

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
11 March 2010 (11.03.2010)

PCT

(10) International Publication Number
WO 2010/028248 A1

- (51) **International Patent Classification:**
G01N 33/53 (2006.01) *G01N 33/92* (2006.01)
- (21) **International Application Number:**
PCT/US2009/056044
- (22) **International Filing Date:**
4 September 2009 (04.09.2009)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**
61/094,167 4 September 2008 (04.09.2008) US
- (71) **Applicant (for all designated States except US):** **RE-DOX-REACTIVE REAGENTS, LLC** [US/US]; St. Francis Hospital Center, 1600 Albany Street, Beech Grove, Indiana 46107 (US).
- (72) **Inventor; and**
- (75) **Inventor/Applicant (for US only):** **MCINTYRE, John, A.** [US/US]; 6135 Autumn Lane, Indianapolis, Indiana 46220-4006 (US).
- (74) **Agents:** **SCHIAVELLI, Alan, E.** et al.; Antonelli, Terry, Stout & Kraus, LLP, 1300 N. 17th Street, Suite 1800, Arlington, Virginia 22209 (US).

- (81) **Designated States (unless otherwise indicated, for every kind of national protection available):** AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) **Designated States (unless otherwise indicated, for every kind of regional protection available):** ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))



WO 2010/028248 A1

(54) **Title:** BIOMARKERS, KITS, AND METHOD FOR DIAGNOSING, MONITORING, AND/OR STAGING ALZHEIMER'S DISEASE

(57) **Abstract:** The present invention is directed to a biomarker and kit for diagnosing, monitoring and/or staging Alzheimer's disease comprising redox-reactive autoantibodies. The present invention is also directed to a method for diagnosing, monitoring and/or staging Alzheimer's disease which comprises conducting a blood test using the same.

**BIOMARKERS, KITS, AND METHOD FOR
DIAGNOSING, MONITORING, AND/OR STAGING ALZHEIMER'S DISEASE**

Field of the Invention

5 The present invention relates to a method for diagnosing, monitoring and/or staging Alzheimer's disease, including a blood test for redox-reactive autoantibodies. In addition, the present invention also relates to a biomarker and a kit for diagnosing, monitoring and/or staging Alzheimer's disease.

Background

10 In all but specialized Alzheimer's Research Centers, the diagnosis of Alzheimer's disease (AD) largely involves an exclusive approach of secondary causes and other forms of dementia. Oral testing of a patient's cognitive/memory abilities is commonly used for assessments, via the Alzheimer's disease Assessment Scale-cognition (ADAS-cog) measure (Pena-Casanova. Alzheimer's disease assessment scale-cognitive in clinical practice. Int Psychogeriatr 1997; 9:105-114.), and the Mini-Mental State Examination (MMSE) (Tombaugh TN, McIntyre NJ. The mini-mental state examination: A comprehensive review. J Am Geriatr Soc 1992; 40:922-935).
15 However, these tests contain sections that are unavoidably subjective, and when used, can only be administered and scored by qualified health care professionals, including, for example, psychologists, physicians, and nurses.
20 Unfortunately, most front line primary care physicians do not have time to perform these tests. Alternatively, a blood test can also be used to discriminate Alzheimer's disease (AD) from other forms of dementia.

25 Even for specialized Alzheimer's Research Centers, only a few centers have access to sophisticated and time consuming tests and specifically trained professionals who can properly diagnose Alzheimer's disease (AD) based on medical history, pattern of cognition defects (for example, the history of how they developed), short-term memory problems, word finding and judgment. Using these parameters, diagnosis of AD meets and/or
30 exceeds 98% sensitivity and 88% specificity (Lopez OL, Becker JT, Klunk W, Saxton, Hamilton RL, Kaufer DI, Sweet RA, Meltzer CC, Wisniewski S, Kamboh MI, DeKosky ST. Research evaluation and diagnosis of probable Alzheimer's disease over the last two decades: I. 2000; 55:1854-1862.). These evaluations have been further expanded and clarified by taking into

consideration co-morbid conditions that also can affect cognition (Lopez OL, Becker JT, Klunk W, Saxton, Hamilton RL, Kaufer DI, Sweet RA, Meltzer CC, Wisniewski S, Kamboh MI, DeKosky ST. Research evaluation and diagnosis of probable Alzheimer's disease over the last two decades: II. 2000; 55:1863-1869).

Hence, the need for better AD biomarkers is paramount. According to the 1998 Consensus report of the working group on molecular and biochemical markers of Alzheimer's disease, an ideal biomarker should have a greater than 80% sensitivity and specificity for excluding other forms of dementia and neurodegenerative processes. In addition, the ideal biomarker should be reliable, reproducible, and non-invasive, easy to perform, and inexpensive (Consensus report of the working group on molecular and biochemical markers of Alzheimer's disease. The Ronald and Nancy Reagan Research Institute of Alzheimer's Association and the National Institute on Aging Working Group. *Neurobiol Aging* 1998; 19:109-116).

To date, three candidate biomarkers have been suggested to approximate these requirements, albeit, the non-invasive prerequisite notwithstanding. These biomarkers are found in the cerebrospinal fluid (CSF) and are: total tau protein, amyloid- β protein ($A\beta_{42}$) and phosphorylated tau protein (Formichi P, Bartisti C, Radi E, Federico A. Cerebrospinal fluid tau $A\beta$, and phosphorylated tau protein for the diagnosis of Alzheimer's disease. *J Cell Physiol* 2006; 208:39-46).

Recent evaluation of a new kit assay designed to measure levels of various forms of $A\beta$ protein in blood for possible use in early detection of Alzheimer's disease was made available for research since the summer of 2007 (INNO-BIA plasma $A\beta$ forms, Innogenetics, Gent Belgium). This test establishes an $A\beta_{42}/A\beta_{40}$ ratio that is lower in patients with a predisposition for developing mild cognitive impairment (MCI), which usually precedes Alzheimer's disease. This observation relates to findings in both human and mouse models that show decrease CSF and plasma $A\beta_{42}$ levels as $A\beta_{42}$ aggregates and deposits in the brain (Graff-Radford NR, Crook JE, Lucas J, Boeve BF, Knopman DS, Lvnik RJ, Smith GE, Younkin LH, Petersen RC, Younkin SG. Association of low plasma $A\beta_{42}/A\beta_{40}$ ratios with increase imminent risk for mild cognitive impairment and Alzheimer's disease. *Arch*

Neurol 2007; 64:3543-362). Unfortunately, peripheral A β measurements are subject to conflicting reports due to the confounding existence of serum lipoproteins, Fc-binding proteins and the low concentrations of A β in the serum (Kawarabayashi T, Shoji M. Plasma biomarkers of Alzheimer's disease. Curr Opin Psych 2008; 21:260-267). Furthermore, A β serum levels are affected by renal function (Bailey P. Biological markers in Alzheimer's disease. Can J Neurol Sci 2007; 34:S72-S76; and Dubois B, Feldman HH, Jacova C, Dekosky ST, BarbergerGateau P, Cummings J, Delacourte A, Galasko D, Gauthier S, Jicha G, Meguro K, O'Brien J, Pasquier F, Robert P, Rossor M, Saloway S, Stern Y, Visser PJ, Scheltens P. Research criteria for the diagnosis of Alzheimer's disease: Revising the NINCDS-ADRDA criteria. Lancet Neurol 2007; 6:734-746) and medications (Jellinger KA, Janetzky B, Attems J, Kienzl E. Biomarkers for early diagnosis of Alzheimer's disease: 'ALZheimer's ASSociated gene' – a new blood biomarker. J Cell Mol Med 2008; 12:1094-1117). In short, the future for plasma A β testing as a primary biomarker is questionable.

A complex blood plasma molecular test for diagnosis of Alzheimer's disease is described by Ray et al. (Ray et al. Classification and prediction of clinical Alzheimer's diagnosis based on plasma signaling proteins. Nature Med 2007; 11:1359-1362), wherein 18 out of 120 signaling proteins were found with 90% accuracy to be predictive "markers" of Alzheimer's disease. However, the statistical interpretations of these 18 signaling protein microarray proteins are cumbersome and cannot be readily converted into an easy and inexpensive test. These 18 identified markers also implicate an involvement of the immune response.

Hence, there is a need for universal accepted biomarkers for diagnosing, monitoring and/or staging neurodegenerative diseases such as Alzheimer's disease that are fast, more accurate, and less expensive.

The present inventor has found an Alzheimer's disease biomarker test that will have a highly positive impact on services and treatments that drive this field. Alzheimer's disease (AD) is a progressive brain disorder that gradually destroys a person's memory and ability to learn, reason, make judgments, communicate and carry out daily activities. As AD progresses, individuals may also experience changes in personality and behavior, such as

anxiety, suspiciousness or agitation, as well as delusions or hallucinations. AD advances at widely different rates. People with AD die an average of four to six years after diagnosis, but the duration of the disease can vary from three to 20 years. Furthermore, AD is a disease that is rapidly affecting more people in this country. There are now more than 5 million people in the United States living with Alzheimer's disease. This number includes 4.9 million people over the age of 65 and between 200,000 and 500,000 people under age 65 with early-onset AD and other dementias. It is estimated that only 20% - 40% of people with AD have been diagnosed, leaving an undiagnosed population of 12.5MM to 25MM people. Additionally, approximately 500,000 Americans per year are expected to develop AD, increasing to over 1,000,000 Americans per year by 2050.

Given the increasing numbers of people affected by AD, there is great need for a diagnostic biomarker for the disease, especially due to the fact that there is no single test that proves a person has Alzheimer's disease prior to the present invention. Experts estimate a skilled physician can now diagnose AD with more than 90 percent accuracy, although there is no certainty until a post-mortem autopsy is conducted. Monitoring of disease progression is mainly focused on measuring cognitive decline. The underlying state of the disease is left unmonitored because there is currently no viable mechanism for doing so prior to the present invention.

Since Alzheimer's disease is incurable, there is a great need for a diagnosis that is inexpensive, quick, and accurate.

Summary of the Invention

Various aspects and example embodiments of the present invention relate to redox-reactive antiphospholipid antibodies used as biomarkers for diagnosing, monitoring and/or staging neurodegenerative diseases or neurological disorders such as Alzheimer's disease. Neurodegenerative diseases or neurological disorders, such as Alzheimer's disease can be diagnosed by conducting a blood test for redox-reactive autoantibodies (R-RAA) that is fast, less expensive and more accurate than available diagnostic tools.

The present invention relates to a method for diagnosing, monitoring and/or staging Alzheimer's disease which comprises conducting a blood test

for redox-reactive autoantibodies. The autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies can also be autoantibodies which bind to phospholipids, wherein the phospholipids are at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

The method of the present invention also comprises an assay that can detect antiphospholipid autoantibodies. The assay can be any assay known in the art that can detect antiphospholipid autoantibodies including, but not limited to, immunoassays. Some examples of an immunoassay can include, but not limited to radio immunoassay (RIA), enzyme immunoassay (EIA), flow cytometry, and Western blot. The autoantibodies that the assay of the present invention can detect include at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies that the assay of the present invention can detect can bind to at least one phospholipid. The phospholipids can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

The present invention also relates to a biomarker for diagnosing, monitoring and/or staging Alzheimer's disease which comprises redox-reactive autoantibodies. The autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies are autoantibodies which bind to phospholipids. The phospholipids can be, but not limited to, at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

In addition, the present invention relates to a kit for diagnosing, monitoring and/or staging Alzheimer's disease which comprises an assay which can detect antiphospholipid autoantibodies. The autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies are autoantibodies which bind to phospholipids. The phospholipids can be, but not limited to, at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine. The assay can be any assay known in the art that can detect antiphospholipid autoantibodies including, but not limited to, immunoassays. Some examples of an immunoassay can include, but not limited to radio immunoassay (RIA), enzyme immunoassay (EIA), flow cytometry, and Western blot. The

autoantibodies that the assay of the present invention can detect include at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies that the assay of the present invention can detect can bind to at least one phospholipid. The phospholipids can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

Brief Description of the Figures

A better understanding of the present invention will become apparent from the following detailed description of example embodiments and the claims when read in connection with the accompanying drawings, all forming a part of the disclosure of this invention. While the following written and illustrated disclosure focuses on disclosing example embodiments of the invention, it should be clearly understood that the same is by way of illustration and example only and that the invention is not limited thereto. The spirit and scope of the present invention are limited only by the terms of the appended claims. The following represents brief descriptions of the drawings, wherein:

Figure 1 shows a Classification and Regression Tree (CART) analysis illustrating the differentiation between the Alzheimer's disease serum samples versus the normal serum samples to have a sensitivity of 94% and a specificity of 100%; and

Figure 2 shows vertical Scatter Box Plots illustrating an alternative statistical expression of the significant data resulting from the analyses of Table 1. The median is identified by the line inside the box. The length of the box represents the interquartile range (IQR) computed from Tukey's hinges. The ends of the box are the first and third quartiles end values. Values more than 3 IQR's from the end of the box are denoted with an asterisk (*). Values more than 1.5 IQR's are labeled as outliers (o).

Description of the Invention

Prior to the present invention, there is no universally accepted inclusive biomarker(s) for diagnosing, monitoring and/or staging neurodegenerative diseases such as Alzheimer's. Neurodegenerative diseases, for example, Alzheimer's, Parkinson's, ALS and multiple sclerosis are associated with increased oxidative stress in the central nervous system (CNS), which results in oxidation of proteins, lipids and DNA. Other neurological disorders

including neurological disorders in patients with cerebrovascular disease is also associated with increased oxidative stress in the central nervous system (CNS), which results in oxidation of proteins, lipids and DNA.

5 Prior to the present invention, few studies have been published that report upon the presence of antiphospholipid (aPL) autoantibodies other than anticardiolipin (aCL) in Alzheimer's patient bloods. Also prior to the present invention, no reports are available that describe the serum presence of redox-reactive autoantibodies (R-RAA) in patients with neurodegenerative diseases compared to age-matched normal individuals.

10 A novel family of autoantibodies that exists in the blood is capable of recognizing autoantigen subsequent to oxidation-reduction (redox) reactions (McIntyre JA, Wagenknecht DR, Faulk WP. Autoantibodies unmasked by redox reactions. J Autoimmun 2005; 24:311-317, which is incorporated herein by reference in its entirety). Without an oxidative environment these "masked"
15 R-RAA cannot be detected in conventional assays, thereby differentiating them from the natural and hidden autoantibodies that are known in the art (Cabiedes et al. Hidden antiphospholipid antibodies in normal human sera circulate as immune complexes whose antigen can be removed by heat, acid, hypermolar buffers or phospholipase treatments. Eur J Immunol 1998;
20 7:2108-2114; Lorber et al. Hidden autoantibodies. Clin Rev Allergy Immunol 2000; 1:51-58; and Tomer et al. The significance of natural autoantibodies. Immunol Invest 1988; 5:389-424.)

The present inventor noted that there is an abnormal increase of oxidative stress in the central nervous system (CNS) of Alzheimer's patients
25 that causes oxidation of proteins, lipids and DNA. The present inventor discovered that the antiphospholipid (aPL) autoantibodies, that are members of the redox-reactive autoantibody (R-RAA) family, are significantly decreased or absent in the cerebrospinal fluids of autopsy-confirmed Alzheimer's disease patients (McIntyre JA, Chapman J, Shavit E, Hamilton RL, Dekosky ST.
30 Redox-reactive autoantibodies in Alzheimer's patient's cerebrospinal fluids: Preliminary studies. Autoimmunity 2007; 40:390-396). Because of the known elevation of oxidation-induced damage in the CNS and the abnormal enrichment of redox reactive metals in postmortem AD brains, the present

inventor discovered that the R-RAA in the blood of AD patients show a departure from the normal aPL levels.

Prior to the present invention, no studies have been published that report upon the presence of aPL other than aCL in Alzheimer's patient bloods. In addition, prior to the present invention, no reports exist that describe the presence and/or levels of R-RAA in the blood from patients with Alzheimer's disease.

The present inventor discovered that oxidation "unmasked" antibodies in the blood and other body fluids from normal, healthy individuals revealing autoantibodies that are associated with autoimmune disorders. Conversely, oxidation of autoantibodies from individuals with autoimmune diseases can also cause remasking of their autoantibodies which then become undetectable. These conversions depend upon oxidation-reduction reactions and define a new family of autoantibodies that has been designated as redox-reactive autoantibodies (R-RAA).

It is noted that R-RAA were first identified in blood, cerebrospinal fluid (CSF), and breast milk of healthy individuals tested. R-RAA of G, M, and A isotypes exist in all animals tested to date (horses, dogs, rats, mice and IgY for chickens) and are likely found in all vertebrates. Studies have shown that CSF from normal individuals is limited to IgG, whereas breast milk is primarily IgA. Blood contains all three G, M, and A isotypes. (McIntyre JA, Faulk WP. Redox-reactive autoantibodies: Biochemistry, characterization, and specificities. Clin Rev Allergy Immunol 2009; 37:49-54, which is incorporated herein by reference in its entirety).

The present inventor compared serum samples from 16 AD patients to 17 serum samples from age-matched volunteer blood bank donors. Each serum was tested before and after hemin oxidation for four antiphospholipid autoantibody (aPL) specificities by using an in-house enzyme-linked immunosorbent assay (ELISA). Comparisons between the AD and normal populations for antiphosphatidylethanolamine (aPE) activities revealed highly significant differences. Discriminate analysis between the AD and the normal serum samples showed a sensitivity of 88% and a specificity of 94%. A Classification and Regression Tree (CART) analysis revealed the

differentiation between the AD versus the normal serum samples to have a sensitivity of 94% and specificity of 100%.

This study by the present inventor is the first to indicate that blood tests for R-RAA can be used as an inclusive laboratory criterion for neurological disorders diagnosis, for example Alzheimer's disease. The present inventor discovered that blood tests for R-RAA can be useful for diagnosing, monitoring and/or staging neurodegenerative diseases.

The present invention relates to redox-reactive antiphospholipid antibodies used as biomarkers for diagnosing, monitoring and/or staging neurodegenerative diseases or neurological disorders. The present invention also relates to a kit for diagnosing, monitoring and/or staging neurodegenerative diseases or neurological disorders which comprises an assay which can detect antiphospholipid autoantibodies.

The present invention is directed to a method for diagnosing, monitoring and/or staging neurological disorders comprising the steps of conducting a blood test for redox-reactive autoantibodies. The autoantibodies can be autoantibodies that bind to phospholipid. The phospholipid can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine, and phosphatidylcholine. Neurological disorders can include all neurological disorders known in the art such as, but not limited to, Parkinson's, Alzheimer's, multiple sclerosis (MS) that are associated with increased levels of oxidative stress in the CNS. Neurological disorders can include, but not limited to, neurological disorders in patients with cerebrovascular disease. Different stages of a neurological disorder, such as Alzheimer's disease can be determined via the method of the present invention by amount of redox-reactive autoantibodies identified. In later stages, less redox-reactive autoantibodies are present. Near to an end stage, sometimes no redox-reactive autoantibodies are detectable. The present invention can also allow monitoring patients with neurological disorders.

The method of the present invention also comprises an assay that can detect antiphospholipid autoantibodies. The assay can be any assay known in the art that can detect antiphospholipid autoantibodies including, but not limited to immunoassays. Some examples of an immunoassay can include, but not limited to radio immunoassay (RIA), enzyme immunoassay (EIA), flow

cytometry, and Western blot. The autoantibodies that the assay of the present invention can detect include at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies that the assay of the present invention can detect can bind to at least one phospholipid. The phospholipids can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

One example embodiment of the present invention is directed to a method for diagnosing, monitoring and/or staging Alzheimer's disease by conducting a blood test for redox-reactive autoantibodies (R-RAA). The autoantibodies can be autoantibodies that bind to phospholipid. The phospholipid can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine, and phosphatidylcholine. The method of the present invention also comprises an assay that can detect antiphospholipid autoantibodies. The assay can be any assay known in the art that can detect antiphospholipid autoantibodies including, but not limited to, immunoassays. Some examples of an immunoassay can include, but not limited to radio immunoassay (RIA), enzyme immunoassay (EIA), flow cytometry, and Western blot. The autoantibodies that the assay of the present invention can detect include at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies that the assay of the present invention can detect can bind to at least one phospholipid. The phospholipids can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

Another example embodiment of the present invention is directed to a method for diagnosing, monitoring and/or staging neurological disorders in patients with cerebrovascular disease by conducting a blood test for redox-reactive autoantibodies (R-RAA). The autoantibodies can be autoantibodies that bind to phospholipid. The phospholipid can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine, and phosphatidylcholine. The method of the present invention also comprises an assay that can detect antiphospholipid autoantibodies. The assay can be any assay known in the art that can detect antiphospholipid autoantibodies including, but not limited to, immunoassays. Some examples of an immunoassay can include, but not limited to radio immunoassay (RIA),

enzyme immunoassay (EIA), flow cytometry, and Western blot. The autoantibodies that the assay of the present invention can detect include at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies that the assay of the present invention can detect can bind to at least one phospholipid. The phospholipids can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

The present invention is also directed to a blood test procedure for diagnosing, monitoring and/or staging neurological disorders which comprises an enzyme-linked immunosorbent assay comprising (1) a first diluent comprising an aqueous buffer containing bovine serum albumin and (2) a second diluent comprising an aqueous buffer containing adult bovine plasma, wherein the buffer containing the bovine serum albumin detects antiphospholipid autoantibodies which are independent of plasma-protein binding factors, and wherein the buffer containing the adult bovine plasma detects antiphospholipid autoantibodies which are dependent upon plasma-protein binding factors. The phospholipid can be phosphatidylserine, cardiolipin, phosphatidylethanolamine, and phosphatidylcholine. Neurological disorders can include, but not limited to, Parkinson's, Alzheimer's, multiple sclerosis (MS) that are associated with increased levels of oxidative stress in the CNS, as well as neurological disorders in patients with cerebrovascular disease. Again, different stages of a neurological disorder, such as Alzheimer's disease can be determined via the method of the present invention by amount of redox-reactive autoantibodies identified. The later the stage, the less amount of redox-reactive autoantibodies is present. Nearer to a much later stage, sometimes no redox-reactive autoantibodies are detectable.

Another embodiment of the present invention is directed to a blood test procedure for diagnosing, monitoring and/or Alzheimer's disease which comprises an enzyme-linked immunosorbent assay comprising (1) a first diluent comprising an aqueous buffer containing bovine serum albumin and (2) a second diluent comprising an aqueous buffer containing adult bovine plasma, wherein the bovine serum albumin buffer detects antiphospholipid autoantibodies which are independent of plasma-protein binding factors, and wherein the adult bovine plasma buffer detects antiphospholipid

autoantibodies which are dependent upon plasma-protein binding factors. The phospholipid can be phosphatidylserine, cardiolipin, phosphatidylethanolamine, and phosphatidylcholine.

5 The present invention relates to the present invention relates to a kit for diagnosing, monitoring and/or staging neurological disorders which comprises an assay which can detect antiphospholipid autoantibodies. Neurological disorders can include, but not limited to, Parkinson's, Alzheimer's, multiple sclerosis (MS) that are associated with increased levels of oxidative stress in the CNS, as well as neurological disorders in patients with cerebrovascular
10 disease.

Further, the present invention relates to a kit for diagnosing, monitoring and/or staging Alzheimer's disease which comprises an assay which can detect antiphospholipid autoantibodies. The autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies are autoantibodies which
15 bind to phospholipids. The phospholipids can be, but not limited to, at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine. The assay can be any assay known in the art that can detect antiphospholipid autoantibodies including, but not limited to, immunoassays. Some examples of an immunoassay can include, but not
20 limited to radio immunoassay (RIA), enzyme immunoassay (EIA), flow cytometry, and Western blot. The autoantibodies that the assay of the present invention can detect include at least one of IgG, IgM, IgA, IgE, and IgD. The autoantibodies that the assay of the present invention can detect can bind to at least one phospholipid. The phospholipids can be, but not
25 limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

In addition, the present invention is directed to a biomarker for diagnosing, monitoring and/or staging neurological disorders comprising redox-reactive autoantibodies. The autoantibodies can be autoantibodies that
30 bind to phospholipid. The phospholipid can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine, or phosphatidylcholine. Neurological disorders can include all neurological disorders known in the art such as, but not limited to, Parkinson's, Alzheimer's, multiple sclerosis (MS) that are associated with increased levels

of oxidative stress in the CNS. Neurological disorders can include, but are not limited to, neurological disorders in patients with cerebrovascular disease.

One other embodiment of the present invention is direct to a biomarker for diagnosing, monitoring and/or staging Alzheimer's disease comprising redox-reactive autoantibodies (R-RAA). Again, the autoantibodies can be autoantibodies that bind to phospholipids, and the phospholipid can be can be, but not limited to, phosphatidylserine, cardiolipin, phosphatidylethanolamine, and phosphatidylcholine.

The biomarker of the present invention detect specific antibodies within an individual that have the capability to act as autoimmune antibodies (antibodies that attack one's own tissues) once they are "unmasked" via reduction-oxidation (redox) reactions. Medical application of the technology of the present invention has the ability to revolutionize the diagnosis and treatment of a host of diseases. Through the technology of the present invention, redox-reactive autoantibodies (R-RAA) can be used as biomarkers to test for and potentially determine the staging of specific diseases, such as Alzheimer's disease and certain types of cancer. The biomarkers of the present invention provide opportunities for monitoring and validating potential therapeutic and drug applications during clinical trials.

The present inventor has demonstrated that that patients with Alzheimer's disease show a deficit in redox-reactive autoantibodies in both their spinal fluid and blood when compared with age-matched, putatively healthy individuals. Essentially, these autoantibodies appear significantly decreased and/or depleted in Alzheimer's patients, and the extent of autoantibody depletion may potentially help define the severity and progression of Alzheimer's disease for each patient.

The discovery of redox-reactive autoantibodies (R-RAA) originated after the present inventor learned of an experiment where antiphospholipid autoantibodies (aPL) were found in mice that had been injected with bacteria and/or viral particles. The present inventor performed clinical testing for the identification of aPL, which are normally associated with abnormal blood clotting. The present inventor tested blood samples in culture bottles from several patients with sepsis (massive bacterial infections), and found that aPL were present. The present inventor found, however, that control blood

5 samples from non-septic patients when placed in culture bottles, also converted to aPL positive even though these non-septic patients did not have a bacterial infection. After numerous subsequent tests, the present inventor concluded that the aPL were being produced by the components of the blood culture bottle. The present inventor found that hemin, a physiological oxidizer,
10 as well as other oxidizing agents, for example, potassium permanganate or electromotive force (EMF) was responsible for the unmasking of aPL in the blood samples of both the septic and non-septic individuals. Upon additional experimentation, the present inventor demonstrated that oxidation can both
15 mask and unmask not just aPL, but many other autoantibodies as well. Further, the present inventor found that these redox-reactive autoantibodies are found in the blood, breast milk, and cerebrospinal fluid of humans and in the blood of a variety of mammalian and avian species.

20 The present inventor demonstrated that a new family of autoantibodies that are produced when they undergo an oxidation-reduction reaction, naming them as redox-reactive autoantibodies (R-RAA).

25 The present inventor also demonstrated that specific antibodies in the body have the capability to act as autoimmune antibodies (antibodies that attack one's own tissues) once they are "unmasked" via reduction-oxidation (redox) reactions. These antibodies display no autoantibody reactivity in their native state. However, in the laboratory, these antibodies can undergo a redox reaction wherein they lose an electron(s) to an oxidizing agent such as hemin. When this happens, the antibodies are "unmasked" to behave *in vitro* as autoantibodies associated with autoimmune disorders. This reaction can
30 be reversed as well, where autoantibodies from individuals with autoimmune disease can be "masked" and no longer detected in diagnostic laboratory tests.

The redox-reactive autoantibodies of the present invention can be utilized in many medical fields beyond autoimmune disease as well. The present inventor has data demonstrating that redox-reactive autoantibodies can act as biomarkers to enable the improved understanding, diagnosis, and treatment of other neurodegenerative diseases such as Alzheimer's disease. In people with Alzheimer's disease (AD), changes in the brain may begin 10 to 30 years before any noticeable signs or symptoms appear. As a result, AD

may not be diagnosed until many years after the disease process begins. The biomarker and method of the present invention can identify the disease in early stages. The present inventor found that in normal human cerebrospinal fluid (CSF), redox-reactive autoantibodies are detectable after redox exposure.

The present inventor found that oxidation reactions known to cause senile plaques and neurofibrillary tangles in AD patients' brains can also play a role in unmasking redox-reactive autoantibodies, which then cause them to bind to or target brain tissue. Hence, since the autoantibodies are binding to brain tissue upon oxidation, they cause the tissue to degenerate; thus they are no longer found in the CSF, and they become undetectable in laboratory tests after redox exposure.

The present invention can determine the severity and progression of AD for each patient. Similarly, the present invention has data demonstrating a decrease in redox-reactive autoantibodies in the blood of AD patients, thereby creating the possibility of offering a less invasive test to diagnose Alzheimer's disease.

Example 1

Blood Samples

Sixteen blood (serum) samples from Alzheimer's disease (AD) patients purchased from Eunoe, Inc. (Pleasanton, CA) and 17 normal age-matched volunteer blood donor serum samples purchased from the Central Indiana Regional Blood Center (Indianapolis, IN) were used for the study. The AD serum samples were collected from 11 females and 5 males with an average age of 75 (range 62-85). Volunteer blood donors consisted of females and males; average age was 72, (ranged 65-84). All samples were coded; individuals ages and dates of phlebotomy were provided but no personal identifiable information was included with the samples.

aPL ELISA

The detection of serum aPL before and after oxidation was assessed by using an in-house enzyme-linked immunosorbent assay (ELISA) that used two specimen diluents, one containing 1% bovine serum albumin (BSA) in TRIS-buffered saline (TBS) and the second diluent containing 10% adult bovine plasma (ABP) in TBS (McIntyre JA, Wagenknecht DR, Waxman DW.

Frequency and specificities of antiphospholipid antibodies (aPL) in volunteer blood donors. Immunobiology 2003; 207:59-63, which is incorporated herein by its entirety). The BSA diluent allows detection of aPL that is independent of plasma-protein binding factors, whereas the ABP diluent detects aPL that is dependent upon the binding of a plasma proteins(s) to the phospholipids. The 4 aPL specificities assessed were phosphatidylserine (PS), cardiolipin (CL), phosphatidylethanolamine (PE) and phosphatidylcholine (PC). IgG, IgM and IgA isotypes were evaluated. In total, Alzheimer's disease patient samples were compared to normal age-matched sera in 24 independent tests.

10 Redox Optimization

The optimal dilution of the normal sera versus the final concentration of the oxidizing agent (hemin) was first determined by checkerboard analyses. A 1/10 dilution of serum showed optimal unmasking of aPL after addition of 22 µl of hemin (23 mM) per 1.0 ml of diluted serum and overnight incubation at 15 36 degrees in a rocking incubator. Serum dilution is required to counter the numerous components in the sera that can function as antioxidants.

Statistics

The non-parametric Mann-Whitney U-test was used for assessing whether two samples came from the same distribution. SPSS version 16 (Chicago, Illinois) was used for this analysis. As an exercise, the machine learning software known as Classification and Regression Trees, CART version 6.0, developed by Salford Systems, San Diego, California (Steinberg D, Colla P. CART: Tree-structure non-parametric data analysis. San Diego, CA: Salford Systems; 1995), and based on Breinan's original algorithm (Breinan et al. Classification and regression trees. Pacific Grove, CA: Wadsworth Publishing Co; 1984), was used to crate an inductive decision tree to classify the sample patients. An inductive decision is a set of rules represented by decisional nodes and leaves (i.e., terminal nodes) that are assigned to a class.

30 The learning process consists of selecting the most discriminative variable according to an impurity function to partition the data, and repeating this partition recursively until the nodes are considered pure enough to be terminal and then pruning the resultant complete tree to avoid over fitting. Another technique was also investigated for classification, Fisher's linear

discriminate analysis. Fisher's linear discriminant (Fisher RA. The use of multiple measurements in taxonomic problems. *Ann Eugen* 1936; 7:179-188) is a method used in statistics to find the linear combination of features which best separate two or more classes of objects (AD and Normal here) with the resulting combination used as a linear classifier. The present inventor noted that the models derived from either of the discriminant techniques at this juncture are in-sample models only.

Results

A natural occurring physiological concentration of a hemoglobin-like molecule (hemin) containing coordinated iron was used to oxidize the diluted serum samples. Hemin can participate in oxidation reduction reactions as can the selective enhancement of aromatic amino acids (tyrosine, tryptophan) found in an antibody's hypervariable antigen binding site, i.e., the complementarity determining region, (CDR) (McIntyre JA, Faulk WP. Redox-reactive autoantibodies: Biochemistry, characterization, and specificities. *Clin Rev Allergy Immunol* 2009, 37:49-54, which is incorporated herein by reference in its entirety). Overnight incubation of serum samples during exposure to hemin causes the unmasking of R-RAA. The results of aPL testing for the untreated normal sera versus AD sera and the hemin treated normal sera versus the AD sera are shown in Table 1 below.

As shown in Table 1, of the 48 comparisons made between the AD and normal serum samples, 11 showed statically significant ($p < 0.05$) differences. In 9 of the 11 differences detected, the mean optical density (OD) readings for aPL ELISA values were lower among the AD patients sera. Two of 11 OD values were higher in the AD group; however, these were observed in the non-treated sample comparisons between the AD and normals. Because many mean values were below the positive/negative cut-off established for this assay, the relevance of these variances is not definite.

In contrast, 3 of the 6 aPL mean values between the AD and normal serum samples in the hemin-treated group were above the positive/negative thresholds established for these aPL specificities. Two of these 3 predictor variable are IgG aPE in BSA buffer, and IgM aPE in ABP buffer. The mean OD values observed for these aPL specificities were subjected to a statistical CART (Classification And Regression Tree) analysis, which following

Table 1

ELISA STUDIES OF ALZHEIMER'S VERSUS NORMAL, AGE-MATCHED SERA FOR ANTIPIPHOSPHOLIPID AUTOANTIBODY ACTIVITIES BEFORE AND AFTER OXIDATION WITH HEMIN

	Untreated Serum			Hemin Treated Serum		
	AD Mean (SD)	Normal Mean (SD)	p-value	AD Mean (SD)	Normal Mean (SD)	p-value
IgG PS	BSA	0.025(0.09)	0.444	0.230(0.137)	0.341(0.157)	0.058
	ABP	0.019(0.036)	0.929	0.560(0.171)	0.670(0.179)	0.058
IgG CL	BSA	0.158(0.247)	0.683	0.274(0.156)	0.285(0.132)	0.845
	ABP	0.062(0.083)	0.127	0.636(0.093)	0.650(0.139)	0.444
IgG PE	BSA	0.027(0.028)	<=0.001	0.183(0.053)	0.305(0.085)	<=0.001*
	ABP	0.135(0.142)	0.709	0.942(0.934)	0.969(0.141)	0.191
IgG PC	BSA	0.062(0.046)	0.005	0.640(0.148)	0.776(0.131)	0.009*
	ABP	0.058(0.054)	0.709	0.398(0.109)	0.390(0.100)	0.790
IgA PS	BSA	0.016(0.013)	0.014	0.147(0.093)	0.151(0.107)	0.873
	ABP	0.027(0.045)	0.037	0.418(0.248)	0.286(0.118)	0.146
IgA CL	BSA	0.064(0.189)	0.309	0.107(0.147)	0.151(0.107)	0.657
	ABP	0.023(0.045)	0.929	0.311(0.093)	0.286(0.118)	0.136
IgA PE	BSA	0.030(0.021)	0.008	0.100(0.035)	0.134(0.063)	0.217
	ABP	0.037(0.034)	0.118	0.582(0.162)	0.567(0.217)	0.845
IgA PC	BSA	0.040(0.022)	0.709	0.183(0.085)	0.179(0.082)	0.958
	ABP	0.014(0.015)	0.657	0.075(0.040)	0.061(0.024)	0.362
IgM PS	BSA	0.005(0.010)	0.683	0.009(0.009)	0.019(0.018)	0.081
	ABP	0.006(0.008)	0.102	0.072(0.054)	0.122(0.074)	0.028
IgM CL	BSA	0.007(0.019)	0.606	0.020(0.017)	0.032(0.039)	0.557
	ABP	0.009(0.019)	0.657	0.159(0.092)	0.243(0.146)	0.053
IgM PE	BSA	0.009(0.018)	0.581	0.030(0.041)	0.046(0.034)	0.019
	ABP	0.029(0.059)	0.510	0.233(0.104)	0.483(0.307)	0.003*
IgM PC	BSA	0.015(0.019)	0.068	0.063(0.040)	0.097(0.060)	0.068
	ABP	0.020(0.018)	0.817	0.032(0.019)	0.056(0.036)	0.045

p-values from Mann-Whitney U tests, exact significance [2*1-tailed test], Not corrected for ties.
 * denotes the mean ELISA OD values for the aPL specificity exceeds the established cutoff as determined after testing 750 normal blood volunteer donors.

computational differences of aPL levels in these 33 individuals, reached a level of 84% sensitivity and 100% specificity for predicting stratification of the Alzheimer's group versus the normal blood donor group (Figure 1). A simple rule based classifier derived from this CART analysis would be: Either an OD value of hemin treated IgG PE BSA greater than 0.28 or an OD value of hemin treated IgG PE BSA less than 0.28 combined with an OD value of hemin treated IgM PE ABP less than 0.13 indicates a non AD patient. A second statistical approach used was the Fisher's Linear Discriminant Analysis, wherein the specificity is calculated to be 94% and the sensitivity is 88%. The Fisher's discriminant function for classifying the AD and normal patient is:

$$\begin{aligned} X = & 11.362 \text{ (OD value hemin treated IgG PE BSA)} \\ & + 0.652 \text{ (OD value hemin treated IgG PC BSA)} \\ & + 2.211 \text{ (OD value hemin treated IgM PE ABP)} \\ & - 4.051 \end{aligned}$$

with positive values resolving to normal patients and negative values resolving to AD patients. The discriminant group centroids are 0.984 and -1.046, respectively.

An alternative mathematical expression of the significant data resulting from the analyses of Table 1 is shown in Figure 2 as vertical box plots. Scatter box plots provide a quick visual reference to observe the relative differences in R-RAA between the normal individuals versus the AD patients.

Example 2

Table 2 below illustrates results of a PL testing for untreated normal sera versus AD sera and hemin treated normal sera versus the AD sera. The PL test was conducted as recited in example 1. These data represent an out-of-sample analysis for testing.

Table 2

Sorted by IgM_PE10%

Sample ID	IgG_PE 1%_mean	IgM_PE 10%_mean	New Assignments
202255	0.037	0.054	I
202653	0.055	0.054	I
207543	0.042	0.055	I
211230	0.247	0.060	N
202170	0.294	0.080	N
207570	0.169	0.082	I
207505	0.089	0.091	AD
202679	0.118	0.100	I
207382	0.247	0.118	N
207400	0.184	0.121	N
207004	0.153	0.157	N
202680	0.081	0.188	AD
207508	0.114	0.206	AD
207436	0.127	0.218	AD
207539	0.222	0.267	N
207512	0.160	0.290	I
202317	0.130	0.309	AD
202735	0.144	0.633	AD
new ad	0.114029422	0.274217793	
new I	0.096901997	0.105736213	
new n	0.224389547	0.133746586	

AD = Alzheimer's

5 N = Normals

I = MCI (mild cognitive impairment)

10 The purpose of the above description and examples is to illustrate some embodiments of the present invention without implying any limitation. It will be apparent to those of skill in the art that various modifications and variations may be made to the kit and method of the present invention without departing from the spirit or scope of the invention. All publications cited herein are incorporated by references in their entireties.

We claim:

1. A method for diagnosing, monitoring and/or staging Alzheimer's disease which comprises conducting a blood test for redox-reactive autoantibodies.
2. A method in accordance with claim 1 wherein the autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD.
3. A method in accordance with claim 1 wherein the autoantibodies are autoantibodies which bind to phospholipids.
4. A method in accordance with claim 3 wherein the phospholipids are at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.
5. A method according to claim 1 wherein the blood test comprises an assay that can detect antiphospholipid autoantibodies.
6. A method in accordance with claim 5 wherein the autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD.
7. A method in accordance with claim 5 wherein the autoantibodies bind to at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.
8. A biomarker for diagnosing, monitoring and/or staging Alzheimer's disease which comprises redox-reactive autoantibodies.
9. A biomarker in accordance with claim 8 wherein the autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD.

10. A biomarker in accordance with claim 8 wherein the autoantibodies are autoantibodies which bind to phospholipids.

11. A biomarker in accordance with claim 8 wherein the phospholipids are at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

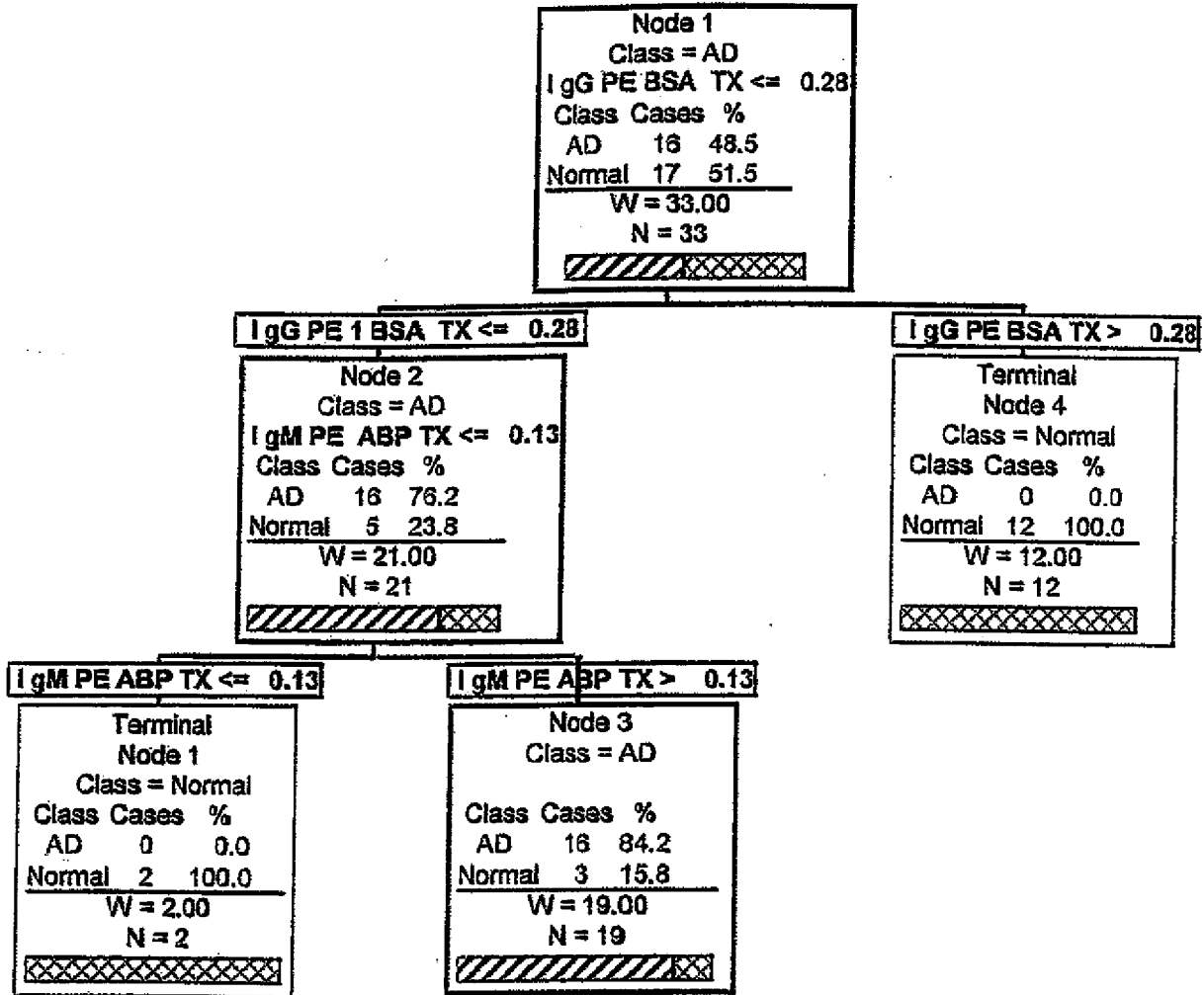
12. A kit for diagnosing, monitoring and/or staging Alzheimer's disease which comprises an assay which can detect antiphospholipid autoantibodies,

13. A kit in accordance with claim 12 wherein the autoantibodies are at least one of IgG, IgM, IgA, IgE, and IgD.

14. A kit in accordance with claim 12 wherein the autoantibodies bind to at least one of phosphatidylserine, cardiolipin, phosphatidylethanolamine and phosphatidylcholine.

Figure 1

Classification and Regression Tree (CART) Analysis



Specificity = 14/14 = 1.00 = 100%
 Sensitivity = 16/19 = .842 = 84%

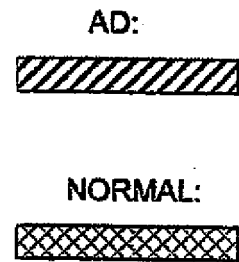
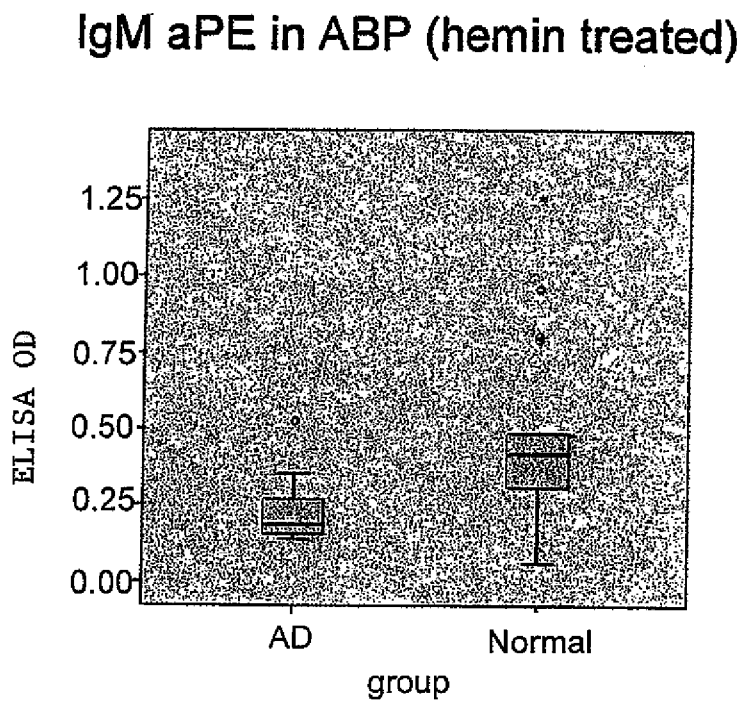
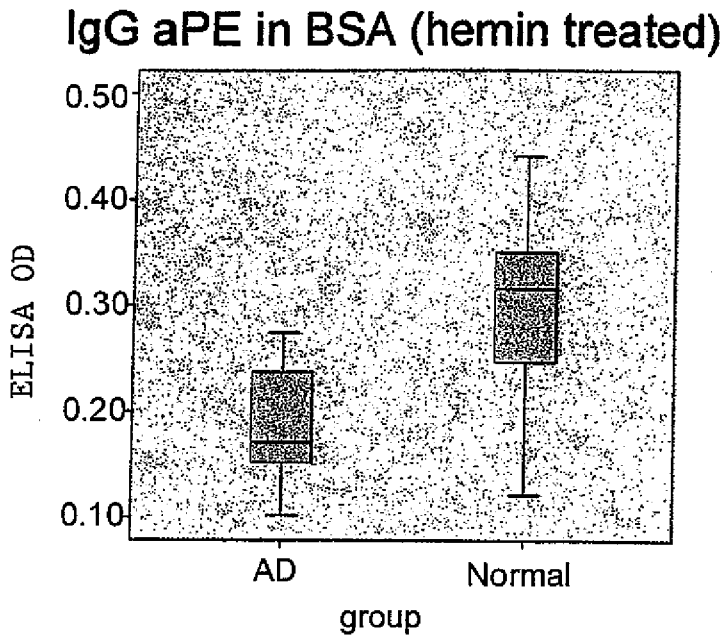


Figure 2



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 09/56044

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - G01N 33/53; G01N 33/92 (2009.01)

USPC - 435/7.1

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
USPC - 435/7.1Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
USPC - 435/326Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
PubWEST(DB=PGPB,USPT,USOC,EPAB,JPAB; PLUR=YES; OP=ADJ), Google Scholar(John A. MCINTYRE Alzheimer's, John A. MCINTYRE autoantibodies, Alzheimer's autoantibodies redox blood)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ----- Y	US 2006/0141541 A1 (MCINTYRE), 29 June 2006 (29.06.2006); para [0021], [0027]-[0028], [0040]	8-11 ----- 1-7, 12-14
Y	US 2005/0260681 A1 (MCINTYRE), 24 November 2005 (24.11.2005); para [0008], [0018], [0023], [0063], [0065], [0070]; Table 2	1-7, 12-14

 Further documents are listed in the continuation of Box C.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

22 October 2009 (22.10.2009)

Date of mailing of the international search report

02 NOV 2009

Name and mailing address of the ISA/US
Mail Stop PCT, Attn: ISA/US, Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450
Facsimile No. 571-273-3201

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300
PCT OSP: 571-272-7774

专利名称(译)	用于诊断，监测和/或分期阿尔茨海默病的生物标志物，试剂盒和方法		
公开(公告)号	EP2326952A1	公开(公告)日	2011-06-01
申请号	EP2009812285	申请日	2009-09-04
[标]申请(专利权)人(译)	氧化还原反应制剂有限责任公司		
申请(专利权)人(译)	氧化还原反应试剂，LLC		
当前申请(专利权)人(译)	氧化还原反应试剂，LLC		
[标]发明人	MCINTYRE JOHN A		
发明人	MCINTYRE, JOHN, A.		
IPC分类号	G01N33/53 G01N33/92 G01N33/68		
CPC分类号	G01N33/6896 G01N2800/2821		
代理机构(译)	killin詹姆斯，史蒂芬		
优先权	61/094167 2008-09-04 US		
其他公开文献	EP2326952A4 EP2326952B1		
外部链接	Espacenet		

摘要(译)

本发明涉及用于诊断，监测和/或分期阿尔茨海默氏病的生物标志物和试剂盒，其包含氧化还原反应性自身抗体。本发明还涉及诊断，监测和/或分期阿尔茨海默病的方法，该方法包括使用该方法进行血液测试。