(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2017/181142 A1

(43) International Publication Date 19 October 2017 (19.10.2017)

(51) International Patent Classification:

A61B 18/18 (2006.01) A61B 18/00 (2006.01)

A61N 7/00 (2006.01)

(21) International Application Number:

PCT/US2017/027805

(22) International Filing Date:

14 April 2017 (14.04.2017)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/322,689

14 April 2016 (14.04.2016)

US

- (72) Inventors; and
- (71) Applicants: BRANDNER, Theresa [US/US]; 1316 Clayton Street, San Francisco, CA 94114 (US). WEDG-WOOD, Susannah [US/US]; 1316 Clayton Street, San Francisco, CA 94114 (US).
- (74) Agents: AI, Bing et al.; Perkins Coie LLP, P.O. Box 1247, Seattle, WA 98111-1247 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY,

BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

(54) Title: MEDICAL DEVICES UTILIZING SHAPE MEMORY ALLOYS AND ASSOCIATED SYSTEMS AND METHODS

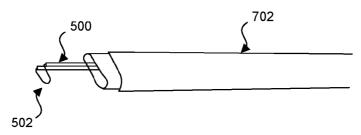
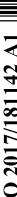


FIG. 7B

(57) Abstract: Medical devices utilizing shape memory alloys and associated methods are disclosed herein. One aspect of the present technology, for example, is directed toward a treatment element configured to be positioned within a body lumen and coupled to an energy source. At least a portion of the treatment element may be made of a shape memory alloy, and wherein application of thermal energy to the treatment element from the energy source transforms the treatment element from the martensitic state to the ausienitic state in which the treatment element is configured to cut, ablate, resect, and/or cauterize tissue.





MEDICAL DEVICES UTILIZING SHAPE MEMORY ALLOYS AND ASSOCIATED SYSTEMS AND METHODS

RELATED APPLICATION

[0001] This application claims the benefit of U.S. Provisional Application No. 62/322,689, filed April 14, 2016, entitled "MEDICAL DEVICES UTILIZING SHAPE MEMORY ALLOYS AND ASSOCIATED SYSTEMS AND METHOD," which is incorporated by reference herein in its entirety.

TECHNICAL FIELD

[0002] The present technology is directed generally to medical devices utilizing shape memory alloys and associated systems and methods.

BACKGROUND

[0003] A shape memory alloy ("SMA") is a metallic alloy that undergoes a phase transformation in its crystal structure when cooled from its stronger, high temperature form (austenite or parent) to its easily deformable, low temperature form (martensite or daughter). This inherent phase transformation is the basis for the unique properties of SMAs, such as shape memory and superelasticity.

[0004] Shape memory refers to the ability of an SMA to go through the following process: (1) While in its martensitic form, the SMA is (easily) deformed to a new shape. (2) Upon being heated through its transformation temperatures, the SMA reverts to austenite and recovers its previous shape (before the deformation) with great force. The temperature at which the SMA remembers its austenite form when heated can be adjusted by slight changes in alloy composition and through heat treatment. Various medical device applications include an SMA component and utilize its shape memory properties to selectively transform the component between two different shapes or configurations. Such SMA components typically have an austenitic phase that is activated at a transition temperature typically at or below body temperature. (Note: body temperature is documented in medical textbooks as 37°C, but it is reported to range between 36.1°C to 38°C.) Examples of such devices include dental wire, intravascular stents, guidewires, embolic coils, as well as staples, vertebral spacers, intrauterine devices, catheters, cannulas, and minimally invasive surgical instruments.

[0005] Superelasticity is a mechanical version of shape memory, and is caused by the stress-induced formation of some martensite above its normal temperature. Because it has been formed above its normal temperature, the martensite reverts immediately to undeformed austenite as soon as the stress is removed. This process provides a very springy, "rubberlike" elasticity in these alloys. The superelastic properties of SMAs have been employed in various medical device applications where it is advantageous for the device to spring to form. Some examples of such devices include orthodontic wire, venous filters, vascular stents, and minimally invasive surgical instruments.

BRIEF DESCRIPTION OF THE DRAWINGS

[0006] FIG. 1A is a schematic, perspective view of a distal portion of a medical device showing a treatment element in an unconstrained austenitic state in accordance with the present technology.

[0007] FIG. 1B is a schematic, perspective view of the treatment element shown in FIG. 1A bent back on itself in a low-profile martensitic state in a delivery sheath in accordance with the present technology.

[0008] FIG. 1C is a schematic, perspective view of the treatment element shown in FIG. 1A in an elongated low-profile martensitic state in a delivery sheath in accordance with the present technology.

[0009] FIG. 2A is a schematic, perspective view of a distal portion of a medical device showing a treatment element in an unconstrained austenitic state in accordance with the present technology.

[0010] FIG. 2B is a schematic, perspective view of the treatment element shown in FIG. 1A bent back on itself in a low-profile martensitic state in a delivery sheath in accordance with the present technology.

[0011] FIG. 2C is a schematic, perspective view of the treatment element shown in FIG. 1A in an elongated low-profile martensitic state in a delivery sheath in accordance with the present technology.

[0012] FIGS. 3A-3C are side, top, and perspective views, respectively, of a treatment element in accordance with the present technology.

[0013] FIGS. 3D-3F are side, top, and perspective views, respectively, of a treatment element in accordance with the present technology.

[0014] FIGS. 3G-3I are side, top, and perspective views, respectively, of a treatment element in accordance with the present technology.

[0015] FIGS. 3J-3L are side, top, and perspective views, respectively, of a treatment element in accordance with the present technology.

[0016] FIGS. 4A and 4B are schematic illustrations of a treatment element in a deployed state and a constrained state in accordance with the present technology.

[0017] FIG. 5A is a side view of a manipulation member having a looped treatment element at its distal portion in accordance with the present technology.

[0018] FIGS. 5B-5D and FIGS. 6A-6C are side views and perspective side views, respectively, of treatment elements having different angles at their distal portions in the austenitic state in accordance with the present technology.

[0019] FIG. 7A is a side view of a hysteroscopic resectoscope configured for use with the treatment elements of the present technology.

[0020] FIG. 7B is an enlarged view of a distal portion of the hysteroscopic resectoscope shown in FIG. 7A.

DETAILED DESCRIPTION

The present technology is directed generally to shape memory treatment devices and associated systems and methods. In particular embodiments of the present technology, the treatment device includes a treatment element (e.g., an electrode or other device) configured to be delivered to a treatment site within a body cavity or lumen or other natural body orifice via a delivery device (e.g., a trocar, venipuncture, through a device lumen, etc.). The electrode is made of an SMA and is transformable between a delivery configuration and a deployed configuration via thermal activation. In the delivery configuration, the electrode is in its martensitic form and can be constrained for delivery through a delivery device and/or through narrow or tortuous bodily passages. In the deployed configuration, the electrode is in an austenitic form that is configured to treat, manipulate, or otherwise engage bodily tissue at the treatment site. For example, the shape and/or temperature of the electrode in the austenitic form can be configured for object retrieval, thrombus retrieval, tissue biopsy, tissue

manipulation, and/or the atherectomy, morcellation, liquification, denaturation, dessication, fulgaration, cauterization, vaporization, cutting, and/or ablation of target tissue in minimally invasive and surgical applications. The electrode can be thermally activated to its austenitic form at a transition temperature above 40°C (above body temperature). The electrode is coupled to an energy source, and activation of the austenitic phase of the electrode is achieved through use of heat energy and/or electrical current. Suitable energy sources include, for example, direct current (DC), alternating current (AC), radio frequency (RF), magnetic energy, electromagnetic energy, an electrical current transformed to mechanical energy via an ultrasonic transducer, thermal transfer (via fluidic heat exchange), and others.

[0022] The electrode can be made of an SMA that is selected based on the desired physical characteristics and performance parameters of the particular device. Suitable SMAs include, for example, nickel titanium ("NiTi" or "nitinol"), platinum ("Pt"), platinum-titanium-nickel ("PtTiNi"), platinum iron ("PtFe"), copper-zinc-aluminum ("CuZnAl"), copper-aluminum-nickel ("CuAlNi"), and/or other alloys of/with platinum, nickel, iron, copper, titanium, etc.

[0023] As detailed below, the treatment devices and/or electrodes of the present technology are configured for use in one or more medical applications and/or fields of medicine, such as gynecology, urology, cardiovascular, neurovascular, peripheral vascular, laparoscopy, pulmonology, ENT applications, and gastroenterology. Although the following description pertains to gynecological, urological, cardiovascular, and neurological applications of the present technology, additional medical applications and/or treatment methods are also within the scope of the present technology.

Selected Embodiments of Treatment Devices for Use in Gynecological Applications

[0024] Modern advances in minimally invasive surgical methods have improved outcomes and reduced complications and recovery times for many types of surgery. In some cases, minimally invasive techniques have made it possible to move surgical procedures out of the operating room and into a doctor's office setting. In the area of women's health, however, minimally invasive advancements are scant, especially as it relates to transcervical hysteroscopic surgery. For example, many hysteroscopic surgical methods today require hysteroscopes having relatively large diameters, e.g., 26 Fr (8 mm), thus necessitating the use of uncomfortable luminaria dilatation, or anesthesia and manual cervical dilation in an operating room setting.

[0025] One embodiment of the present technology configured for gynecological applications includes a cutting electrode having a single or multiple loop configuration during use. At least a portion of the electrode can be formed of an SMA (such as NiTi or PtTiNi). Representative embodiments of the electrode and/or associated systems and methods are shown in Figures 1A-7B. The electrode is configured to be delivered in fluid medium to a uterine cavity through a lumen of a delivery device, such as the working channel of a hysteroscopic resectoscope (Figs. 7A and 7B) or other delivery device having a lumen that is 8 mm or less. During delivery to the uterine cavity, the electrode can be compacted into a compressed martensitic shape to fit within the lumen of the delivery device. The electrode can be electrically connected to an energy source.

Once positioned at or near a treatment site (e.g., myoma) within the uterine cavity, the energy source can be electrode can be activated into the austenitic phase to form an expanded, pre-set shape (i.e., wider than the inner diameter of the delivery device lumen). In some embodiments, for example, the electrode can be activated via radiofrequency energy (RF) (e.g., from about 100 kHz to about 5 MHz). When the electrode is in the deployed, preset shape, the electrode is configured to perform one or more procedures at the treatment site, such as cutting, ablating, resecting, manipulating, and/or cauterizing tissue such as leiomyoma, endometrium, or other tissue. At any time before, during, and/or after treatment, but before removing the electrode from the uterine cavity, the energy source can be de-activated or otherwise decoupled from the electrode such that the electrode temperature equilibrates (under fluid medium or ambient tissue temperature) to the martensitic phase. Once in the martensitic phase, the device can be withdrawn from the uterine cavity through the delivery device.

Another embodiment of the present technology configured for gynecological applications is an ultrasonic surgical tip including an SMA (such as NiTi or PtTiNi). Representative embodiments of the electrode and/or associated systems and methods are shown in Figures 1-3. The tip is configured to be introduced in a straight martensitic form through a lumen of a delivery device (such as the working channel of a hysteroscope or other delivery device having an outer diameter of 3 mm or less (9F)). Once positioned at or near the treatment site in the uterine cavity, the tip can be thermally activated into its austenitic phase using ultrasonic energy (e.g., from about 27 kHz to about 75 kHz). In the austenitic phase, the tip has a pre-set bend that serves as the stroke length of the ultrasonic surgical tip, and is subsequently used for denaturization, cauterization, ablation, fractionation, and/or morcellation of leiomyoma or other tissue. Upon removal of energy input, the surgical tip temperature

equilibrates under fluid medium to the martensitic phase, and is retrieved through working channel. This device may be used in conjunction with fluid management and aspiration to facilitate the removal of excised, morcellated, and/or fractionated material.

Selected Embodiments of Treatment Devices for Use in Gynecological/Urological Applications

Another embodiment of the present technology includes a nitinal grasping trap or claw shape that is encapsulated in an insulative coating, thus preventing or substantially preventing thermal transfer to tissue, and constrained into a compressed "grasping" martensitic shape, delivered under fluid medium through the working channel of a cystoscope, ureteroscope, hysteroscope, cannula, catheter, or other lumen, through a narrow or tortuous bodily passage, and into a cavity or space such as within the bladder, kidney, uterus, urethra, ureter, or prostate, and activated (e.g., thermally) into the austenitic phase at transition temperature (around 80°C) to form a remembered 'open' shape that is larger in diameter or different in shape than the inner diameter of the channel or lumen, and is subsequently used to enclose, grasp, and thus capture an object, embolus, tissue or material, and the subsequent removal of energy input causes the claw to cool within the fluid medium to regain a grasping martensitic shape, at which point the claw is removed through the lumen along with the retrieved object or material. (Figure 1, Figure 3)

[0029] Another embodiment of this technology is a nitinol grasping trap or claw shape, encapsulated in an insulative coating thus completely preventing thermal/energy transfer to tissue, and shape set to remember a constricted 'grasping' austenitic shape. This device is compressed and delivered via the working channel of a cystoscope, ureteroscope, hysteroscope, cannula, catheter, or other lumen, through a narrow or tortuous bodily passage, and into a cavity or space such as within the bladder, kidney, uterus, urethra, ureter, or prostate, and released from constraint to form an 'open' martensitic shape, at body or ambient fluid temperature, that is larger in diameter or different in shape than the inner diameter of the channel or lumen. The device is then used to enclose, grasp, and thus capture an object or material, and subsequently activated (heated liquid through a lumen or low direct current) into the austenitic phase at transition temperature (around 60°C) to form the remembered shape and remain in the austenitic 'grasping shape' (the force may produce a stronger grasp than embodiment #3) and thus capture an object, embolus, tissue, or material, at which point, while

remaining in its austenitic grasping phase, the claw is removed through the lumen along with the retrieved object or material. (Figure 3)

Selected Embodiments of Treatment Devices for Use in Cardiovascular Applications

Another embodiment of this technology is a PtTiNi cutting electrode or probe composed of a single wire or multi wire loop or tube or scoop shape or other shape that can be collapsed into a compressed martensitic shape and delivered through a cannula or catheter or other lumen and then activated into the austenitic phase to resume a pre-formed shape that is larger in diameter than the inner diameter of the channel or lumen, and which is subsequently used to perform cutting, ablation (e.g., super ventricular tachycardia), biopsy, resection, removal, manipulation, and cautery of heart valves, chordae tendinae, cardiac tissue, vascular tissue, other adjacent tissue, or other material. Upon removal or reduction of energy input, the electrode or probe temperature equilibrates under fluid medium or ambient tissue temperature to the martensitic phase, and is retrieved through the cannula or catheter or other lumen and may contain a sample of tissue, calcification, atherogenic/atheromatous, fibrous, thrombotic, embolic, or other material or substance. (Figure 1, Figure 2, Figure 3)

Selected Embodiments of Treatment Devices for Use in Neurological Applications

[0031] Another embodiment of this technology is a PtFe cutting electrode or probe composed of a single wire or multi wire loop or tube or scoop shape or other shape that can be collapsed into a compressed martensitic shape and delivered through a cannula or catheter or other lumen and then magnetically activated into the austenitic phase to resume a pre-formed shape that is larger in diameter than the inner diameter of the channel or lumen, and which is subsequently used to perform cutting, ablation, biopsy, removal, manipulation, dissolution, liquification, and cautery, of an atherosclerotic or thrombotic lesion or other unwanted tissue. Upon removal or reduction of energy input, the electrode or probe temperature equilibrates under fluid or ambient blood temperature to the martensitic phase, and is retrieved through the cannula or catheter or other lumen and may contain a sample of tissue, calcification, atherogenic/atheromatous, fibrous, thrombotic, embolic, or other material or substance. (Figure 1, Figure 2, Figure 3)

Additional Embodiments

[0032] The treatment devices and/or electrodes of the present technology use the shape memory phase (of the SMA that forms the electrode) for or during the treatment or therapeutic

functioning of the device unlike many other devices that do not use the shape memory phase (and actually use only the superelastic phase) for or during the actual treatment or therapeutic functioning of the device.

[0033] In some embodiments, the treatment device and/or electrode utilizes both the superelastic and shape memory properties of the SMA.

[0034] In some embodiments, the treatment devices and/or electrodes of the present technology use the austenite to martensite phase transformation as part of the treatment or therapeutic functioning of the device.

[0035] In some embodiments of the treatment devices and/or electrodes of the present technology, the treatment device and/or electrode can be compressed to fit through the delivery device lumen or opening in a manner that involves more or significantly more material strain compression than devices that employ superelasticity.

[0036] The treatment devices and/or electrodes of the present technology provide the following advantages over conventional SMA electrodes: (1) the deployed shapes of the electrodes of the present technology are relatively larger than those of other conventional designs and thus can remove more tissue, (2) the SMA forming the electrodes of the present technology have an A_f temperature that produces a stronger peak force than devices that rely solely on superelasticity.

[0037] Ultrasound, radiofrequency, magnetics, and/or conductive heat can be used to activate the shape memory properties of the electrodes of the present technology.

[0038] In some embodiments of the treatment devices and/or electrodes disclosed herein, the treatment device and/or electrode removes tissue and liquifies the tissue using mechanical (ultrasonic) energy.

[0039] In some embodiments of the treatment devices and/or electrodes disclosed herein, at least a portion of the electrode and/or treatment device includes an SMA that demonstrate superelastic properties but does not revert/reverse to the martensitic phase after being activated in the austenitic phase.

EXAMPLES

[0040] The following examples are illustrative of several embodiments of the present technology:

1. A device for removing tissue from a body lumen, the device comprising: a delivery shaft having a lumen therethrough; an energy source;

- a manipulation member configured to be slidably received through the lumen of the delivery shaft, wherein the manipulation member has a distal portion configured to be positioned within the body lumen; and
- a treatment element at the distal portion of the manipulation member and coupled to the energy source, at least a portion of the treatment element made of a shape memory alloy and having a low-profile martensitic state for delivery through the elongated shaft and an expanded, austenitic state that forms a pre-set shape having a cross-sectional dimension that is greater than the cross-sectional dimension of the shaft, and
- wherein application of thermal energy to the treatment element from the energy source transforms the treatment element from the martensitic state to the austenitic state, and
- wherein, when the treatment element is in the austenitic state, the treatment element is configured to cut, ablate, resect, and/or cauterize tissue.
- 2. The device of example 1, wherein the treatment element transforms from the martensitic state to the austenitic state at a temperature above 40 degrees Celsius.
- 3. The device of example 1 or example 2, wherein the energy source is configured to deliver radiofrequency energy to the treatment element.
- 4. The device of any one of examples 1-3, wherein the treatment element is thermally activated and transforms from the martensitic state at a frequency of from about 100 kHz to about 5 MHz.
- 5. The device of any one of examples 1-4, wherein the distal portion of the manipulation member is configured to be delivered to a uterine cavity.
- 6. The device of example 5, wherein the device is configured to separate a tumor from the uterine cavity.

7. The device of any one of examples 1-6, wherein the treatment element has a single loop configuration.

- 8. The device of any one of examples 1-6, wherein the treatment element has a multiple loop configuration.
- 9. The device of any one of example 1-8, wherein the delivery shaft is a portion of a hysteroscopic resectoscope.
- 10. The device of any one of examples 1-9, wherein the delivery shaft includes a fluid medium within the lumen.
- 11. The device of any one of examples 1-10, wherein a cross-sectional dimension of the delivery shaft is no more than 8 mm.
- 12. The device of any one of examples 1-11, wherein the tissue is at least one of a leiomyoma and endometrium.
 - 13. A device for removing tissue from a body lumen, the device comprising: a delivery shaft having a lumen therethrough;

to be positioned within the body lumen; and

an energy source;

- a manipulation member configured to be slidably received through the lumen of the delivery shaft, wherein the manipulation member has a distal portion configured
- a treatment element at the distal portion of the manipulation member and coupled to the energy source, at least a portion of the electrode made of a shape memory alloy and having a low-profile martensitic state for delivery through the elongated shaft and an expanded, austenitic state that forms a pre-set shape having a cross-sectional dimension that is greater than the cross-sectional dimension of the shaft, and
- wherein application of ultrasonic energy to the treatment element from the energy source transforms the treatment element from the martensitic state to the austenitic state, and

wherein, when the treatment element is in the austenitic state, the electrode is configured to cut, ablate, resect, morcellate, and/or cauterize tissue comprising at least one of leiomyoma and endometrium.

- 14. The device of example 13, wherein the treatment element transforms from the martensitic state to the austenitic state at a frequency of from about 27 kHz to about 75 kHz.
- 15. The device of example 13 or example 14, wherein the energy source is configured to deliver ultrasonic energy to the treatment element.
- 16. The device of any one of examples 13-15, wherein the treatment element is generally straight in a martensitic state and bent in an austenitic state.
- 17. The device of any one of examples 13-16, wherein the distal portion of the manipulation member is configured to be delivered to a uterine cavity.
- 18. The device of any one of examples 13-17, wherein the device is configured to separate a tumor from the uterine cavity.
- 19. The device of any one of examples 13-18, wherein the treatment element has a single loop configuration.
- 20. The device of any one of examples 13-18, wherein the treatment element has a multiple loop configuration.
- 21. The device of any one of examples 13-20, wherein the delivery shaft is a portion of a hysteroscopic resectoscope.
- 22. The device of any one of examples 13-21, wherein the lumen of the delivery shaft includes a fluid medium.
- 23. The device of any one of examples 13-22, wherein a cross-sectional dimension of the delivery shaft is no more than 3 mm.

24. The device of any one of examples 13-23, wherein the tissue is at least one of a leiomyoma and endometrium.

- 25. A method for modifying and/or treating tissue comprising:
- positioning a treatment element in a low-profile martensitic state within a body lumen via a delivery shaft having a cross-sectional dimension, wherein the treatment element is made of a shape memory alloy:
- while the treatment element is positioned within the body lumen, transforming the treatment element from the martensitic state to the austenitic state by delivering thermal energy to the treatment element, wherein, in the austenitic state the treatment element forms an expanded, pre-set shape having a cross-sectional dimension that is greater than the cross-sectional dimension of the delivery shaft; and
- cutting, ablating, resecting, morcellating, and/or cauterizing tissue within the body lumen with the treatment element in the austenitic state.
- 26. The method of example 25, wherein delivering thermal energy includes raising a temperature of the treatment element to no greater than 40 degrees Celsius.
- 27. The method of example 25, wherein the cross-sectional dimension of the delivery shaft is no greater than 8 mm.
- 28. The method of example 25, wherein transforming the treatment element includes delivering a radiofrequency to the treatment element of from about 100 kHz to about 5 MHz.
 - 29. A method for modifying and/or treating tissue comprising:
 - positioning a treatment element in a low-profile martensitic state within a body lumen via a delivery shaft having a cross-sectional dimension, wherein the treatment element is made of a shape memory alloy;
 - while the treatment element is positioned within the body lumen, transforming the treatment element from the martensitic state to the austenitic state by delivering ultrasonic energy to the treatment element, wherein, in the austenitic state the

treatment element forms an expanded, pre-set shape having a cross-sectional dimension that is greater than the cross-sectional dimension of the delivery shaft; and

cutting, ablating, resecting, morcellating, and/or cauterizing tissue within the body lumen with the treatment element in the austenitic state.

- 30. The method of example 29, wherein the cross-sectional dimension of the delivery shaft is no greater than 3 mm.
- 31. The method of example 29, wherein transforming the treatment element includes delivering a ultrasonic energy to the treatment element that has a frequency of from about 27 kHz to about 75 kHz.

Conclusion

[0041] From the foregoing, it will be appreciated that specific embodiments of the disclosed technology have been described herein for purposes of illustration, but that various modifications may be made without deviating from the technology. Certain aspects of the technology described in the context of particular embodiments may be combined or eliminated in other embodiments. Further, while advantages associated with certain embodiments of the disclosed technology have been described in the context of those embodiments, other embodiments may also exhibit such advantages, and not all embodiments need necessarily exhibit such advantages to fall within the scope of the technology. Accordingly, the disclosure and associated technology can encompass other embodiments not expressly shown or described herein.

CLAIMS

I/We claim:

1. A device for removing tissue from a body lumen, the device comprising: a delivery shaft having a lumen therethrough; an energy source;

- a manipulation member configured to be slidably received through the lumen of the delivery shaft, wherein the manipulation member has a distal portion configured to be positioned within the body lumen; and
- a treatment element at the distal portion of the manipulation member and coupled to the energy source, at least a portion of the treatment element made of a shape memory alloy and having a low-profile martensitic state for delivery through the elongated shaft and an expanded, austenitic state that forms a pre-set shape having a cross-sectional dimension that is greater than the cross-sectional dimension of the shaft, and
- wherein application of thermal energy to the treatment element from the energy source transforms the treatment element from the martensitic state to the austenitic state, and
- wherein, when the treatment element is in the austenitic state, the treatment element is configured to cut, ablate, resect, and/or cauterize tissue.
- 2. The device of claim 1, wherein the treatment element transforms from the martensitic state to the austenitic state at a temperature above 40 degrees Celsius.
- 3. The device of claim 1, wherein the energy source is configured to deliver radiofrequency energy to the treatment element.
- 4. The device of claim 3, wherein the treatment element is thermally activated and transforms from the martensitic state at a frequency of from about 100 kHz to about 5 MHz.

5. The device of claim 1, wherein the distal portion of the manipulation member is configured to be delivered to a uterine cavity.

- 6. The device of claim 5, wherein the device is configured to separate a tumor from the aterine cavity.
- 7. The device of claim 1, wherein the treatment element has a single loop configuration.
- 8. The device of claim 1, wherein the treatment element has a multiple loop configuration.
- 9. The device of claim 1, wherein the delivery shaft is a portion of a hysteroscopic resectoscope.
- 10. The device of claim 1, wherein the lumen of the delivery shaft includes a fluid medium.
- 11. The device of claim 1, wherein a cross-sectional dimension of the delivery shaft is no greater than 8 mm.
- 12. The device of claim 1, wherein the tissue is at least one of a leiomyoma and endometrium.
 - 13. A device for removing tissue from a body lumen, the device comprising: a delivery shaft having a lumen therethrough; an energy source;
 - a manipulation member configured to be slidably received through the lumen of the delivery shaft, wherein the manipulation member has a distal portion configured to be positioned within the body lumen; and
 - a treatment element at the distal portion of the manipulation member and coupled to the energy source, at least a portion of the electrode made of a shape memory alloy and having a low-profile martensitic state for delivery through the elongated

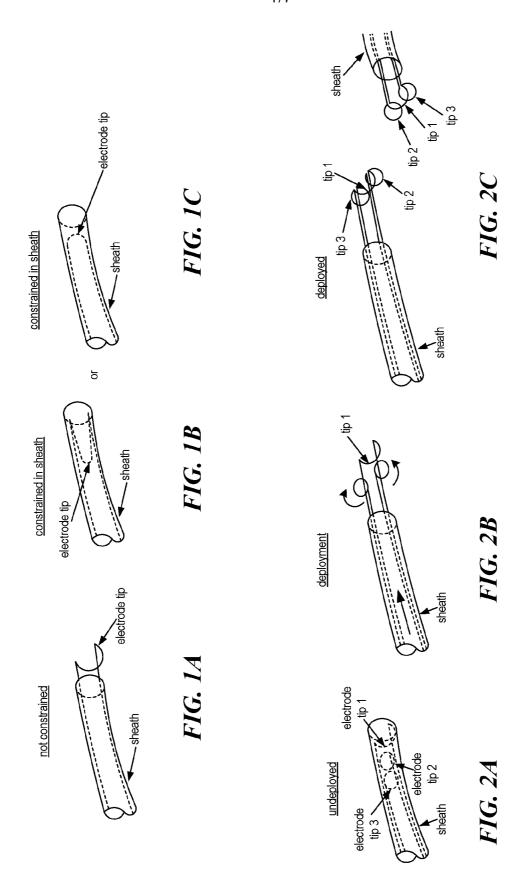
shaft and an expanded, austenitic state that forms a pre-set shape having a cross-sectional dimension that is greater than the cross-sectional dimension of the shaft, and

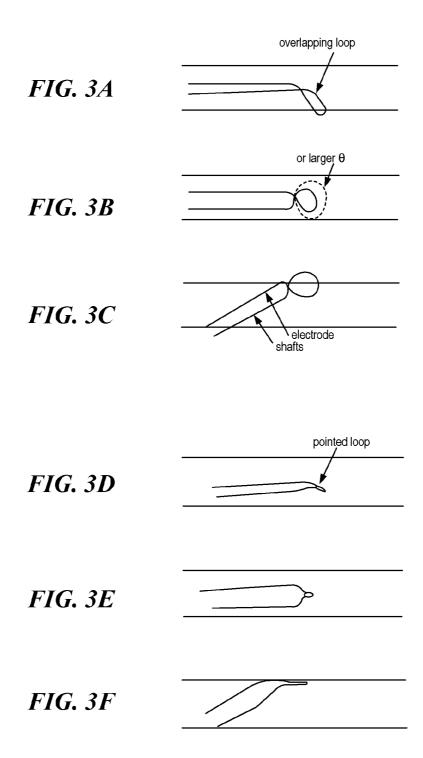
- wherein application of ultrasonic energy to the treatment element from the energy source transforms the treatment element from the martensitic state to the austenitic state, and
- wherein, when the treatment element is in the austenitic state, the electrode is configured to cut, ablate, resect, morcellate, and/or cauterize tissue.
- 14. The device of claim 13, wherein the treatment element transforms from the martensitic state to the austenitic state at a frequency of from about 27 kHz to about 75 kHz.
- 15. The device of claim 13, wherein the energy source is configured to deliver ultrasonic energy to the treatment element.
- 16. The device of claim 13, wherein the treatment element is generally straight in a martensitic state and bent in an austenitic state.
- 17. The device of claim 13, wherein the distal portion of the manipulation member is configured to be delivered to a uterine cavity.
- 18. The device of claim 17, wherein the device is configured to separate a tumor from the uterine cavity.
- 19. The device of claim 13, wherein the treatment element has a single loop configuration.
- 20. The device of claim 13, wherein the treatment element has a multiple loop configuration.
- 21. The device of claim 13, wherein the delivery shaft is a portion of a hysteroscopic resectoscope.

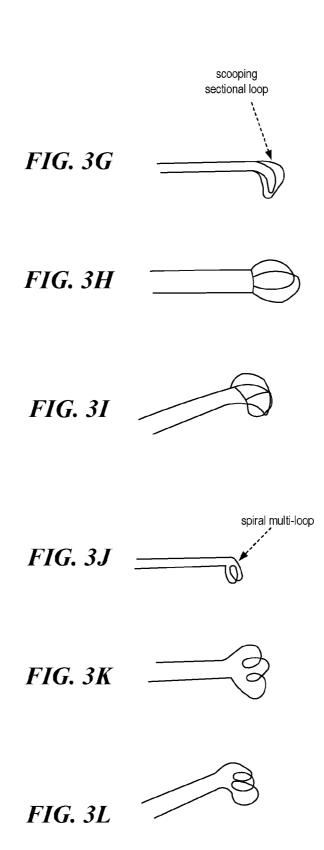
22. The device of claim 13, wherein the lumen of the delivery shaft includes a fluid medium.

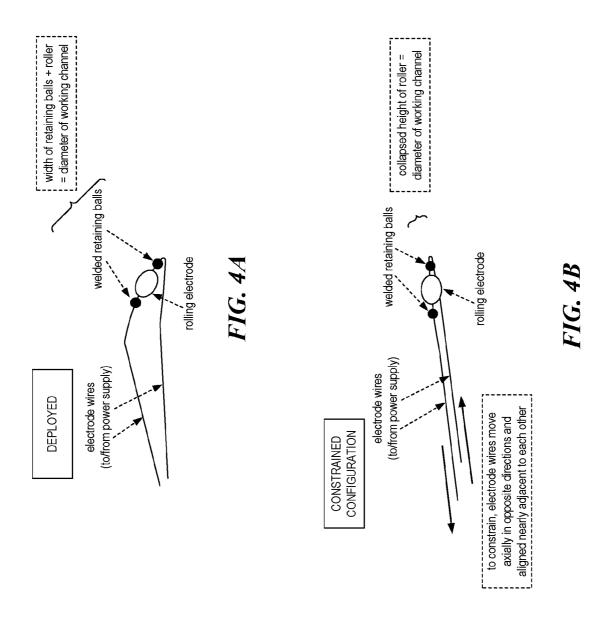
- 23. The device of claim 13, wherein a cross-sectional dimension of the delivery shaft is no greater than 3 mm.
- 24. The device of claim 13, wherein the tissue is at least one of a leiomyoma and endometrium.

1/7

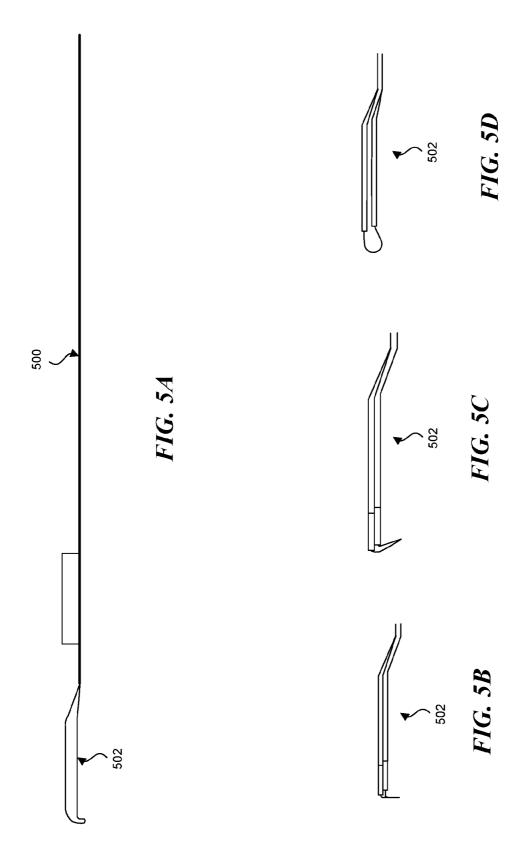








SUBSTITUTE SHEET (RULE 26)



6/7

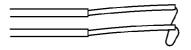


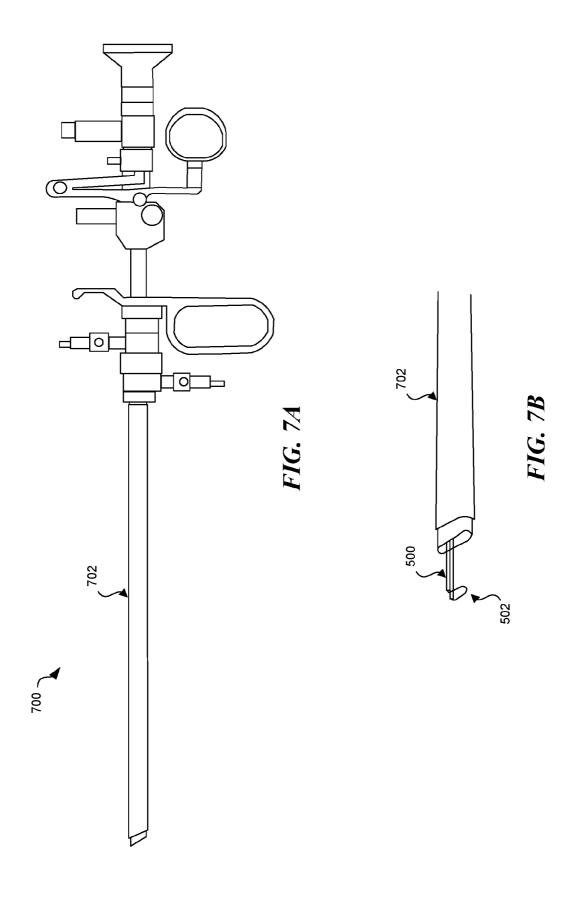
FIG. 6A



FIG. 6B



FIG. 6C



International application No. PCT/US2017/027805

CLASSIFICATION OF SUBJECT MATTER

A61B 18/18(2006.01)i, A61N 7/00(2006.01)i, A61B 18/00(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) A61B 18/18; A61B 18/14; A61N 1/05; A61B 17/39; A61B 8/14; A61N 7/00; A61B 18/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords:removing tissue, delivery shaft, energy source, manipulation member, treatment element, electrode, shape memory alloy, martensitic state, austenitic state, transform

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	US 2014-0276764 A1 (SHUMAN, B. J. et al.) 18 September 2014 See paragraphs [0052], [0055]-[0059], [0063]-[0066], [0070]-[0073], [0090], [0115], [0131]; and figures 1-3B, 9, 12.	1-24
A	US 7918795 B2 (GROSSMAN, J.) 05 April 2011 See the whole document.	1-24
A	US 2012-0197246 A1 (MAUCH, K.) 02 August 2012 See the whole document.	1-24
A	US 5980517 A (GOUGH, E. J.) 09 November 1999 See the whole document.	1-24
A	US 2005-0131508 A1 (GARABEDIAN, R. J. et al.) 16 June 2005 See the whole document.	1-24

		Further	documents:	are	listed	in tl	he c	ontinuat	ion	of I	Box	C.
--	--	---------	------------	-----	--------	-------	------	----------	-----	------	-----	----

See patent family annex.

- Special categories of cited documents:
- "A" document defining the general state of the art which is not considered to be of particular relevance
- earlier application or patent but published on or after the international filing date
- document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- document referring to an oral disclosure, use, exhibition or other means
- document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search

12 September 2017 (12.09.2017)

Date of mailing of the international search report

12 September 2017 (12.09.2017)

Name and mailing address of the ISA/KR



International Application Division Korean Intellectual Property Office 189 Cheongsa-ro, Seo-gu, Daejeon, 35208, Republic of Korea

Facsimile No. +82-42-481-8578

Authorized officer

LEE GYONG CHEOL

Telephone No. +82-42-481-8611



Information on patent family members

International application No.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2014-0276764 A1	18/09/2014	CN 105101900 A	25/11/2015
OO 2014 0210104 A1	10/ 03/ 2014	EP 2922488 A1	30/09/2015
		EP 2922488 B1	26/10/2016
		EP 3120795 A1	25/01/2017
		JP 2016-511076 A	14/04/2016
		JP 2017-029801 A	09/02/2017
		JP 6144405 B2	07/06/2017
		WO 2014-159011 A1	02/10/2014
US 7918795 B2	05/04/2011	US 2006-0189972 A1	24/08/2006
		US 2011-0087100 A1	14/04/2011
US 2012-0197246 A1	02/08/2012	AU 2011-239313 A1	10/05/2012
		AU 2011-239316 A1	10/05/2012
		AU 2011-239320 A1	10/05/2012
		AU 2011-239320 B2	15/01/2015
		AU 2011-239360 A1	10/05/2012
		AU 2011-239360 B2 AU 2011-239361 A1	05/02/2015 10/05/2012
		AU 2011-239361 A1 AU 2011-239362 A1	10/05/2012
		AU 2011-239362 A1 AU 2011-239363 A1	10/05/2012
		AU 2011-239363 B2	06/11/2014
		AU 2011-239364 A1	10/05/2012
		AU 2011-242125 A1	10/05/2012
		AU 2011-242125 B2	13/11/2014
		AU 2011-242126 A1	10/05/2012
		AU 2011-242127 A1	10/05/2012
		AU 2011-244862 A1	10/05/2012
		CA 2807277 A1	09/02/2012
		CA 2811245 A1	10/05/2012
		CA 2811264 A1 CA 2816040 A1	10/05/2012
		CA 2816040 A1 CN 102715949 A	10/05/2012 10/10/2012
		CN 102715949 A CN 102715950 A	10/10/2012
		CN 102715950 A CN 102715950 B	28/10/2015
		CN 102715950 B	10/10/2012
		CN 102715951 B	11/01/2017
		CN 102727297 A	17/10/2012
		CN 102727298 A	17/10/2012
		CN 102836004 A	26/12/2012
		CN 102935008 A	20/02/2013
		CN 102935009 A	20/02/2013
		CN 103027745 A	10/04/2013
		CN 103027745 B	16/03/2016
		CN 103027746 A	10/04/2013 10/04/2013
		CN 103027747 A CN 103313671 A	10/04/2013
		CN 103313671 A CN 103313671 B	06/06/2017
		CN 103313071 B	11/12/2013

Information on patent family members

International application No.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
		CN 103536350 A	29/01/2014
		CN 103536350 A CN 103547229 A	29/01/2014
		CN 105547225 A CN 105902311 A	31/08/2016
		EP 2600784 A1	12/06/2013
		EP 2632364 A1	04/09/2013
		EP 2632365 A1	04/09/2013
		EP 2632366 A1	04/09/2013
		EP 2632367 A1	04/09/2013
		EP 2632368 A1	04/09/2013
		EP 2632369 A1	04/09/2013
		EP 2632370 A1	04/09/2013
		EP 2632370 B1	28/12/2016
		EP 2632373 A1 EP 2632376 A1	04/09/2013 04/09/2013
		EP 2632376 A1 EP 2632377 A1	04/09/2013
		EP 2632378 A1	04/09/2013
		EP 2667812 A1	04/12/2013
		EP 3100696 A1	07/12/2016
		JP 2013-540563 A	07/11/2013
		JP 2013-544130 A	12/12/2013
		JP 2013-544131 A	12/12/2013
		JP 2013-544133 A	12/12/2013
		JP 2016-097310 A	30/05/2016
		JP 2017-080420 A	18/05/2017
		JP 6046041 B2 JP 6148314 B2	14/12/2016 14/06/2017
		KR 10-2013-0103763 A	24/09/2013
		KR 10-2013-0108401 A	02/10/2013
		KR 10-2014-0022772 A	25/02/2014
		TW I559951 B	01/12/2016
		TW I564043 B	01/01/2017
		TW I564044 B	01/01/2017
		US 2012-0089047 A1	12/04/2012
		US 2012-0101538 A1	26/04/2012
		US 2012-0116382 A1	10/05/2012
		US 2012-0116383 A1 US 2012-0130359 A1	10/05/2012 24/05/2012
		US 2012-0130360 A1	24/05/2012
		US 2012-0130300 AT	24/05/2012
		US 2012-0136344 A1	31/05/2012
		US 2012-0136417 A1	31/05/2012
		US 2012-0136418 A1	31/05/2012
		US 2012-0143293 A1	07/06/2012
		US 2012-0143294 A1	07/06/2012
		US 2012-0150267 A1	14/06/2012
		US 2012-0158104 A1	21/06/2012
		US 2014-0249610 A1	04/09/2014
		US 2015-0257815 A1 US 2016-0038212 A1	17/09/2015 11/02/2016
		US 2016-0036212 A1 US 2016-0113713 A1	28/04/2016
		222 0220, 20 112	23, 51, 2010

Information on patent family members

International application No.

			052017/027005
Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5980517 A	09/11/1999	US 8945107 B2 US 8956352 B2 US 8998894 B2 US 9060754 B2 US 9066713 B2 US 9066720 B2 US 9345530 B2 US 9439708 B2 WO 2012-019156 A1 WO 2012-058153 A1 WO 2012-058156 A1 WO 2012-058158 A1 WO 2012-058160 A1 WO 2012-058161 A1 WO 2012-058163 A1 WO 2012-058163 A1 WO 2012-058163 A1 WO 2012-058163 A1 WO 2012-058165 A1 WO 2012-058165 A1 WO 2012-058165 A1 WO 2012-061153 A1 WO 2012-061153 A1 WO 2012-061164 A1 WO 2013-052858 A1 AU 1372099 A AU 1452699 A AU 6241999 A CN 1125620 C CN 1159154 A CN 1211171 A EP 0777445 B1 EP 0850024 B1 EP 0850024 A1 EP 0851743 A1 EP 0851743 B1 EP 0908156 B1 EP 0957988 B2 EP 1109504 B1 EP 1109505 A1	03/02/2015 17/02/2015 07/04/2015 23/06/2015 23/06/2015 30/06/2015 30/06/2015 30/06/2016 13/09/2016 09/02/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 03/05/2012 10/05/2012 10/05/2012 10/05/2012 10/05/2012 10/05/2012 10/05/2013 24/05/1999 07/06/1999 27/03/2000 29/10/2003 10/09/1997 17/03/1999 10/02/1999 02/06/1999 02/06/1999 02/06/2004 30/07/2003 29/10/2003 29/10/2003 29/10/2004 30/07/2003 29/10/2004 30/07/2003 29/10/2004 20/04/2004 24/11/2010 16/04/2003 24/03/2004 22/09/2004 15/12/2004 27/06/2001 13/05/2009 27/06/2001

Information on patent family members

International application No.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
		EP 1344497 A1	17/09/2003
		EP 1366725 A1	03/12/2003
		EP 1366725 B1	24/01/2007
		EP 1598025 A2	23/11/2005
		EP 1598025 A3	02/08/2006
		JP 10-503959 A	14/04/1998
		JP 11-511988 A	19/10/1999
		JP 11-511991 A	19/10/1999
		JP 11-511992 A	19/10/1999
		JP 2000-500033 A	11/01/2000
		JP 2000-506415 A	30/05/2000
		JP 2000-507844 A	27/06/2000
		JP 2001-231790 A	28/08/2001
		JP 2002-524129 A	06/08/2002
		JP 2002-524130 A	06/08/2002
		JP 2007-125414 A JP 3009735 B2	24/05/2007
		JP 3009735 B2 JP 3560917 B2	14/02/2000 02/09/2004
		JP 4001210 B2	31/10/2007
		JP 4191897 B2	03/12/2008
		KR 10-0243503 B1	02/03/2000
		KR 10-0243744 B1	02/03/2000
		KR 10-1997-0706864 A	01/12/1997
		KR 10-1997-0706866 A	01/12/1997
		KR 10-1999-0082587 A	25/11/1999
		KR 10-1999-0087805 A	27/12/1999
		TW 402498 B	21/08/2000
		TW 446566 B	21/07/2001
		US 2001-0001819 A1	24/05/2001
		US 2002-0026185 A1	28/02/2002
		US 2002-0035363 A1 US 2004-0181217 A1	21/03/2002 16/09/2004
		US 2004-0161217 A1 US 2004-0260282 A1	23/12/2004
		US 2004 0200202 AT	10/02/2005
		US 2005-0101950 A1	12/05/2005
		US 2005-0203503 A1	15/09/2005
		US 2006-0247616 A1	02/11/2006
		US 2008-0154259 A1	26/06/2008
		US 2008-0167649 A1	10/07/2008
		US 5458597 A	17/10/1995
		US 5472441 A	05/12/1995
		US 5507743 A	16/04/1996
		US 5536267 A	16/07/1996
		US 5599345 A US 5599346 A	04/02/1997 04/02/1997
		US 5672173 A	30/09/1997
		US 5672174 A	30/09/1997
		US 5683384 A	04/11/1997
		US 5728143 A	17/03/1998
		US 5735847 A	07/04/1998

Information on patent family members

International application No.

		<u>_</u>	
Patent document	Publication	Patent family	Publication
cited in search report	date	member(s)	date
		· · · · · · · · · · · · · · · · · · ·	
		US 5782827 A	21/07/1998
		US 5800484 A	01/09/1998
		US 5810804 A	22/09/1998
		US 5863290 A	26/01/1999
		US 5913855 A	22/06/1999
		US 5925042 A	20/07/1999
		US 5928229 A	27/07/1999
		US 5935123 A	10/08/1999
		US 5951547 A	14/09/1999
		US 6053937 A	25/04/2000
		US 6059780 A	09/05/2000
		US 6071280 A	06/06/2000
		US 6080150 A	27/06/2000
		US 6090105 A	18/07/2000
		US 6132425 A	17/10/2000
		US 6235023 B1	22/05/2001
		US 6330478 B1 US 6471698 B1	11/12/2001
		US 6500175 B1	29/10/2002 31/12/2002
		US 6551311 B2	22/04/2003
		US 6569159 B1	27/05/2003
		US 6605085 B1	12/08/2003
		US 6632221 B1	14/10/2003
		US 6641580 B1	04/11/2003
		US 6652516 B1	25/11/2003
		US 6660002 B1	09/12/2003
		US 6663624 B2	16/12/2003
		US 6689127 B1	10/02/2004
		US 6958062 B1	25/10/2005
		US 7150744 B2	19/12/2006
		US 8734439 B2	27/05/2014
		WO 00-13602 A2	16/03/2000
		WO 00-13602 A3	20/07/2000
		WO 00-13603 A1	16/03/2000
		WO 95-13113 A1	18/05/1995
		WO 96-04860 A1 WO 97-06739 A2	22/02/1996 27/02/1997
		WO 97-06739 A2	07/05/1998
		WO 97-06740 A2	27/02/1997
		WO 97-06740 A3	15/10/1998
		WO 97-06855 A2	27/02/1997
		WO 97-06855 A3	06/08/1998
		WO 97-06857 A2	27/02/1997
		WO 97-06857 A3	15/10/1998
		WO 97-29702 A1	21/08/1997
		WO 97-33524 A1	18/09/1997
		WO 97-36548 A1	09/10/1997
		WO 99-22657 A1	14/05/1999
		WO 99-25260 A1	27/05/1999

Information on patent family members

International application No.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2005-0131508 A1	16/06/2005	US 7182761 B2 WO 2005-060853 A1	27/02/2007 07/07/2005



专利名称(译)	利用形状记忆合金和相关系统和方法	去的医疗装置	
公开(公告)号	EP3442457A1	公开(公告)日	2019-02-20
申请号	EP2017783332	申请日	2017-04-14
[标]申请(专利权)人(译)	布兰德纳THERESA		
申请(专利权)人(译)	布兰德纳,THERESA		
当前申请(专利权)人(译)	布兰德纳,THERESA		
[标]发明人	BRANDNER THERESA WEDGWOOD SUSANNAH		
发明人	BRANDNER, THERESA WEDGWOOD, SUSANNAH		
IPC分类号	A61B18/18 A61N7/00 A61B18/00		
CPC分类号	A61B18/1482 A61B18/1492 A61B2 A61B2018/00601 A61B2018/1407 /16		A61B2018/00494 A61B2018/00559 42 A61B18/04 A61L31/022 A61L2400
代理机构(译)	灰色,JAMES		
优先权	62/322689 2016-04-14 US		
其他公开文献	EP3442457A4		
外部链接	<u>Espacenet</u>		

摘要(译)

本文公开了利用形状记忆合金和相关方法的医疗装置。例如,本技术的一个方面涉及一种处理元件,该处理元件被配置成定位在体腔内并且耦合到能量源。处理元件的至少一部分可以由形状记忆合金制成,并且其中从能量源向处理元件施加热能将处理元件从马氏体状态转变为处理元件被配置的奥氏体状态。切割,切除,切除和/或烧灼组织。