/or functional abnormalities of the heart preceding heart failure and
- ²⁰ are, preferably, classified into stage B of the ACC/AHA classification. [0244] In particular, the amounts of GDF-15, NT-proBNP and Troponin T were determined in 97 patients with overt heart failure. All patients were on beta blocker as well as on ACE inhibitor therapy, 59 patients were on aldosterone antagonists, 38 did not receive aldosterone antagonists After the determination of the amounts of the aforementioned markers, the ratio of NT-pro BNP and GDF 15 and the ratio of Troponin T and GDF-15 was formed. Interestingly, it was
- ²⁵ found that the ratios in the group of aldosterone antagonist group were higher than in the group of patients not treated with aldosterone antagonists. Therefore, the determination of the GDF-15 in combination with a natriuretic peptide or a cardiac Troponin shall allow for making decisions on the therapy adaption in patients treated with an ACE inhibitor, an angiotensin receptor antagonist, or aldosterone antagonists (or combinations thereof). In particular, said determination shall allow for making decisions on the therapy adaption in patients treated with an ACE inhibitor. The aformentioned
- 30 medicaments, in particular ACE inhibitors, sometimes become less effective resulting in an increased level of inflammation. In this case, a therapy adaptation with aldosterone antagonists as described herein below would be useful since aldosterone antagonists allow for reducing the level of inflammation. The methods described herein below allow for identifying subjects which may benefit from a therapy adaptation.

[0245] While ACE inhibitors and angiotension receptor blockers (ARBs) have been shown to be effective in large

- ³⁵ randomized trials there is currently no method available to diagnose the effectiveness of these drugs in the individual patient. This is in contrast to the application of these drugs in patients with kidney disease where the decrease of urinary albumin can be used to verify treatment success. Thus the method described offers for the first time a method for the diagnosis of treatment failure and provides guidance for improved treatment using aldosterone inhibitors or in the future drugs that inhibit synthesis of aldosterone.
- 40 [0246] In one embodiment of the invention, a medication which has been initiated in a subject according to the invention is monitored (i.e. it is assessed whether the medication is effective).
 [0247] In a further embodiment of the invention, a decision on the adaptation of the medication is made, preferably in accordance with the results of the monitoring of the medication. In a particular preferred embodiment, it is decided if administration of an aldosterone antagonists is appropriate. It may also be conceived to administrate one or more
- ⁴⁵ aldosterone synthetase inhibitors, see Roumen L. et al J Medical Chemistry 2010, 53 1712 25.
 [0248] The present invention therefore furthermore provides a method of monitoring a medication which has been initiated in a subject suffering from heart failure or stages preceding left ventricular hypertrophy and/or preceding heart failure including risk factors for heart failure, based on the comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these
 ⁵⁰ marker(s) in a control sample.

[0249] The method of the invention may comprise the following steps: a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof; b) measuring in a sample the concentration of GDF-15 or a variant thereof, c) monitoring the medication by comparing the thus determined concentrations with a reference amount, e.g. in a control sample.

⁵⁵ **[0250]** Preferably, monitoring is carried out by comparing the determined concentrations with a reference amount. **[0251]** Accordingly, the present invention provides a method of monitoring a medication to which a subject suffering from heart failure or stages preceding left ventricular hypertrophy and/or heart failure including risk factors for heart failure is subjected, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof,

- b) measuring in a sample the concentration of GDF-15 or a variant thereof,
- c) monitoring the medication by comparing the thus determined concentrations with a reference amount.
- 5

[0252] The present invention furthermore provides a method of decision on the adaptation of a medication which has been initiated in a subject suffering from heart failure or stages preceding heart failure including risk factors for heart failure, based on the comparison of the concentrations of at least on cardiac troponin or a variant thereof, and optionally of one or more other markers of heart failure, to the concentration of this or these marker(s) in a control sample.

¹⁰ **[0253]** The method of the invention may comprise the following steps: a) repeatedly measuring in a sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof; b) repeatedly measuring in a sample the concentration of GDF-15 or a variant thereof, c) deciding on the adaptation of the medication by comparing the thus determined concentrations with a reference amount.

[0254] Preferably, the decision on adaptation is carried out by comparing the determined concentrations with a reference amount.

[0255] The present invention therefore also provides a method of deciding on the adaptation of a medication in a subject subjected to said medication and suffering from heart failure or stages preceding heart failure including risk factors for heart failure, the method comprising the steps of

- a) measuring in a sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof,
 - b) measuring in a sample the concentration of GDF-15 or a variant thereof, and
 - c) deciding on the adaptation of the medication by comparing the thus determined concentrations with a reference amount.
- 25

[0256] In a preferred embodiment of the present invention, the determination of the cardiac troponin and, optionally, the one or more other markers of heart failure is carried out repeatedly, i.e. at least 2 times, and preferably within a given time interval or time intervals.

- [0257] A definition of the term "subject" has been given elsewhere herein. The definition applies accordingly. Preferably, the subject in the context of the method of deciding on the adaptation of a medication and the subject in the context of the method of monitoring a medication (both methods based on the determination of the amount of GDF-15 in combination with a natriuretic peptide and a cardiac Troponin), preferably, shall not have exhibited and acute coronary syndrome within at least 2 weeks, or, more preferably, within at least 4 week before the sample for carrying out the method has been obtained. Also preferably, the subject does not suffer from acute inflammation, in particular from the systemic
- ³⁵ inflammatory response syndrome (SIRS), at the time at which the sample for carrying out the method has been obtained. [0258] Preferably, the medication is selected from ACE inhibitors, angiotension receptor blockers and aldosterone antagonists. In particular, the medication is an ACE inhibitor.
 [0250] Evenue for these selected from the following the

[0259] Examples for these classes of drugs are the following:

- 40 ACE inhibitors: Enalapril, Captopril, Ramipril, Trandolapril; preferably Enalapril angiotensin receptor blockers: Losartan, Valsartan, Irbesartan, Candesartan, Telmisartan,
 - Eprosartan; preferably Losartan

aldosterone antagonists: Eplerenone, Spironolactone, Canrenone, Mexrenone, Prorenone, preferably, Spironolactone, more preferably, Eplerenone.

45

[0260] As described above the recommendation of ACE inhibitors in stages A and B suggests the need for antiinflammatory treatment, however because of polymorphisms this appears not to be effective in all cases. Aldosterone antagonists are considered to be useful also in patients belonging to stages A und B of the ACC/AHA classification, preferably in cases where ACE inhibitors and angiotensin receptor blockers are not effective.

- 50 [0261] Lack of effectiveness of ACE inhibitors and angiotensin receptor blockers can be identified by the present method of the invention. This applies, on the one hand, for subjects not suffering from heart failure, but having risk factors of developing heart failure, preferably subjects classified in stage A; on the other hand, the method applies to subjects suffering from functional and/or structural abnormalities of the heart preceding heart failure, preferably subjects classified in stage B. The method of the present invention also lends itself for subjects having non reversible structural damage
- of the heart (i.e. heart failure), preferably subjects classified in stage C. [0262] In the context of the present invention, effectiveness of treatment with a medicament from the group ACE inhibitors, angiotensin receptor blockers and aldosterone antagonists is assessed by the concentrations of a cardiac troponin and variants thereof, preferably troponin T or a variant thereof or troponin I or a variant thereof, in particular

troponin T or a variant thereof, in connection with the concentrations of GDF-15 or a variant thereof. In a further embodiment, effectiveness of treatment is assessed by the concentrations of a natriuretic peptide, preferably BNP or NTproBNP, in particular NT-proBNP, in connection with the concentrations of GDF-15.

- **[0263]** As clear from the foregoing, increased concentrations of a cardiac troponin in a subject indicate that the subject either suffers from functional and/or structural abnormalities of the heart preceding heart failure, or is already suffering from heart failure. Increased concentrations of a cardiac troponin may also indicate that the subject suffers from coronary artery disease; as known to the person skilled in the art, here the concentrations of a cardiac troponin may be increased in respect to healthy individuals.
- [0264] Increased concentrations of a natriuretic peptide in a subject indicate that the subject either suffers from functional and/or structural abnormalities of the heart preceding heart failure, or is already suffering from heart failure. Increased concentrations of a natriuretic peptide may also indicate that the subject suffers from coronary artery disease; as known to the person skilled in the art, here the concentrations of a natriuretic peptide may be increased in respect to healthy individuals.
- [0265] It is also clear from the foregoing that an increased concentration of GDF-15 in a subject is not only indicative of heart failure, but also indicates inflammatory processes occuring in the myocardium of the individual. Accordingly, if the concentration of GDF-15 is not increased or only slightly increased in respect to healthy individuals, this is indicative for no or a low number of inflammatory processes occuring in the myocardium. On the contrary, increased or highly increased concentrations of GDF-15 or a variant thereof in a subject show the occurance of inflammatory processes in the individual's myocardium.
- 20 [0266] The person skilled in the art is able to conclude the information necessary for the therapy monitoring and/or therapy adaptation from the respective values of the cardiac troponin or a variant thereof and concentrations of GDF-15 and variants thereof. For example, a only slightly increased concentration (in respect to healthy individuals) of a cardiac troponin or a variant thereof, preferably troponin I or a variant thereof or troponin I or a variant thereof, in particular troponin T or a variant thereof, in connection with an increased or even highly increased concentration of GDF-15 or a
- ²⁵ variant thereof indicates that the subject does not suffer from heart failure, but that a considerable number of inflammatory processes are ongoing in his myocardium, pointing to the danger of development of heart failure and that medication is not effective, with an adaptation being recommended (for an explanation of the therapy adaptation, please see elsewhere herein). Accordingly, an increased level (or highly increased level) of GDF-15 in combination with a slightly increased level of a cardiac troponin indicates that the therapy, in particular the therapy with an ACE inhibitor, shall be adapted.
- ³⁰ **[0267]** On the other hand, a highly increased concentration (in espect to healthy individuals) of a cardiac troponin, preferably troponin I or troponin I, in particular troponin T or a variant thereof, in connection with an only slightly increased concentration of GDF-15 or a variant thereof indicates that the subject suffers from heart failure, but that inflammatory processes are moderate and that medication is effective and an adaptation is not necessary. Accordingly, a highly increased level of a cardiac Troponin in combination with a slightly increased level of GDF-15 indicates that the therapy,
- ³⁵ in particular the therapy with an ACE inhibitor, that an adaption of the therapy is not necessary, and, thus, that the therapy can be continued.

[0268] The person skilled in the art is aware that various intermediate constellations of the concentrations of the abovecited markers exist, indicating various degrees of efficacy of medicament administration.

- [0269] In a preferred embodiment of the instant embodiment of the present invention, the ratio cardiac troponin /GDF 15 is formed, with the cardiac troponin being preferably troponin T or troponin I, in particular troponin T. By this, often a more profound information in respect to the treatment of the respective subject can be obtained. In general, a high ratio cardiac troponin/GDF-15 is often indicative of an appropriate medication suppressing inflammatory processes. Accordingly, a high ratio of the amount of a cardiac troponin to the amount of GDF-15, preferably, indicates that no adaption of the therapy is necessary, and, thus, that the therapy can be continued. Accordingly, a low ratio of the amount of a service transmisted by the therapy can be continued. Accordingly, a low ratio of the amount of a
- ⁴⁵ cardiac troponin to the amount of GDF-15 indicates that the therapy shall be adapted. [0270] In a preferred embodiment of the aforementioned method the ratio of the amount of a cardiac troponin to the amount of GDF-15 is formed, and compared to a reference ratio of the amount of a cardiac troponin to the amount of GDF-15. Preferably, the reference ratio is derived from a subject for whom no therapy adaptation is necessary, or from a subject for whom the therapy shall be adapted (and, thus, from a subject who will benefit from therapy adaptation).
- ⁵⁰ **[0271]** Preferably, an increased ratio of the amount of a cardiac troponin to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio indicates that no adaption of the therapy is necessary, and, thus, that the therapy can be continued (and thus, that the therapy shall not be changed), whereas a decreased ratio of the amount of a cardiac troponin to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio. Preference ratio are mentioned herein below.
- ⁵⁵ **[0272]** In an even more preferred embodiment of the present invention, the above mentioned ratio cardiac troponin /GDF 15 is used in connection with the individual concentrations

[0273] In general, a ratio troponin T/GDF-15 of \geq (equal to or higher than) about 0.01, preferably about 0.017, is indicative that the medication is appropriate and should not be changed. On the contrary, a ratio troponin T/GDF-15 of

<(lower than) about 0.01, preferably about 0.005 is indicative that the medication is not appropriate and should be adapted. [0274] In a further embodiment of the present invention, the ratio natriuretic peptide/GDF-15 is formed. The natriuretic peptide is preferably a BNP type or an ANP type natriuretic peptide, even more preferably BNP or NT-proBNP, in particular NT-proBNP.

- ⁵ **[0275]** In further preferred embodiment embodiment of the aforementioned method the ratio of the amount of a natriuretic peptide to the amount of GDF-15 is formed, and compared to a reference ratio of the amount of a natriuretic peptide to the amount of GDF-15. Preferably, the reference ratio is derived from a subject for which no therapy adaptation is necessary, or from a subject for which the therapy shall be adapted (and, thus, a subject who will benefit from therapy adaptation).
- ¹⁰ **[0276]** Preferably, an increased ratio of the amount of a natriuretic peptide to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio indicates that no an adaption of the therapy is necessary, and, thus, that the therapy can be continued (and thus, shall not be changed), whereas a decreased ratio of the amount of a natriuretic peptide to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio indicates that no an adaption of the therapy shall be adapted. Preferred reference ratios are mentioned herein below.
- ¹⁵ [0277] In general, a ratio NT-proBNP /GDF-15 of ≥ (equal to or higher than) about 0.8, preferably about 1.9, is indicative that the medication is appropriate and should not be changed. On the contrary, a ratio NT-proBNP /GDF-15 of < (lower than) about 0.7, preferably about 0.4 is indicative that the medication is not appropriate and should be adapted. [0278] In the context of the method of monitoring a medication in a subject subjected to said medication and suffering
 - from heart failure or stages preceding heart failure including risk factors for heart failure, preferably, the following applies:
- 20

45

Preferably, the ratio cardiac troponin /GDF 15 is formed, with the cardiac troponin being preferably troponin T or troponin I, in particular troponin T. By this, often a more profound information in respect to the treatment of the respective subject can be obtained. In general, a high ratio cardiac troponin/GDF-15 is often indicative of an appropriate medication suppressing inflammatory processes. Accordingly, a high ratio of the amount of a cardiac troponin

- ²⁵ to the amount of GDF-15, preferably, indicates that the therapy is appropriate, and, thus, that the therapy can be continued. Accordingly, a low ratio of the amount of a cardiac troponin to the amount of GDF-15 indicates that the therapy is not appropriate.
- [0279] In preferred embodiment embodiment of the aforementioned method the ratio of the amount of a cardiac troponin to the amount of GDF-15 is formed, and compared to a reference ratio of the amount of a cardiac troponin to the amount of GDF-15. Preferably, the reference ratio is derived from a subject for whom the therapy is appropriate, or from a subject for whom the therapy is not appropriate.

[0280] Preferably, an increased ratio of the amount of a cardiac troponin to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio indicates that the therapy is appropriate (and thus, that

³⁵ therapy shall not be changed), whereas a decreased ratio of the amount of a cardiac troponin to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio indicates that the therapy is not appropriate. Preferred reference ratios are mentioned herein below.

[0281] In an even more preferred embodiment of the present invention, the above mentioned ratio cardiac troponin /GDF 15 is used in connection with the individual concentrations

40 [0282] In general, a ratio troponin T/GDF-15 of equal to or higher than about 0.01, preferably about 0.017, is indicative that the medication is appropriate. On the contrary, a ratio troponin T/GDF-15 of < (lower than) about 0.01, preferably about 0.005 is indicative that the medication is not appropriate.

[0283] In a further embodiment of the present invention, the ratio natriuretic peptide/GDF-15 is formed. The natriuretic peptide is preferably a BNP type or an ANP type natriuretic peptide, even more preferably BNP or NT-proBNP, in particular NT-proBNP.

[0284] In further preferred embodiment embodiment of the aforementioned method the ratio of the amount of a natriuretic peptide to the amount of GDF-15 is formed, and compared to a reference ratio of the amount of a natriuretic peptide to the amount of GDF-15. Preferably, the reference ratio is derived from a subject for whom the therapy is appropriate, or from a subject for whom the therapy is not appropriate.

- ⁵⁰ **[0285]** Preferably, an increased ratio of the amount of a natriuretic peptide to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio indicates that the therapy is appropriate, whereas a decreased ratio of the amount of a natriuretic peptide to the amount of GDF-15 in the sample from the subject to be tested as compared to the reference ratio indicates that the therapy is not appropriate. Preferred reference ratios are mentioned herein below.
- ⁵⁵ **[0286]** In general, a ratio NT-proBNP /GDF-15 of equal to or higher than about 0.8, preferably about 1.9, is indicative that the medication is appropriate. On the contrary, a ratio NT-proBNP /GDF-15 of < (lower than) about 0.7, preferably about 0.4 is indicative that the medication is not appropriate.

[0287] It is to be understood that the subjects of the present invention (suffering from either heart failure, preferably

being classified stage C of the ACC/AHA classification; or having risk factors for heart failure or suffering from functional and/or structural abnormalities of the heart preceding heart failure, preferably being classified stage A or B of the ACC/AHA classification) already receive medication for the treatment of the aforementioned states, in general a medication selected from ACE inhibitors, angiotensin receptor blockers and aldosterone antagonists. In an even more pre-ferred embodiment, the subject does not receive administration of an aldosterone antagonist.

- ferred embodiment, the subject does not receive administration of an aldosterone antagonist.
 [0288] In the decision on the adaptation of the medication, a preferred embodiment is the decision on the administration of an aldosterone antagonist, or the decision on the augmentation of the concentration of the aldosterone antagonist, or the decision on the administration of a different or a further aldosterone antagonist.
- [0289] Accordingly, the therapy adaptation, preferably, is selected from i) the administration of an aldosterone antagonist, ii) the augmentation of the concentration (in particular the dosage) of the aldosterone antagonist, and iii) the administration of a different or a further aldosterone antagonist. Preferably, i) is recommended if the subject did not receive aldosterone antagonists prior to carrying out the method (i.e. before the sample has been obtained). Preferably, ii) or iii) is recommended if the subject did receive aldosterone antagonists prior to carrying out the method (i.e. before the sample has been obtained).
- ¹⁵ **[0290]** In case the concentrations of GDF-15 or a variant thereof in the individual show that inflammatory processes are predominant, in particular in consideration of the concentrations of a cardiac troponin in the individual showing heart failure or stages preceding heart failure, the medication should be adapted. Preferably, administration of an aldosterone antagonist should be initiated.
- [0291] In case the concentrations of a cardiac troponin or a variant thereof in the individual show that the individual suffers from heart failure and stages preceding heart failure, in particular in consideration of the concentrations of GDF-15 or a variant thereof in the individual showing inflammatory processes or not, the medication should be adapted if the GDF-15 concentration shows that inflammatory processes are predominant, and the medication should not be adapted if GDF-15 concentrations are not increased or only slightly increased in respect to healthy individuals, indicating that inflammatory processes are not existent or not predominant.
- 25 [0292] Collagen synthesis in the heart is believed to be a reason for diastolic dysfunction, as the left ventricle becomes stiffer as a consequence of collagen deposition between the cells. An impaired filling capacity results. Therefore, aldosterone antagonists appear to be in particular appropriate for treating individuals who are at risk of suffering from diastolic dysfunction, or who are about to develop diastolic dysfunction, or who suffer from diastolic dysfunction which may worsen. [0293] In the context of the present embodiment of the present invention, the terms "decreased" and "increased" refer
- to the average values in a healthy subject. These values are known to the person skilled in the art and are as follows: about 2.0 pg/mL, or about 3 pg/ml for troponin T (or 0.0 pg/mL taking into account the test's sensitivity of about 2.0); about 680 pg/ml, preferably about 580 pg/mL, in particular about 500 pg/mL for GDF-15; about 68 pg/ml, preferably about 37 pg/mL, in particular about 18 pg/mL for NT-proBNP.
- **[0294]** As known to the person skilled in the art mean average values of NT-ProBNP in healthy subjects increase with age (e.g. 37 pg/mL at age 18-44, 72 pg/ml at age 55-64, 107 pg/ml at age 65-74, 211 pg/ml at age above 75). The person skilled in the art considers this when assessing whether the amount of natriuretic peptide is increased. Thus, whether an amount of a natriuretic peptide is increased, e.g. by at least 20% in respect to the amount in a sample from a healthy individual, can be determined by the skilled person without further ado.
- [0295] The terms "decreased" or "increased" as used in the context of the present invention denote in case of GDF-15 an increase or decrease of at least 10 %, preferably at least 20 % in respect to healthy individuals; in case of troponin T and NT-proBNP, the terms "decreased" or "increased" as used in the context of the present invention denote an increase or decrease of at least 20%, preferably at least 30 %, in respect to healthy individuals. Accordingly, an increase of, preferably, at least 20%, or, more preferably, of at least 30% of a cardiac troponin, in particular of troponin T, in respect to the amount in a sample from a healthy subject or in respect to the amount in a sample from a subject bearing
- risk factors of heart failure, but not having functional and/or structural abnormalities preceding heart failure (in particular in a stage A subject) is indicative for the diagnosis of functional and/or structural abnormalities preceding heart failure and/or preceding left ventricular hypertrophy. Further preferred is that an increase of at least 50% of a cardiac troponin, in particular of troponin T, in respect to the aformentioned amounts is indicative for the diagnosis of functional and/or structural abnormalities preceding heart failure and/or preceding left ventricular hypertrophy. Preferably, the risk factors of the test subject and the reference subject are the same.
- [0296] A healthy subject/individual, preferably, is a subject not bearing risk factors of heart failure, in particular a normotensive subject (see e.g. group 1 in Example 1). More preferably, said subject is a subject not bearing risk factors of heart failure and not having functional and structural abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy. Is is further preferred that the healthy subject is a subject bearing risk factors of heart failure,
- ⁵⁵ but not having functional and/or structural abnormalities preceding heart failure and/or preceding LVH (see e.g. group 2 in Example 1). Preferably, the subject bearing risk factors of heart failure, but not having functional and/or structural abnormalities preceding heart failure and/or preceding LVH is a stage A subject. Preferably, if the healthy subject bears risk factors of heart disease, the subject to be tested bears the same risk factors.

[0297] Preferably, an amount of a cardiac troponin in sample from a test subject which is descreased or which is essentially same as compared to the amount of a cardiac troponin in a sample from a healthy subject (or from a subject bearing risk factors of heart failure, but not having functional and/or structural abnormalities preceding heart failure (in particular in a stage A subject) indicates that the subject does not suffer from functional and/or structural abnormalities preceding heart failure abnormalities preceding left ventricular hypertrophy.

- ⁵ preceding heart failure and/or preceding left ventricular hypertrophy.
 [0298] Particularly preferred reference amounts for troponin T that may serve as cut-off amount are, preferably, 3.3 pg/ml, or more preferably 3.5 pg/ml. A preferred reference amount for troponin I that may serve as cut-off amount is, preferably, 9 pg/ml. A preferred reference range may be 0.2 up to 9 pg/ml. An amount of a cardiac troponin in a sample, preferably in a serum or plasma sample, from a subject larger than the reference amount, preferably, indicates that the
- ¹⁰ subject suffers from structural or functional abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy. An amount of a cardiac troponin in sample, preferably a serum or plasma sample, from a subject lower than the reference amount, preferably, indicates that the subject does not suffer from structural or functional abnormalities of the heart preceding heart failure and/or preceding left ventricular hypertrophy.
- [0299] Particularly preferred reference amounts for IGFBP7 that may serve as cut-off amount are, preferably, 42.6
 pg/ml, or more preferably 46.2 pg/ml. A preferred reference range is 33.3 pg/ml to 46.2 pg/ml.
 [0300] The term "natriuretic peptide" comprises <u>A</u>trial <u>N</u>atriuretic <u>P</u>eptide (ANP)-type and <u>B</u>rain <u>N</u>atriuretic <u>P</u>eptide (BNP)-type peptides and variants thereof having the same predictive potential. Natriuretic peptides according to the present invention comprise ANP-type and BNP-type peptides and variants thereof (see e.g. Bonow, 1996, Circulation)
- 93: 1946-1950). ANP-type peptides comprise pre-proANP, proANP, NT-proANP, and ANP. BNP-type peptides comprise
 pre-proBNP, proBNP, NT-proBNP, and BNP. The pre-pro peptide (134 amino acids in the case of pre-proBNP) comprises
 a short signal peptide, which is enzymatically cleaved off to release the pro peptide (108 amino acids in the case of proBNP). The pro peptide is further cleaved into an N-terminal pro peptide (NT-pro peptide, 76 amino acids in case of NT-proBNP) and the active hormone (32 amino acids in the case of BNP, 28 amino acids in the case of ANP). Preferably,
- natriuretic peptides according to the present invention are NT-proANP, ANP, and, more preferably, NT-proBNP, BNP,
 and variants thereof. ANP and BNP are the active hormones and have a shorter half-life than their respective inactive counterparts, NT-proANP and NT-proBNP. BNP is metabolized in the blood, whereas NT-proBNP circulates in the blood as an intact molecule and as such is eliminated renally. The in-vivo half-life of NT-proBNP is 120 min longer than that of BNP, which is 20 min (Smith 2000, J Endocrinol. 167: 239-46.). Preanalytics are more robust with NT-proBNP allowing easy transportation of the sample to a central laboratory (Mueller 2004, Clin Chem Lab Med 42: 942-4.). Blood samples
- 30 can be stored at room temperature for several days or may be mailed or shipped without recovery loss. In contrast, storage of BNP for 48 hours at room temperature or at 4° Celsius leads to a concentration loss of at least 20 % (Mueller loc.cit.; Wu 2004, Clin Chem 50: 867-73.). Therefore, depending on the time-course or properties of interest, either measurement of the active or the inactive forms of the natriuretic peptide can be advantageous. The most preferred natriuretic peptides according to the present invention are NT-proBNP or variants thereof. As briefly discussed above,
- the human NT-proBNP, as referred to in accordance with the present invention, is a polypeptide comprising, preferably, 76 amino acids in length corresponding to the N-terminal portion of the human NT-proBNP molecule. The structure of the human BNP and NT-proBNP has been described already in detail in the prior art, e.g., WO 02/089657, WO 02/083913 or Bonow loc. cit. Preferably, human NT-proBNP as used herein is human NT-proBNP as disclosed in EP 0 648 228 B1. These prior art documents are herewith incorporated by reference with respect to the specific sequences of NT-
- 40 proBNP and variants thereof disclosed therein. The NT-proBNP referred to in accordance with the present invention further encompasses allelic and other variants of said specific sequence for human NT-proBNP discussed above. Specifically, envisaged are variant polypeptides which are on the amino acid level preferably, at least 50%, 60%, 70%, 80%, 85%, 90%, 92%, 95%, 97%, 98%, or 99% identical to human NT-proBNP, preferably over the entire length of human NT-proBNP. The degree of identity between two amino acid sequences can be determined by algorithms well known in
- ⁴⁵ the art. Preferably, the degree of identity is to be determined by comparing two optimally aligned sequences over a comparison window, where the fragment of amino acid sequence in the comparison window may comprise additions or deletions (e.g., gaps or overhangs) as compared to the reference sequence (which does not comprise additions or deletions) for optimal alignment. The percentage is calculated by determining the number of positions at which the identical amino acid residue occurs in both sequences to yield the number of matched positions, dividing the number of
- ⁵⁰ matched positions by the total number of positions in the window of comparison and multiplying the result by 100 to yield the percentage of sequence identity. Optimal alignment of sequences for comparison may be conducted by the local homology algorithm of Smith and Waterman Add. APL. Math. 2:482 (1981), by the homology alignment algorithm of Needleman and Wunsch J. Mol. Biol. 48:443 (1970), by the search for similarity method of Pearson and Lipman Proc. Natl. Acad. Sci. (USA) 85: 2444 (1988), by computerized implementations of these algorithms (GAP, BESTFIT, BLAST,
- ⁵⁵ PASTA, and TFASTA in the Wisconsin Genetics Software Package, Genetics Computer Group (GCG), 575 Science Dr., Madison, WI), or by visual inspection. Given that two sequences have been identified for comparison, GAP and BESTFIT are preferably employed to determine their optimal alignment and, thus, the degree of identity. Preferably, the default values of 5.00 for gap weight and 0.30 for gap weight length are used. Variants referred to above may be allelic

variants or any other species specific homologs, paralogs, or orthologs. Substantially similar and also envisaged are proteolytic degradation products which are still recognized by the diagnostic means or by ligands directed against the respective full-length peptide. Also encompassed are variant polypeptides having amino acid deletions, substitutions, and/or additions compared to the amino acid sequence of human NT-proBNP as long as the said polypeptides have

- ⁵ NT-proBNP properties. NT-proBNP properties as referred to herein are immunological and/or biological properties. Preferably, the NT-proBNP variants have immunological properties (i.e. epitope composition) comparable to those of human NT-proBNP. Thus, the variants shall be recognizable by the aforementioned means or ligands used for determination of the amount of the natriuretic peptides. Biological and/or immunological NT-proBNP properties can be detected by the assay described in Karl et al. (Karl 1999, Scand J Clin Lab Invest 230:177-181), Yeo et al. (Yeo 2003, Clinica
- Chimica Acta 338:107-115). Variants also include posttranslationally modified peptides such as glycosylated peptides. Further, a variant in accordance with the present invention is also a peptide or polypeptide which has been modified after collection of the sample, for example by covalent or non-covalent attachment of a label, particularly a radioactive or fluorescent label, to the peptide.
- [0301] It is to be understood that the subjects which are in the context of the present embodiment of the invention (namely methods of therapy monitoring and therapy adaptation in a subject receiving administration of a medicament selected from ACE inhibitors, angiotensin receptor blockers and aldosterone antagonists) should not suffer from diseases which affect the concentrations of the markers cited in respect to individuals not suffering from the cardiac abnormalities or diseases which are the subject-matter of the present invention. Accordingly, the subject should be clinically healthy except for heart failure/functional and/or structural abnormalities of the heart preceding heart failure, and for risk factor
- of suffering from heart failure. Furthermore, the subject should not suffer from diabetes mellitus, should not have liver disease, should not be pregnant and should not suffer from malignancies.
 [0302] The person skilled in the art is aware that the concentrations cited in the present application for the cardiac troponins (troponin T or a variant thereof and troponin I or a variant thereof), NT-proBNP or a variant thereof and to a lesser extent for GDF-15 or a variant thereof may not apply for patients suffering from impaired renal function, preferably
- ²⁵ patients suffering from renal failure, in particular patients suffering from chronic and end stage renal failure. In a preferred embodiment of the present invention, patients suffering from impaired renal function, preferably patients suffering from renal failure, in particular patients suffering from chronic and end stage renal failure are not comprised in (excluded from) the methods of the present invention. In another preferred embodiment, patients with renal hypertension are not comprised in (excluded from) the methods of the present invention.
- ³⁰ **[0303]** Preferably, the "subject" as used herein excludes patients suffering from impaired renal function, preferably patients suffering from renal failure, in particular patients suffering from chronic and end stage renal failure, more preferably patients with renal hypertension, most preferably all of the patients suffering from one of the diseases and conditions mentioned in this sentence. In this context, "renal failure" is regarded as an impaired glomerular filtration rate (GFR) lying below the usual ranges of 60 to 120 ml/min, preferably below 60 ml/min. Chronic renal failure is a long-standing,
- ³⁵ progressive deterioration of renal function which often results in end stage renal failure. End stage renal failure is diagnosed when the GFR reaches a rate of up to about 30 ml/min. GFR is determined by the creatinine clearance, which is known to the person skilled in the art. Subjects with impaired renal function show higher levels of troponin I and troponin T than those cited above, due to an impaired clearance of the peptide. The levels vary with the severity of the renal impairment.
- 40 **[0304]** The severity of renal impairment is divided into various grades, as displayed below.

 $0: \ge 90 \text{ ml/min}$

 $1: \ge 90$ ml/min with microalbuminuria

45

55

2: ≥ 60 - < 90 ml/min

 $3 \text{:} \geq 30$ - < 60 ml/min

50 4: \geq 15 - < 30 ml/min

5: < 15 ml/min

(Source: National Kidney Foundation, as published in: Am J. Kidney Dis 39 suppl 1, 2002; Clinical Practice Guidelines for chronic kidney disease).

[0305] The subject as set forth herein shall be, preferably, about to leave stage A and about to enter stage B (or shall have entered early stage B). Accordingly, the subject, preferably, shall have no history of myocardial infarction, in particular shall have no history of known myocardial infarction. Thus, the term "subject" as used herein, preferably,

excludes a subject having a history of known myocardial infarction. This applies, in particular, to the methods of diagnosing, methods of differentiating, methods of monitoring a medication, and methods of predicting as set forth herein.

[0306] A subject who has no history of known myocardial infarction (MI), preferably, did not suffer from myocardial infarction (in particular from diagnosed myocardial infarction) in the past, i.e. before the sample to be tested has been

5 obtained. The term "myocardial infarction" is well known in the art. As used herein, the term, preferably, includes STelevation MI (STEMI) and non-ST-elevated MI (NSTEMI). [0307] Also preferably, the term "subject" as used herein, preferably, excludes a subject who suffers from coronary artery disease. Thus, the subject to be tested shall not suffer from coronary artery disease. This applies, in particular, to the methods of diagnosing, methods of differentiating, methods of monitoring a medication, and methods of predicting

10 as set forth herein.

> [0308] The term "coronary artery disease" (CAD, frequently also called coronary heart disease (CHD) is known to the person skilled in the art. The term, preferably, refers to a condition in which at least one of the major coronary arteries is narrowed, whereby stenosis of more than 50 % should occur per vessel. A subject not suffering from CAD, has less than 50% stenosis (and thus less than 50% occlusion) of the major coronary arteries. How to assess the degree of

- 15 occlusion of a coronary artery is well known in the art, preferably, the degree is assessed by coronary angiography. [0309] Moreover, it is preferred that the subject to be tested in the context of the methods of the present invention does not have increased levels of a natriuretic peptide. This applies, in particular, to the methods of diagnosing, methods of differentiating, methods of monitoring a medication, and methods of predicting as set forth herein. Preferably, the subject does not have increased levels of a brain natriuretic peptide. More preferably, the subject does not have increased
- 20 levels of a BNP-type natriuretic peptide. Most preferably, the subject does not have increased levels of NT-proBNP. [0310] A subject who does not have increased levels of a natriuretic peptide, in particular of NT-proBNP, preferably, has less than 20% increase of a natriuretic peptide, in respect to healthy individuals and, more preferably, in respect to the amount in a sample from a healthy subject bearing risk factors of heart failure, but not having functional and/or structural abnormalities preceding LVH and/ or heart failure (in particular in a stage A subject)) in respect to the amount
- 25 in a sample from a subject bearing preferably the same risk factors of heart failure and having functional and/or structural abnormalities preceding LVH and/or preceding heart failure (in particular in an early stage B subject). More preferably, the subject has a level of NT-proBNP of less than 10 % as compared to the aforementioned levels. The aforementioned levels, preferably, apply to serum levels.
- [0311] The term "sample" refers to a sample of a body fluid, to a sample of separated cells or to a sample from a tissue 30 or an organ. Samples of body fluids can be obtained by well known techniques and include, preferably, samples of blood, plasma, serum, urine, samples of blood, plasma or serum. It is to be understood that the sample depends on the marker to be determined. Therefore, it is encompassed that the polypeptides as referred to herein are determined in different samples. Cardiac troponins or a variant thereof, NT-proBNP or a variant thereof, GDF 15 or a variant thereof and IGFBP7 or a variant thereof are, preferably, determined in a blood serum or blood plasma sample.
- 35 [0312] In accordance with the present invention, determining the concentration of a peptide or polypeptide can be achieved by all known means for determining the concentration of a peptide in a sample (the terms "concentration", "level" and "amount" are, preferably used interchangeably herein). Said means comprise immunoassay devices and methods which may utilize labeled molecules in various sandwich, competition, or other assay formats. Said assays will develop a signal which is indicative for the presence or absence of the peptide or polypeptide. Moreover, the signal
- 40 strength can, preferably, be correlated directly or indirectly (e.g. reverse-proportional) to the concentration of polypeptide present in a sample. Further suitable methods comprise measuring a physical or chemical property specific for the peptide or polypeptide such as its precise molecular mass or NMR spectrum. Said methods comprise, preferably, biosensors, optical devices coupled to immunoassays, biochips, analytical devices such as mass- spectrometers, NMRanalyzers, or chromatography devices. Further, methods include micro-plate ELISA-based methods, fully-automated or
- 45 robotic immunoassays (available for example on Elecsys™ analyzers), CBA (an enzymatic Cobalt Binding Assay, available for example on Roche-Hitachi[™] analyzers), and latex agglutination assays (available for example on Roche-Hitachi[™] analyzers).

[0313] Preferably, determining the concentration of a peptide or polypeptide comprises the steps of (a) contacting a cell capable of eliciting a cellular response the intensity of which is indicative of the concentration of the peptide or

- 50 polypeptide with the said peptide or polypeptide for an adequate period of time, (b) measuring the cellular response. For measuring cellular responses, the sample or processed sample is, preferably, added to a cell culture and an internal or external cellular response is measured. The cellular response may include the measurable expression of a reporter gene or the secretion of a substance, e.g. a peptide, polypeptide, or a small molecule. The expression or substance shall generate an intensity signal which correlates to the concentration of the peptide or polypeptide.
- 55 [0314] Also preferably, determining the concentration of a peptide or polypeptide comprises the step of measuring a specific intensity signal obtainable from the peptide or polypeptide in the sample. As described above, such a signal may be the signal intensity observed at an m/z variable specific for the peptide or polypeptide observed in mass spectra or a NMR spectrum specific for the peptide or polypeptide.

[0315] Determining the concentration of a peptide or polypeptide may, preferably, comprises the steps of (a) contacting the peptide with a specific ligand, (b) (optionally) removing non-bound ligand, (c) measuring the concentration of bound ligand. The bound ligand will generate an intensity signal. Binding according to the present invention includes both covalent and non-covalent binding. A ligand according to the present invention can be any compound, e.g., a peptide,

- ⁵ polypeptide, nucleic acid, or small molecule, binding to the peptide or polypeptide described herein. Preferred ligands include antibodies, nucleic acids, peptides or polypeptides such as receptors or binding partners for the peptide or polypeptide and fragments thereof comprising the binding domains for the peptides, and aptamers, e.g. nucleic acid or peptide aptamers. Methods to prepare such ligands are well-known in the art. For example, identification and production of suitable antibodies or aptamers is also offered by commercial suppliers. The person skilled in the art is familiar with
- ¹⁰ methods to develop derivatives of such ligands with higher affinity or specificity. For example, random mutations can be introduced into the nucleic acids, peptides or polypeptides. These derivatives can then be tested for binding according to screening procedures known in the art, e.g. phage display. Antibodies as referred to herein include both polyclonal and monoclonal antibodies, as well as fragments thereof, such as Fv, Fab and F(ab)₂ fragments that are capable of binding antigen or hapten. The present invention also includes single chain antibodies and humanized hybrid antibodies
- ¹⁵ wherein amino acid sequences of a non-human donor antibody exhibiting a desired antigen-specificity are combined with sequences of a human acceptor antibody. The donor sequences will usually include at least the antigen-binding amino acid residues of the donor but may comprise other structurally and/or functionally relevant amino acid residues of the donor antibody as well. Such hybrids can be prepared by several methods well known in the art. Preferably, the ligand or agent binds specifically to the peptide or polypeptide. Specific binding according to the present invention means
- that the ligand or agent should not bind substantially to ("cross-react" with) another peptide, polypeptide or substance present in the sample to be analyzed. Preferably, the specifically bound peptide or polypeptide should be bound with at least 3 times higher, more preferably at least 10 times higher and even more preferably at least 50 times higher affinity than any other relevant peptide or polypeptide. Non-specific binding may be tolerable, if it can still be distinguished and measured unequivocally, e.g. according to its size on a Western Blot, or by its relatively higher abundance in the sample.
- ²⁵ Binding of the ligand can be measured by any method known in the art. Preferably, said method is semi-quantitative or quantitative. Suitable methods are described in the following.

[0316] First, binding of a ligand may be measured directly, e.g. by NMR or surface plasmon resonance.

[0317] Second, if the ligand also serves as a substrate of an enzymatic activity of the peptide or polypeptide of interest, an enzymatic reaction product may be measured (e.g. the concentration of a protease can be measured by measuring the concentration of cleaved substrate, e.g. on a Western Blot). Alternatively, the ligand may exhibit enzymatic properties itself and the "ligand/peptide or polypeptide" complex or the ligand which was bound by the peptide or polypeptide, respectively, may be contacted with a suitable substrate allowing detection by the generation of an intensity signal. For

measurement of enzymatic reaction products, preferably the concentration of substrate is saturating. The substrate may also be labeled with a detectable label prior to the reaction. Preferably, the sample is contacted with the substrate for
 ³⁵ an adequate period of time. An adequate period of time refers to the time necessary for a detectable, preferably measurable, concentration of product to be produced. Instead of measuring the concentration of product, the time necessary for appearance of a given (e.g. detectable) concentration of product can be measured.

[0318] Third, the ligand may be coupled covalently or non-covalently to a label allowing detection and measurement of the ligand. Labeling may be done by direct or indirect methods. Direct labeling involves coupling of the label directly (covalently or non-covalently) to the ligand. Indirect labeling involves binding (covalently or non-covalently) of a secondary ligand to the first ligand. The secondary ligand should specifically bind to the first ligand. Said secondary ligand may be coupled with a suitable label and/or be the target (receptor) of tertiary ligand binding to the secondary ligand. The use of secondary, tertiary or even higher order ligands is often used to increase the signal. Suitable secondary and higher order ligands may include antibodies, secondary antibodies, and the well-known streptavidin-biotin system (Vector)

- ⁴⁵ Laboratories, Inc.). The ligand or substrate may also be "tagged" with one or more tags as known in the art. Such tags may then be targets for higher order ligands. Suitable tags include biotin, digoxygenin, His-Tag, Glutathion-S-Transferase, FLAG, GFP, myc-tag, influenza A virus haemagglutinin (HA), maltose binding protein, and the like. In the case of a peptide or polypeptide, the tag is preferably at the N-terminus and/or C-terminus. Suitable labels are any labels detectable by an appropriate detection method. Typical labels include gold particles, latex beads, acridan ester, luminol, ruthenium,
- ⁵⁰ enzymatically active labels, radioactive labels, magnetic labels ("e.g. magnetic beads", including paramagnetic and superparamagnetic labels), and fluorescent labels. Enzymatically active labels include e.g. horseradish peroxidase, alkaline phosphatase, beta-Galactosidase, Luciferase, and derivatives thereof. Suitable substrates for detection include di-amino-benzidine (DAB), 3,3'-5,5'-tetramethylbenzidine, NBT-BCIP (4-nitro blue tetrazolium chloride and 5-bromo-4chloro-3-indolyl-phosphate, available as ready-made stock solution from Roche Diagnostics), CDP-Star™ (Amersham
- ⁵⁵ Biosciences), ECF[™] (Amersham Biosciences). A suitable enzyme-substrate combination may result in a colored reaction product, fluorescence or chemoluminescence, which can be measured according to methods known in the art (e.g. using a light-sensitive film or a suitable camera system). As for measuring the enzymatic reaction, the criteria given above apply analogously. Typical fluorescent labels include fluorescent proteins (such as GFP and its derivatives), Cy3, Cy5,

Texas Red, Fluorescein, and the Alexa dyes (e.g. Alexa 568). Further fluorescent labels are available e.g. from Molecular Probes (Oregon). Also the use of quantum dots as fluorescent labels is contemplated. Typical radioactive labels include ³⁵S, ¹²⁵I, ³²P, ³³P and the like. A radioactive label can be detected by any method known and appropriate, e.g. a lightsensitive film or a phosphor imager. Suitable measurement methods according the present invention also include pre-

- 5 cipitation (particularly immunoprecipitation), electrochemiluminescence (electro-generated chemiluminescence), RIA (radioimmunoassay), ELISA (enzyme-linked immunosorbent assay), sandwich enzyme immune tests, electrochemiluminescence sandwich immunoassays (ECLIA), dissociation-enhanced lanthanide fluoro immuno assay (DELFIA), scintillation proximity assay (SPA), turbidimetry, nephelometry, latex-enhanced turbidimetry or nephelometry, or solid phase immune tests. Further methods known in the art (such as gel electrophoresis, 2D gel electrophoresis, SDS polyacrylamid
- 10 gel electrophoresis (SDS-PAGE), Western Blotting, and mass spectrometry), can be used alone or in combination with labeling or other detection methods as described above. [0319] The concentration of a peptide or polypeptide may be, also preferably, determined as follows: (a) contacting a solid support comprising a ligand for the peptide or polypeptide as specified above with a sample comprising the peptide
- or polypeptide and (b) measuring the concentration peptide or polypeptide which is bound to the support. The ligand, 15 preferably chosen from the group consisting of nucleic acids, peptides, polypeptides, antibodies and aptamers, is preferably present on a solid support in immobilized form. Materials for manufacturing solid supports are well known in the art and include, inter alia, commercially available column materials, polystyrene beads, latex beads, magnetic beads, colloid metal particles, glass and/or silicon chips and surfaces, nitrocellulose strips, membranes, sheets, duracytes, wells and walls of reaction trays, plastic tubes etc. The ligand or agent may be bound to many different carriers. Examples
- 20 of well-known carriers include glass, polystyrene, polyvinyl chloride, polypropylene, polyethylene, polycarbonate, dextran, nylon, amyloses, natural and modified celluloses, polyacrylamides, agaroses, and magnetite. The nature of the carrier can be either soluble or insoluble for the purposes of the invention. Suitable methods for fixing/immobilizing said ligand are well known and include, but are not limited to ionic, hydrophobic, covalent interactions and the like. It is also contemplated to use "suspension arrays" as arrays according to the present invention (Nolan 2002, Trends Biotechnol.
- 25 20(1):9-12). In such suspension arrays, the carrier, e.g. a microbead or microsphere, is present in suspension. The array consists of different microbeads or microspheres, possibly labeled, carrying different ligands. Methods of producing such arrays, for example based on solid-phase chemistry and photo-labile protective groups, are generally known (US 5,744,305).
- [0320] The term "concentration" as used herein encompasses the absolute concentration of a polypeptide or peptide, 30 the relative concentration or concentration of the said polypeptide or peptide as well as any value or parameter which correlates thereto or can be derived therefrom. Such values or parameters comprise intensity signal values from all specific physical or chemical properties obtained from the said peptides by direct measurements, e.g., intensity values in mass spectra or NMR spectra. Moreover, encompassed are all values or parameters which are obtained by indirect measurements specified elsewhere in this description, e.g., response levels determined from biological read out systems
- 35 in response to the peptides or intensity signals obtained from specifically bound ligands. It is to be understood that values correlating to the aforementioned concentrations or parameters can also be obtained by all standard mathematical operations.

[0321] The present invention further encompasses a device adapted for carrying out the methods of the present invention, comprising:

40

45

55

a) means for determining the concentrations of the following peptides:

a cardiac troponin or a variant thereof, preferably troponin T or troponin I or variants thereof; and optionally one or more of the following means: means for determining the concentrations of an inflammatory marker, preferably GDF-15 or a variant thereof; and/or means for determining the concentration of IGFBP7 or a variant thereof;

b) means for comparing the concentrations determined in step a) with respective concentrations of said markers in control samples, whereby the methods of the present invention are carried out.

50 [0322] The device is adapted for carrying out the methods of the present invention. The methods of the present invention are preferably the following:

diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart failure and/ or respective stroke and/or respective chronic kidney disease; and/or differentiating, in a subject bearing risk factors of developing heart failure, if the subject only bears risk factors or if

the subject already suffers from functional and/or structural abnormalities of the heart preceding heart failure; and/or predicting the risk of a subject bearing risk factors of developing heart failure, to suffer from heart failure.

[0323] In general, the subject (i.e. the individual bearing risk factors and/or suffering from functional and/or structural abnormalities of the heart preceding heart failure) does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium, and he will be able to fully restore his health, and he is not classified into stage C or D of the ACC/AHA classification. Preferably, the subject bears risk factors for heart failure.

- ⁵ These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.
 [0324] Depending on the results obtainable by the device according to the invention, a decision on the therapy or therapy adaptation may be taken. The therapy may be adapted by e.g. augmenting or diminishing the concentrations of the medicaments which are administrated. Accordingly, the device is also adapted for monitoring the said therapy.
- ¹⁰ **[0325]** The present invention also relates to the use of a device or devices as cited beforehand, for: diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart failure; and/or stroke and/ or chronic kidney disease, differentiating, in a subject bearing risk factors of developing heart failure, if the subject only bears risk factors or if the
- subject already suffers from functional and/or structural abnormalities of the heart preceding heart failure; and/or
 predicting the risk of a subject bearing risk factors of developing heart failure, to suffer from heart failure.
 [0326] In general, the subject (i.e. the individual bearing risk factors and/or suffering from functional and/or structural abnormalities of the heart preceding heart failure) does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium, and he will be able to fully restore his health, and he is not classified into stage C or D of the ACC/AHA classification. Preferably, the subject bears risk factors for heart failure.
- These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.
 [0327] Depending on the results obtainable by the use of the device according to the invention, a decision on the therapy or therapy adaptation may be taken. The therapy may be adapted by e.g. augmenting or diminishing the concentrations of the medicaments which are administrated. Accordingly, the device can also be used for monitoring the
- ²⁵ said therapy.

[0328] The term "device" as used herein relates to a system of means comprising at least the aforementioned means operatively linked to each other as to allow the prediction. Preferred means for determining the concentration of a one of the aforementioned polypeptides as well as means for carrying out the comparison are disclosed above in connection with the method of the invention. How to link the means in an operating manner will depend on the type of means included

- ³⁰ into the device. For example, where means for automatically determining the concentration of the peptides are applied, the data obtained by said automatically operating means can be processed by, e.g., a computer program in order to obtain the desired results. Preferably, the means are comprised by a single device in such a case. Said device may accordingly include an analyzing unit for the measurement of the concentration of the peptides or polypeptides in an applied sample and a computer unit for processing the resulting data for the evaluation. The computer unit, preferably,
- ³⁵ comprises a database including the stored reference concentrations or values thereof recited elsewhere in this specification as well as a computer-implemented algorithm for carrying out a comparison of the determined concentrations for the polypeptides with the stored reference concentrations of the database. Computer-implemented as used herein refers to a computer-readable program code tangibly included into the computer unit. Alternatively, where means such as test stripes are used for determining the concentration of the peptides or polypeptides, the means for comparison may
- 40 comprise control stripes or tables allocating the determined concentration to a reference concentration. The test stripes are, preferably, coupled to a ligand which specifically binds to the peptides or polypeptides referred to herein. The strip or device, preferably, comprises means for detection of the binding of said peptides or polypeptides to the said ligand. Preferred means for detection are disclosed in connection with embodiments relating to the method of the invention above. In such a case, the means are operatively linked in that the user of the system brings together the result of the
- ⁴⁵ determination of the concentration and the diagnostic or prognostic value thereof due to the instructions and interpretations given in a manual. The means may appear as separate devices in such an embodiment and are, preferably, packaged together as a kit. The person skilled in the art will realize how to link the means without further ado. Preferred devices are those which can be applied without the particular knowledge of a specialized clinician, e.g., test stripes or electronic devices which merely require loading with a sample. The results may be given as output of raw data which need
- ⁵⁰ interpretation by the clinician. Preferably, the output of the device is, however, processed, i.e. evaluated, raw data the interpretation of which does not require a clinician. Further preferred devices comprise the analyzing units/devices (e.g., biosensors, arrays, solid supports coupled to ligands specifically recognizing the cardiac troponin, IGFBP7 or GDF 15, Plasmon surface resonance devices, NMR spectrometers, mass-spectrometers etc.) and/or evaluation units/devices referred to above in accordance with the method of the invention.
- ⁵⁵ **[0329]** The present invention also relates to the use of a device as cited beforehand, for: monitoring the therapy in a subject diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart failure.

[0330] Moreover, the present invention relates to a kit adapted for carrying out the methods of the present invention

referred to above comprising:

- a) means for determining the concentrations of the following peptides:
- 5

a cardiac troponin or a variant thereof, preferably troponin T or a variant thereof; an inflammatory marker, preferably GDF-15 or a variant thereof; IGFBP7 or a variant thereof, and

- b) means for comparing the concentrations determined in step a) with respective concentrations of said markers in control samples, whereby the methods of the present invention are carried out.
- 10

50

[0331] The kit is adapted for carrying out the method of the present invention referred to above. Preferably, the kit comprises instructions for carrying out the said method of the present invention.

[0332] The methods of the present invention are preferably the following:

diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart failure; and/or

differentiating, in a subject bearing risk factors of developing heart failure, if the subject only bears risk factors or if the subject already suffers from functional and/or structural abnormalities of the heart preceding heart failure; and/or predicting the risk of a subject bearing risk factors of developing heart failure, to suffer from heart failure.

- [0333] In general, the subject (i.e. the individual bearing risk factors and/or suffering from functional and/or structural abnormalities of the heart preceding heart failure) does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium, and he will be able to fully restore his health, and he is not classified into stage C or D of the ACC/AHA classification. Preferably, the subject bears risk factors for heart failure. These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.
- ²⁵ [0334] Depending on the results obtainable by the kit according to the invention, a decision on the therapy or therapy adaptation may be taken. The therapy may be adapted by e.g. augmenting or diminishing the concentrations of the medicaments which are administrated. Accordingly, the kit is also adapted for monitoring the said therapy.
 [0335] The present invention also relates to the use of a kit or kits as cited beforehand, for: diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart
- ³⁰ failure; and/or respective of developing stroke, and/ or respective of developing chronic kidney disease, differentiating, in a subject bearing risk factors of developing heart failure, if the subject only bears risk factors or if the subject already suffers from functional and/or structural abnormalities of the heart preceding heart failure; and/or predicting the risk of a subject bearing risk factors of developing heart failure, to suffer from heart failure. [0336] In general, the subject (i.e. the individual bearing risk factors and/or suffering from functional and/or structural
- ³⁵ abnormalities of the heart preceding heart failure) does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional damages to his myocardium, and he will be able to fully restore his health, and he is not classified into stage C or D of the ACC/AHA classification. Preferably, the subject bears risk factors for heart failure. These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.
- [0337] Depending on the results obtainable by the use of the kit according to the invention, a decision on the therapy or therapy adaptation may be taken. The therapy may be adapted by e.g. augmenting or diminishing the concentrations of the medicaments which are administrated. Accordingly, the kit can also be used for monitoring the said therapy.
 [0338] The term "kit" as used herein refers to a collection of the aforementioned means, preferably, provided in sep-

arately or within a single container. The container, also preferably, comprises instructions for carrying out the method of the present invention.

[0339] The present invention also relates to the following uses of the kits, devices and means as specified above for the purposes indicated below:

Use of a kit or device for determining the concentration of: a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof;

and, as the case may be, GDF-15 or a variant thereof; and/or IGFBP7 or a variant thereof in a sample of a subject; and the use of a means for determining the concentration of: a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or

Troponin I or a variant thereof; and, as the case may be, GDF-15 or a variant thereof; and/or IGFBP7 or a variant thereof; and the use of a means for comparing the concentration of a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be, GDF-15 or a variant thereof; and/or IGFBP7 or a variant thereof, to the concentration of at least one of said markers in a control sample for: diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart failure; and/or

differentiating, in a subject bearing risk factors of developing heart failure but not showing overt signs of heart failure, if the subject only bears risk factors or if the subject already suffers from functional and/or structural abnormalities of the heart preceding heart failure; and/or

⁵ predicting the risk of a subject bearing risk factors of developing heart failure to suffer from heart failure, and wherein all uses are based on diagnosing functional and/or structural abnormalities of the heart preceding heart failure in a subject bearing risk factors of developing heart failure.

[0340] The present invention also relates to the use of the following antibodies and means for the purposes indicated below:

Use of an antibody to a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be, an antibody to GDF-15 or a variant thereof and/or an antibody to IGFBP7 or a variant thereof, and/or of means for determining the concentration of a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be a variant thereof and/or thereof and/or an antibody to IGFBP7 or a variant thereof, and/or of means for determining the concentration of a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case

- may be, means for determining the concentration of GDF-15 or a variant thereof and/or of means for determining the concentration of IGFBP7 or a variant thereof, and/or of means for comparing the concentration of a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be, the concentrations of GDF-15 or a variant thereof and/or IGFBP7 or a variant thereof, to the
- 20 concentration at least one of the said markers in a control sample for the manufacture of a diagnostic composition for: diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart failure; and/or

differentiating, in a subject bearing risk factors of developing heart failure, if the subject only bears risk factors or if the subject already suffers from functional and/or structural abnormalities of the heart preceding heart failure; and/or predicting the risk of a subject bearing risk factors of developing heart failure, to suffer from heart failure;

²⁵ predicting the risk of a subject bearing risk factors of developing heart failure, to suffer from heart failure; and wherein all uses are based on diagnosing functional and/or structural abnormalities of the heart preceding heart failure in a subject bearing risk factors of developing heart failure.

[0341] The present invention also relates to the use of the following antibodies and means for the purposes indicated ³⁰ below:

Use of an antibody to a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be, an antibody to GDF-15 or a variant thereof and/or an antibody to IGFBP7 or a variant thereof, and/or of means for determining the concentration of a cardiac troponin or a variant thereof, and/or of means for determining the concentration of a cardiac troponin or a variant thereof.

³⁵ a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be, means for determining the concentration of GDF-15 or a variant thereof and/or of means for determining the concentration of IGFBP7 or a variant thereof, and/or

of means for comparing the concentration of a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be, the concentrations of GDF-15 or a variant thereof and/or ICCERT or a variant thereof the second terms of terms of terms of the second terms of t

40 variant thereof and/or IGFBP7 or a variant thereof, to the concentration of at least one of the said markers in a control sample for:

diagnosing functional and/or structural abnormalities of the heart preceding heart failure, in a subject bearing risk factors of developing heart failure; and/or

differentiating, in a subject bearing risk factors of developing heart failure, if the subject only bears risk factors or if
 the subject already suffers from myocardial dysfunction and/or structural functional and/or structural abnormalities of the heart preceding heart failure; and/or

predicting the risk of a subject bearing risk factors of developing heart failure, to suffer from heart failure,

and wherein all uses are based on diagnosing functional and/or structural abnormalities of the heart preceding heart failure in a subject bearing risk factors of developing heart failure.

50

55

10

15

[0342] Moreover, the present invention relates to the use of an antibody that specifically binds to a cardiac troponin or a variant thereof, preferably Troponin T or a variant thereof and/or Troponin I or a variant thereof; and, as the case may be, an antibody that specifically binds to GDF-15 or a variant thereof and/or an antibody that specifically binds to IGFBP7 or a variant thereof, in a sample of a female subject, for predicting the risk of a female subject bearing risk factors of developing heart failure to suffer from LVH.

[0343] In general, the subject referred to above in connection with the uses of the invention as specified above (i.e. the individual bearing risk factors and/or suffering from functional and/or structural abnormalities of the heart preceding heart failure) does not suffer from heart failure, i.e. the patient has not experienced permanent structural or functional

damages to his myocardium, and he will be able to fully restore his health, and he is not classified into stage C or D of the ACC/AHA classification. Preferably, the subject bears risk factors for heart failure. These are known to the person skilled in the art and include e.g. hypertension and diabetes. Also preferably, the subject does not show overt signs and/or symptoms of heart failure.

- [0344] The uses of the present invention, as specified beforehand, preferably, are *in vitro* methods
- [0345] The explanations and definitions given herein above apply mutatis mutandis to the following items.
 - [0346] Particularly preferred items of the present invention are as follows

A method of diagnosing in a subject functional and/or structural abnormalities of the heart preceding heart failure,
 the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
- c) diagnosing said functional and/or structural abnormalities by comparing the concentration determined in step
- (a) and optionally the concentration(s) determined in step (b) with a reference amount.

2. The method according to item 1, wherein the subject does not suffer from heart failure or does not display symptoms of heart failure.

- 3. The method according to any of items 1 to 2, wherein the cardiac troponin is troponin I or T or a variant thereof.
- 4. The method according to any of items 1 to 3, wherein the subject suffers from left ventricular dysfunction.
- ²⁵ 5. The method according to any one of items 1 to 4, wherein the one or more other marker(s) of heart failure is selected from GDF-15 or a variant thereof, and/or IGFBP7 or a variant thereof.

6. A method of differentiating between a subject only bearing risk factors of developing heart failure and a subject not only bearing risk factors but already suffering from functional and/or structural abnormalities of the heart preceding heart failure, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and

³⁵ c) differentiating between said subjects by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount.

7. A method of predicting the risk of a subject to suffer from heart failure, or respective cardiovascular and renal events preceding heart failure, the method comprising the steps of

40

45

55

5

15

20

30

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and

c) predicting the risk of the subjects to suffer from heart failure by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount.

8. The method according to item 7, wherein the subject suffers from a left ventricular dysfunction, preferably a diastolic dysfunction.

⁵⁰ 9. A method of deciding on the treatment of functional and/or structural abnormalities of the heart preceding heart failure in a subject, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure,
 - c) deciding on treatment of the subject by comparing the thus determined concentration with a reference amount.
- 10. The method according to item 9, wherein the treatment is administration of at least one of the following medi-

	caments: Diuretics, calcium antagonists, adrenergic agonists, positive inotropic agents, statines, hydazaline and isosorbide dinitrate, preferably beta blockers, aldosterone antagonists, in particular ACE inhibitors, angiotensin receptor antagonists,
5	11. A method of monitoring treatment of functional and/or structural abnormalities of the heart preceding heart failure in a subject, the method comprising the steps of
10	 a) determining the concentration of at least one cardiac troponin or a variant thereof, b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and c) assessing whether the subject has undergone a change in its pathophysiological state by comparing the thus determined concentration of the said markers as determined in step a) to its concentration in a control sample.
	12. A method of diagnosing heart failure in a subject, the method comprising the steps of
15	 a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof, b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and c) assessing the heart failure by comparing the concentration determined in step (a) and optionally the concen-
20	tration(s) determined in step (b) with a reference amount.
	13. A method of monitoring a medication in a subject subjected to said medication and suffering from heart failure or stages preceding heart failure including risk factors for heart failure, the method comprising the steps of
25	 a) measuring in a sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof, b) measuring in a sample the concentration of GDF-15 or a variant thereof, and c) monitoring the medication by comparing the thus determined concentrations with a reference amount.
30	14. A method of deciding on the adaptation of a medication in a subject subjected to said medication and suffering from heart failure or stages preceding heart failure including risk factors for heart failure, the method comprising the steps of
35	 a) measuring in a sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof, b) measuring in a sample the concentration of GDF-15 or a variant thereof, c) deciding on the adaptation of the medication by comparing the thus determined concentrations with a reference amount.
40	15. The method according to item 13 or 14, wherein the medication is selected from ACE inhibitors, angiotension receptor blockers and aldosterone antagonists.
	[0347] In the following preferred embodiments of the present invention are disclosed. The definitions given in the specification apply accordingly.
45	1. A method of diagnosing functional and/or structural abnormalities of the heart preceding heart failure in a subject suffering from hypertension, diabetes, obesity, metabolic syndrome and/or having a history of smoking, the method comprising the steps of:
50	a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- 50
- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and

c) diagnosing said functional and/or structural abnormalities by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount

55 wherein the subject does not show left ventricular hypertrophy, and wherein the structural and/or functional abnormalities of the heart preceding heart failure comprise an abnormality selected from a left ventricular structural change, an increased septum diameter, an increased posterial wall diameter, and diastolic dysfunction.

2. The method according to embodiment 1, wherein the subject does not suffer from heart failure or does not display symptoms of heart failure.

3. The method according to any of embodiments 1 to 2, wherein the cardiac troponin is troponin I or T or a variant thereof.

4. The method according to any of embodiments 1 to 3, wherein the subject suffers from hypertension, in particular arterial hypertension, and/or diabetes, in particular type 2 diabetes.

- ¹⁰ 5. The method of any of embodiments 1 to 4, wherein the functional and/or structural abnormalities of the heart preceding heart failure are structural and/or functional abnormalities of the heart preceding heart failure and/or left ventricular hypertrophy.
- 6. The method of any of embodiments 1 to 5, wherein the functional and/or structural abnormality is an increased septum diameter.

7. The method of any one of embodiments 1 to 6, wherein the functional and/or structural abnormality is a diastolic dysfunction, in particular diastolic dysfunction assymptomatic diastolic left ventricular dysfunction with preserved left ventricular ejection fraction (LVEF).

20

8. The method of any one of embodiments 1 to 7, wherein the subject does not have increased NT-proBNP levels, in particular, wherein the subject has no increase of NT-ProBNP compared to a reference amount of at least 20 % or of at least 30%, in particular to the amount of NT-proBNP in healthy individuals.

- 9. The method of any one of embodiments 1 to 8, wherein an increase of at least 20 %, in particular, of at least 30 % of a cardiac Troponin, in particular of Troponin T, in respect to the amount in healthy individuals is indicative for the diagnosis of functional and/or structural abnormalities of the heart preceding heart failure.
- 10. The method according to any one of embodiments 1 to 9, wherein the one or more other marker(s) of heart failure is selected from GDF-15 or a variant thereof, and/or IGFBP7 or a variant thereof.

11. A method of differentiating between a subject only bearing risk factors of developing heart failure and a subject not only bearing risk factors but already suffering from functional and/or structural abnormalities of the heart preceding heart failure, the method comprising the steps of

35

40

45

50

- a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,
- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
- c) differentiating between said subjects by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount,

wherein the subject does not show left ventricular hypertrophy, and wherein the structural and/or functional abnormalities of the heart preceding heart failure comprise an abnormality selected from a left ventricular structural change, an increased septum diameter, an increased posterial wall diameter, and diastolic dysfunction.

12. The method of embodiment 11, wherein the subject suffers from hypertension.

13. A method of predicting the risk of a female subject to suffer from left ventricular hypertrophy, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentration of at least one cardiac troponin or a variant thereof,

- b) optionally measuring in the sample the concentration of one or more other marker(s) of heart failure, and
 c) predicting the risk of the subjects to suffer from left ventricular hypertrophy by comparing the concentration determined in step (a) and optionally the concentration(s) determined in step (b) with a reference amount.
 - 14. The method of embodiment 13, wherein the subject bears at least one risk factor of heart failure.

15. The method of embodiment 14, wherein the subject bearing at least one risk factor suffers from hypertension.

16. The method of any one of embodiments 13 to 15, wherein the reference amount is derived from a healthy female subject or a group thereof, and wherein an increase of a cardiac troponin, preferably, of troponin T of at least 30%, in particular of at least 50% as compared to the reference amount is indicative for a risk of the subject to suffer from left ventricular hypertrophy.

17. A method of monitoring a medication in a subject subjected to said medication, said medication being selected from ACE inhibitors, angiotension receptor blockers and aldosterone antagonists, said subject suffering from heart failure or stages preceding heart failure including risk factors for heart failure, the method comprising the steps of

a) measuring in a sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof,

- b) measuring in a sample the concentration of GDF-15 or a variant thereof, and
- c) monitoring the medication by comparing the thus determined concentrations with a reference amount.

18. A method of deciding on the adaptation of a medication selected from ACE inhibitors, angiotension receptor blockers and aldosterone antagonists in a subject subjected to said medication and suffering from heart failure or stages preceding heart failure including risk factors for heart failure, the method comprising the steps of

20

25

30

5

10

15

a) measuring in a sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof,

b) measuring in a sample the concentration of GDF-15 or a variant thereof, and

c) deciding on the adaptation of the medication by comparing the thus determined concentrations with a reference amount.

19. The method according to embodiment 17 or 18, wherein the medication is an ACE inhibitor.

20. The method according any one of embodiment 17 to 19, wherein the concentrations of a cardiac troponin, in particular troponin T, and GDF-15 are measured, and wherein a ratio of the cardiac troponin and GDF-15 (cardiac Troponin/GDF-15) is formed.

- ³⁵ 21. The method according to embodiment 20, wherein a ratio of troponin T/GDF-15 of equal to or higher than about 0.01, preferably equal to higher than about 0.017, is indicative that the medication is appropriate and should not be changed, and/or wherein a ratio troponin T/GDF-15 of lower than about 0.01, preferably lower than about 0.005 is indicative that the medication is not appropriate and should be adapted.
- 40 22. The method according any one of embodiment 17 to 19, wherein the concentrations of a natriuretic peptide, in particular NT-proBNP, and GDF-15 are measured, and wherein a ratio of the natriuretic peptide and GDF-15 (natriuretic peptide/GDF-15) is formed.
- 23. The method according to embodiment 22, wherein a ratio of NT-proBNP /GDF-15 of equal to or higher than about 0.8, preferably equal to or higher than about 1.9, is indicative that the medication is appropriate and should not be changed, and/or wherein a ratio NT-proBNP /GDF-15 of lower than about 0.7, preferably lower than about 0.4 is indicative that the medication is not appropriate and should be adapted.
- 24. The method according to any of embodiments 18 to 23, wherein the adaptation is selected from i) the adminis tration of an aldosterone antagonist, ii) the augmentation of the concentration of the aldosterone antagonist, and iii) the administration of a different or a further aldosterone antagonist.

25. In vitro use of a cardiac Troponin, or of an antibody which specifically binds to a cardiac Troponin for diagnosing functional and/or structural abnormalities of the heart preceding heart failure as defined in embodiment 1 in a subject
 suffering from hypertension, diabetes, obesity, metabolic syndrome and/or having a history of smoking, wherein the subject does not show left ventricular hypertrophy.

26. In vitro use of a cardiac Troponin, or of an antibody which specifically binds to a cardiac Troponin for differentiating

between a subject suffering from stage A heart failure and a subject suffering from early stage B heart failure.

27. In vitro use of a cardiac Troponin, or of an antibody which specifically binds to a cardiac Troponin for differentiating between a subject only bearing risk factors of developing heart failure and a subject not only bearing risk factors but already suffering from functional and/or structural abnormalities of the heart preceding heart failure as defined in embodiment 1, wherein the subject does not show LVH.

28. In vitro use of a cardiac Troponin, or of an antibody which specifically binds to a cardiac Troponin for predicting the risk of a female subject to suffer from left ventricular hypertrophy.

10

20

5

29. The in vitro use of embodiments 25 to 28, further comprising the use of one or more other marker(s) of heart failure, in particular of GDF-15 and/or IGFBP7, or of an antibody (antibodies) which specifically binds to said one or marker(s) of heart failure.

¹⁵ [0348] All references cited in this specification are herewith incorporated by reference with respect to their entire disclosure content and the disclosure content specifically mentioned in this specification.
 [0349] The Figures show:

Fig. 1: Values of troponin T in patients belonging to group 1, 2, 3 or 4 as described in the Examples.

Fig. 2: Values of GDF-15 in patients belonging to group 1, 2, 3 or 4 as described in the Examples.

Fig. 3: Values of IFGBP7 in patients belonging to group 1, 2, 3 or 4 as described in the Examples.

²⁵ Fig. 4: Values of NT-proBNP in patients belonging to group 1, 2, 3 or 4 as described in the Examples.

[0350] The following Examples shall merely illustrate the invention. They shall not be construed, whatsoever, to limit the scope of the invention.

30 Examples

Methods

- [0351] Troponin T was determined using Roche's electrochemiluminescence ELISA sandwich test Elecsys Troponin T hs (high sensitive) STAT (Short Turn Around Time) assay. The test employs two monoclonal antibodies specifically directed against human cardiac troponin T. The antibodies recognize two epitopes (amino acid position 125-131 and 136-147) located in the central part of the cardiac troponin T protein, which consists of 288 amino acids. The hs-TnT assay allows a measurement of troponin T levels in the range of 3 to 10000 pg/mL.
- [0352] NT-proBNP was determined using Roche's electrochemiluminescence ELISA sandwich test Elecsys proBNP
 II STAT (Short Turn Around Time) assay. The test employs two monoclonal antibodies which recognize epitopes located in the N-terminal part (1-76) of proBNP (1-108).

[0353] To determine the concentration of GDF-15 in serum and plasma samples, an Elecsys prototype test was employed, using a polyclonal, GDF-15 affinity chromatography-purified, goat anti-human GDF-15 IgG antibody from R&D Systems (AF957). In each experiment, a standard curve was generated with recombinant human GDF-15 from

- ⁴⁵ R&D Systems (957-GD/CF). The results with new batches or recombinant GDF-15 protein were tested in standard plasma samples and any deviation above 10% was corrected by introducing an adjustment factor for this assay. GDF-15 measurements in serum and plasma samples from the same patient yielded virtually identical results after correction for eventual dilution factors. The detection limit of the assay was 200 pg/ml.
- [0354] For detection of IGFBP7 in human serum or plasma, a sandwich ELISA was used. For capture and detection of the antigen, aliquots of an anti-IGFBP7 polyclonal antibody from R&D Systems (Catalogue number: AF 1334) was conjugated with biotin and digoxigenin, respectively.
 [0355] Streptavidin-coated 96-well microtiter plates were incubated with 100 pi biotinylated anti-IGFBP7 polyclonal

antibody for 60 min at 1 pg/m1 in lx PBS solution. After incubation, plates were washed three times with lx PBS + 0.02% Tween-20, blocked with PBS + 1% BSA (bovine serum albumen) and then washed again three times with lx PBS + 0.02%

0.02% Tween-20. Wells were then incubated for 1.5 h with either a serial dilution of the recombinant IGFBP7 as standard antigen or with diluted serum or plasma samples (1:50) from patients or control individuals, respectively. After binding of IGFBP7, plates were washed three times with lx PBS + 0.02% Tween-20. For specific detection of bound IGFBP7, wells were incubated with 100 μl of digoxigenylated anti- IGFBP7 polyclonal antibody for 60 min at 1 μg/ml in lx PBS +

1% BSA. Thereafter, plates were washed three times to remove unbound antibody. In a next step, wells were incubated with 75 mU/ml anti-digoxigenin-POD conjugates (Roche Diagnostics GmbH, Mannheim, Germany, Catalog No. 1633716) for 60 min in lx PBS + 1% BSA. Plates were subsequently washed six times with the same buffer. For detection of antigen-antibody complexes, wells were incubated with 100 μ l ABTS solution (Roche Diagnostics GmbH, Mannheim, Germany, Catalog No. 11685767) and the optical density (OD) was measured after 15 min at 405 and 492 nm with an

⁵ Germany, Catalog No. 11685767) and the optical density (OD) was measured after 15 min at 405 and 492 nm with an ELISA reader.

Example 1:

¹⁰ **[0356]** Troponin T, NT-proBNP, GDF 15 and IGFBP7 were determined in the following collectives of individuals. An informed consent of the patients has been obtained.

Group 1: normotensive subjects, n = 32

¹⁵ Group 2: hypertensive subjects bearing risk factors of suffering from heart failure (stage A), n = 47

Group 3 : hypertensive subjects with functional and/or structural abnormalities of the heart preceding heart failure, (early stage B); the subjects did not have elevated NT-proBNP levels the subjects showed structural changes to the left ventricle (increased septum wall thickness (> 11 mm), increased posterial wall thickness (> 12 mm), or first

signs of either hypertrophy or concentric hypertrophy) and/or the subjects showed a systolic dysfunction (LVEF < 50%) and/or diastolic dysfunction with preserved ejection fraction LVEF of at least 50%, n = 37. The subjects did not suffer from left ventricular hypertrophy.</p>

Group 4: subjects with dilated cardiomyopathy (DCM), n = 29

25

55

[0357] For group 1-3, the subjects did not show impaired renal function. Moreover, patients with a history of known myocardial infarction and coronary artery disease were excluded from group 1-3.

[0358] Geometrical changes to the left ventricle were determined by echocardiography (septum wall thickness ST, posterial wall thickness PWT, LVEF, LVEDD); functional changes (LVEDD) were determined by echocardiography.

³⁰ **[0359]** The data show that the levels of Troponin T are higher in subjects of group 3 (hypertensive subjects with functional and/or structural abnormalities of the heart preceding heart failure) than in group 1 (normotensive subjects) and in group 2 (only bearing risk factors of suffering from heart failure). Troponin T levels keep on augmenting when individuals proceed to heart failure (DCM, group 4).

[0360] The data show that the levels of GDF 15 are higher in subjects of group 3 (hypertensive subjects with functional and/or structural abnormalities of the heart preceding heart failure) than in group 1 (normotensive subjects) and in group 2 (only bearing risk factors of suffering from heart failure). GDF 15 levels keep on augmenting when individuals proceed to heart failure (DCM, group 4).

[0361] The data show that the levels of IGFBP7 are higher in subjects of group 3 (hypertensive subjects with functional and/or structural abnormalities of the heart preceding heart failure) than in group 1 (normotensive subjects) and in group

- 2 (only bearing risk factors of suffering from heart failure). Insulin growth factor binding protein 7 levels keep on augmenting when individuals proceed to heart failure dilated cardiomyopathy (DCM, group 4).
 [0362] The data show, that the levels of NT-proBNP are only enhanced in subjects of group 4 (subjects with dilated cardiomyopathy), but no difference can be observed between NT-proBNP levels in group 1 (normotensive subjects),
- group 2 (hypertensive subjects bearing risk factors of suffering from heart failure) and group 3 (hypertensive subjects with functional and/or structural abnormalities of the heart preceding heart failure).
 [0363] It was surprisingly found that troponin levels can identify subjects at early stage B even before hypertrophy becomes apparent, i.e. the subjects have risk factors of suffering from heart failure and show first structural changes to the left ventricle, but do not suffer from hypertrophy. Similar diagnostic information is provided by GDF-15 and IGFBP7.
- [0364] Data are shown in the following tables 1, 2, 3 and 4. Figures 1, 2, 3 and 4 are graphical displays of the data in tables 1, 2, 3 and 4, respectively.

hs-TnT Conc [pg/mL]	Group 1	Group 2	Group 3	Group 4
Quartil 1	0.99	0.44	4.37	3.96
min	0.00	0.00	1.02	0.00
med	2.77	2.38	6.64	8.79

Table 1: Troponin T concentrations in patient groups

(continued)

hs-TnT Conc [pg/mL]	Group 1	Group 2	Group 3	Group 4
max	17.20	38.20	56.40	45.35
Quartil 3	5.44	7.49	8.79	21.83
n	32	47	37	29
MW	3.69	5.14	8.26	13.27

Table 2: GDF-15 concentrations in patient group

GDF-15 Conc [ng/mL]	Group 1	Group 2	Group 3	Group 4		
Quartil 1	0.554	0.569	0.584	0.625		
min	0.400	0.372	0.442	0.402		
med	0.621	0.684	0.728	0.893		
max	1.559	1.484	1.445	9.337		
Quartil 3	0.758	0.885	0.913	1.331		
n	32	47	37	29		
MW	0.689	0.741	0.794	1.269		

Table 3: IGFBP7 concentrations in patient group

Conc [ng/mL]	Group 1	Group 2	Group 3	Group 4
Quartil 1	33.31	36.70	42.45	39.05
min	22.35	18.85	27.50	20.25
med	38.75	43.05	49.70	47.25
max	66.25	68.50	105.20	131.95
Quartil 3	46.23	51.25	58.90	64.15
n	32	47	37	29
MW	40.47	43.88	51.02	53.16

Table 4: NT-proBNP concentrations in patient group

Conc [pg/mL]	Group 1	Group 2	Group 3	Group 4
Quartil 1	49.5	41.4	43.4	264.2
min	24.8	7.8	0.9	37.0
med	75.8	106.8	74.5	383.7
max	814.8	626.2	1555.8	4438.7
Quartil 3	154.1	240.7	209.7	922.1
n	32	47	37	29
MW	134.3	159.2	229.5	899.9

[0365] As it can be seen from table 1, the troponin T levels in patients belonging to group 3 were more than 30% increased as compared to patients belonging to group 1 and 2. Thus, an increase of at least 30% is indicative for the presence of functional and/or structural abnormalities preceding LVH and/or preceding heart failure in patients without

LVH.

5

[0366] In contrast and as it can be seen from table 4, the Nt-ProBNP levels in patients belonging to group 3 remain unchanged as compared to patients belonging to group 1 and 2. Thus, patients with no LVH and with functional and/or functional abnormalities preceding LVH and/or preceding heart failure do not have increased levels of Nt-ProBNP of at least 20 % as compared with reference amounts in healthy individuals (group 1).

[0367] Levels of both, IGFBP7 and GDF15 are at least 10 % increased in patients belonging to group 3 as compared to levels in patients belonging to group 1 and group 2.as demonstrated in tables 2 and 3.

[0368] As can be seen from table 3, IGFBP7 levels are increased at least 20 % in stage 3 patients in respect to reference amounts in healthy subjects belonging to group 1.

¹⁰ **[0369]** Table 5 shows the distribution of septum and posterial wall thickness in subject belonging to groups 1, 2 and 3

15		Group 1 Normotensive subjects (n=32)	Group 2 Hypertensive subjects (n=47)	Group 3 Hypertensive subjects with structural abnormalities of the heart (n=37)
	Mean value septum wall thickness (mm)	9.5 (8 - 11)	9.7 (7 - 11)	13.3 (12 - 16)
20	Mean value posterial wall thickness (mm)	10.2 (9 - 12)	9.5 (5 - 10)	13.4 (11 - 18)

Table 5: Distribution of septum and posterial wall thickness

[0370] As can be seen from table 5, subjects from group 3 had an increased septum wall thickness above 11 mm (mean value of 13.3 mm, between 12 and 16 mm) as well as an increased posterial wall thickness (mean value 13.4 mm). Patients belonging to group 1 and 2 had no structural changes preceding LVH and/or preceding heart failure with mean values of septum and posterial wall thickness ranging from 9.5 - 10.2.

[0371] Thus patients belonging to group 3 (Early stage B) exhibited structural changes preceding LVH and/or heart failure as an increased septum wall thickness above 11 mm (mean value 13.3 mm, range 12 - 16 mm). Patients belonging to group 2 (stage A) and group 1 (healthy) had no structural changes, e.g. no increased septum wall thickness above 11 mm.

30 **1**

Table 6: Characteristics of patient groups 1, 2 and 3: Distribution of gender, age and various risk factors for developing

LVH / HF.

35		Group 1 Normotensive subjects (n=32)	Group 2 Hypertensive subjects without structural abnormalities (n=47)	Group 3 Hypertensive subjects with structural abnormalities (n=37)
	Age (yrs)	59 (36-75)	61 (36-85)	64 (48-80)
40	Male Gender	28 % (9/32)	57 % (27/47)	54 % (20/37)
	History of smoking	27 % (8/30)	36 % (16/45)	44 % (15/34)
	Diabetes mellitus type2	6% (2/31)	13 % (6/45)	18 % (6/33)
45	Diabetes mellitus type 2 and/or fasting glucose > 110 mg/dl	13 % (4/31)	21 % (22/47)	22 % (16/35)
	Lipids	53 % (16/30)	80 % (37/46)	61 % (22/36)
	Adipositas	25 % (7/28)	45 % (20/44)	57 % (20/35)

50

[0372] As can be seen from table 6, all patients belonging to the groups 2 and 3, as well as the apparently healthy subjects of group 1 had a comparable mean age of 60 years.

[0373] Half of the patients at HF stage A or early stage B (groups 2 and 3) were female and all of them had arterial hypertension. As visible in table 6, 20 % of them had Diabetes type 2 and/or fasting glucose levels below 110 mg/ dL.

5		Group 1 Normotensive subjects	Group 2 Hypertensive subjects w/o struct. Abnorm.	Group 3 Hypertensive subjects with structural abnormalities	% increase in respect to normotensive subjects	% increase in respect to normotensive subjects	
	Cohort of example 1	2.77	2.38	6.64	> 30%	>50%	
10	Ecluding subjects w history of smoking	3.12	2.38	6.73	> 30%	>50%	
	Excludingsubjectsw diabetes mellitus type2	2.66	2.06	6.41	> 30%	> 50 %	
15	Excludingsubjectsw diabetes type 2 a/o glucose>110 mg/dl	2.63	2.06	6.64	> 30%	> 50 %	
20	Excludingsubjectsw lipids	2.89	2.06	6.93	> 30%	> 50 %	
	Excludingsubjectsw adipositas	2.66	2.41	6.64	> 30 %	> 50 %	

Table 7 validity of cut-offs for various risk factors for developing LVH / HF

- [0374] As it can be seen from table 1 beforehand, the troponin T levels in patients belonging to group 3 were more than at least 20 % (> 3.3 pg/ml), 30 % (> 3.5 pg/ml) and even more than 50% (> 4.2 pg/ml) increased as compared to patients belonging to group 1 and 2. By stepwise exclusion of single risk factors it can be seen from table 7, that Troponin T levels were increased at least 30 % (> 3.5 pg/ml) in early stage B patients compared to stage A patients with respective risk factors or normotensive apparently healthy subjects.
- [0375] The validity of a cut-off of TnT levels above 3.3, preferably above 3.5 pg/ml or even more preferably above 4.2 pg/ml to diagnose early structural and/ or functional changes preceding LVH and/or heart failure in subjects with arterial hypertension was shown by step-wise exclusion of different risk factor groups.
 [0376] Thus, an increase of at least 30%, preferably of at least 50% is indicative for the presence of functional and/or
- structural abnormalities preceding LVH and/or preceding heart failure in patients with arterial hypertension with or without further risk factors, e.g. diabetes type 2.

Table 8 validation of increased Troponin T levels in early stage B patients with different risk factors for developing

				LVH/HF		
40		Group 1 Normotensive subjects	Group 2 Hypertensive subjects	Group 3 Hypertensive subjects with structural abnormalities	% increase in respect to normotensive subjects	% increase in respect to hypertensive subjects w/o struct. Abnorm.
45	Cohort of example 1	2.77	2.38	6.64	> 30%	> 30%
	Females only	2.63	1.80	6.73	> 30%	> 50%
	Males only	3.11	4.35	6.42	> 30%	> 20%
50	Only subjects w history of smoking	1.99	3.21	6.64	> 20%	> 30%
55	Only subjects w diabetes type 2 a/o glucose>110 mg/dl	3.38	3.61	5.75	> 30 %	> 30 %

5		Group 1 Normotensive subjects	Group 2 Hypertensive subjects	Group 3 Hypertensive subjects with structural abnormalities	% increase in respect to normotensive subjects	% increase in respect to hypertensive subjects w/o struct. Abnorm.
10	Only subjects w lipids	1.84	3.09	6.68	> 30 %	> 30 %
	Only subjects w adipositas	1.02	1.98	6.41	> 30 %	> 30 %

(continued)

[0377] The validity of increased TnT levels of at least 20% in hypertensive individuals with structural abnormalities in 15 respect to hypertensives w/o structural abnormalities (and normotensive individuals) is shown for different risk factor subgroups (adipositas, history of smoking, ...).

[0378] As can be seen from table 8 increased TnT levels discriminate female patients with arterial hypertension with and without structural changes better than male patients (increase of at least 50 % versus at least 20%).

[0379] Thus elevated TnT levels indicate early structural and/or functional changes preceding LVH and/or heart failure, 20 in particular in hypertensive women.

Group 2 Group 3 Hypertensive % increase in Group 1 subjects with structural Normotensive Hypertensive respect to 25 subjects subjects w/o struct. abnormalities normotensive Abnorm. subjects Cohort of example 1 38.7 43.0 49.70 > 20% 42.4 Ecluding subjects w 40.8 48.6 > 10% 30 history of smoking 42.4 47.3 Excluding subjects w 38.8 > 20% diabetes mellitus type2 35 Excluding subjects w 37.6 43.4 48.0 > 20% lipids Excluding subjects w 39.1 43.1 46.9 > 10% adipositas

Table 9 validity of cut-offs for various risk factors for developing LVH / HF

40

[0380] As it can be seen from table 1 beforehand, the IGFBP7 levels in patients belonging to group 3 were more than at least 10 % and even more than 20 % (> 46.5 pg/ml) increased as compared to patients belonging to group 1 and 2. By stepwise exclusion of single risk factors it can be seen from table 9, that IGFBP7 levels were increased at least 10 % in early stage B patients compared to stage A patients with respective risk factors or normotensive apparently healthy subiects.

45

[0381] Thus, an increase of at least 10%, preferably of at least 20% is indicative for the presence of functional and/or structural abnormalities preceding LVH and/or preceding heart failure in patients with arterial hypertension with or without further risk factors, e.g. adipositas. The validity of a cut-off of IGFBP7 levels above 46.5 pg/ml to diagnose early structural and/ or functional changes preceding LVH and/or heart failure in subjects with arterial hypertension was shown by stepwise exclusion of different risk factor groups.

55

Table 10 validation of increased IGFBP7 levels in early stage B patients with different risk factors. The validity of increased TnT levels of at least 10% in hypertensive individuals with structural abnormalities in respect to hypertensives w/o structural abnormalities (and normotensive individuals) is shown for different risk factor subgroups (lipids, history of smoking, ..).

-	or smoking,							
5		Group 1 Normotensive subjects	Group 2 Hypertensive subjects	Group 3 Hypertensive subjects with structural abnormalities	% increase in respect to normotensive subjects			
10	Cohort of example	38.7	43.05	49.70	> 20%			
	1							
	Only females	40.8	42.2	49.2	> 20%			
15	Only males	34.0	44.7	47.65	> 20%			
	Only subjects w lipids	40.8	42.5	47.1	>10%			
20	Only subjects w adipositas	39.6	42.0	47.3	>10%			

[0382] Thus elevated IGFBP7 levels indicated early structural and/or functional changes preceding LVH and/or heart failure, in particular in hypertensive women.

25 Example 2:

30

[0383] Troponin T, NT-proBNP and GDF-15 were determined in the below-described collective of individuals. A total of 97 patients with overt heart failure were included into the study, mean age 60.9 years, 52 males, 45 females, LVEF below 50%, who had a normal kidney function as documented by normal creatinine levels. All patients were on beta blocker as well as on ACE inhibitor therapy, 59 patients were on aldosterone antagonists, 38 did not receive aldosterone antagonists. Patients were treated in the study according to guidelines (see above). None of the patients suffered from diabetes mellitus or metabolic syndrome, in addition none of the patients suffered from liver disease or malignancies. **[0384]** 38 patients receiving no aldosterone (all Class C) had the following characteristics:

35

40

Table 11: Marker concentrations in 38 patients receiving no aldosterone

	Percentile	NT-pro BNP (pg/mL)	Troponin T (pg/mL)	GDF-15 (pg/mL)	LVEF (%)	NYHA class	ratio NT-pro BNP/GDF 15	ratio Troponin T/GDF 15
0	25	157	1.7	663	57	1.0	0.196	0.002
	50	464	6.6	1161	45	1.5	0.401	0.005
	75	1195	18.1	2228	28.5	2.5	0.682	0.011

45

[0385] Patients receiving aldosterone antagonists (all class C) has the following characteristics:

Table 12: Marker concentrations in patients receiving aldosterone antagonists

50	Percentile	NT-pro BNP (pg/mL)	Troponin T (pg/mL)	GDF-15 (pg/mL)	LVEF (%)	NYHA class	ratio NT-pro BNP/GDF 15	ratio Troponin T/GDF 15
	25	475	7.3	915	35	1.0	0.254	0.004
	50	1194	15.3	1535	28	2.0	0.800	0.009
55	75	2072	24.0	2493	20	3.0	1.898	0.017

[0386] Patients who received aldosterone antagonists had more severe heart failure than those who did not receive

aldosterone antagonists. When ratios were formed it became however clear that GDF 15 was lower relative to NT-pro BNP and GDF 15 in the aldosterone antagonist group indicating that GDF 15 was a valuable marker for the use and monitoring of aldosterone antagonists.

[0387] As described above the recommendation of ACE inhibitors in stages A and B suggest the need for anti inflam-

- ⁵ matory treatment, however because of polymorphisms this appears not to be effective in all cases, thus low dose aldosterone antagonists are likely to be useful also in stages A und B heart failure. The ratios of NT-pro BNP/GDF 15 and Troponin T/GDF 15 give guidance as to the use of this drug. In all cases, in other words while ACE inhibitors and angiotension receptor blockers (ARBs) have been shown to be effective in large randomized trials there is currently no method available to diagnose the effectiveness of these drugs in the individual patient. This is in contrast to the application
- 10 of these drugs in patients with kidney disease where the decrease of urinary albumin can be used to verify treatment success. Thus the method described offers for the fist time a method for the diagnosis of treatment failure and provides guidance for improved treatment using aldosterone inhibitors or in the future drugs that inhibit synthesis of aldosterone.

Individual case studies

15

[0388] A 62 year old patient with stable established class C heart failure is currently on a combination of beta blockers and ACE inhibitors. GDF-15, NT-proBNP and Troponin T are determined in serum sample obtained from the patient. The NT-pro BNP/GDF 15 ratio is 0.39, and the Troponin T/GDF 15 ratio is 0.003. Because of inappropriate therapy he is started with spironolactone 25 mg/day. Three months later, the NT-pro BNP/GDF 15 ratio is 0.62 and Troponin T/GDF

- ²⁰ 15 ratio is 0.006. Because of the still inappropriate therapy, spironolactone is increased to 50 mg/day. Again 3 months later therapy is found appropriate with a NT-pro BNP/GDF 15 ratio of 0.9 and a troponin T/GDF 15 ratio of 0.012. [0389] A 48 year old female patient with stable class C heart failure is on standard therapy with beta blockers and ACE inhibitors. GDF-15, NT-proBNP and Troponin T are determined in plasma sample obtained from the patient. The NT pro BNP/GDF 15 ratio is 0.95 and the Troponin T/GDF 15 ratio 0.011. The results indicate that the patient does not
- ²⁵ require therapy with an aldosterone antagonist. As the therapy has only been started 9 months ago, she is informed of the possibility of ACE inhibitor resistance and is advised to have a follow up visit in 12 months or when symptoms of heart failure develop.

[0390] A 68 year old male patient with stable heart failure class C is currently on a combination of beta blocker, ACE inhibitors and low dose aldosterone antagonists. The NT-pro BNP/GDF 15 ratio is 0.95 and the troponin T /GDF 15 ratio is 0.012. The patient is informed that therapy is adequate and to stay an auropt tractment ashedula and to rature to a

³⁰ is 0.012. The patient is informed that therapy is adequate and to stay on current treatment schedule and to return to a follow up visit in 12 months or if symptoms worsen.

[0391] A 56 year old patient with stable type C heart failure is on a therapy with beta blockers, ACE inhibitors and 25 mg/day spironolactone, the NT-pro BNP/GDF 14 ratio is 1,7, the Troponin T /GDF 15 ratio is 0.02. The patient is advised that an attempt can be made to discontinue spironolactone for three months, as the effect of discontinuation is only

³⁵ recognised with significant delay, and then to decide on the necessity of spironolactone. Three months after discontinuation of spironolactone the NT-pro BNP/GDF 15 ratio is 0.9 and the troponin T/GDF 14 ratio is 0.013. The patient is informed that spironolactone can be discontinued.

40 Claims

- 1. A method of monitoring a medication in a subject subjected to said medication, said medication being selected from ACE inhibitors, angiotension receptor blockers and aldosterone antagonists, said subject suffering from heart failure or stages preceding heart failure including risk factors for heart failure, the method comprising the steps of
- 45

a) measuring in a blood, serum or plasma sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof,

- b) measuring in a sample the concentration of GDF-15 or a variant thereof, and
- c) monitoring the medication by comparing the thus determined concentrations with a reference amount,
- 50

wherein, if the concentrations of a cardiac troponin, in particular troponin T, and GDF-15 are measured, a ratio of the cardiac troponin and GDF-15 (cardiac Troponin/GDF-15) is formed, or wherein, if the concentrations of a natriuretic peptide, in particular NT-proBNP, and GDF-15 are measured, a ratio of the natriuretic peptide and GDF-15 (natriuretic peptide/GDF-15) is formed.

55

2. A method of deciding on the adaptation of a medication selected from ACE inhibitors, angiotension receptor blockers and aldosterone antagonists in a subject subjected to said medication and suffering from heart failure or stages preceding heart failure including risk factors for heart failure, the method comprising the steps of

a) measuring in a blood, serum or plasma sample obtained from the subject the concentrations of at least one cardiac troponin or a variant thereof and/or a natriuretic peptide or a variant thereof,

b) measuring in a sample the concentration of GDF-15 or a variant thereof, and

c) deciding on the adaptation of the medication by comparing the thus determined concentrations with a reference amount,

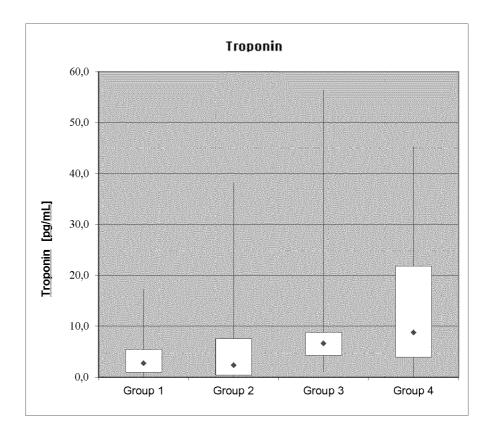
wherein, if the concentrations of a cardiac troponin, in particular troponin T, and GDF-15 are measured, a ratio of the cardiac troponin and GDF-15 (cardiac Troponin/GDF-15) is formed, or

wherein, if the concentrations of a natriuretic peptide, in particular NT-proBNP, and GDF-15 are measured, a ratio
 of the natriuretic peptide and GDF-15 (natriuretic peptide/GDF-15) is formed.

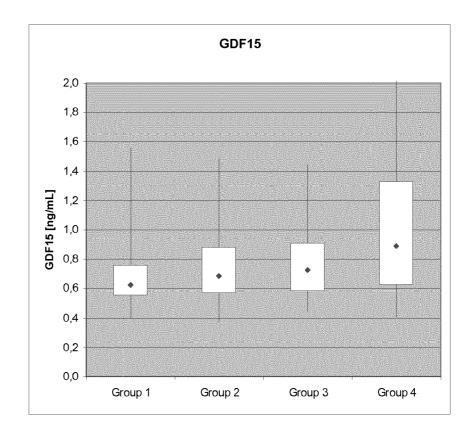
- 3. The method according to claim 1 or 2, wherein the medication is an ACE inhibitor.
- 4. The method according to any one of claims 1 to 3, wherein a ratio of troponin T/GDF-15 of equal to or higher than about 0.01, preferably equal to higher than about 0.017, is indicative that the medication is appropriate and should not be changed, and/or wherein a ratio troponin T/GDF-15 of lower than about 0.01, preferably lower than about 0.005 is indicative that the medication is not appropriate and should be adapted.
- 5. The method according to any one of claims 1 to 3, wherein a ratio of NT-proBNP /GDF-15 of equal to or higher than about 0.8, preferably equal to or higher than about 1.9, is indicative that the medication is appropriate and should not be changed, and/or wherein a ratio NT-proBNP /GDF-15 of lower than about 0.7, preferably lower than about 0.4 is indicative that the medication is not appropriate and should be adapted.
- 6. The method according to any of claims 2 to 5, wherein the adaptation is selected from i) the administration of an aldosterone antagonist, ii) the augmentation of the concentration of the aldosterone antagonist, and iii) the administration of a different or a further aldosterone antagonist.

30			
35			
40			
45			
50			
55			

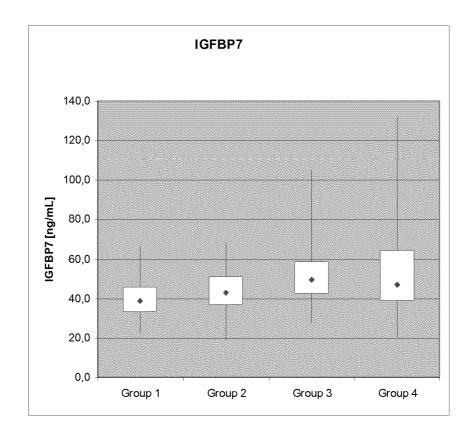
Fig. 1



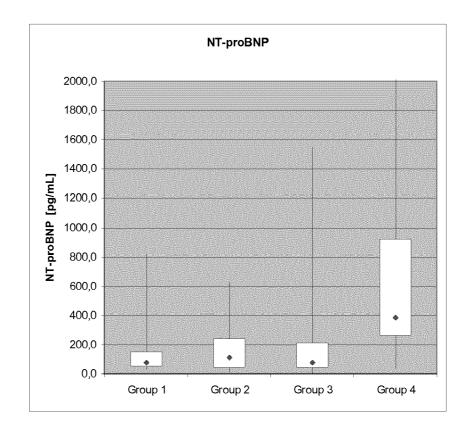














5

EUROPEAN SEARCH REPORT

Application Number EP 14 17 8582

	DOCUMENTS CONSIDE	RED TO BE RELEVANT	LEVANT			
10	Category	Citation of document with inc of relevant passa		Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)	
15	A	WO 2009/138451 A1 (H ROCHE DIAGNOSTICS GM [DE];) 19 November 2 * the whole document * abstract * * page 1, line 16 - * page 4, line 17 * * page 21, line 20 - * claims 15-16 *	1-6	INV. G01N33/53 G01N33/68		
20	A	[DE]; HOFFMANN LA RO [DE];) 21 January 20 * the whole document * abstract * * page 6, line 15 *	DCHE [CH]; HESS GEORG D10 (2010-01-21) t *	1-6		
25		* page 27, line 2 - * claims 11-12 *				
30	A	WO 2009/047283 A2 (F [DE]; HOFFMANN LA RO [DE];) 16 April 2009 * the whole document * abstract * * claims 1-6 *	1-6	TECHNICAL FIELDS SEARCHED (IPC) G01N		
35						
40						
45						
1		The present search report has be	een drawn up for all claims Date of completion of the search		Examiner	
50	l'oppe	The Hague	17 September 2014	Gal	1-Truchot, A	
ECENAL 1503 03 82	X : part Y : part door A : teol O : nor	CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone y : particularly relevant if taken alone document of the same category A : technological background O : non-written disclosure & : member of the same patent family, corresponding			ivention hed on, or	
55		rmediate document	document			

ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 14 17 8582

5

10

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

17-09-2014

10				
	Patent document cited in search report	Publication date	Patent family member(s)	Publication date
15	WO 2009138451	A1 19-11-2009	EP 2294423 A1 JP 2011523044 A US 2011111527 A1 WO 2009138451 A1	16-03-2011 04-08-2011 12-05-2011 19-11-2009
20	WO 2010007041	A1 21-01-2010	EP 2318844 A1 JP 2011528115 A US 2011107821 A1 WO 2010007041 A1	11-05-2011 10-11-2011 12-05-2011 21-01-2010
25	WO 2009047283	A2 16-04-2009	EP 2201383 A2 ES 2457529 T3 JP 2011501112 A US 2011213209 A1 WO 2009047283 A2	30-06-2010 28-04-2014 06-01-2011 01-09-2011 16-04-2009
30				
35				
40				
45				
50	Q			
55	WHO WHO G G G For more details about this annex :			
50	$\overline{\mathbb{I}}$ For more details about this annex :	see Official Journal of the Euro	opean Patent Office, No. 12/82	

REFERENCES CITED IN THE DESCRIPTION

This list of references cited by the applicant is for the reader's convenience only. It does not form part of the European patent document. Even though great care has been taken in compiling the references, errors or omissions cannot be excluded and the EPO disclaims all liability in this regard.

Patent documents cited in the description

- EP 11743231 A [0001]
- WO 9906445 A [0181]
- WO 0070051 A [0181]
- WO 2005113585 A [0181]
- US 6709855 B [0186]

Non-patent literature cited in the description

- J. Am. Coll. Cardiol., 2001, vol. 38, 2101-2113 [0009] [0042]
- J. Am. Coll. Cardiol., 2005, vol. 46, e1-e82 [0009]
- Swamy Curr Cardiol Rep, 2010, vol. 12, 277-282 [0058]
- MANCIA et al. European Heart J., 2007, vol. 28, 1462 [0058] [0059] [0060]
- Die Innere Medizin: Referenzwerk fur den Facharzt - Wolfgang Gerok, 2007, 293 [0058]
- DRAZNER MH; DRIES DL; PESHOCK RM; COOPER RS; KLASSEN C; KAZI F; WILLETT D; VICTOR RG. Left ventricular hypertrophy is more prevalent in blacks than whites in the general population: the Dallas Heart Study. *Hypertension*, 2005, vol. 46, 124-129 [0063]
- Heart Failure and Echocardiography Associations of the European Society of Cardiology. *European Heart Journal*, 2007, vol. 28, 2359-2550 [0092]
- Guidelines for the Management of Arterial Hypertension, European Heart Journal, 2007, vol. 28, 1462-1536 [0095]
- European Society of Hypertension Scientific Newsletter, 2011, vol. 11 (48 [0096]
- European Society of Hypertension Scientific Newsletter, 2010, vol. 11 (48 [0096] [0103] [0106] [0111]
- European Heart Journal, 2007, vol. 28, 1462-1536
 [0097]
- Guidelines for the Management of Arterial Hypertension. *European Heart Journal*, 2007, vol. 28, 1462-1536 [0103] [0106] [0111]
- Med Clin North Am., May 2009, vol. 93 (3), 647-64
 [0149]
- Am J Hypertens., February 2001, vol. 14 (2), 106-13
 [0149]
- Hypertension., 2002, vol. 40, 136-14 [0149]
- DOWDY ; WEARDEN. Statistics for Research. John Wiley & Sons, 1983 [0156] [0173]
- ANDERSON. Circulation Research, 1995, vol. 76 (4), 681-686 [0179]

- US 20060003338 A [0186]
- WO 02089657 A [0300]
- WO 02083913 A [0300]
- EP 0648228 B1 [0300]
- US 5744305 A [0319]
- FERRIERES. Clinical Chemistry, 1998, vol. 44, 487-493 [0179]
- MOSES et al. PNAS USA, 1999, vol. 96 (6), 2645-2650 [0180]
- MASSON et al. Curr Heart Fail Rep, 2010, vol. 7, 15-21 [0180]
- HROMAS. Biochim Biophys Acta, 1997, vol. 1354, 40-44 [0181]
- LAWTON. Gene, 1997, vol. 203, 17-26 [0181]
- YOKOYAMA-KOBAYASHI. J Biochem (Tokyo), 1997, vol. 122, 622-626 [0181]
- PARALKAR. J Biol Chem, 1998, vol. 273, 13760-13767 [0181]
- BAUSKIN. Embo J, 2000, vol. 19, 2212-2220 [0181]
- BOTTNER. Gene, 1999, vol. 237, 105-111 [0181]
- BAEK. Mol Pharmacol, 2001, vol. 59, 901-908 [0181]
- MORRISH. Placenta, 1996, vol. 17, 431-441 [0181]
- SMITH ; WATERMAN. Add. APL. Math., 1981, vol. 2, 482 [0181] [0300]
- NEEDLEMAN ; WUNSCH. J. Mol. Biol., 1970, vol. 48, 443 [0181] [0300]
- PEARSON ; LIPMAN. Proc. Natl. Acad. Sci. (USA), 1988, vol. 85, 2444 [0181] [0300]
- JONES, J.I. et al. Endocr. Rev., 1995, vol. 16, 3-34 [0182]
- HWA, V. et al. Endocr. Rev, 1999, vol. 20, 761-787
 [0182]
- ONO, Y. et al. Biochem Biophys Res Comm, 1994, vol. 202, 1490-1496 [0183]
- OH, Y. et al. J. Biol. Chem., 1996, vol. 271, 30322-30325 [0183]
- BURGER, A.M. et al. Oncogene, 1998, vol. 16, 2459-2467 [0184]
- SPRENGER, C.C. et al. Cancer Res, 1999, vol. 59, 2370-2375 [0184] [0185]
- AKAOGI, K. et al. Proc Natl Acad Sci USA, 1996, vol. 93, 8384-8389 [0184]
- STCROIX, B. et al. Science, 2000, vol. 289, 1197-1202 [0184]

- LOPEZ-BERMEJO, A. et al. J. Clinical Endocrinology and Metabolism, 2003, vol. 88, 3401-3408 [0185]
 [0188]
- AKAOGI, K. et al. Proc. Natl. Acad. Sci. USA, 1996, vol. 93, 8384-8389 [0185]
- YAMAUCHI, T. et al. *Biochem J.*, 1994, vol. 303, 591-598 [0185]
- LOPEZ-BERMEJO, A. et al. Endocrinology, 2000, vol. 141, 4072-4080 [0185]
- OH, Y. et al. J. Biol. Chem., 1996, vol. 271, 20322-20325 [0187]
- KIM, H.S. et al. Proc. Natl. Acad. Sci USA, 1997, vol. 94, 12981-12986 [0187]
- LOPEZ-BERMEJO, A. et al. Diabetes, vol. 55, 2333-2339 [0188]
- HANLEY et al. Radiology, 1992, vol. 143, 29-36 [0196]
- Heart Disease. Elsevier Sounders, 2008 [0221]
- MCNAMARA. Heart Failure Clin, 2010, vol. 6, 35-43 [0241]

- G. MAK et al. JACC, 2009, vol. 54 (18), 1674-1682
 [0242]
- MC MURRAY. NEJM, 2010, vol. 362, 228-238 [0242]
- KIM Y.S. Current Treatment Options in Cardiovascular Medicine, 2009, vol. 11, 455-466 [0242]
- ROUMEN L. et al. J Medical Chemistry, 2010, vol. 53, 1712-25 [0247]
- BONOW. Circulation, 1996, vol. 93, 1946-1950 [0300]
- SMITH. J Endocrinol., 2000, vol. 167, 239-46 [0300]
- MUELLER. Clin Chem Lab Med, 2004, vol. 42, 942-4 [0300]
- WU. Clin Chem, 2004, vol. 50, 867-73 [0300]
- KARL. Scand J Clin Lab Invest, 1999, vol. 230, 177-181 [0300]
- YEO. Clinica Chimica Acta, 2003, vol. 338, 107-115 [0300]
- Am J. Kidney Dis. Source: National Kidney Foundation, 2002, vol. 39 [0304]
- NOLAN. Trends Biotechnol., 2002, vol. 20 (1), 9-12 [0319]

patsnap

专利名称(译) 使用生物标志物监测患有心力衰竭的受试者的药物

公开(公告)号	EP2796874A1	公开(公告)日	2014-10-29
申请号	EP2014178582	申请日	2011-08-03
[标]申请(专利权)人(译)	罗氏诊断公司		
申请(专利权)人(译)	罗氏诊断有限公司 F.霍夫曼罗氏公司		
当前申请(专利权)人(译)	罗氏诊断有限公司 F.霍夫曼罗氏公司		
[标]发明人	HORSCH ANDREA ZDUNEK DIETMAR HESS GEORG		
发明人	HORSCH, ANDREA ZDUNEK, DIETMAR HESS, GEORG		
IPC分类号	G01N33/53 G01N33/68 G01N33/5	543	
CPC分类号	G01N33/54306 G01N33/6887 G0 ⁻ /325 G01N2800/50 G01N2800/52		1N2333/495 G01N2333/58 G01N2800
代理机构(译)	DICK , ALEXANDER		
优先权	2010186710 2010-10-06 EP 2010174182 2010-08-26 EP		
其他公开文献	EP2796874B1		
外部链接	Espacenet		

摘要(译)

Fig. 1

本发明涉及一种监测接受所述药物治疗的受试者的药物的方法,所述药物选自ACE抑制剂,血管紧张素受体阻滞剂和醛固酮拮抗剂。还关注的 是决定受试者中选自ACE抑制剂,血管紧张素受体阻滞剂和醛固酮拮抗 剂的药物的适应性的方法。

