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(19) **United States**(12) **Patent Application Publication**  
**Balbierz et al.**(10) **Pub. No.: US 2007/0219571 A1**(43) **Pub. Date: Sep. 20, 2007**(54) **ENDOSCOPIC PLICATION DEVICES AND METHODS**

on Dec. 28, 2005. Provisional application No. 60/825, 534, filed on Sep. 13, 2006.

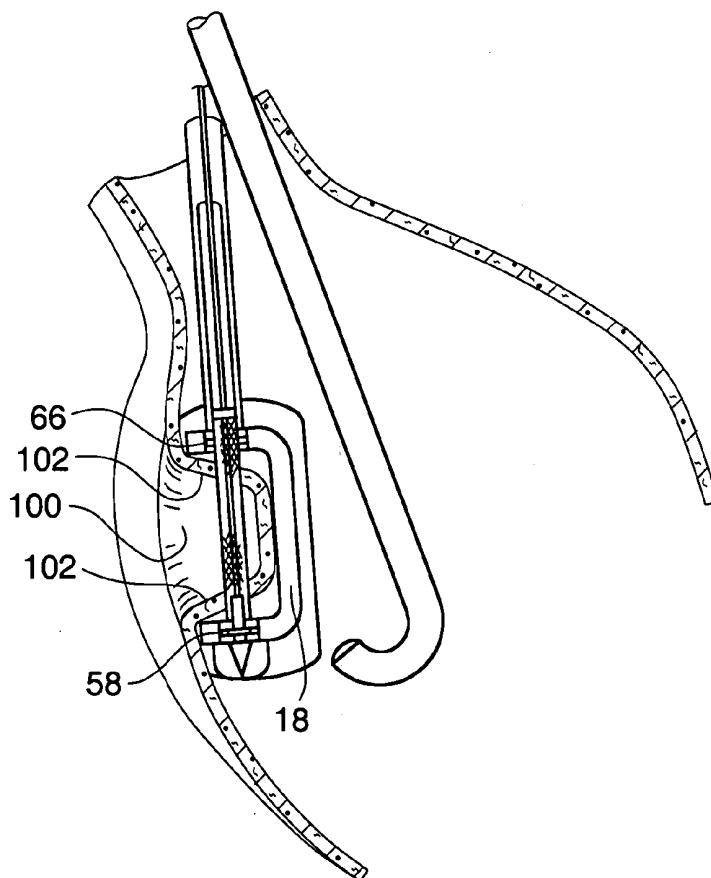
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**A61B 17/08** (2006.01)  
(52) **U.S. Cl.** ..... **606/153**(57) **ABSTRACT**

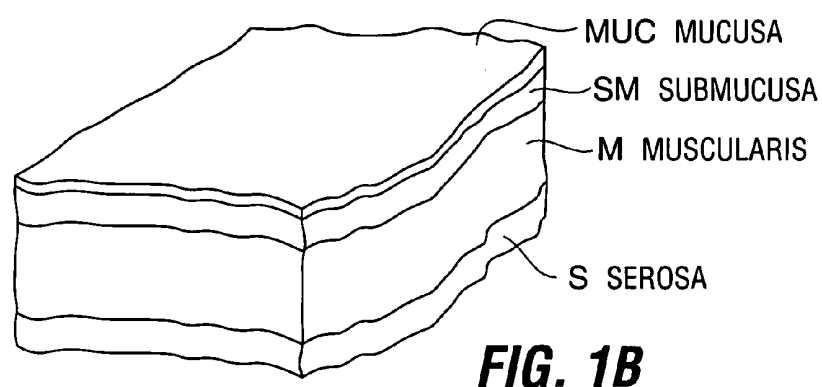
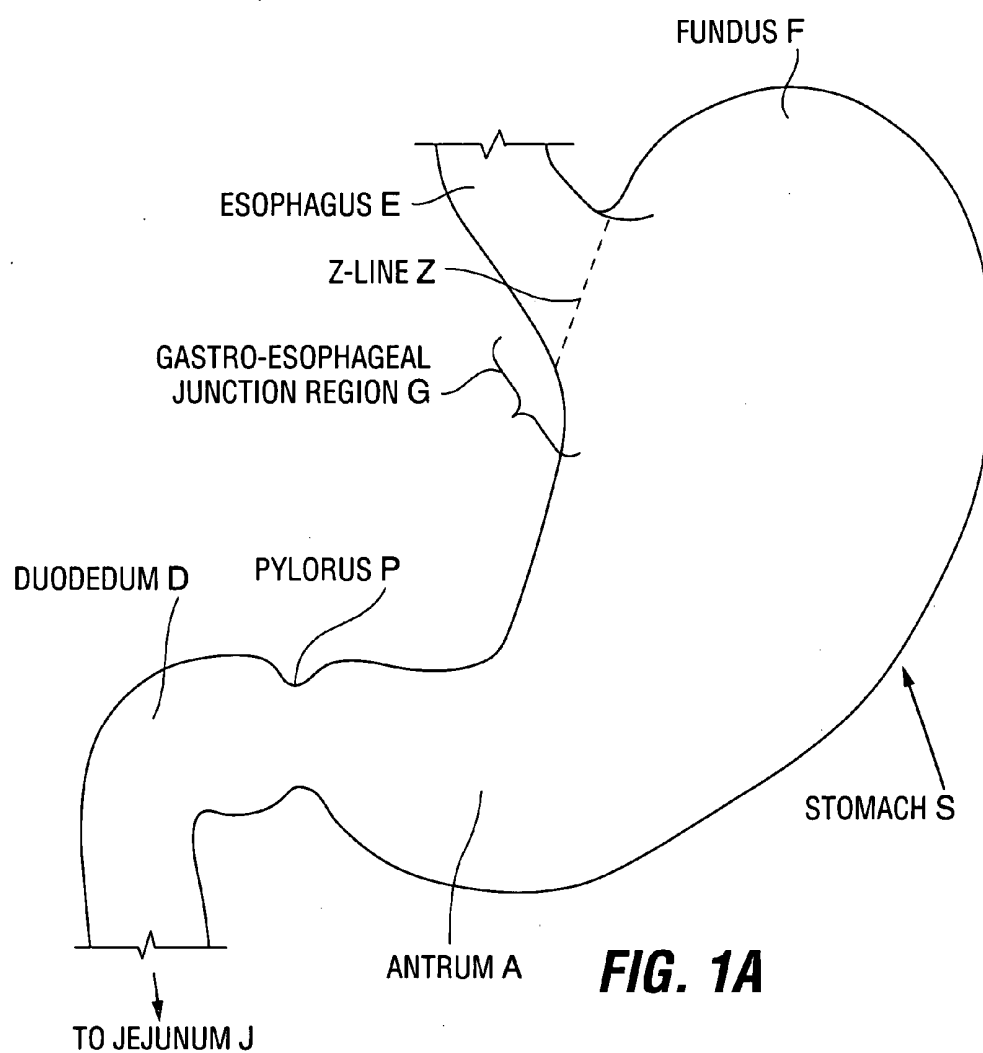
Described herein are endoscopic plicators passed transorally into the stomach and used to plicate stomach tissue by engaging tissue from inside of the stomach and drawing it inwardly. In the disclosed embodiments, the tissue is drawn inwardly into a vacuum chamber, causing sections of serosal tissue on the exterior of the stomach to be positioned facing one another. The disclosed plicators allow the opposed sections of tissue to be moved into contact with one another, and preferably deliver sutures, staples or other means for maintaining contact between the tissue sections at least until serosal bonds form between them. Each of these steps may be performed wholly from the inside of the stomach and thus can eliminate the need for any surgical or laparoscopic intervention. After one or more plications is formed, medical devices may be coupled to the plication(s) for retention within the stomach.

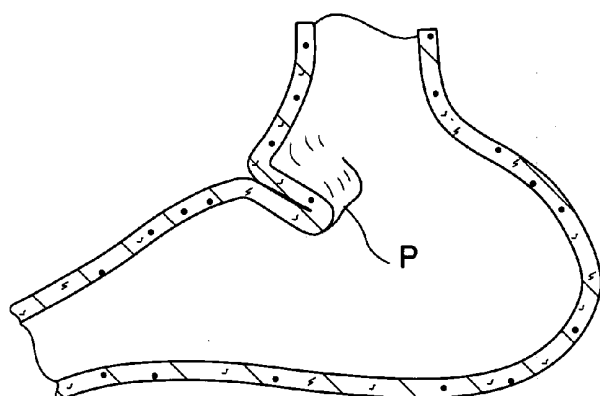
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(21) Appl. No.: **11/542,457**(22) Filed: **Oct. 3, 2006****Related U.S. Application Data**

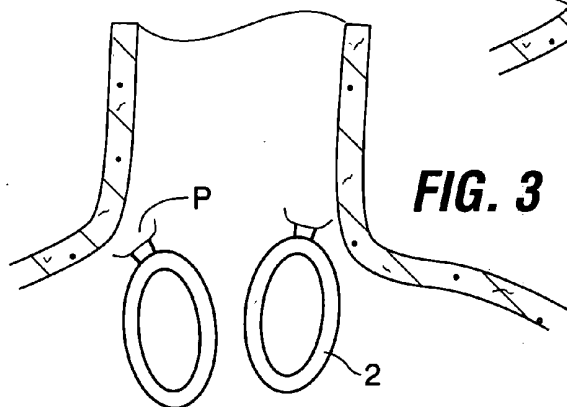
(60) Provisional application No. 60/723,160, filed on Oct. 3, 2005. Provisional application No. 60/754,417, filed



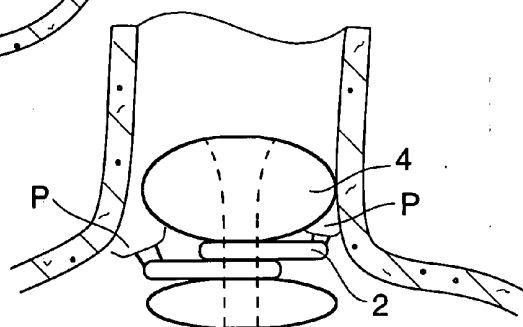




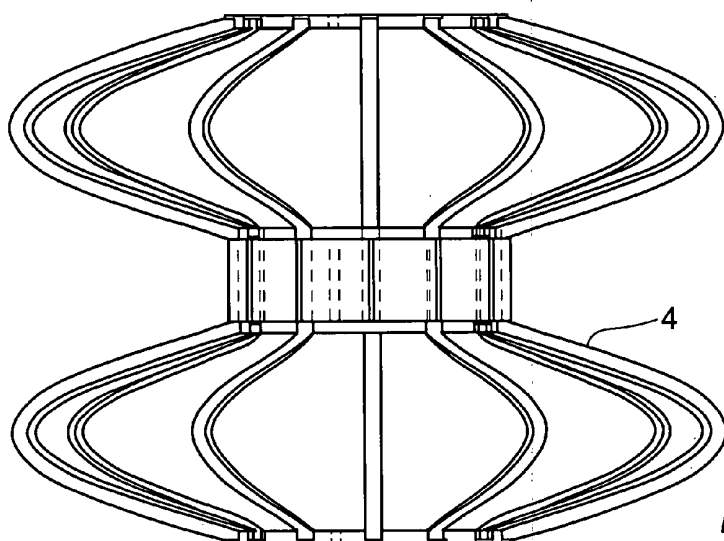
**FIG. 2**



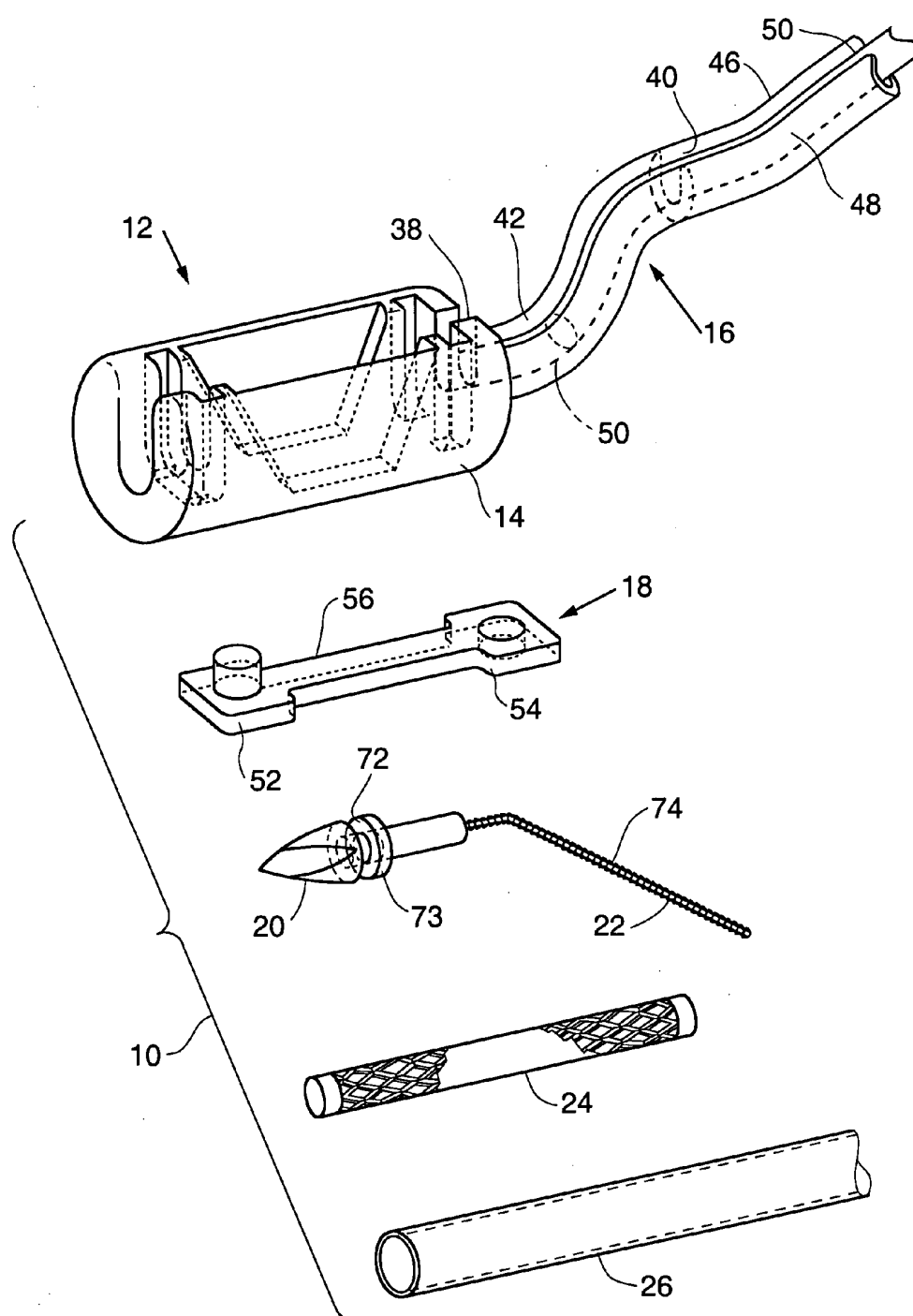
**FIG. 3**



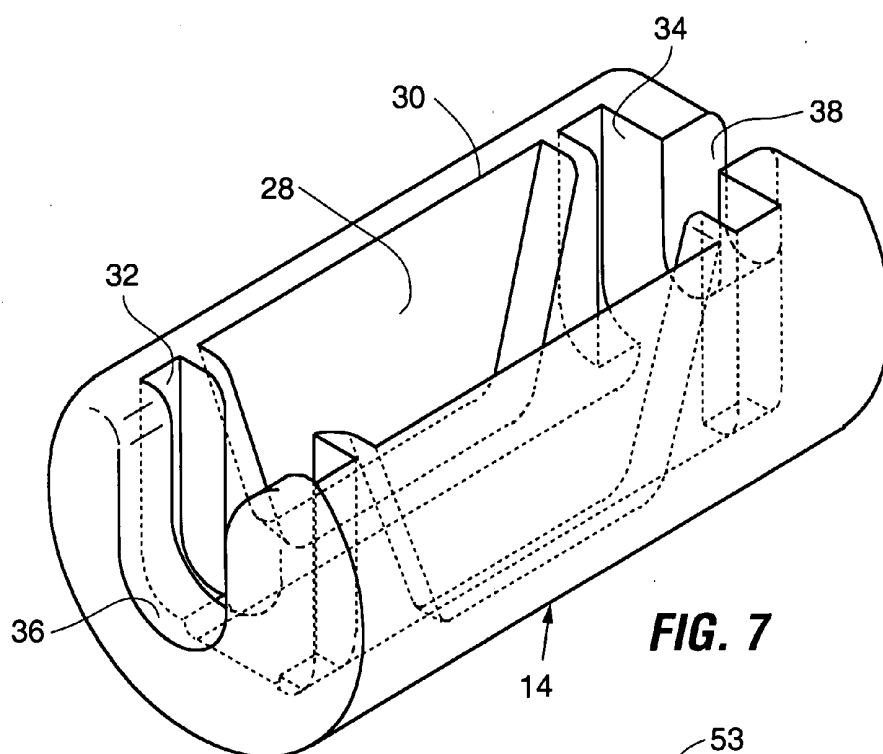
**FIG. 5**



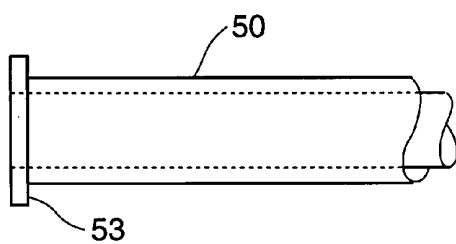
**FIG. 4**



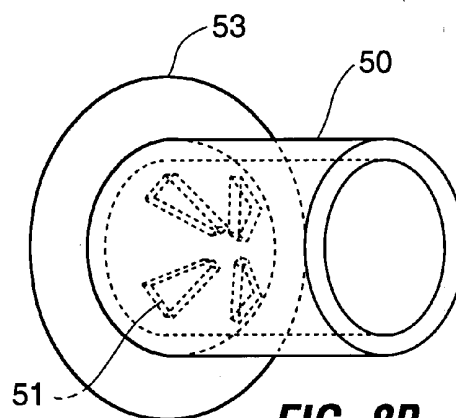
**FIG. 6**



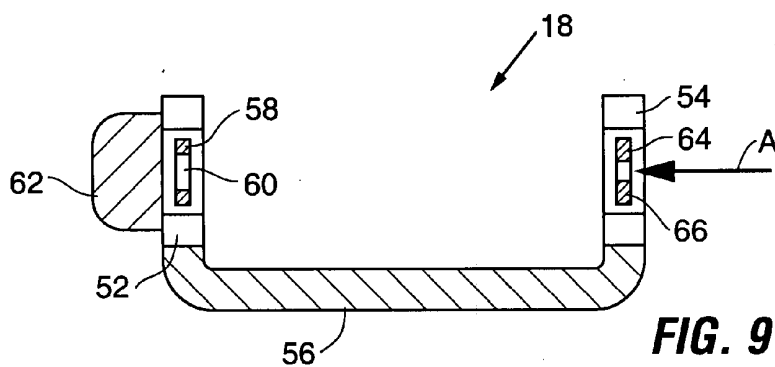
**FIG. 7**



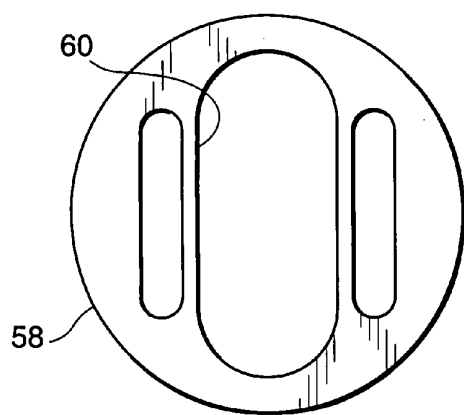
**FIG. 8A**



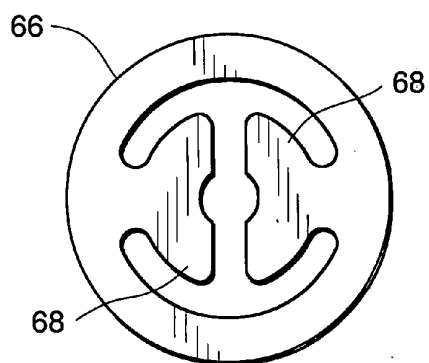
**FIG. 8B**



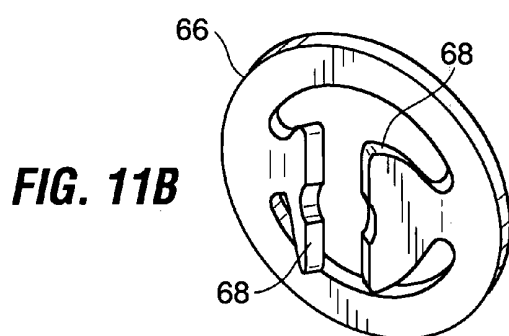
**FIG. 9**



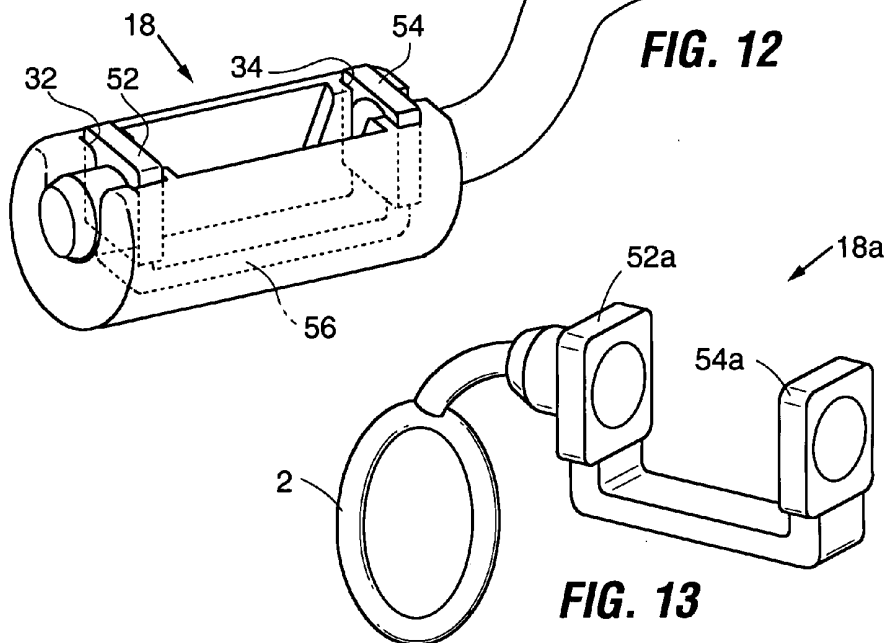
**FIG. 10**



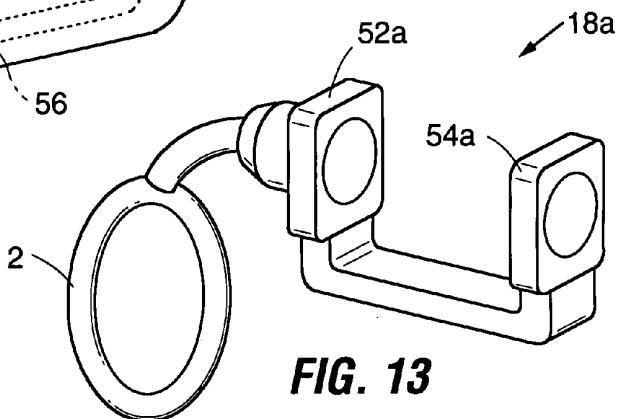
**FIG. 11A**



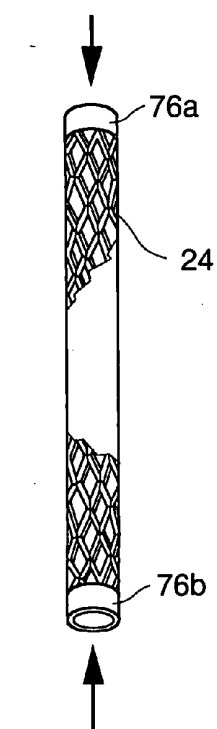
**FIG. 11B**



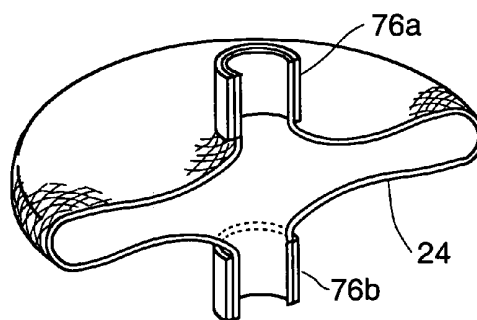
**FIG. 12**



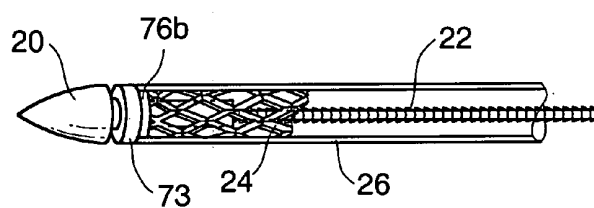
**FIG. 13**



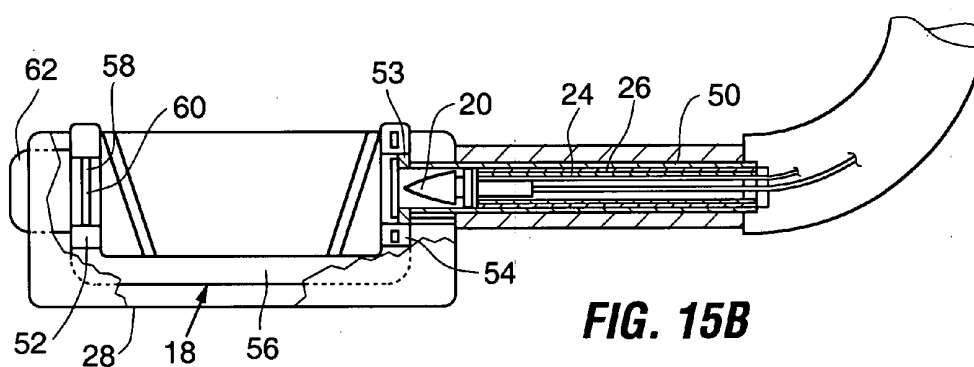
**FIG. 14A**



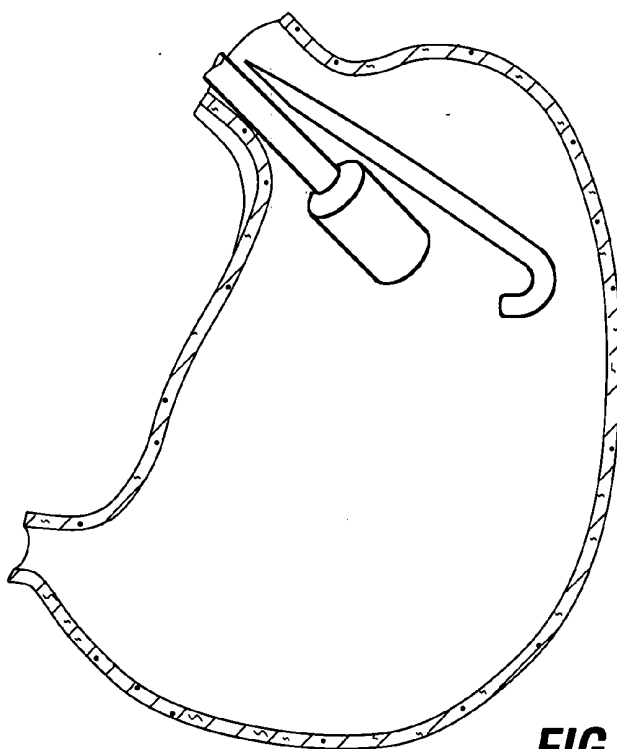
**FIG. 14B**



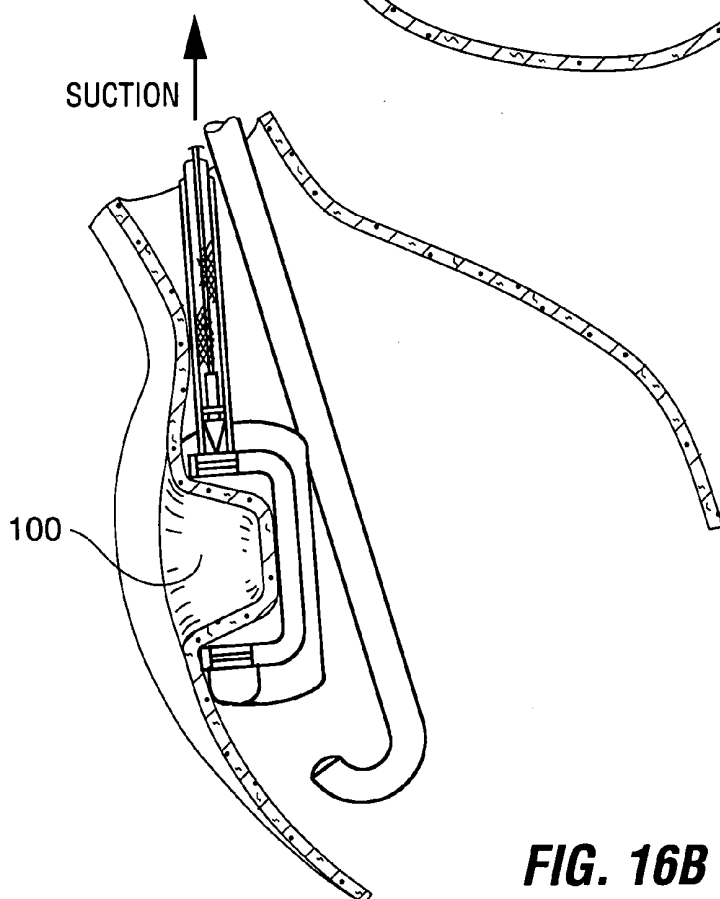
**FIG. 15A**



**FIG. 15B**



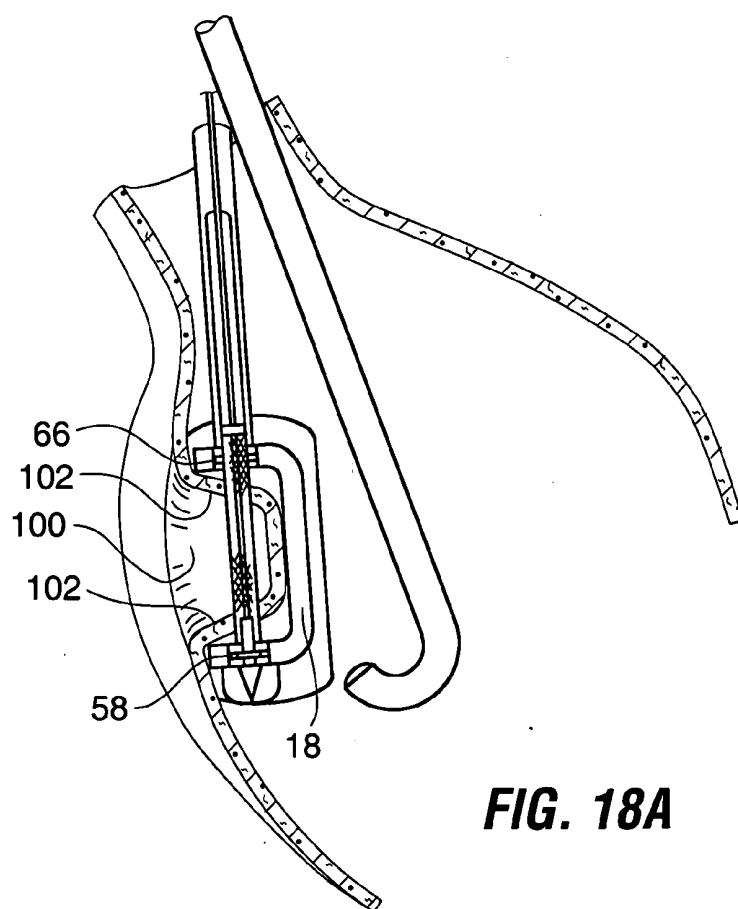
**FIG. 16A**



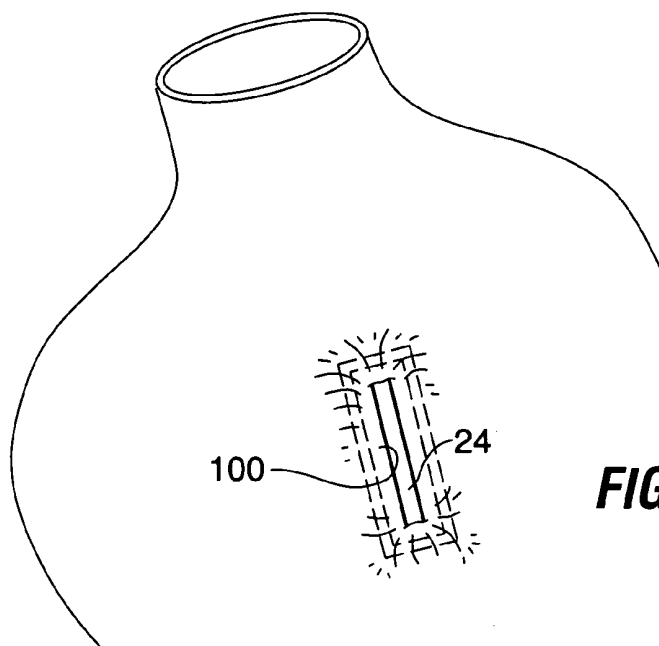
**FIG. 16B**



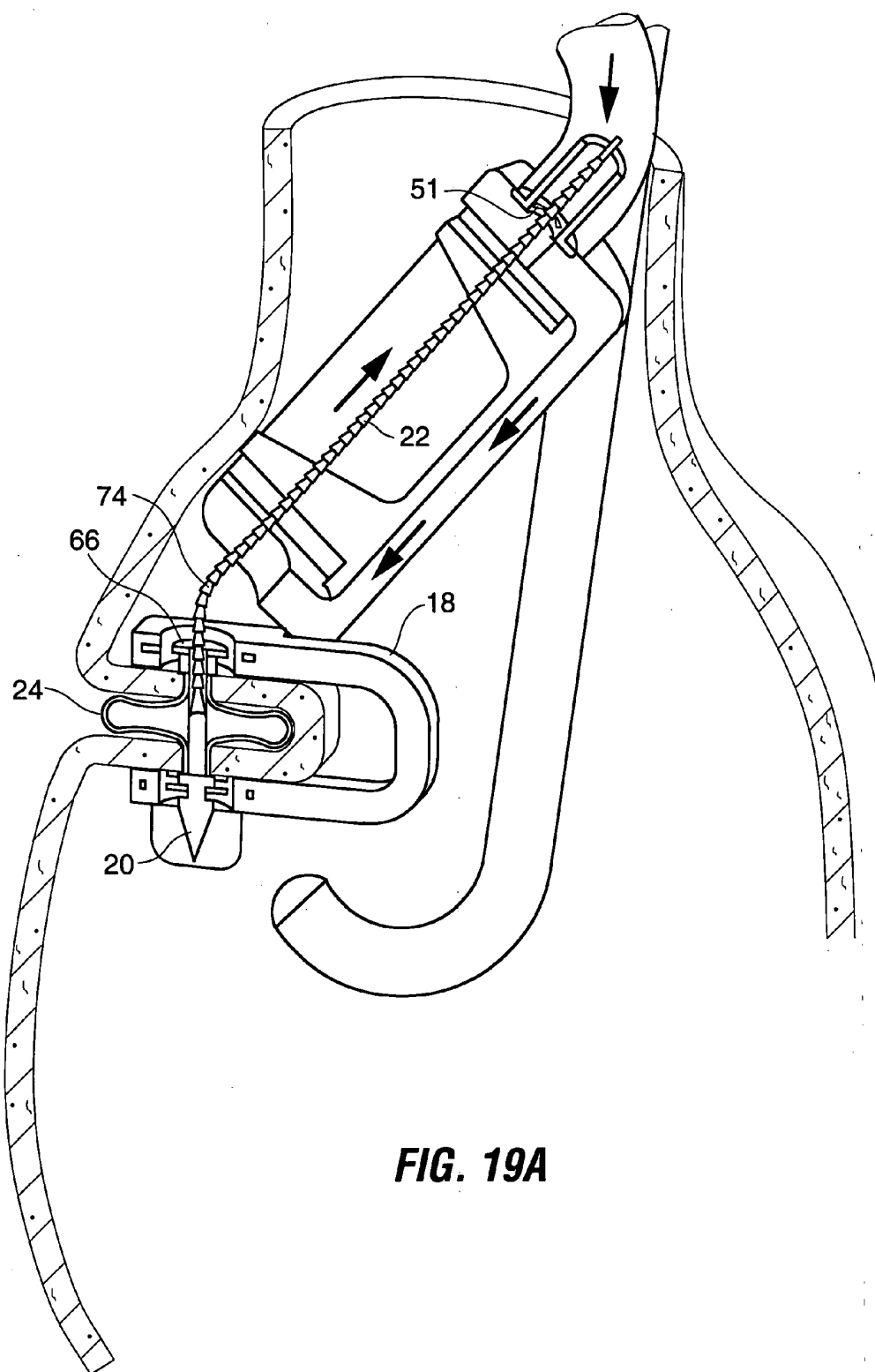




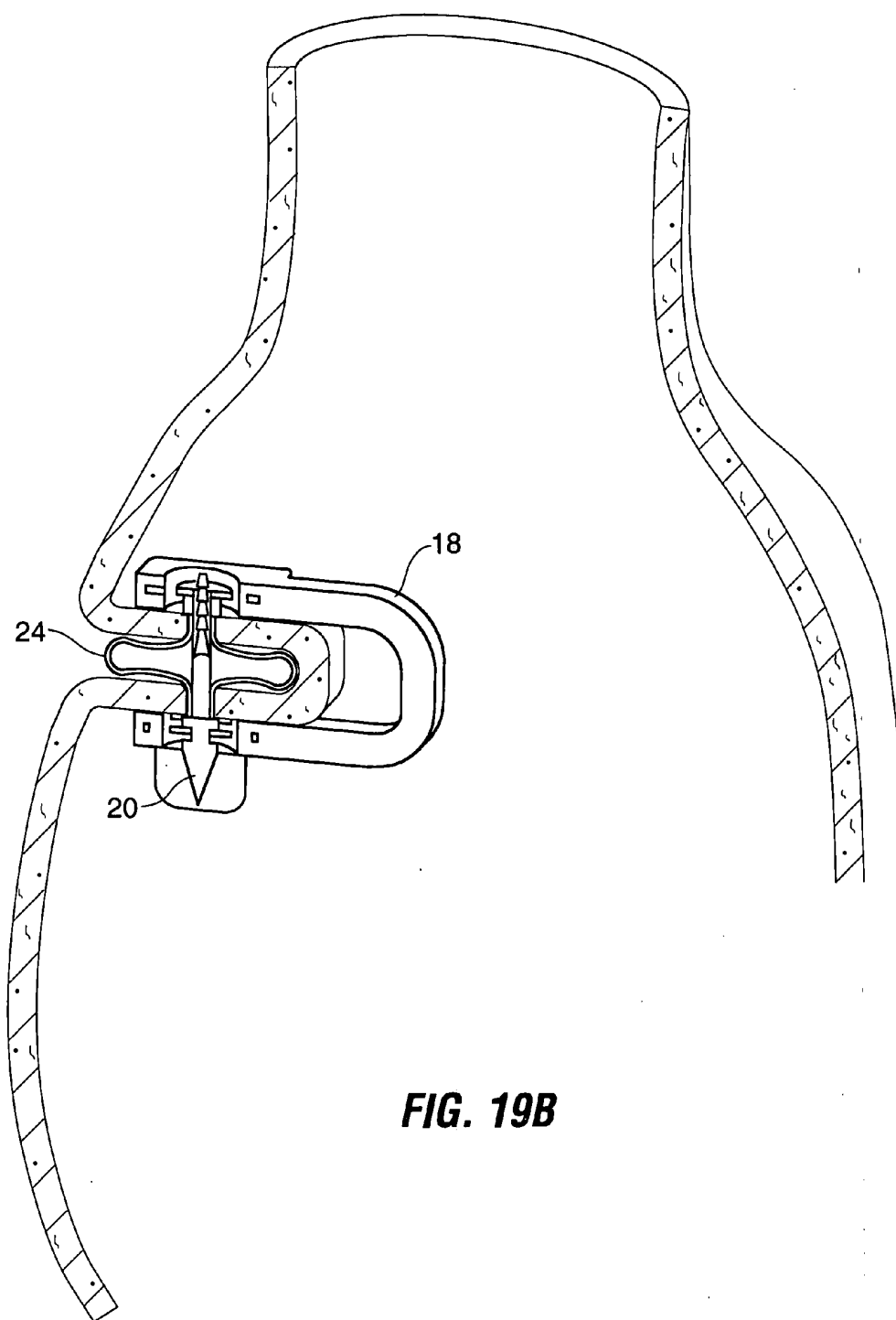
**FIG. 18A**



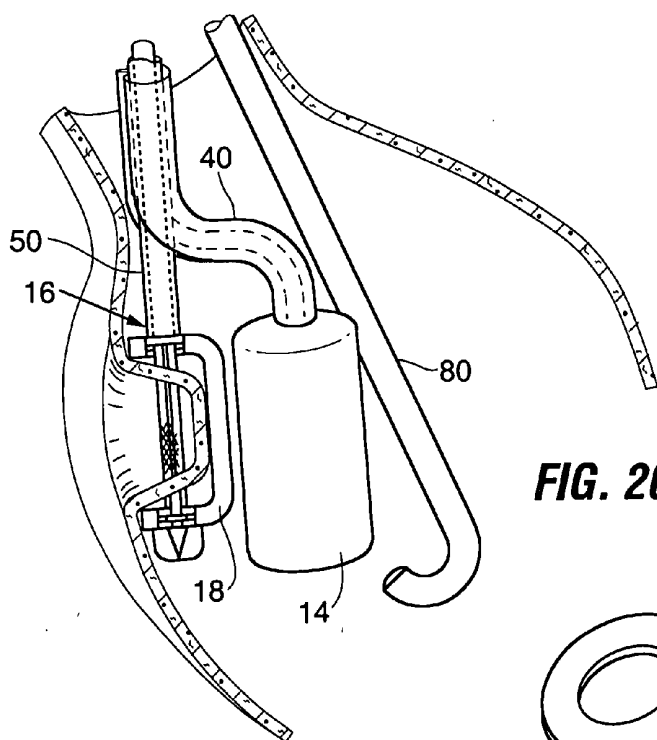
**FIG. 18B**



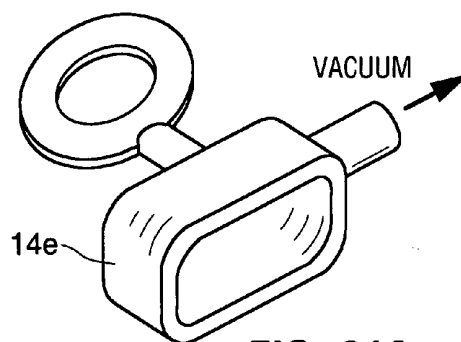
**FIG. 19A**



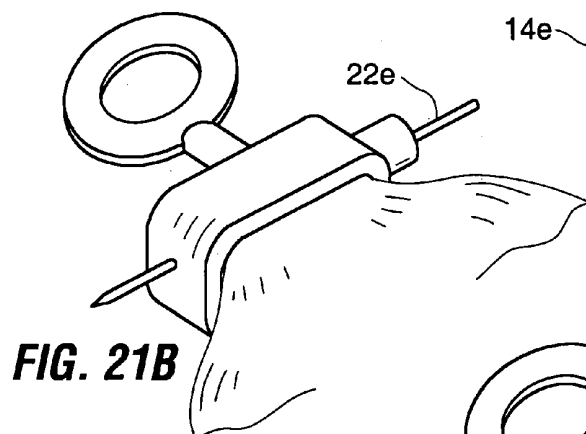
**FIG. 19B**



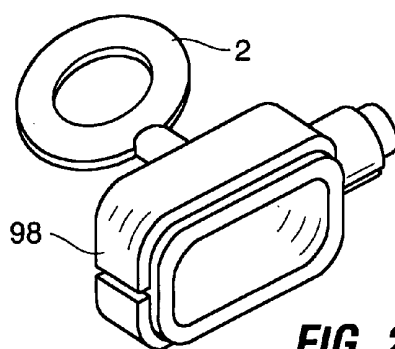
**FIG. 20**



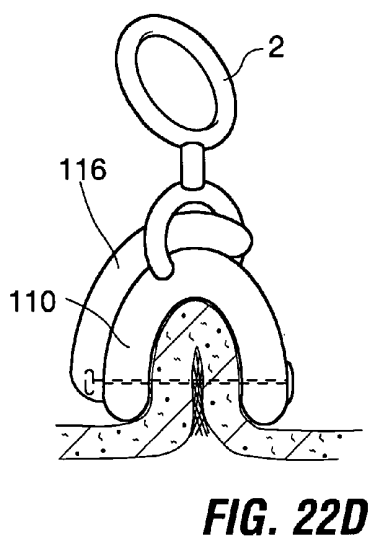
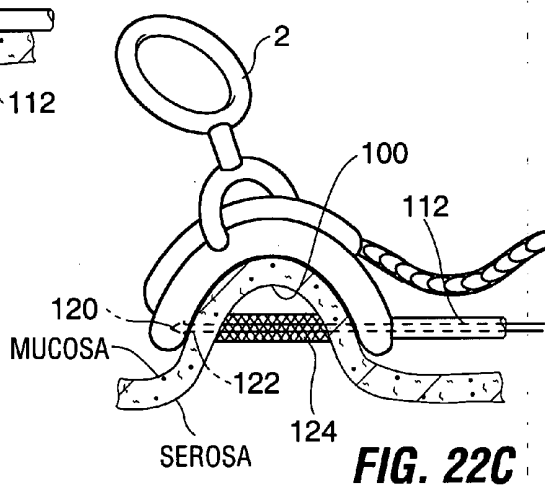
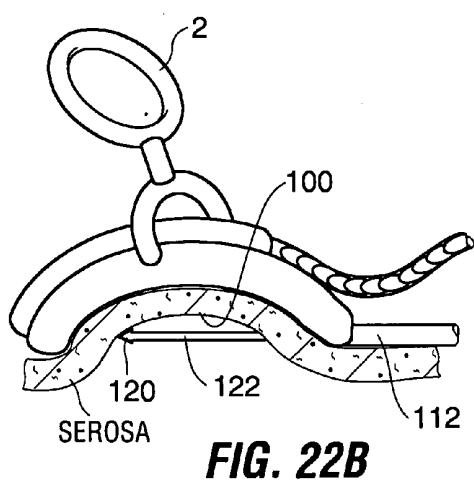
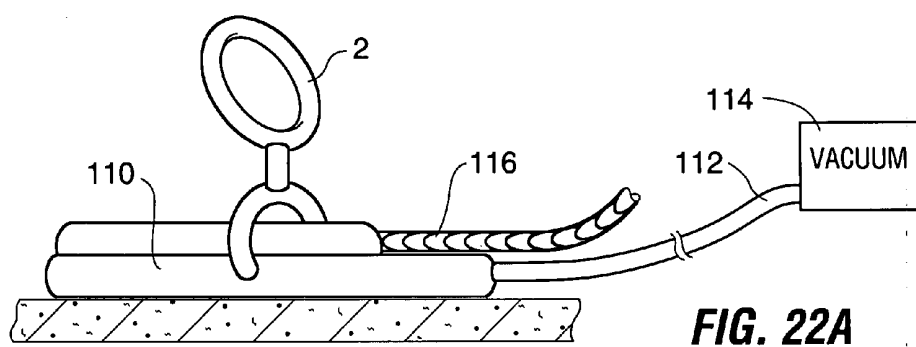
**FIG. 21A**

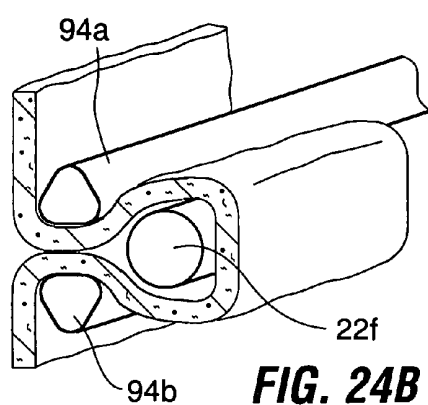
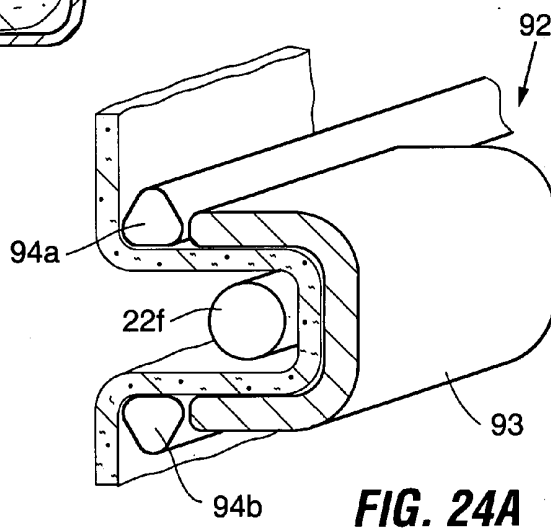
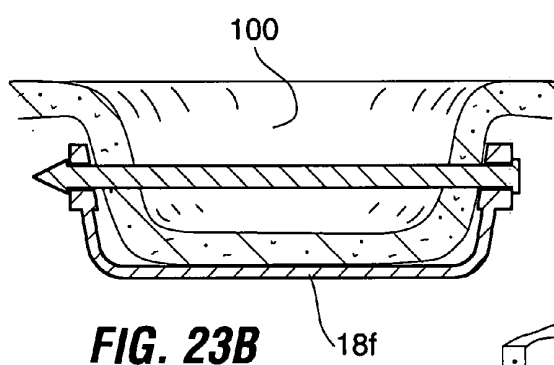
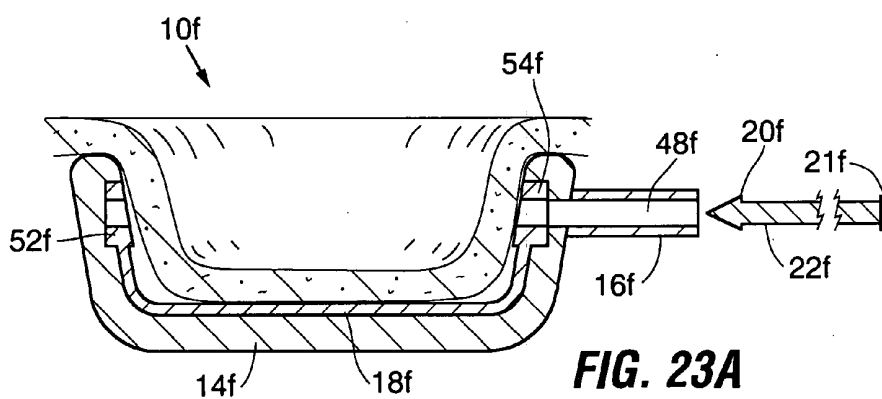


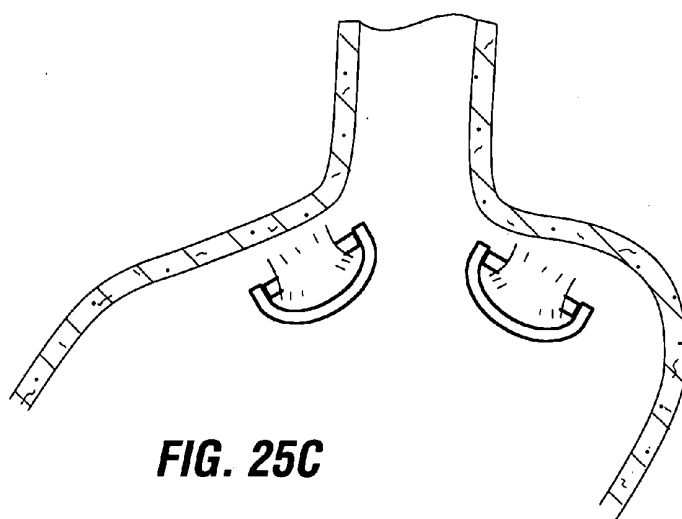
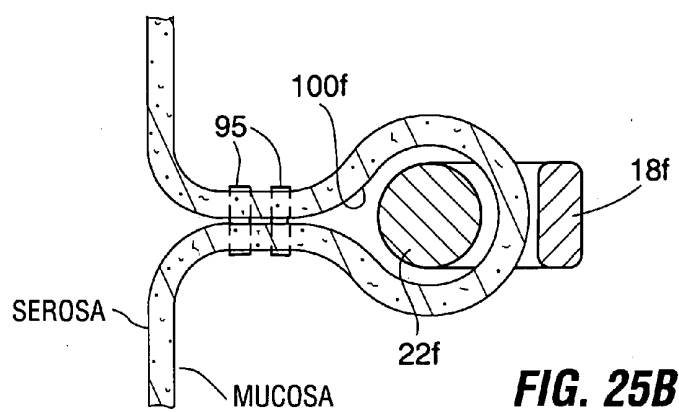
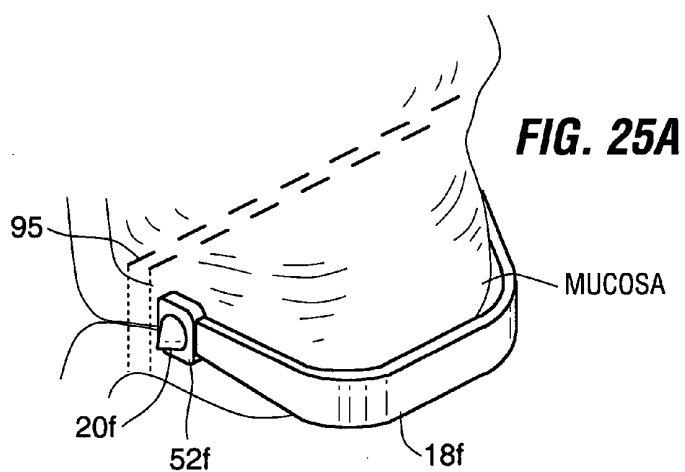
**FIG. 21B**



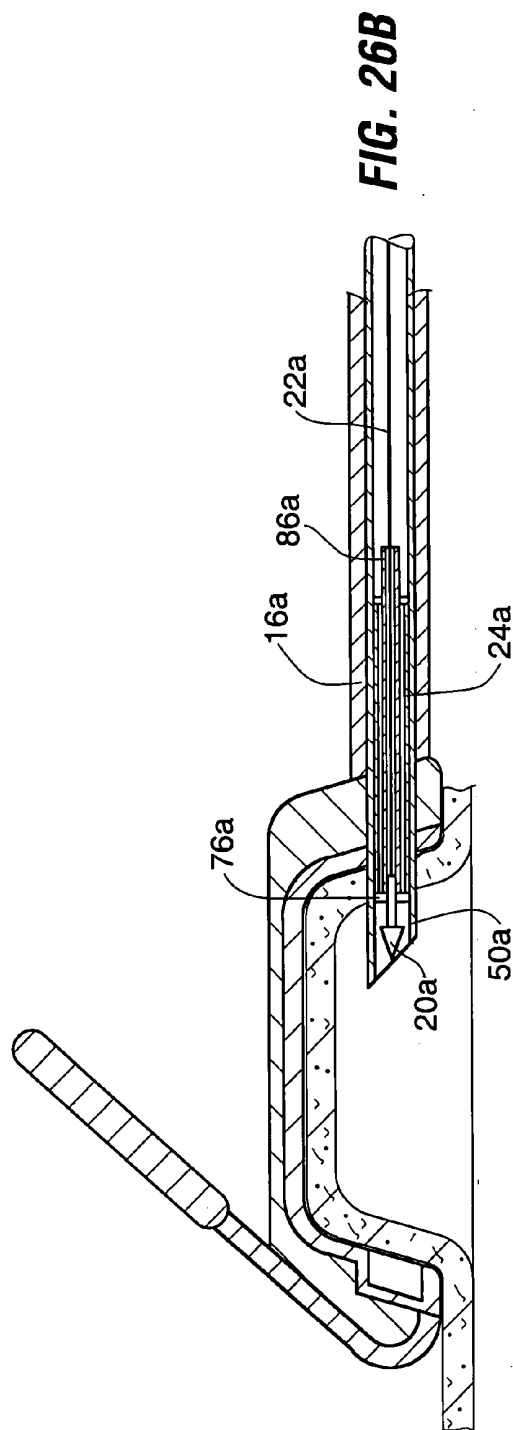
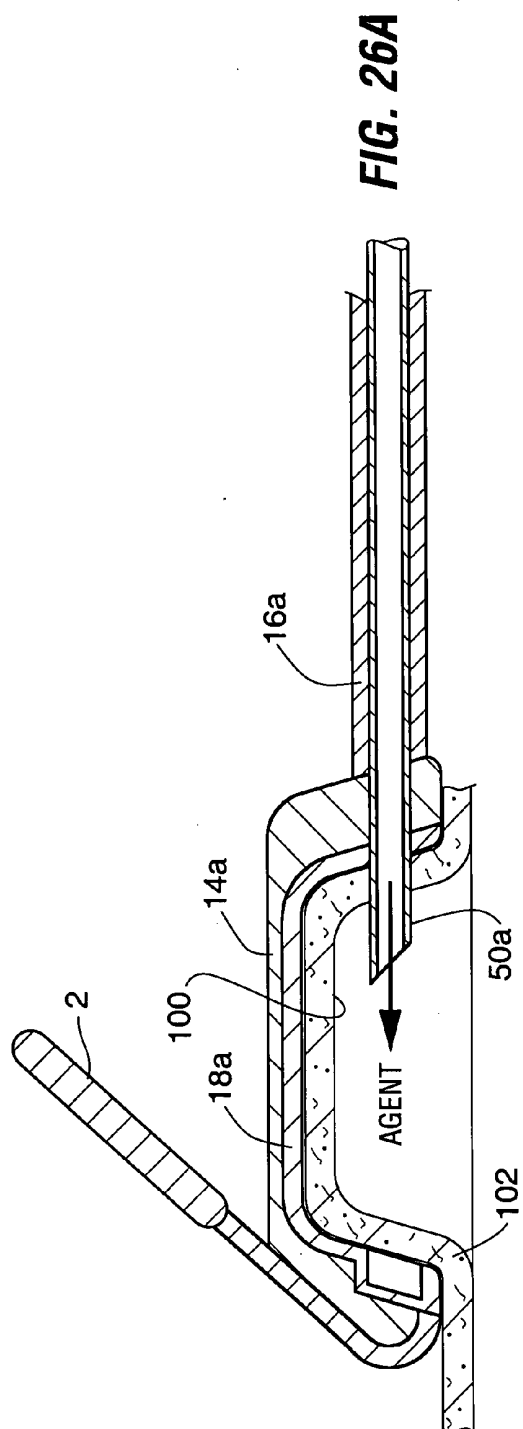
**FIG. 21C**

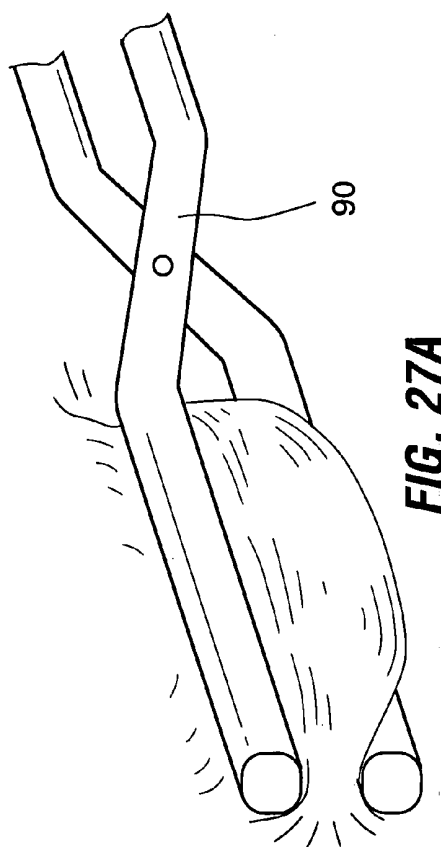
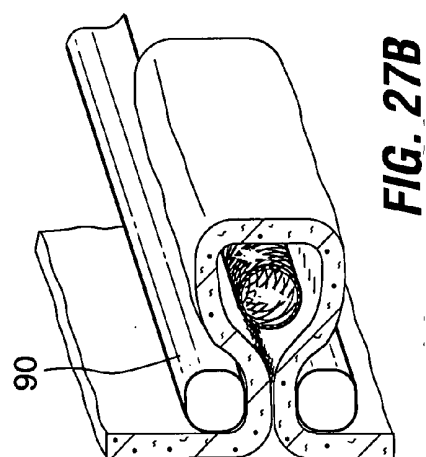
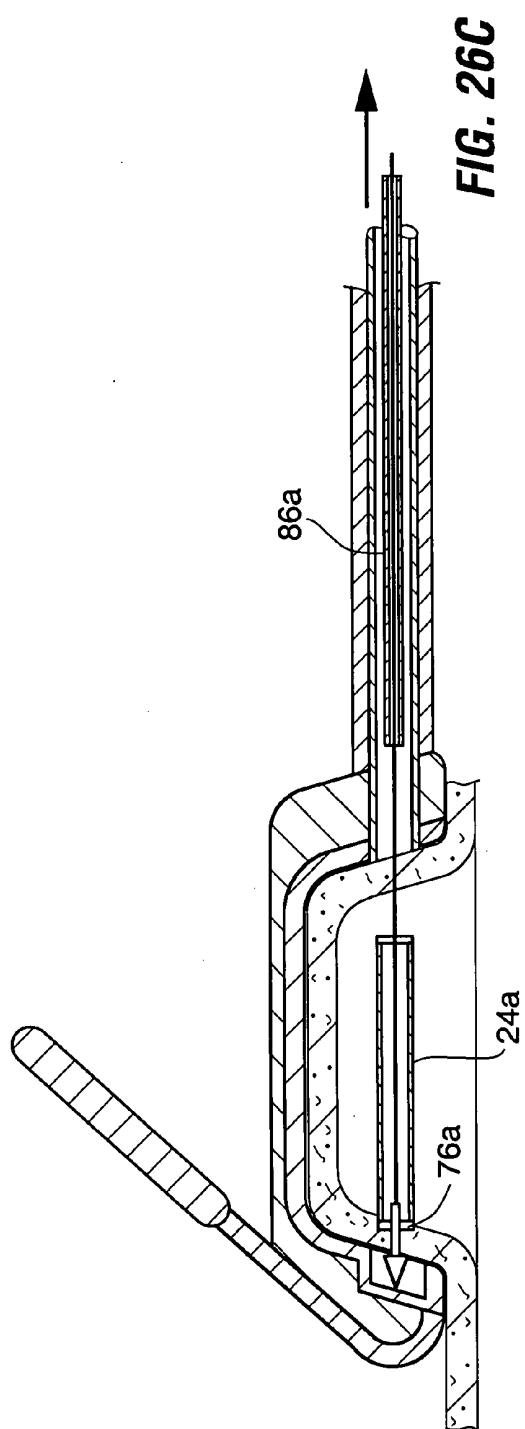


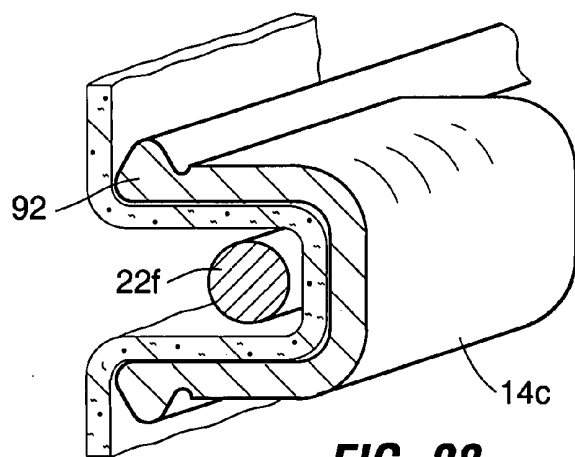




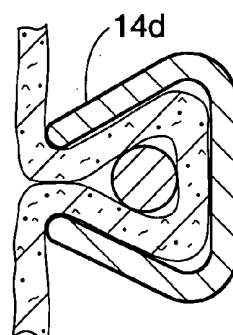




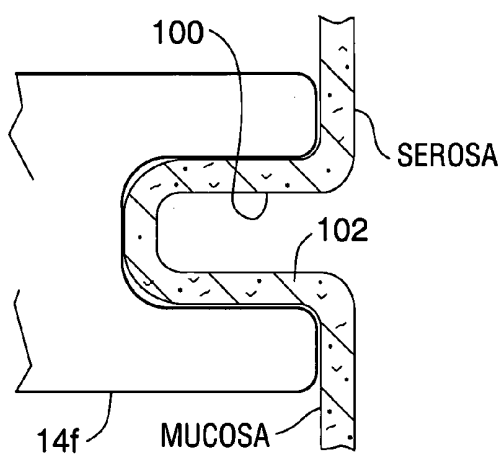




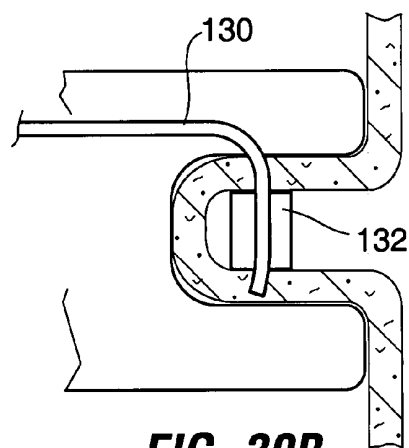
**FIG. 28**



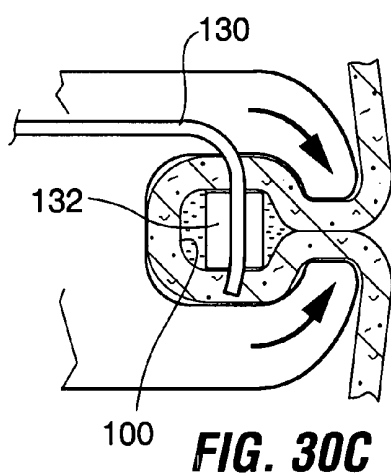
**FIG. 29**



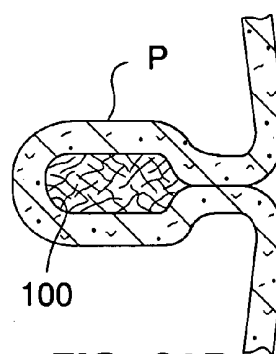
**FIG. 30A**



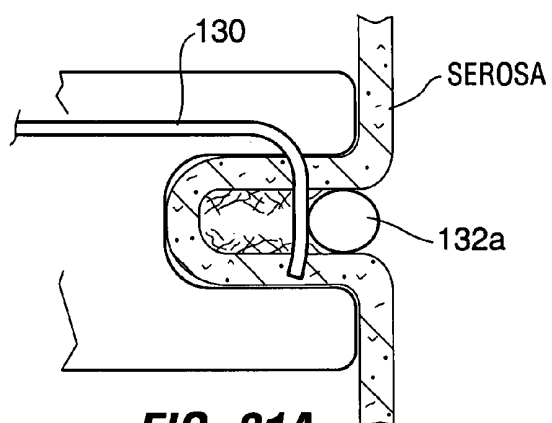
**FIG. 30B**



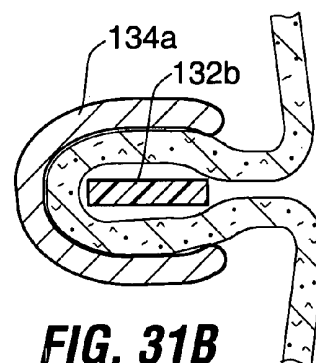
**FIG. 30C**



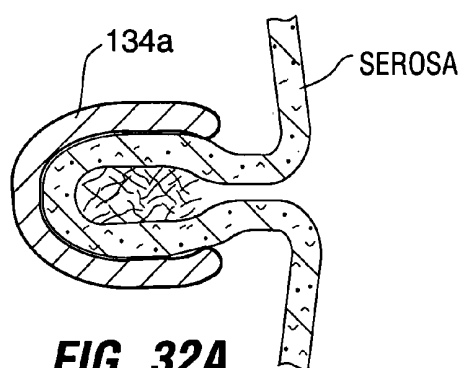
**FIG. 30D**



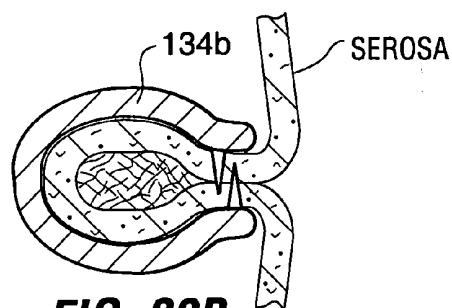
**FIG. 31A**



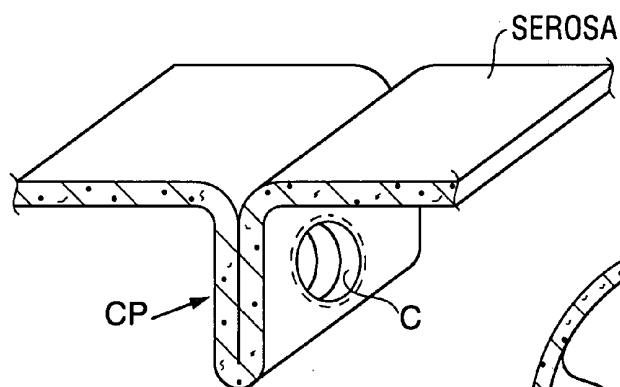
**FIG. 31B**



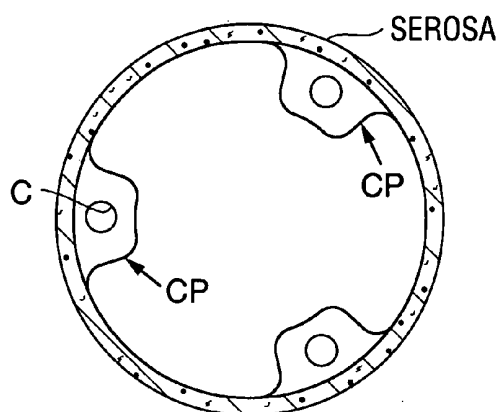
**FIG. 32A**



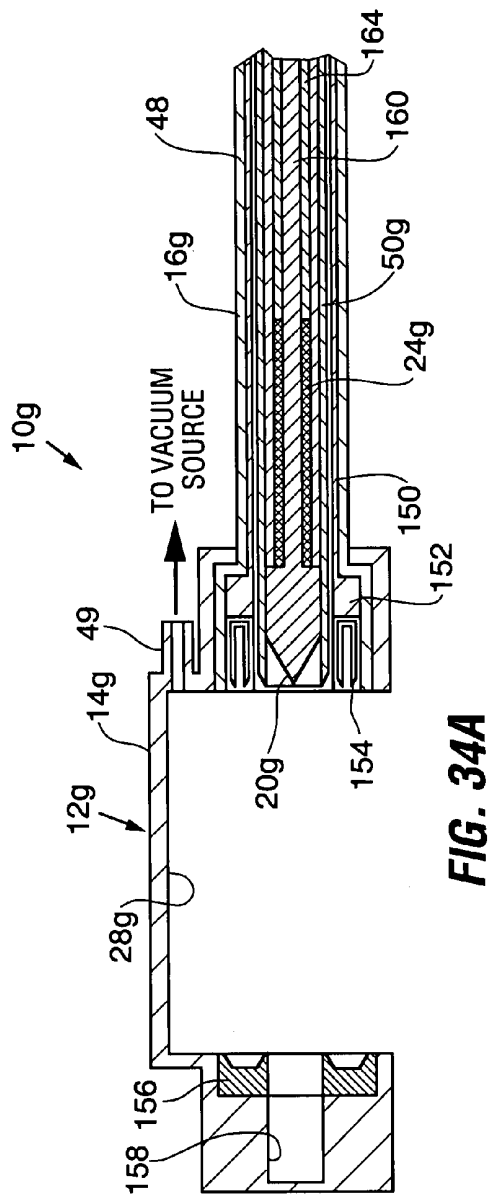
**FIG. 32B**



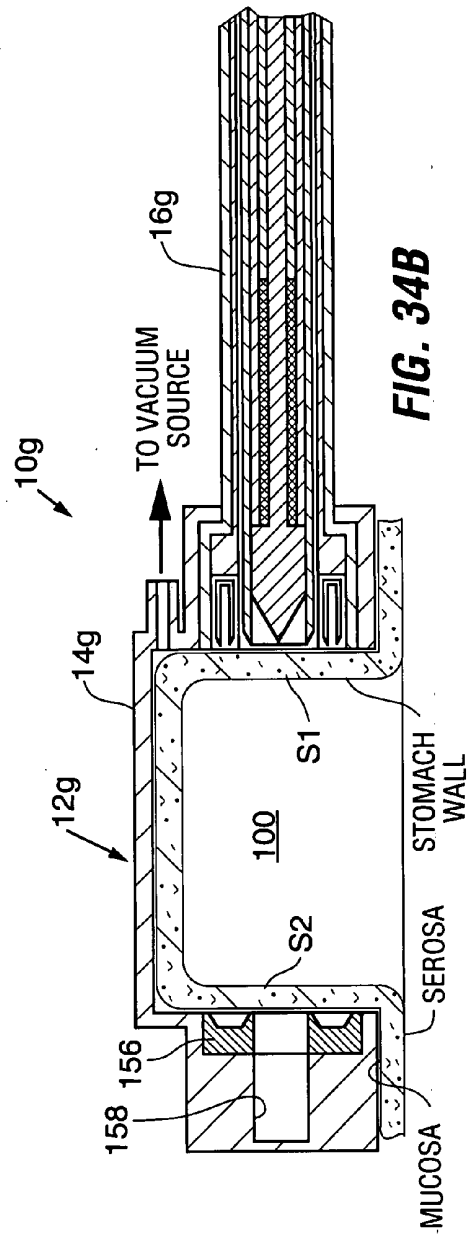
**FIG. 33A**



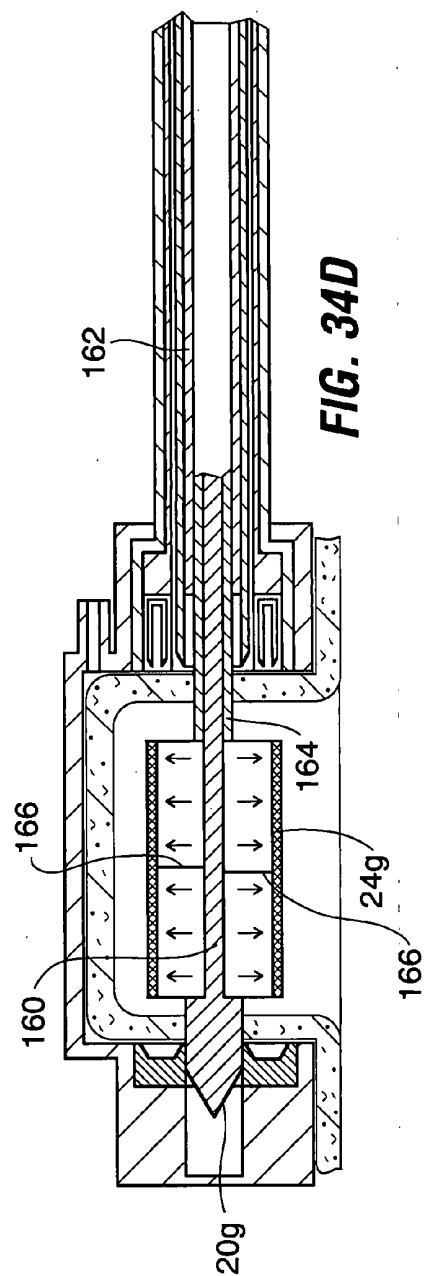
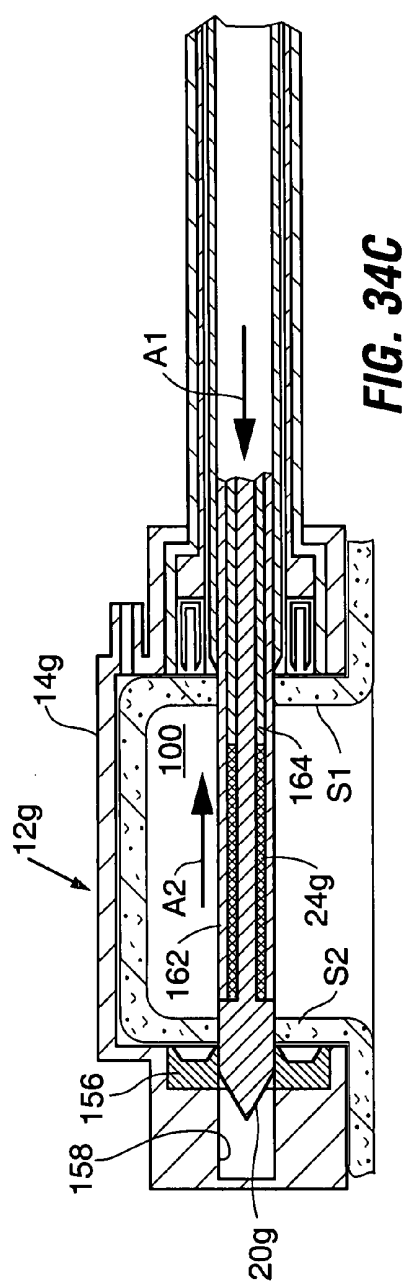
**FIG. 33B**



**FIG. 34A**



**FIG. 34B**



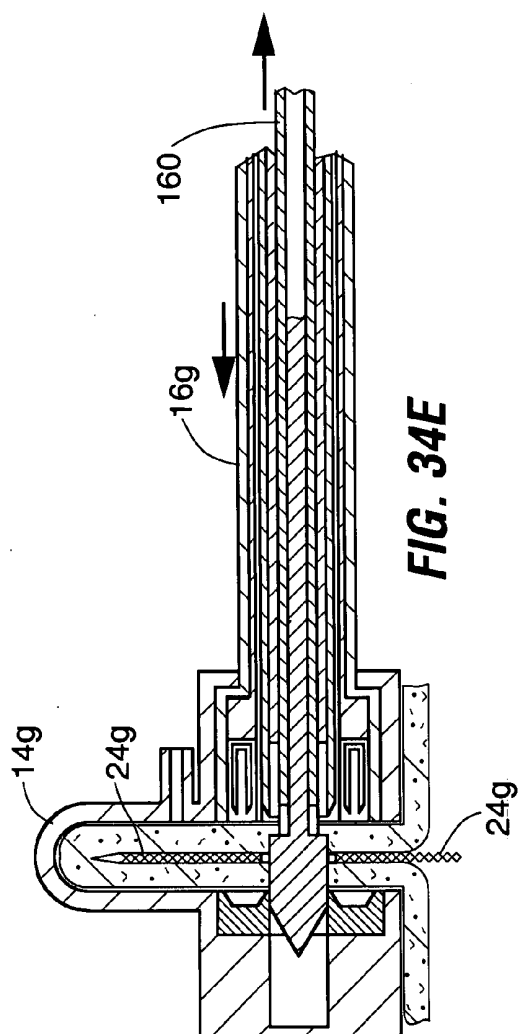


FIG. 34E

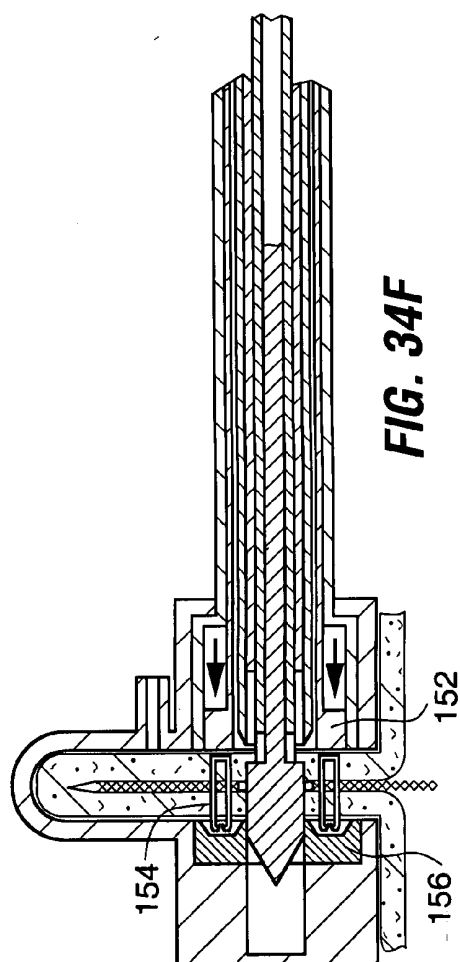
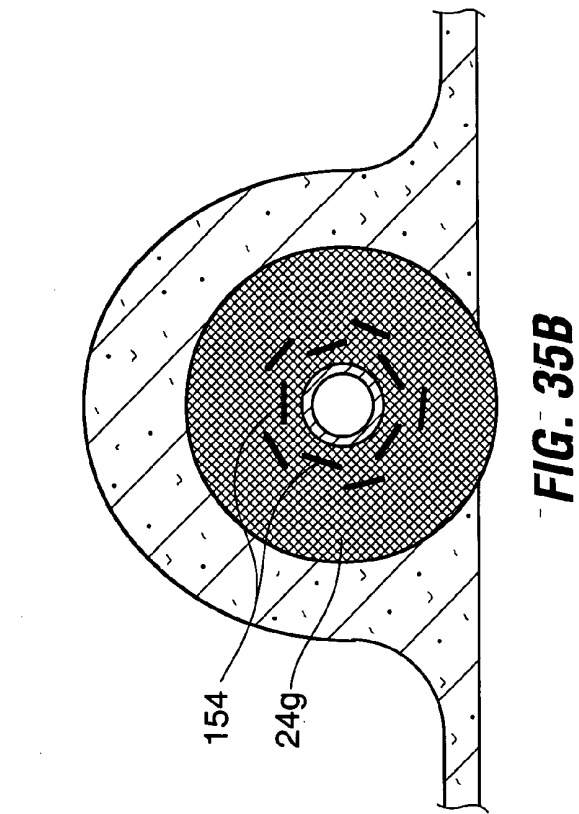
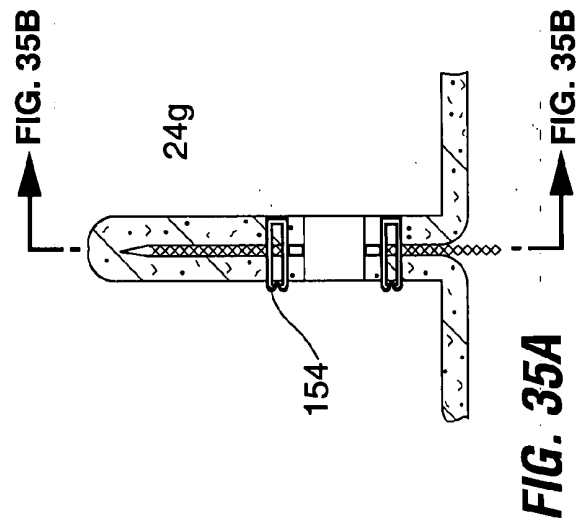
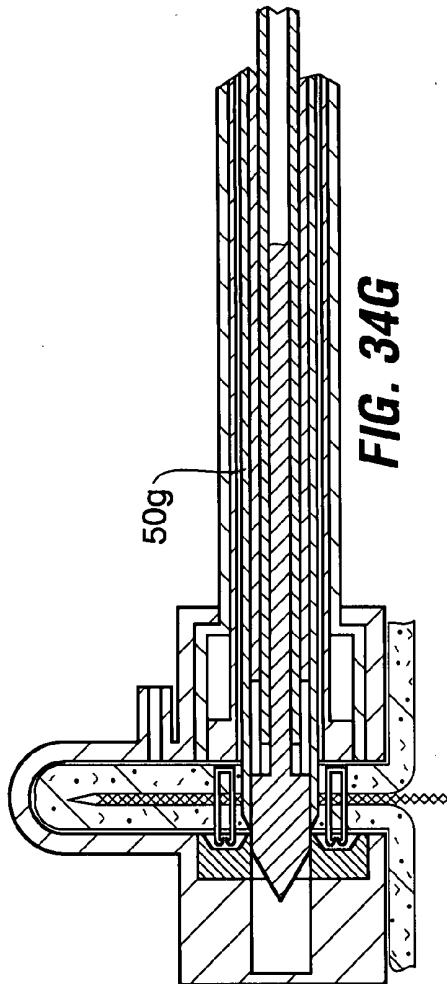
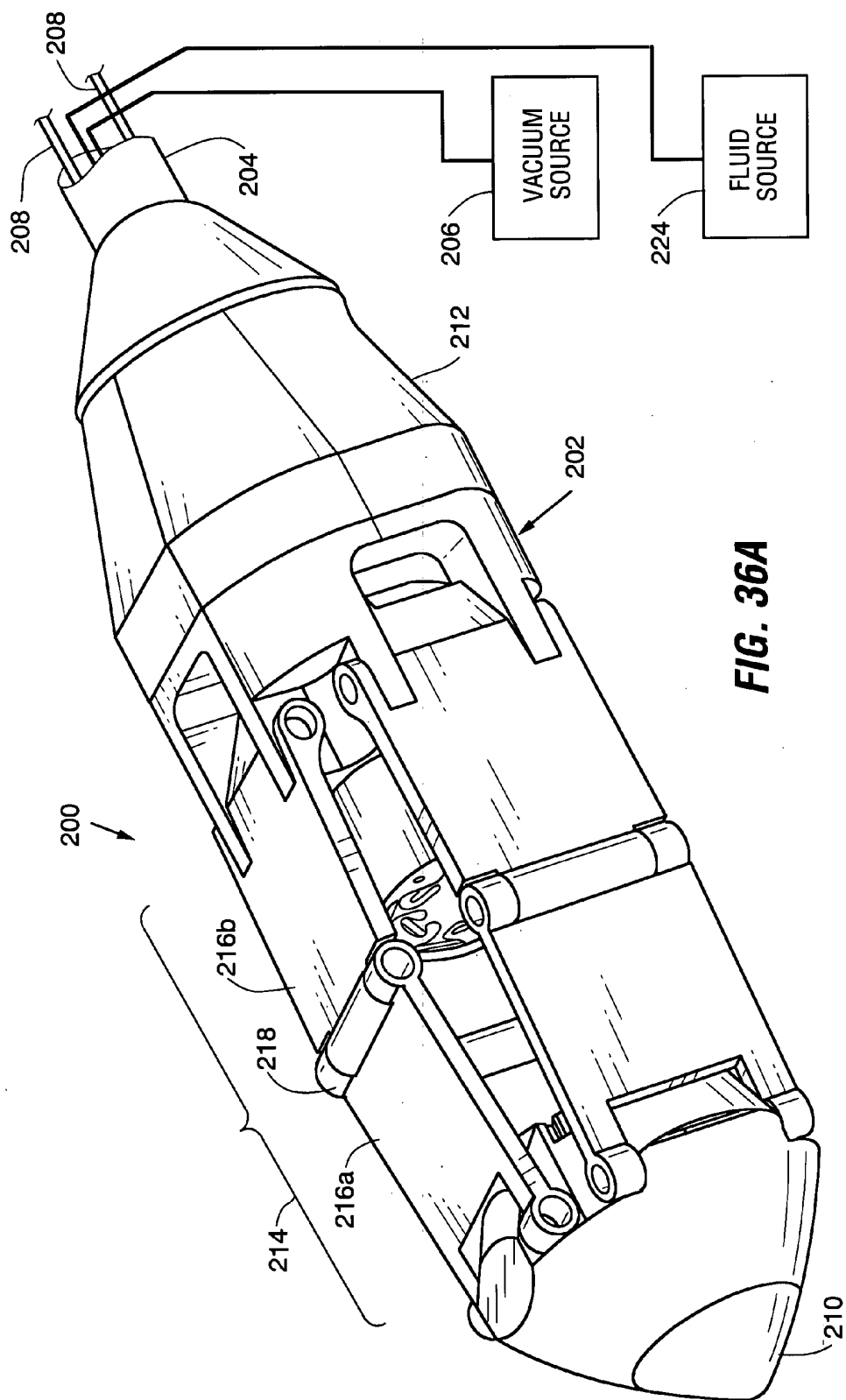
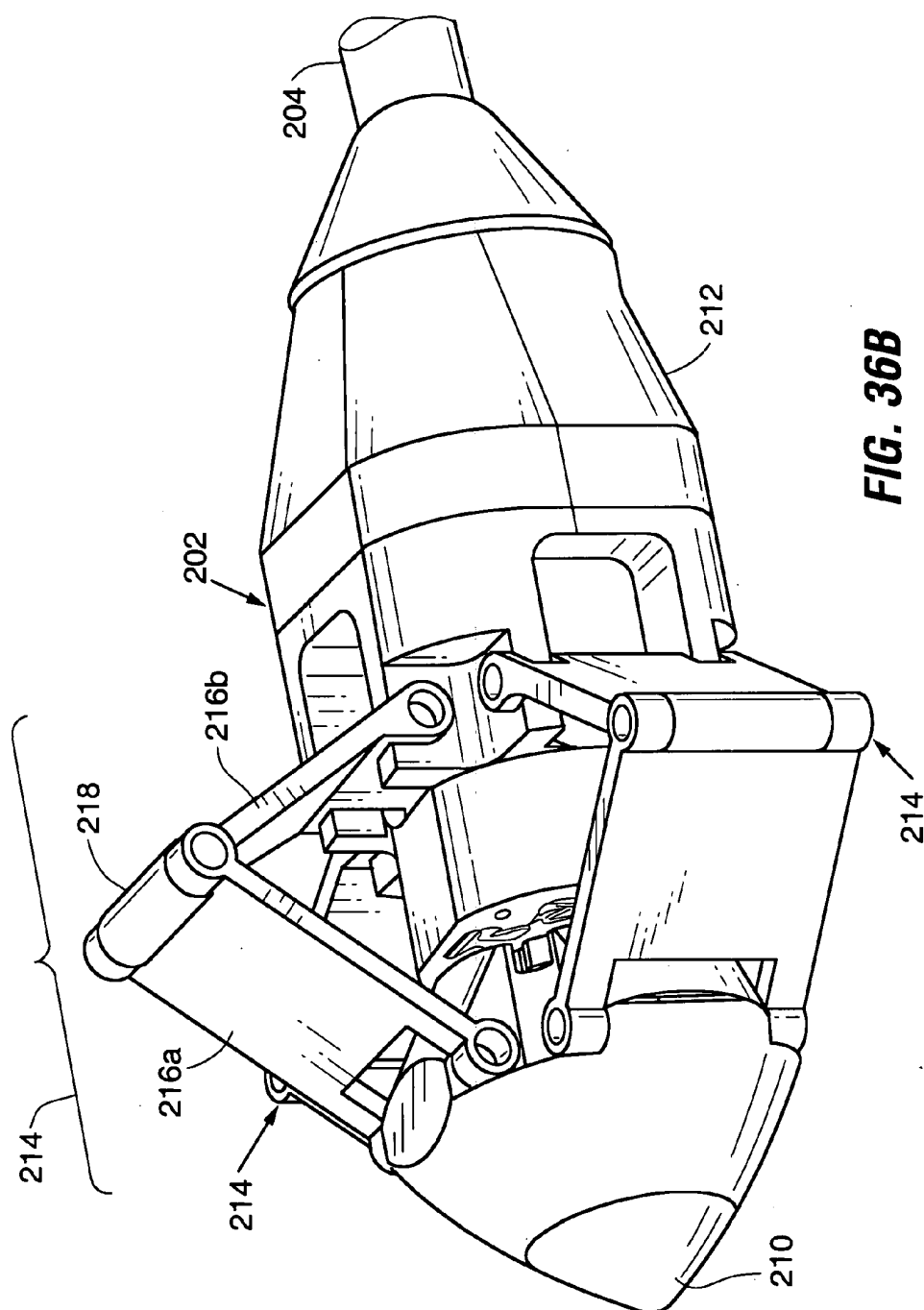


FIG. 34F

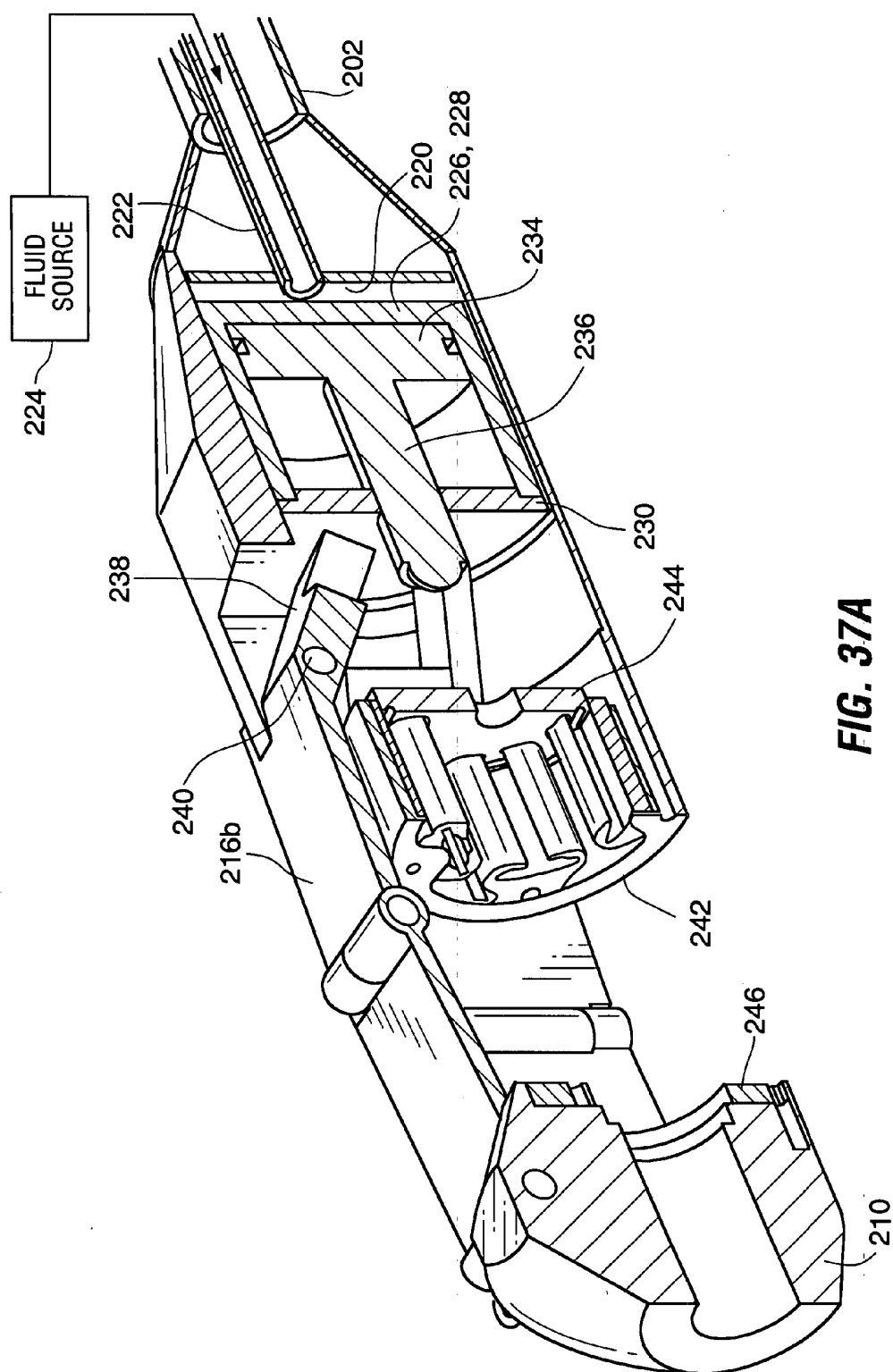




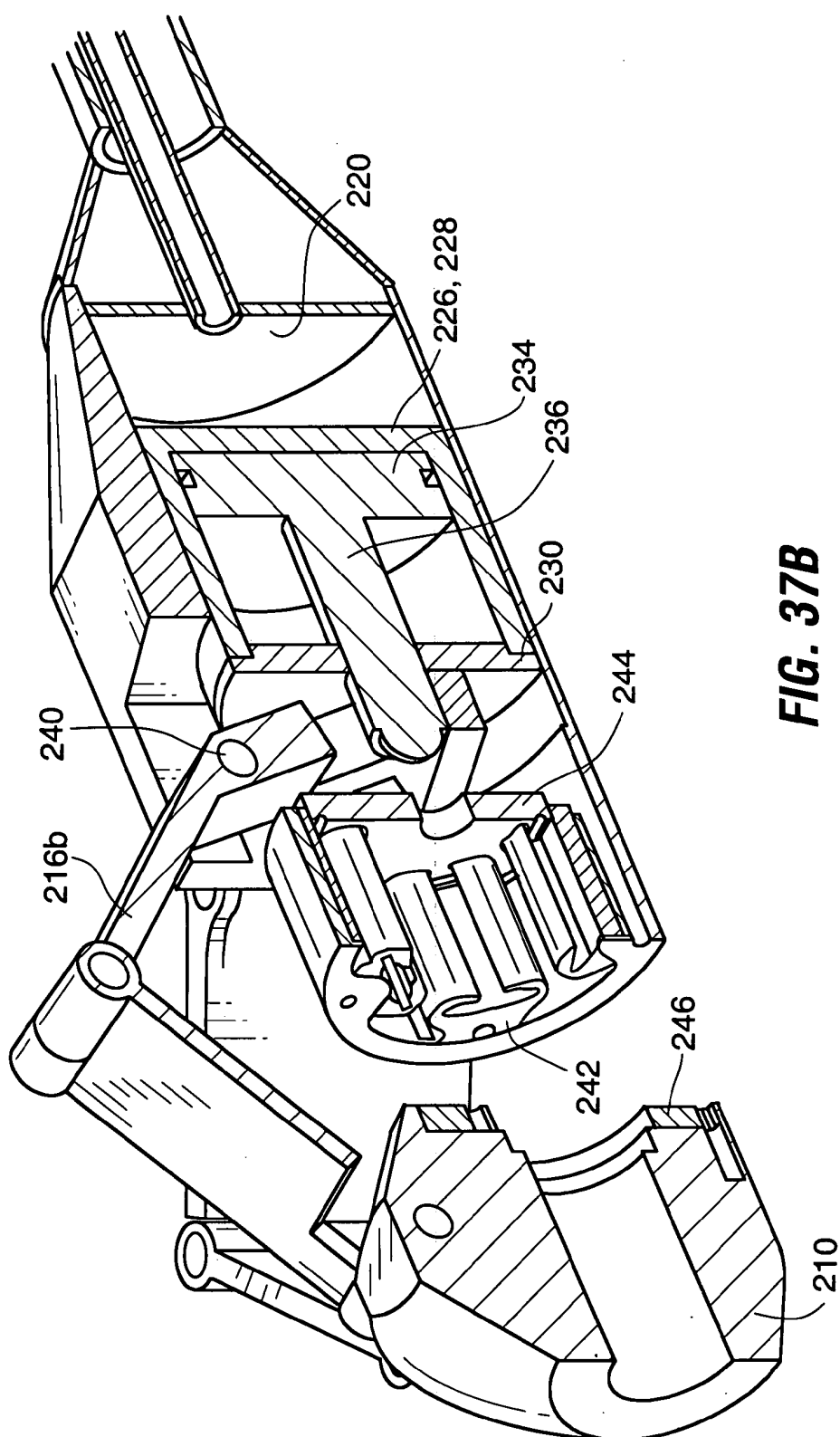




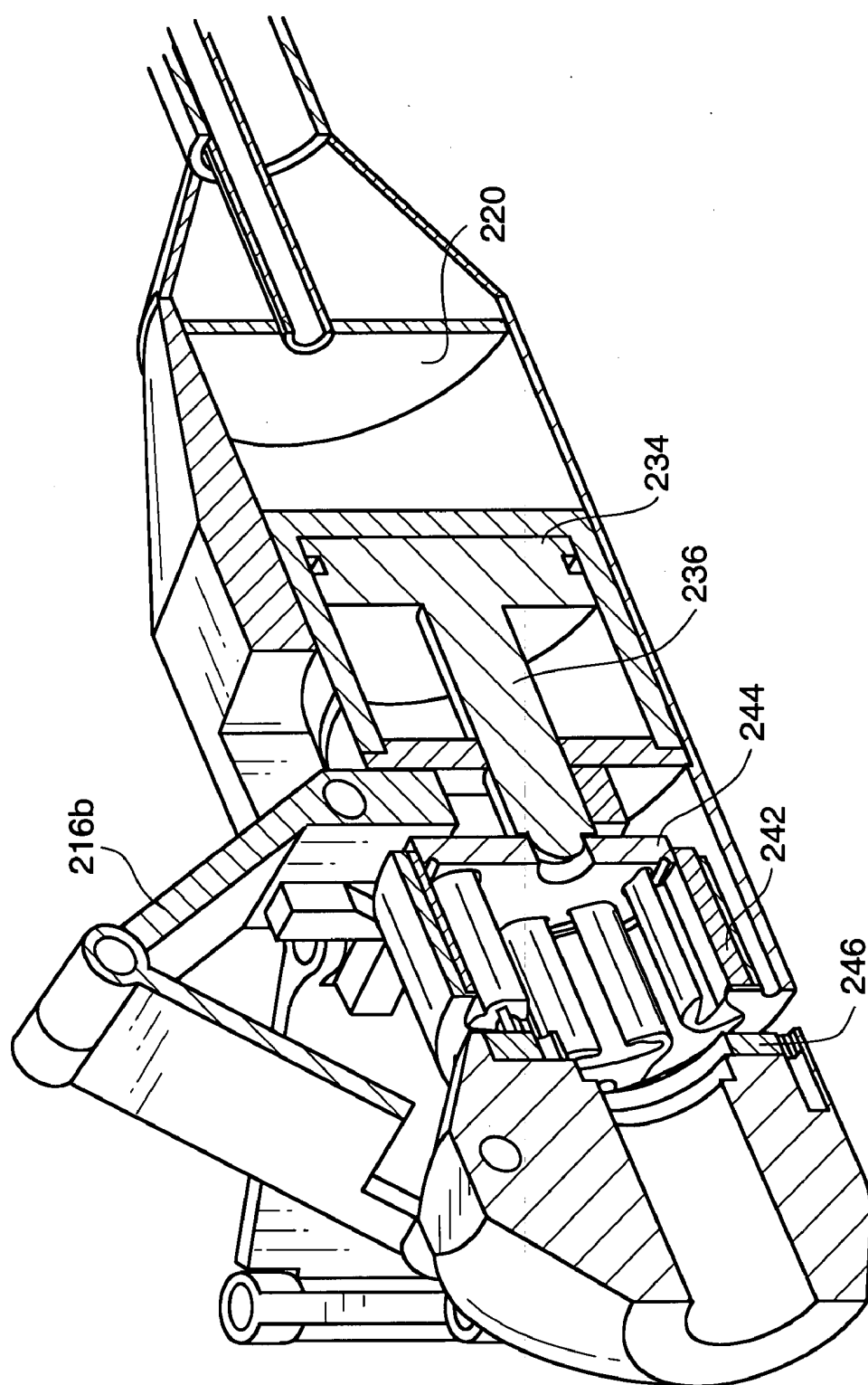
**FIG. 36B**



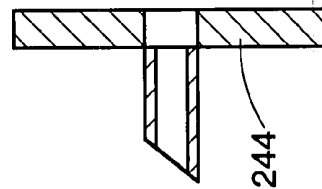
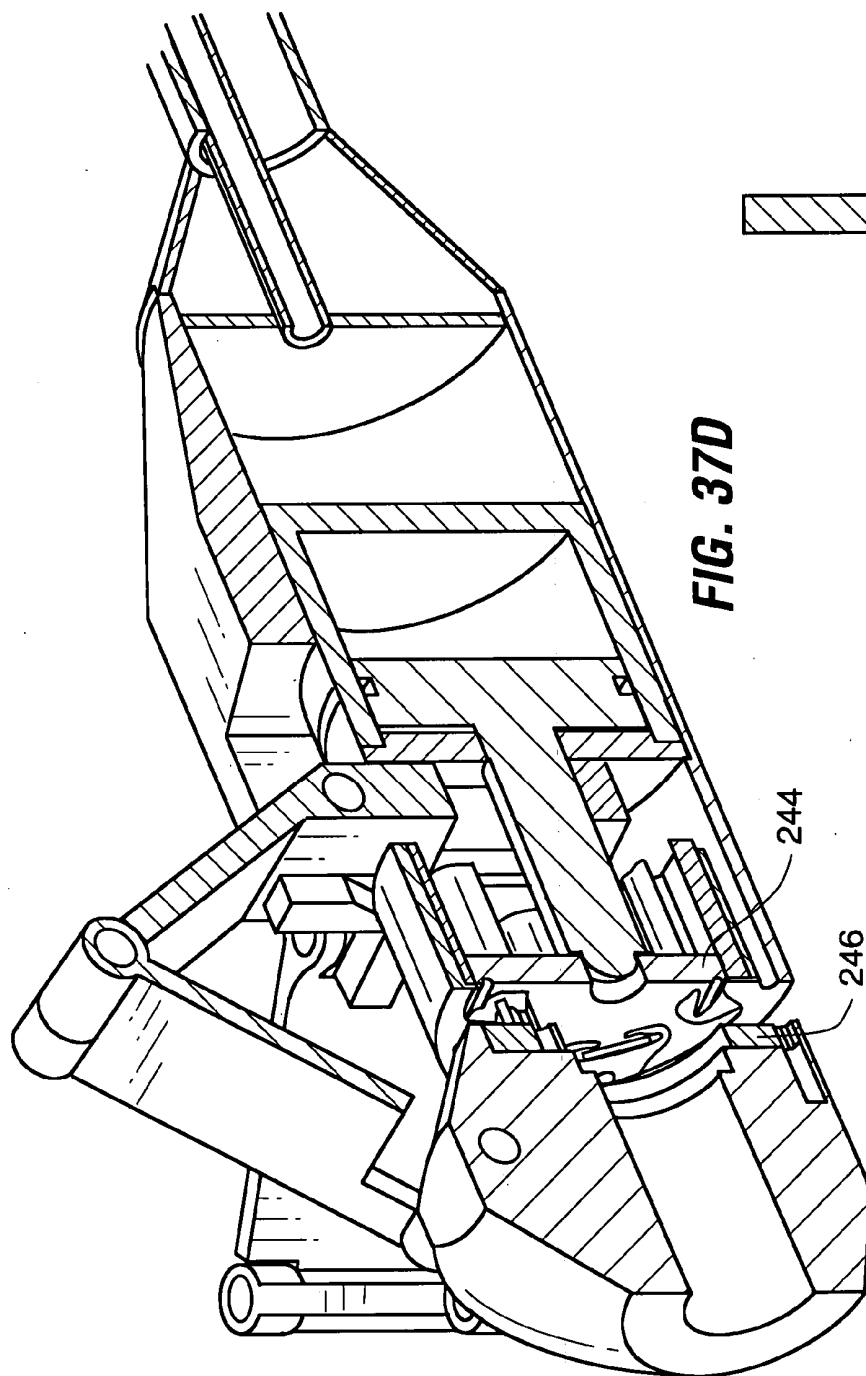
**FIG. 37A**

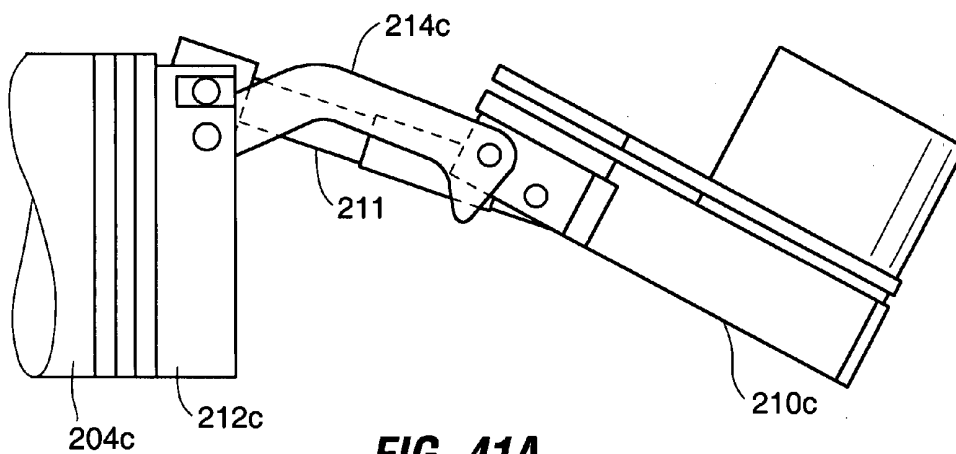
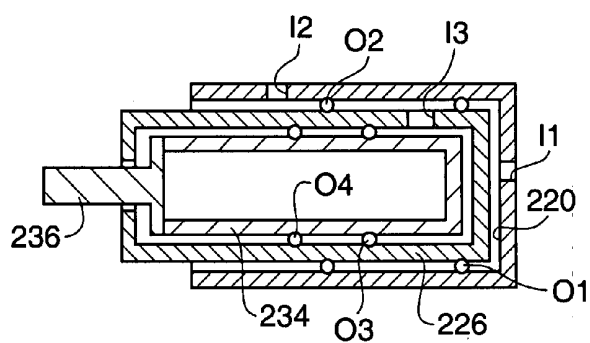
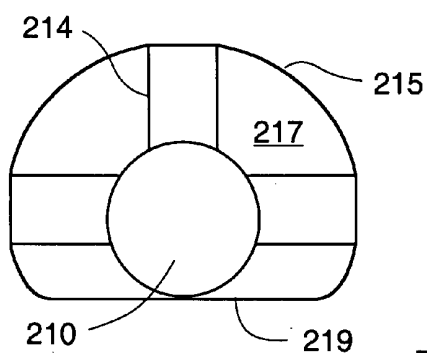
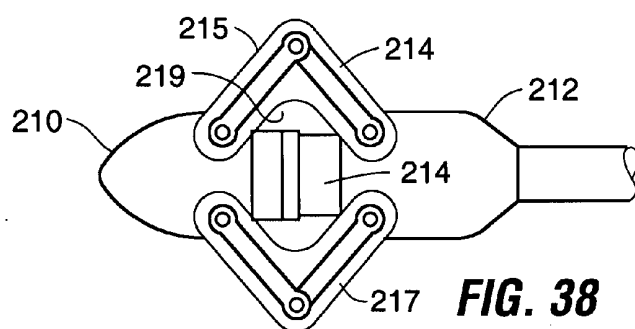


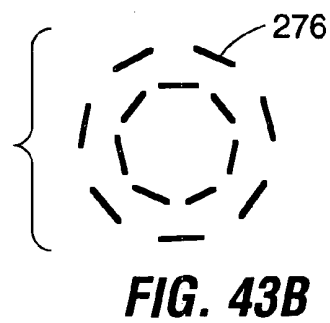
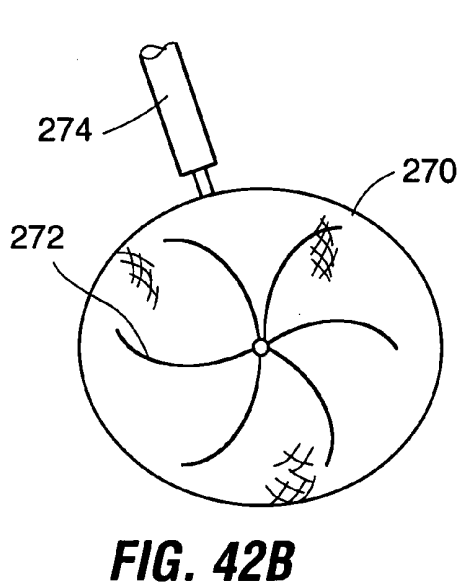
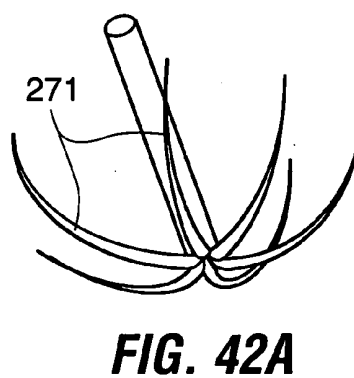
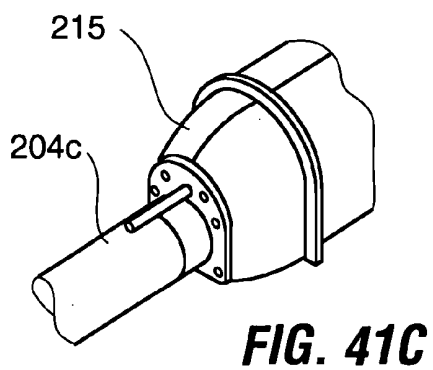
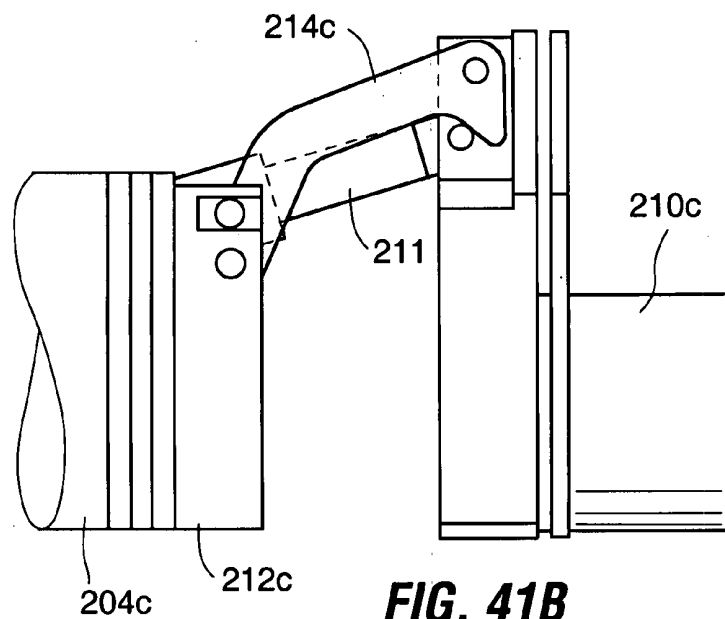
**FIG. 37B**



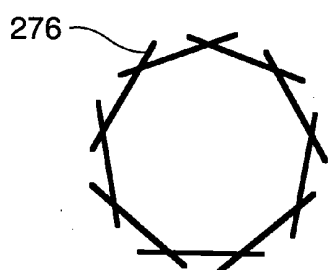
**FIG. 37C**



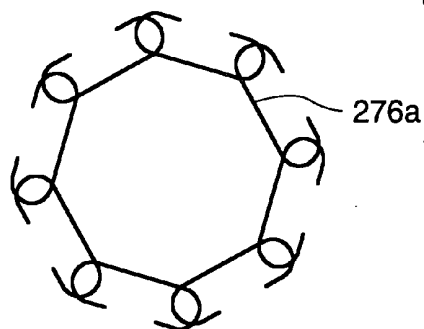








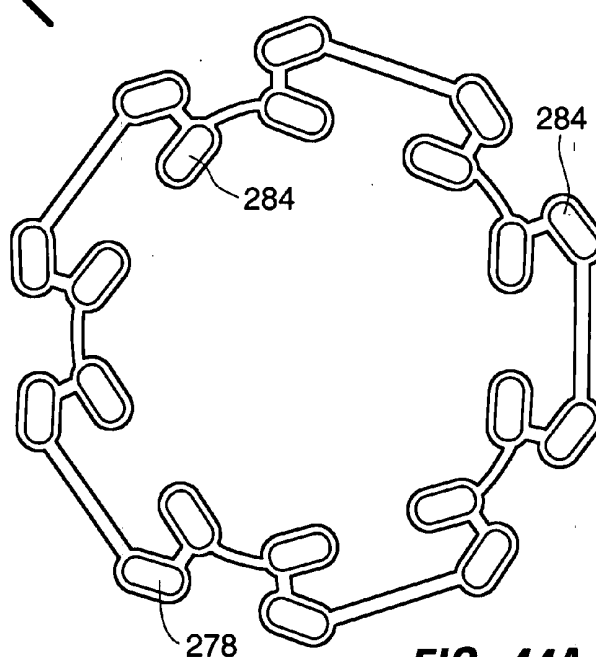
**FIG. 43C**



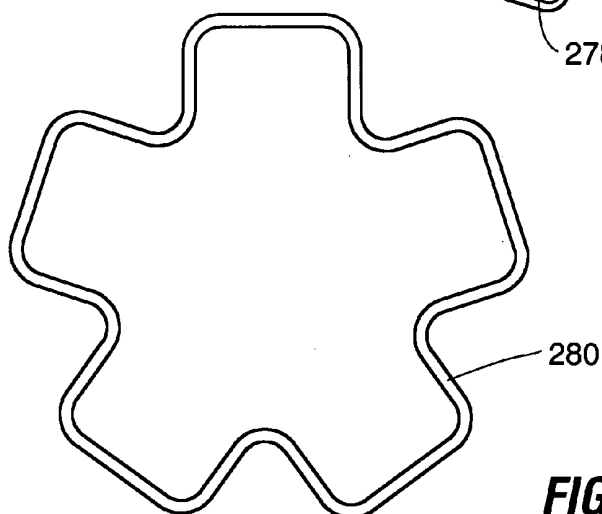
**FIG. 43D**



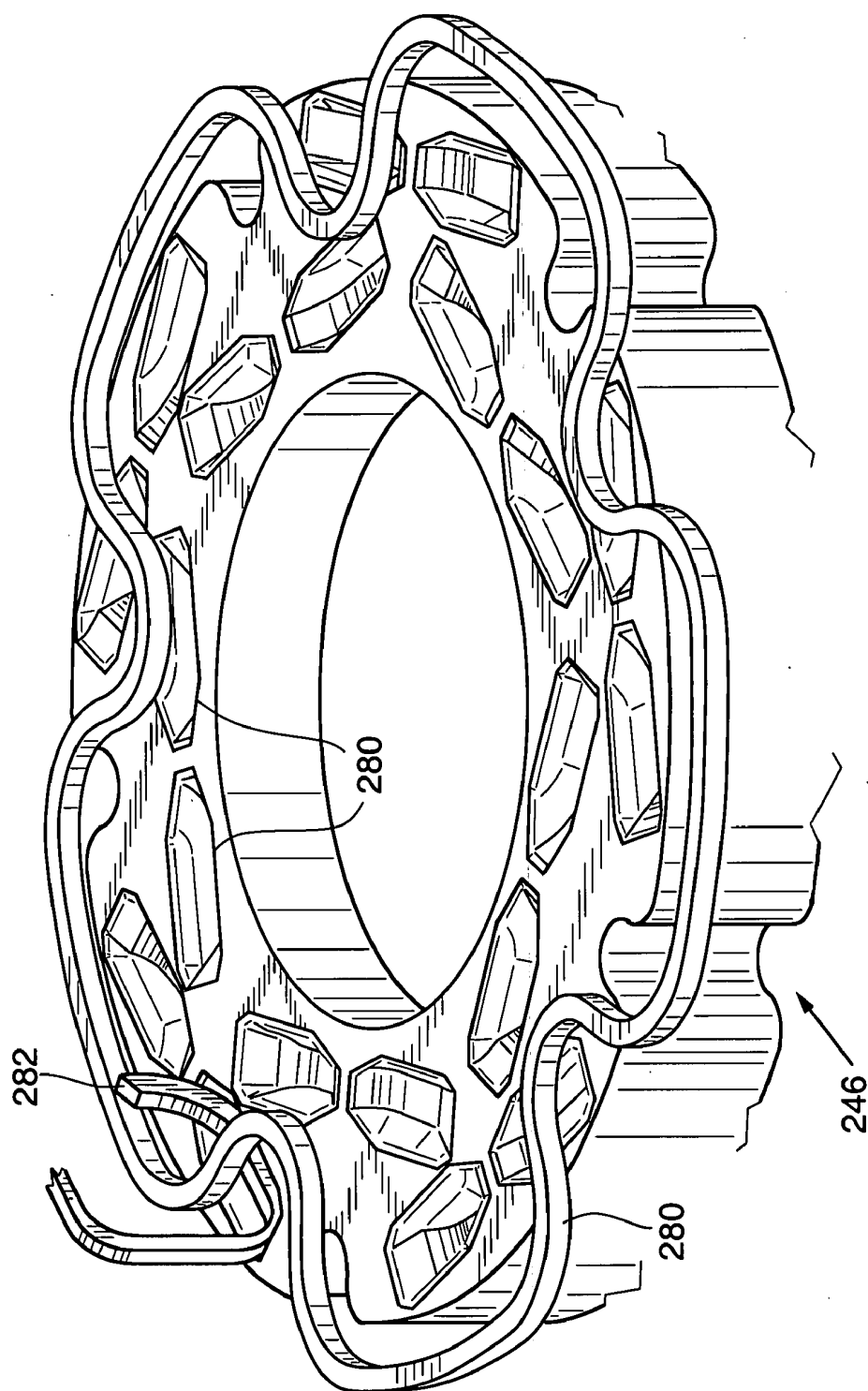
**FIG. 43E**



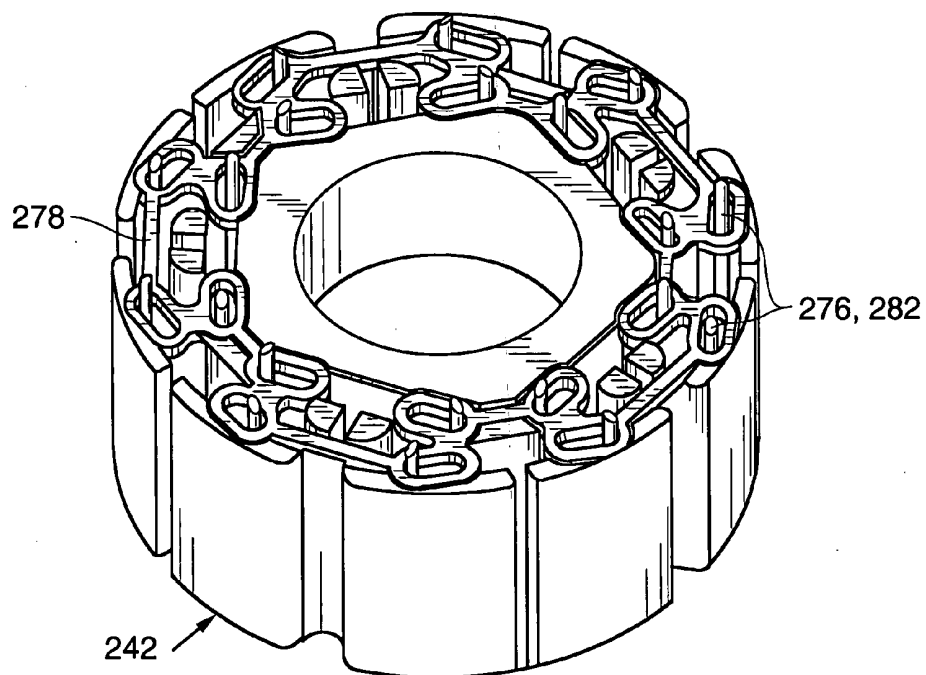
**FIG. 44A**



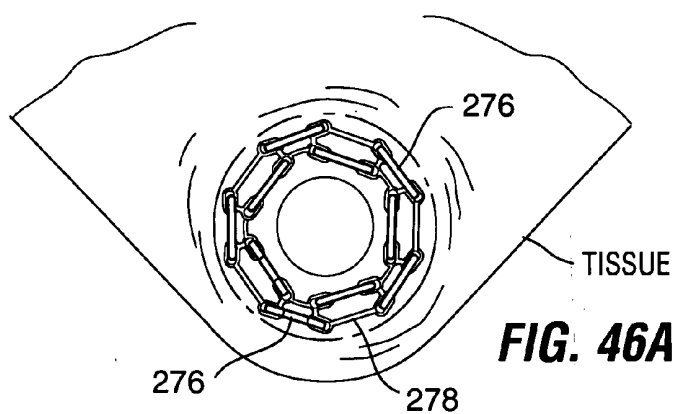
**FIG. 44B**



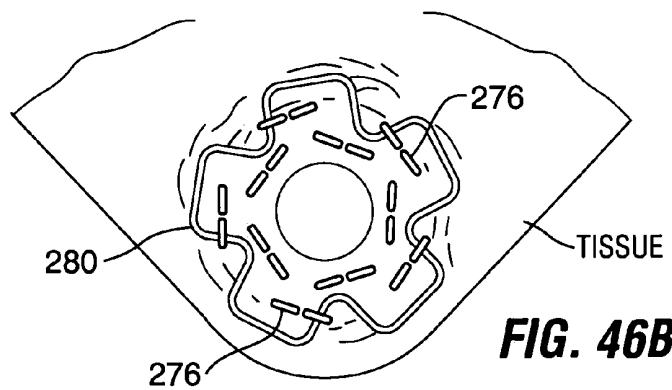
**FIG. 45A**



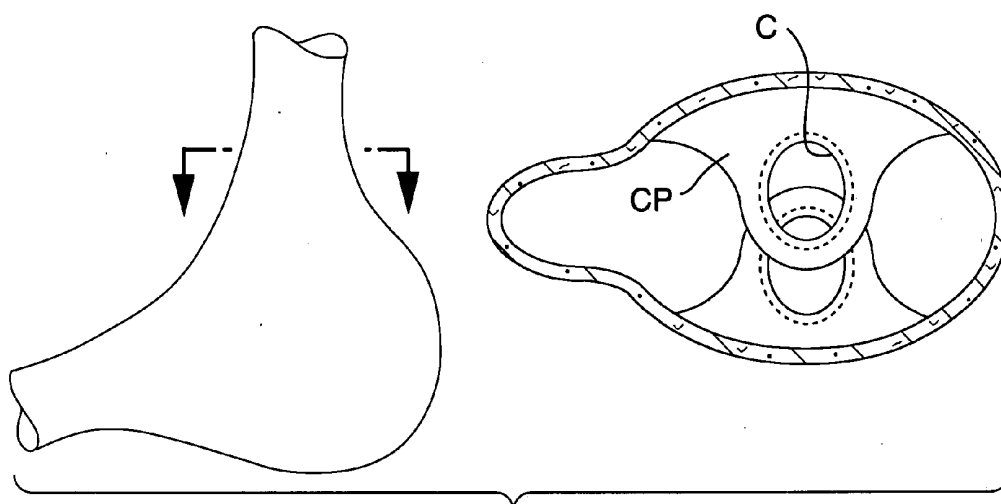
**FIG. 45B**



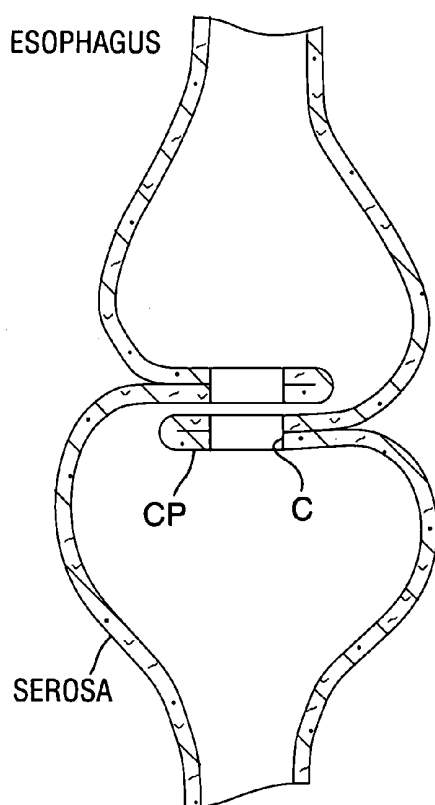
**FIG. 46A**



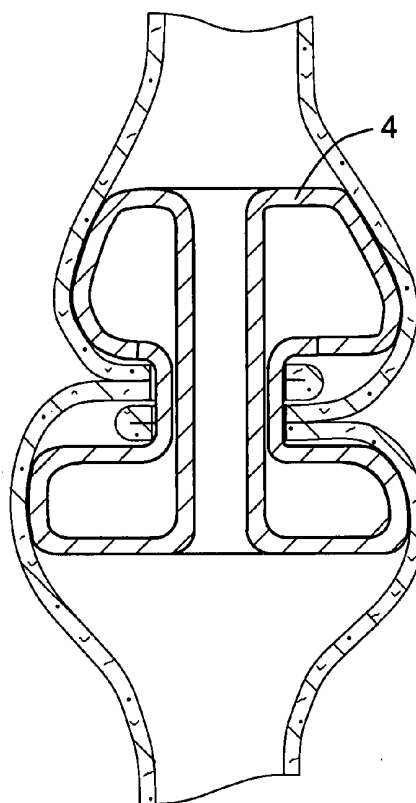
**FIG. 46B**



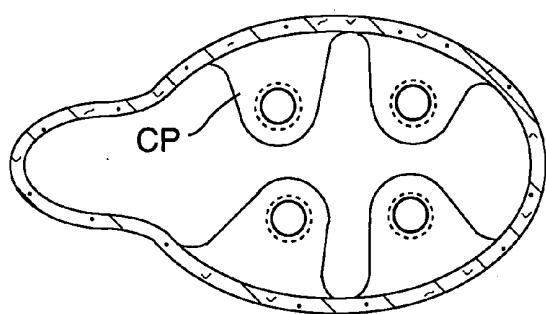
**FIG. 47A**



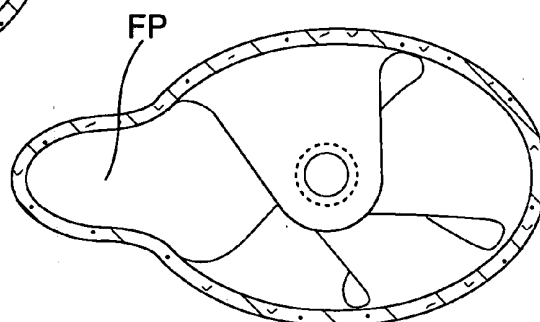
**FIG. 47B**



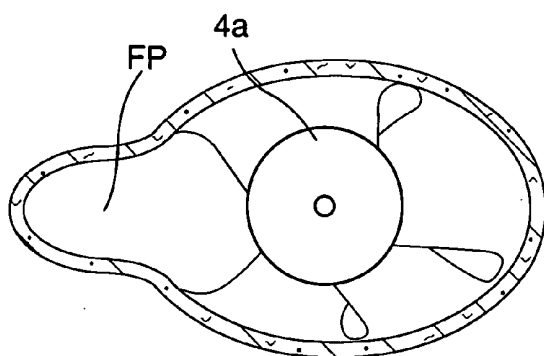
**FIG. 47C**



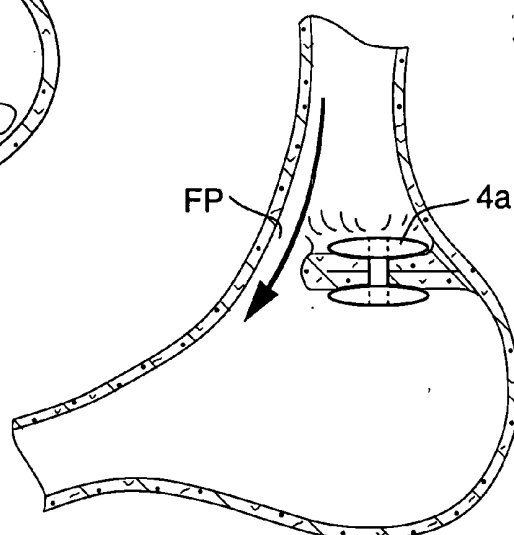
**FIG. 48A**



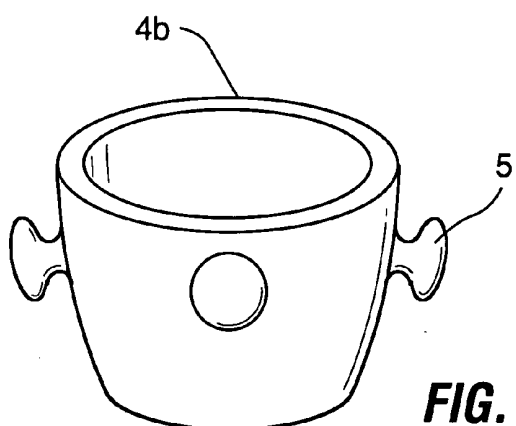
**FIG. 48B**



**FIG. 48C**



**FIG. 48D**



**FIG. 49**

## ENDOSCOPIC PLICATION DEVICES AND METHODS

### PRIORITY

[0001] This application claims the benefit of U.S. Provisional Application No. 60/723,160, filed Oct. 3, 2005; U.S. Provisional Application No. 60/754,417, filed Dec. 28, 2005; and U.S. Provisional Application No. 60/825,534, filed Sep. 13, 2006.

### FIELD OF THE INVENTION

[0002] The present invention relates generally to the field of systems and methods for performing endoscopic surgery, and specifically to systems and methods for endoscopic plication of tissue within body cavities.

### BACKGROUND OF THE INVENTION

[0003] An anatomical view of a human stomach S and associated features is shown in FIG. 1A. The esophagus E delivers food from the mouth to the proximal portion of the stomach S. The z-line or gastro-esophageal junction Z is the irregularly-shaped border between the thin tissue of the esophagus and the thicker tissue of the stomach wall. The gastro-esophageal junction region G is the region encompassing the distal portion of the esophagus E, the z-line, and the proximal portion of the stomach S.

[0004] Stomach S includes a fundus F at its proximal end and an antrum A at its distal end. Antrum A feeds into the pylorus P which attaches to the duodenum D, the proximal region of the small intestine. Within the pylorus P is a sphincter that prevents backflow of food from the duodenum D into the stomach. The middle region of the small intestine, positioned distally of the duodenum D, is the jejunum J.

[0005] FIG. 1B illustrates the tissue layers forming the stomach wall. The outermost layer is the serosal layer or "serosa" S and the innermost layer, lining the stomach interior, is the mucosal layer or "mucosa" MUC. The submucosa SM and the multi-layer muscularis M lie between the mucosa and the serosa.

[0006] Several prior applications sharing inventors with the present application, including International Application No. WO 2005/037152 having an international filing date of Oct. 8, 2004 and U.S. application Ser. No. 11/439,461, filed May 23, 2006 (both incorporated herein by reference) describe methods according to which medical implants are coupled to tissue structures formed within the stomach. According to these applications, devices for inducing weight loss (e.g. by restricting and/or obstructing flow of food into the stomach, and/or by occupying a portion of the stomach volume) may be coupled to tissue tunnels or plications P (FIG. 2) formed from stomach tissue.

[0007] For example, U.S. application Ser. No. 11/439,461 (incorporated herein by reference in its entirety), describes a restrictive and/or obstructive implant system for inducing weight loss. In one embodiment, flexible loops 2 (FIG. 3) are coupled to tissue plications P (FIG. 2) formed in the gastroesophageal junction region of the stomach. An implant, such as a flow restrictive and/or obstructive implant 4 (FIG. 4), is passed through the loops 2 and thus retained in the stomach as shown in FIG. 5.

[0008] In other instances, tissue plications may themselves be sufficient to provide the necessary treatment. For example, the plications may be used to reduce stomach volume or form a flow restriction within the stomach.

[0009] Other types of implants may be coupled to such plications or other tissue structures for a variety of purposes. These implants include, but are not limited to prosthetic valves for the treatment of gastro-esophageal reflux disease, gastric stimulators, pH monitors and drug eluting devices that release drugs, biologics or cells into the stomach or elsewhere in the GI tract. Such drug eluting devices might include those which release leptin (a hormone which creates feelings of satiety), Ghrelin (a hormone which creates feelings of hunger), octreotide (which reduces Ghrelin levels and thus reduces hunger), Insulin, chemotherapeutic agents, natural biologics (e.g. growth factor, cytokines) which aid in post surgery trauma, ulcers, lacerations etc. Still other implants might be of a type which might provide a platform to which specific cell types can adhere, grow and provide biologically-active gene products to the GI tract, and/or a platform for radiation sources that can provide a local source of radiation for therapeutic purposes, or provide a platform whereby diagnostic ligands are immobilized and used to sample the GI tract for evidence of specific normal or pathological conditions, or provide an anchor point for imaging the GI tract via cameras and other image collecting devices.

[0010] The prior applications listed above, address the desirability of forming tissue plications, pockets or tunnels in a way that regions of serosal tissue (i.e. the tissue on the exterior surface of the stomach) are retained in contact with one another. Over time, adhesions formed between the opposed serosal layers create strong bonds that can facilitate retention of the plication/pocket/tissue over extended durations, despite the forces imparted on them by stomach movement and implanted devices. More durable plications can be created by placing any of a number of materials and/or substances (i.e. injectable sclerosing agents) between the serosal surfaces prior to plicating the serosal surfaces together. One example of material suitable for this purpose is polypropylene mesh, commonly used for hernia repair, which when inserted in the plication fold provides a durable anchoring position within the GI tract.

[0011] Regardless of the application for which a plication is being formed, it is highly desirable to form that plication using steps carried out from within the stomach using instruments passed down the esophagus, rather than using more invasive surgical or laparoscopic methods. The present application describes endoscopic plicators which may be passed transorally into the stomach and used to form serosal-to-serosal plications in a stomach wall.

### BRIEF DESCRIPTION OF THE DRAWINGS

[0012] FIG. 1A is a schematic illustration of a human stomach and a portion of the small intestine.

[0013] FIG. 1B is a cross-sectional perspective view of a portion of a stomach wall, illustrating the layers of tissue forming the wall.

[0014] FIG. 2 schematically illustrates a serosal tissue plication formed in stomach tissue.

[0015] FIG. 3 schematically illustrates a pair of loops attached to serosal tissue plications, prior to the positioning of a medical implant within the loops.

[0016] FIG. 4 is a cross-sectional side elevation view of a satiation implant.

[0017] FIG. 5 schematically illustrates the satiation implant of FIG. 4 coupled to the loops of FIG. 3.

[0018] FIG. 6 is a perspective view of an endoscopic plication system.

[0019] FIG. 7 is a perspective view of the vacuum head of the system of FIG. 6.

[0020] FIG. 8A is a side elevation view of the cannula of the system of FIG. 6.

[0021] FIG. 8B is a perspective view of the distal end of the cannula of FIG. 8A.

[0022] FIG. 9 is a cross-sectional side view of an anchor of the system of FIG. 6.

[0023] FIG. 10 is a plan view of the catch of the anchor of FIG. 9.

[0024] FIG. 11A is a plan view of the spring element of the anchor of FIG. 9.

[0025] FIG. 11B is a perspective view of the spring element of FIG. 11A, showing the spring tabs in the opened position.

[0026] FIG. 12 is a perspective view of the vacuum head of FIG. 7, showing the anchor of FIG. 9 positioned within the vacuum head.

[0027] FIG. 13 is a perspective view of the anchor of FIG. 9, which includes a loop of the type shown in FIG. 3.

[0028] FIG. 14A is a perspective view of the mesh tube of the system of FIG. 6.

[0029] FIG. 14B is cross-sectional perspective view showing the mesh tube of FIG. 14A in the compressed orientation.

[0030] FIG. 15A is a side elevation view showing the tip, cable, mesh tube, and sheath of FIG. 6 following assembly.

[0031] FIG. 15B is a side elevation view of the plicator of FIG. 6 assembled for use.

[0032] FIG. 16A schematically illustrates introduction of the assembled plicator and an endoscope into the stomach.

[0033] FIG. 16B schematically illustrates creation of a tissue pocket using the plicator of FIG. 16A.

[0034] FIG. 17A is a perspective view of a barbed stabilizing cuff.

[0035] FIG. 17B is a perspective view similar to FIG. 16B, showing use of the barbed stabilizing cuff of FIG. 17A to stabilize tissue within the pocket.

[0036] FIG. 18A schematically illustrates positioning of the tip element and mesh tube following their deployment.

[0037] FIG. 18B schematically illustrates the stomach exterior surface following deployment of the tip and anchor.

[0038] FIG. 19A illustrates compression of the anchor using the plicator and cable.

[0039] FIG. 19B shows the final anchor and mesh position following removal of the plicator and endoscope.

[0040] FIG. 20 illustrates an alternative method for compressing the anchor.

[0041] FIGS. 21A through 21C are perspective views illustrating an alternative vacuum chamber in which the vacuum chamber also forms the implantable anchor.

[0042] FIGS. 22A through 22D are a sequence of steps illustrating an alternative method using a vacuum paddle that additionally functions as an implantable anchor.

[0043] FIGS. 23A through 23B and 24A through 24B are a sequence of steps illustrating an alternative method which forms a serosal tunnel and positions a leg of an anchor within the serosal tunnel. FIGS. 23A and 23B are cross-sectional side views. FIGS. 24A and 24B are perspective views taken from within the stomach.

[0044] FIG. 25A is a perspective view taken from within the stomach illustrating the serosal tunnel formed during the sequence of steps illustrated in FIGS. 23A through 24B.

[0045] FIG. 25B is a cross-section view of the serosal tunnel and anchor shown in FIG. 25A.

[0046] FIG. 25C illustrates placement of two of the anchors of FIGS. 23A-24B within a stomach.

[0047] FIGS. 26A through 26C are cross-sectional side views of a plication system and a stomach wall, illustrating an alternative method in which a sclerosing agent is injected into the serosal pocket prior to advancement of the tip element.

[0048] FIGS. 27A and 27B are cross-section views illustrating a method for sealing sclerosing agent within the serosal pocket using a clamp.

[0049] FIGS. 28 and 29, in which FIG. 28 is a perspective view and FIG. 29 is a cross-section view of a distal end of a plication system and a portion of the stomach wall, illustrate methods for sealing sclerosing agent within the serosal pocket using the vacuum head.

[0050] FIGS. 30A through 30D are a sequence of side views of a stomach wall engaged by a vacuum chamber, and illustrate steps of an alternative method of forming a tissue plication using sclerosing agents.

[0051] FIGS. 31A and 31B illustrate alternate place holding elements for use in the method of FIGS. 30A-30D.

[0052] FIGS. 32A and 32B illustrate the use of clamps to retain plications formed using the FIG. 30A-30D method during healing of the plications.

[0053] FIG. 33A is a perspective view of a serosal plication having a cutout formed through the tissue. FIG. 33B is a cross-section view of a stomach illustrating three serosal plications of the type shown in FIG. 33A.

[0054] FIG. 34A is a cross-sectional side view of a second preferred embodiment of a plication system.

[0055] FIGS. 34B-34G are a sequence of cross-sectional side views illustrating formation of a plication of the type shown in FIG. 33A using the system of FIG. 34A.

[0056] FIG. 35A is a cross-section side view of the plication formed in accordance with the method of FIGS. 34A-34G.

[0057] FIG. 35B is a cross-sectional plan view taken along the plane designated 35B-35B in FIG. 35A.

[0058] FIG. 36A is a perspective view of the plication head of an alternative embodiment of a plicator, shown in the streamlined positioned for transoral delivery to the stomach. The shroud is not shown to allow clear viewing of the underlying components.

[0059] FIG. 36B is a perspective view similar to FIG. 36A showing the plication head in the expanded position. The shroud is not shown to allow clear viewing of the underlying components.

[0060] FIGS. 37A through 37D are a sequence of cross-sectional perspective views of the plication head of FIGS. 36A and 36B, illustrating a method of using the plication head. The shroud is not shown to allow clear viewing of the underlying components.

[0061] FIG. 38 is a bottom plan view of the plication head of FIGS. 36A-37C with the shroud in place and the hinge members in the expanded position.

[0062] FIG. 39 is a front elevation view of the plication head as positioned in FIG. 38.

[0063] FIG. 40A is a cross-sectional side views of the hydraulic chamber a piston assembly used for expanding the vacuum chamber, compressing tissue, and driving the staples in the embodiment of FIGS. 36A-39.

[0064] FIG. 40B is a cross-sectional side view of the staple driver of the embodiment of FIGS. 36A-37D.

[0065] FIGS. 41A and 41B are side elevation views of a modified plication head with the shroud not shown to permit the underlying components to be seen.

[0066] FIG. 41C is a perspective view of the plication head of FIGS. 41A and 41B, with the shroud shown.

[0067] FIG. 42A is a perspective view of an expandable frame for deploying a reinforcing element. FIG. 42B shows a reinforcing element on the frame of FIG. 42A.

[0068] FIGS. 43A and 43B are plan views illustrating staple patterns.

[0069] FIGS. 43C-43E are plan views illustrating interlocking staple patterns.

[0070] FIGS. 44A and 44B are plan views of reinforcing rings.

[0071] FIG. 45A is a perspective view showing the reinforcing ring of FIG. 44B on a stapler anvil.

[0072] FIG. 45B is a plan view of the reinforcing ring of FIG. 44A on a staple cartridge.

[0073] FIGS. 46A and 46B are plan views of a tissue plication, in which FIG. 46A shows the side of the plication positioned on the staple cartridge side of the plicator, and FIG. 46B shows the side of the plication position on the anvil side of the plicator.

[0074] FIG. 47A is a cross-sectional top view of a stomach, illustrating movement of cutout plications into alignment in preparation for insertion of an implant through their cutouts.

[0075] FIG. 47B is a cross-sectional side view of a stomach, illustrating the alignment step of FIG. 47A.

[0076] FIG. 47C is a cross-sectional side view similar to FIG. 47B, with the implant in place within the cutouts.

[0077] FIG. 48A is a cross-sectional top view of a stomach illustrating an arrangement of cutout plications.

[0078] FIG. 48B is a cross-sectional top view similar to FIG. 48A, showing the cutout plications drawn into alignment with one another.

[0079] FIG. 48C is a cross-sectional top view similar to FIG. 48B, showing an implant positioned in the aligned cutouts of the plications.

[0080] FIG. 48D is a cross-sectional side view of the stomach, illustrating the formation of a food passage in the stomach using the arrangement of plications and the implant shown in FIG. 48C.

[0081] FIG. 49 is a perspective view of a restrictive implant having buttons insertable through holes formed in stomach tissue plications.

#### DETAILED DESCRIPTION OF THE DRAWINGS

[0082] The present application describes endoscopic plicators which may be passed transorally into the stomach and used to plicate stomach tissue by engaging tissue from inside of the stomach and drawing it inwardly. In the disclosed embodiments, the tissue is drawn inwardly into a vacuum chamber, although tissue may be drawn inwardly using other components that do not involve the use of a vacuum. When a portion the stomach wall is drawn inwardly, sections of serosal tissue on the exterior of the stomach are positioned facing one another. The disclosed plicators allow the opposed sections of tissue to be moved into contact with one another, and preferably deliver sutures, staples or other means for maintaining contact between the tissue sections at least until serosal bonds form between them. Each of these steps may be performed wholly from the inside of the stomach and thus can eliminate the need for any surgical or laparoscopic intervention. After one or more plications is formed, medical devices (including, but not limited to any of the types listed above) may be coupled to the plication(s) for retention within the stomach.

[0083] Certain of the disclosed plicators pass a mesh element and/or a quantity of sclerosing agent through the stomach wall such that it is disposed between the opposed regions of serosal tissue thus enhancing serosal bonding. Some embodiments include a feature that forms a hole in a plication using the plication device, so that a portion of a medical implant may be passed through or linked to the hole the plications. Others of the embodiments are configured to couple an anchor to the plication as it is formed, so that a medical implant may later be coupled to the anchor.

[0084] While this application describes plication systems and methods with respect to the formation of plications in stomach tissue, the embodiments described herein have equal applicability for forming plications in parts of the body outside the GI system.

#### Plication System of the First Preferred Embodiment

[0085] FIG. 6 illustrates one embodiment of a system 10 for tissue plication that is suitable for endoscopic use, as well as surgical or laparoscopic use if desired.



[0086] Generally speaking, system 10 includes a plicator 12 having a vacuum head 14 and a shaft 16. The system further includes a flexible anchor 18 for attachment to stomach tissue, a tissue penetrating tip element 20 having a cable 22, a mesh element 24, and a sheath 26.

[0087] Referring to FIG. 7, vacuum head 14 defines a vacuum chamber 28 having an opening that, during use, is positioned into contact with stomach tissue so as to draw the tissue into the chamber 28. Vacuum head 14 further includes slots 32, 34 as needed to receive portions of the anchor 18 as described below. The distal and proximal ends of the vacuum head 14 include U-shaped openings 36, 38.

[0088] Referring once again to FIG. 6, shaft 16 is a flexible elongate member extending from the proximal end of the vacuum head 14. Shaft 16 is equipped with pull-wires (not shown) and/or alternative means for articulating the vacuum head 14 as needed for proper positioning within the stomach. Shaft 16 includes a distal portion 40 having a generally U-shaped slot 42 corresponding to the U-shaped opening 38 in the vacuum head. The proximal portion 46 of shaft 16 is tubular and includes at least one lumen 48 extending its length.

[0089] A tubular cannula 50 extends through the shaft 16 as shown in FIG. 6. Cannula 50 is fluidly coupled to a source of negative pressure such as a syringe or vacuum pump. Application of suction to the cannula 50 creates a vacuum in the vacuum chamber as discussed in detail below. As most clearly visible in FIGS. 8A and 8B, cannula 50 includes an annular flange 53 (FIGS. 8A and 8B) surrounding its distal end. A plurality of proximally-oriented ratcheting elements 51 (FIG. 8B) are positioned within the lumen of cannula 50, adjacent to the cannula's distal end.

[0090] Anchor 18 includes a distal tab 52 and a proximal tab 54 on opposite ends of a central portion 56. Anchor 18 is a flexible element formed of silicone or other flexible, biocompatible material. Its properties permit it to be deformed into the orientation shown in FIG. 9 for insertion into the vacuum head. More specifically, the anchor 18 is positionable within the vacuum head 14 as shown in FIG. 12 with the distal tab 52 disposed in distal slot 32 and the proximal tab 54 within proximal slot 34.

[0091] As best seen in the cross-section view of FIG. 9, a catch 58 is seated within a recess in the distal tab 52 of anchor 18. Catch 58 may be formed of a resilient material such as stainless steel, nitinol, or resilient polymer that has been over-molded using rubber. As will be described in detail below, catch 58 functions to engage a portion of the tip element 20 (FIG. 6) after it has been advanced through the tissue undergoing plication. In the illustrated embodiment, catch 58 includes a cutout 60 proportioned to engage the tip 20, however any alternative configuration for the cutout 60 and tip 20 that will permit engagement of the two is equally suitable. A rubber protrusion 62 is positioned on the anchor 14 to receive the sharp tip of the tip element to prevent injury to surrounding tissue.

[0092] Referring again to FIG. 9, proximal tab 54 includes an opening 64 within which a spring element 66 is positioned. Materials used for the spring element may be similar to those used for the catch 58. Spring element functions to engage cable 22 to retain the anchor in position, and it should be appreciated that alternative features that can

perform this function can instead be used. As best shown in FIG. 11A, a preferred spring element 66 includes a pair of tabs 68 that can be pushed to a slightly outward orientation (see FIG. 11B) when acted upon by a force shown by arrow A in FIG. 9, but that will return to the closed orientation when the force is relieved. Tabs 60, in their closed orientation, define a central cutout 70.

[0093] It is appropriate to note that anchor 18 may take many alternate forms without departing from the scope of the invention. For example, in one alternative embodiment shown in FIG. 13, anchor 18a includes a loop 2 of the type described in connection with FIGS. 2-5 coupled to its distal end.

[0094] Referring again to FIG. 6, tip element 20 includes a piercing element that is sufficiently sharp to penetrate abdominal wall tissue when subjected to an appropriate amount of force. The tip element 20 may be formed of stainless steel or any other material suitable for this purpose. A preferred tip element includes a collar 73 which defines a recess 72 between the distal edge of the collar and the proximal edge of the tip. Recess 72 is proportioned to seat within the cutout 60 (FIG. 10) of the anchor's distal catch 58 when the tip element is passed through the cutout 60.

[0095] Cable 22 is coupled to the proximal portion of the tip element 20. Cable 22 preferably includes a series of barbs 74, teeth, or other engagement elements. As will be described in connection with FIG. 19A, during use the cable is engaged by spring element 66 which allows the cable to slide in a proximal direction but that prevents movement of the cable in a distal direction. In other words, the cable functions in a manner similar to a cable tie found in hardware stores.

[0096] As discussed, the system is preferably designed to pass material between the serosal tissue layers so as to facilitate serosal tissue bonding. The material may be a synthetic or non-synthetic mesh (formed of nitinol or other material), porous or non-porous material, slotted material, or any other material through which adhesions will form or onto which tissue will grow. Examples include, but are not limited to, polypropylene, materials sold under the trade names Goretex or Dacron, or tissue graft material such as the Surgisis material sold by Wilson Cook Medical, Inc. The material may be treated with tissue-ingrowth promoting substances such as biologics.

[0097] The delivered material can be constructed into any shape or configuration that will achieve its purpose of promoting strong serosal adhesions. As illustrated in FIGS. 14A and 14B, a convenient form for the delivered material is that of a mesh tube 24 designed such that application of compressive forces between the proximal and distal ends will cause the mesh to take the form of a disk, or such that it will self-expand to a disk-like shape when released from a restrained position. Tubular caps 76a, 76b formed of a suitable polymeric material may be attached to the distal and proximal ends of the tube to minimize damage to the mesh during compression.

#### Exemplary Method of Using the First Preferred Embodiment

[0098] One method of using the system of FIG. 6 will next be described.

[0099] In preparation for use, tip 20, cable 22, mesh element 24, and sheath 26 are assembled for insertion into cannula 50. Specifically, as shown in FIG. 15A, mesh tube 24 is threaded over cable 22 and positioned such that its distal cap 76b abuts the collar 73 of tip 20. Sheath 26 is positioned over the mesh tube 24 and advanced such that its distal end is also in contact with the collar 73.

[0100] Referring to FIG. 15B, the assembled tip, cable, mesh element and sheath are inserted into the cannula 50. Anchor 18 is seated within the vacuum chamber 28 as described above. The flange 53 of the cannula 50 is positioned in sealing contact with the anchor 18. For example, the flange 53 may be inserted into the proximal opening 64 (FIG. 9) of the anchor so as to create an interference fit between the two. Adequate sealing is desirable to prevent loss of vacuum pressure from the vacuum chamber 28 during use.

[0101] Next, the assembled plicator 12 is passed into the stomach S via the esophagus as shown in FIG. 16A. An endoscope 80 is also passed into the stomach to provide visualization of the procedure. Although the endoscope 80 is shown as a separate component, the plicator 12 may be modified to include an integrated endoscope.

[0102] Referring to FIG. 16B, the plicator 12 is advanced to a target location at which a plication is to be formed. The plicator is manipulated using pull wires or other steering elements to place vacuum chamber 28 against the stomach tissue. Suction is applied to the vacuum chamber 28 via cannula 50, thus drawing stomach tissue into the vacuum chamber as shown. Consequently, a pocket 100 forms in the tissue such that if the stomach were to be viewed from the outside a depression in the stomach wall would be visible. Suction is maintained to stabilize the tissue within the vacuum chamber. If additional stabilization of the tissue is desired, the plicator 12 may be provided with a barbed stabilization cuff 82 of the type shown in FIG. 17A. Cuff 82 includes a plurality of barbs 84 oriented to penetrate stomach tissue as shown in FIG. 17B when the tissue is drawn into the chamber 28, thus holding the proximal portion of the captured tissue in place during advancement of the tip member 20 and mesh 24 element. Other stabilizing mechanisms may alternatively be used in lieu of, or in addition to, the cuff and barbs.

[0103] At this point, the tissue is ready for advancement of the tip member 20 through the tissue, as well as deployment of the mesh tube 24 into the pocket 100. Advancement of the tip and deployment of the mesh may be performed in a single step, or they may be formed as a sequence of steps. For simultaneous advancement and deployment, sheath 26 is advanced in a distal direction, thereby driving the tip 20 distally through the tissue walls 102 defining the pocket 100. If the forces of friction between the tubular mesh element 24 and the sheath 26 are sufficiently large, the advancing sheath carries the mesh tube 24 into the pocket. Alternatively, a pushing mandrel 86 (shown in FIG. 17B) may be advanced distally against the proximal cap 76a of the mesh element 24 to advance the mesh element 24 into the pocket 100. If separate advancement of the tip member 20 and deployment of the mesh element 24 is preferred, the tip member 20 is first driven in a distal direction by distal movement of the sheath 26, and the mesh element is then separately pushed over the cable 22 using the mandrel 86.

[0104] Many alternative structures useful for separately or simultaneously applying pushing forces to the tip element 20 and the mesh element 24 are readily conceivable and may also be used.

[0105] Regardless of the mode of deployment, as the tip member 20 is advanced, its pointed distal end moves into contact with the spring element 66 on the anchor 18, causing tabs 68 (FIGS. 9, 11A and 11B) to push distally into the position shown in FIG. 11B, and to then return to their substantially planar orientation (FIG. 11B) once the tip member 20 has cleared the spring element 66. The tip element 20 then passes through the walls 102 of tissue and into engagement with the distal catch 58 of the anchor 18 (i.e. the edges of the cutout 60 seat within recess 72 of the tip element 20), thereby locking the tip element to the catch 58. The distal-most portion of the tip element 20 embeds within the protrusion 62 of the anchor.

[0106] The cable 22 remains attached to the tip element 20 and thus extends through the walls 102, through catch 58 and spring element 66, and through the cannula 50. The mesh tube 24 remains disposed around the cable 22. FIG. 18A is a cross-section view of the stomach illustrating the arrangement of the components after the tip element 20 and mesh tube 24 have been deployed. FIG. 18B shows the outside of the stomach at this stage of the procedure.

[0107] The next series of steps are geared towards drawing the distal and proximal tabs 52, 54 of the anchor 18 towards one another, so as to enclose the mesh element 24 within the pocket 100. Referring to FIG. 19A, the vacuum head 14 is moved in a lateral direction until it separates from the anchor 18 and the pocket 100. (If a barbed stabilization cuff 82 of the type shown in FIG. 17A has been used to engage the tissue, the cuff is first rotated to "unscrew" its barbs from the tissue. This might be achieved, for example, using a rotatable element (not shown) that may be grasped and rotated by the user.) Vacuum head 14 is then positioned against the anchor 18 and used to impart a distally-oriented force against the proximal side of the anchor 18. At the same time, traction is applied to cable 22 so as to impart proximally directed forces to the distal end of the anchor. Ratcheting elements 51 in the cannula 50 prevent the cable 22 from sliding distally in the event that traction of the cable 22 is momentarily released.

[0108] The opposed forces between cable 22 and vacuum head 14 result in compression of the anchor 18 and the mesh tube 24 into the illustrated positions. As the cable 22 tightened, the spring element 66 of the anchor sequentially engages barbs on the cable 22. Once tension on cable 22 is released, the spring element 66 remains engaged with the adjacent barb on the cable so as to retain the anchor in the compressed position. Finally, the cable 22 is clipped, and the plicator 12 is withdrawn from the body, leaving the anchor 18 and mesh positioned as shown in FIG. 19B. The procedure may be repeated to form multiple plications if needed. Following formation of the plication(s), a medical implant may be coupled to the anchor(s) 18 during the course of the same procedure or during a later procedure scheduled to permit sufficient formation of adhesions between the serosal tissue layers 102 to support the implant.

[0109] It should be noted with reference to FIG. 20 that in an alternative method, the cannula 50 may remain coupled to the anchor 18 during lateral movement of the vacuum

head 14, causing the proximal portion 40 of the shaft 16 to separate from the cannula 50. According to this embodiment, the anchor 18 may be compressed by pressing the cannula 50 downwardly against the anchor 18 while applying tension to the cable 22. Once the anchor has been secured as described with respect to FIGS. 19A and 19B, the cannula 50 is detached from the anchor 18 and withdrawn from the body.

#### Alternatives to the First Embodiments

[0110] One alternative system illustrated in FIGS. 21A through 21C is similar to the first preferred embodiment, but differs in that the vacuum head 14e is formed of a compliant material such as silicone and also functions as the anchoring device. During use of the vacuum head 14e, suction is applied to draw tissue into the vacuum head, and a cable 22e (or suture, etc) is passed through the compliant silicone material to form the tissue plication. If necessary, a removable rigid housing 98 may be positioned around the vacuum head to prevent it from collapsing during application of suction.

[0111] In another alternative system shown in FIGS. 22A through 22D, a flexible vacuum paddle 110 is positionable into contact with stomach tissue. In this embodiment, paddle 110 also serves as the anchor that will remain coupled to the tissue.

[0112] Paddle 110 includes an elongate tube 112 that extends through the esophagus and is connectable to a vacuum source 114 positioned outside the body. Paddle 110 is formed of silicone or other flexible material suitable for long term implantation. Loop 2 is integrally coupled to the paddle. An elongate spine 116 is positionable against the paddle 110, and may include elements for temporarily engaging the paddle 110. Spine 116 includes pull wires or other features that may be manipulated from outside the body to deflect it and the adjacent paddle 110 into nested curved positions as shown in FIG. 22B, thus creating a pocket 100 in the tissue. A tip 120 coupled to a cable 122 may be advanced through the elongate tube 112 such that it penetrates the tissue lining the pocket 100 and advances into a portion of the paddle 110, where it is engaged by a catch (e.g. see catch 58 of FIG. 8A). A mesh element 124 may be advanced over the cable 122 as shown in FIG. 22C, and the cable 122 may be cinched to form the plication using techniques such as those described above, leaving the paddle 110 and loop 2 in place.

[0113] An alternative system illustrated in FIGS. 23A and 23B is similar to the system of the first embodiment of FIG. 6. Specifically, system 10f includes a vacuum head 14f mounted at the distal end of a shaft 16f of sufficient length to permit the vacuum head 14f to be positioned within the stomach while the proximal portion of the shaft 16f remains outside the oral cavity. The vacuum head is coupled to a source of suction, such as a syringe or vacuum pump.

[0114] A flexible anchor 18f is seated within vacuum head 14f prior to use, similar to the positioning of the anchor 18 of the first embodiment shown in FIG. 12. The anchor 18f includes a distal tab 52f and a proximal tab 54f having corresponding openings longitudinally aligned with the lumen 48f of the shaft 16f. The system differs from the first embodiment in that the anchor 18f further includes an elongate leg 22f that is coupled to the tabs 52f, 54f during

assembly to form the anchor 18f into a loop. Leg 22f includes a tip 20f and a catch 21f positioned to engage the distal tab 52f and the proximal tab 54, respectively. The leg 22f may be manufactured of a flexible polymeric material such as silicon, or it could be formed of a mesh, braid, stent into which surrounding tissue will grow. However, the tip 20f should be capable of penetrating stomach wall tissue.

[0115] Prior to use, the leg 22f is positioned within the lumen 48f of shaft 16f. During implantation of the anchor 18f, serosal tissue is drawn into the vacuum head 14f as shown in FIG. 23A. The leg 22f is advanced through the tabs 52f, 54f, and the sections of stomach wall lying between the tabs, using a push rod (not shown) or other pushing mechanism as described above. The tip 20f engages with distal tab 52f and the catch 21f is restrained by proximal tab 54f. The vacuum head 14f is subsequently removed, leaving the anchor and leg forming a loop surrounding a portion of the stomach wall as shown in FIG. 23B.

[0116] Although the method of implanting the anchor 18f may end with the anchor 18f positioned as shown in FIG. 23B, it is preferable to bring some of the serosal tissue surfaces surrounding pocket 100 into contact with one another so as to trigger growth of serosal bonds between the contacting tissues surfaces, as described before. Methods for cinching the tissue to form a serosal plication are described above and may be modified for use with the FIGS. 23A-23B method. Alternatively, elongate regions of tissue on opposite sides of the leg 22f may be brought into contact with one another and clamped, stapled and or otherwise held in contact to turn the pocket 100 into a sealed serosal pocket 100f surrounding the leg 22f and isolated from the sterile environment outside the stomach. For example, as shown in FIG. 24A, a jaw-type stapling instrument 92 having a vacuum housing 93 may be endoscopically introduced into the stomach and positioned with the jaws 94a, 94b contacting mucosal tissue on opposite sides of the leg 22f. This instrument may be separate from the instrument used to couple the anchor 18f to the tissue, or the instrument of FIG. 23A may be modified to include the stapler jaws 94a, 94b.

[0117] The jaws are clamped as shown in FIG. 24B to bring the serosal tissue surfaces together, and staples are passed through the tissue using the jaws, enclosing the pocket 100 in the tissue and helping to retain the anchor using the re-shaped stomach tissue. After the instrument 92 is removed from the stomach, the serosal tissue surfaces remain held in contact by one or more staple lines 95 (FIGS. 25A and 25B). The staple lines 95 seal the pocket 100f and reduce the chance of infection by forming a barrier preventing gastric contents that might enter the pocket 100f from moving into the extra-gastric space. Adhesions will then form between the serosal tissue surfaces as described above. To optimize the strength of the adhesions, the leg 22f may include ingrowth-promoting features. For example, leg 22f may be configured to support macro-level ingrowth using a mesh design, or it may include micro-ingrowth promoting features such as a porous surface. Leg 22f might alternatively or additionally have a surface coated or impregnated with sclerosing agents. Multiple anchors 18f may be implanted using this method, as shown in FIG. 25C.

[0118] In alternate plication methods, one or more sclerosing agents may be used in conjunction with or in lieu of the mesh element 24. Examples of sclerosing agents include

but are not limited to Sodium Tetradecyl Sulfate (STS), Poliodocanol, Chromated Glycerin, Hypertonic saline, Sodium Morrhuate, Sclerodex (hypertonic saline in combination with Dextrose). Other substances that may be positioned with or in place of the mesh element **24** include methylmethacrylate, glues, adhesives, and biorubbers. These may be injected at the time of mesh placement or loaded into the mesh itself and eluded out over a period of time.

[0119] FIGS. 26A through 26F illustrates an alternative method in which a cannula **50a** having a tissue-penetrating distal end is passed into the tissue pocket **100** for delivery of an agent. According to the alternative method, tissue pocket **100** is formed using methods similar to those described above. Cannula **50a** is advanced through the shaft **16a** of the plicator **12a**, through anchor **18a** and tissue **102**, and into the pocket **100**. The desired agent is passed through the cannula **50a** and into the pocket.

[0120] Once the agent is administered, steps similar to those described above may be performed to form the plication and to attach anchor **18a** to the plication. Tip **20a** (FIG. 26B) is thus advanced through the cannula **50a** (or through a separate cannula introduced upon removal of the cannula) and advanced as described in connection with the first embodiment. If a mesh element **24a** is to be introduced, it may be positioned around the cable **22a** as described previously. A pusher tube **86a** may be threaded over the cable **22a**, through the interior of the mesh tube **24a**, and into contact with proximal cap **76a** on the mesh element **86a**. Sliding the pusher tube **86a** distally drives the tip **20a** through the plication and into engagement with a distal catch **58a** on the anchor, as also advances the mesh element **24a** into the pocket **100**. In a final sequence of steps, the plication may be "cinched" using methods similar to those described above.

[0121] In certain instances, it might be desirable to completely close the serosal pocket **100** to avoid leakage of injected agents into the peritoneal cavity. The pocket **100** may be sealed using an elongate clamp **90** endoscopically introduced into the stomach and clamped over the tissue pocket to press the serosal surfaces into contact with one another as shown in FIGS. 27A and 27B. Alternatively, vacuum head **14c** (FIG. 28) may include clamping bars **92**, such as elongate rods or inflatable balloons, that are positioned on opposite sides of the pocket to clamp the pocket **100** between them. As yet another alternative, the vacuum head **14d** may be biased or hinged to clamp the pocket **100** as shown in FIG. 29.

[0122] An alternative method for forming plications using sclerosing agents to accelerate scar formation is illustrated in FIGS. 30A through 30E. This method is advantageous in that it allows plications to be formed without the use of sutures or cables, and thus can simplify the procedure.

[0123] As with previous methods, a pocket **100** or depression is formed on the serosal surface by drawing a portion of the stomach wall inwardly using a vacuum head **14f** or other device introduced transorally into the stomach. A delivery member **130** is next introduced into the stomach. The delivery member **130** is an elongate tubular device having a lumen through which a sclerosing agent may be delivered, as well as a delivery means for delivering a place holding element **132** into the pocket **100**. The delivery

member **130** preferably includes a sharpened distal tip capable of penetrating the stomach wall.

[0124] As shown in FIG. 30B, the delivery member **130** is advanced through at least one portion of the stomach wall **102**, and used to deliver the place holding element **132** into the pocket **100**. The place holding element **132** functions to maintain separation between opposed serosal walls **102**, so that the volume between the walls can be filled by a sclerosing agent introduced by the delivery member **130** or a separate delivery method.

[0125] In one embodiment, the place holding element **132** may be delivered by pushing it through the lumen of the delivery member using a pushing mandrel. The place holding element might be a section of material that has a compact size and shape for delivery by the delivery member **130**, but that expands upon delivery into the pocket **100**. To give a few examples, the element may be formed of a structure having mechanical properties (e.g. sponge or nitinol mesh) that cause it to self-expand when released from the delivery member, or it may be an inflatable balloon tethered to an inflation lumen in the delivery member, or it may be a swellable hydrogel that will increase in volume once exposed to fluid within the pocket (e.g. the sclerosing agent or other fluid injected into the body, and/or fluids present in the peritoneal cavity). In alternate embodiments the place holding element might be delivered directly to the outside of the stomach using laparoscopic methods.

[0126] The element may be formed of a permanent or semi-permanent material (such as the examples described in connection with mesh element **24** above), that will reinforce the plication and/or work together with the sclerosing agent to promote scar formation. Alternatively, the element may be one that is biodegradable or bioabsorbable over a period of time.

[0127] Once the place holding element **132** has been positioned, the vacuum head **14f** or a separate clamping device is utilized to clamp and seal the pocket **100** as shown in FIG. 30C (see, for example, the sealing methods described above). Sclerosing agent is injected through the delivery member **130** into the pocket **100**. Referring to FIG. 31A, if the place holding element is an inflatable balloon **132a** or another type of element that can seal against the tissue forming the pocket, it may be acceptable to eliminate the step of applying sealing forces to the pocket. Referring to FIG. 31B, use of a sponge **132b** in lieu of balloon **132a** may minimize migration of sclerosing agent out of the cavity. The sponge **132b** may be filled with sclerosing agent prior to its delivery into the pocket **100**, or it may instead absorb agent introduced into the pocket.

[0128] Sealing forces continue to be applied to the pocket **100** until ample scar tissue has formed within the pocket to maintain the P. Once adequate scar tissue has been formed, sealing forces may be released and the vacuum head removed from the stomach. If the balloon of FIG. 31A is used in lieu of sealing forces, inflation of the balloon is maintained until the sclerosing agent has formed an adequate amount of scar tissue.

[0129] It should be noted with reference to FIGS. 32A and 32B that if sealing forces are needed over an extended period (i.e. to ensure sufficient tissue scarring to retain the plication), a clip **134a** may be clipped around the plication

to maintain the plication until sufficient scarring has occurred. If needed to prevent unwanted detachment of the clip, an alternative clip **134b** (FIG. **31B**) may include prongs positioned to pass through the tissue.

#### Plication System of the Second Preferred Embodiment

[0130] In many instances it may be desirable to form serosal tissue plications of the type shown in FIG. **33A**, which include a cutout C or hole formed through the plication P. As shown in FIG. **33B**, multiple such plications may be formed within the stomach to provide a platform for mounting an intragastric device or for other purposes that will be described below.

[0131] When a cutout plication is formed, it may be beneficial to form a seal around the cutout C using staples, sutures or adhesives etc so as to prevent food material and/or gastric juices from passing between the opposed layers of serosal tissue where they can potentially cause infection between the tissue layers or within the extra gastric space. In the FIG. **33A** example, a circular array of staples is placed in the tissue surrounding the cutout C for this purpose. Sealing the cutout using staples provides the additional benefit of controlling the bleeding that will occur along the edges of the cutout. In forming the plication P, reinforcing mesh or other suitable material may be positioned between the opposed serosal layers so as to achieve the benefits discussed in connection with the first embodiment.

[0132] A second preferred embodiment of a plication system **10g**, shown in cross-section FIG. **34A**, is particularly useful for forming a serosal plication having a cutout surrounded by a staple line, and also for positioning a reinforcing mesh element within the plication.

[0133] In general, system **10g** includes a plicator **12g** comprising a vacuum head **14g** having a vacuum chamber **28g** and a shaft **16g** defining a lumen **48g**. A port **49** is fluidly coupled to the vacuum chamber **28g** and is connectable to an extracorporeal source of suction (e.g. a syringe or a vacuum pump).

[0134] An elongate staple driver **150** is longitudinally moveable within the lumen **48g**. Staple driver may take the form of an elongate tube having a broadened annular head **152** positioned within the vacuum head **14g**. A plurality of staples **154** is arranged adjacent to the staple head, preferably in a circular arrangement, but alternative arrangements are equally suitable. A circular anvil **156** is positioned within the vacuum head **14g** opposite the staples. Staple driver head **152** is moveable in a distal direction to advance the staples across the vacuum chamber and into contact with the anvil **156**.

[0135] The system includes a tubular cannula **50g** for forming the cutout C in the tissue. Cannula **50g** extends through the lumen of the staple driver **150**, with its tissue-penetrating distal end oriented towards the vacuum chamber **28g**. Cannula **50g** may be advanced in a distal direction to extend through the vacuum chamber **28g** and into a tubular channel **158** formed in the distalmost section of the vacuum head.

[0136] An elongate rod **160** having a pointed distal barb or tip **20g** extends through the lumen of the cannula **50g**. Tubular mesh element **24g** surrounds a portion of the

exterior surface of rod **160**, with its distal end adjacent to the proximal end of tip **20g**. Mesh element **24g** is preferably a self-expandable tubular element of the type described in connection with FIGS. **14A** and **14B**. When positioned on the rod **160**, the mesh element is compressed to a reduced-diameter position and retained in the compressed position using a retention sleeve **162**. A tubular support **164** may be positioned on the rod **160** in abutment with the proximal end of the mesh element **24g**.

[0137] System **10g** further includes a proximal handle (not shown) that remains outside the body during use of the system. The handle includes actuators, pull wires, push rods, or equivalent components that facilitate longitudinal advancement and withdrawal of the tip **20g**, cannula **50g**, retention sleeve **162**, and staple driver **150**, as well as deflection or articulation of the components, as needed to carry out the method for using the system described in the following section.

#### Exemplary Method for Using the Second Preferred Embodiment

[0138] A method for using the system of the second embodiment will next be described. First, the vacuum head **14g** is introduced into a stomach and endoscopically positioned with the vacuum chamber facing the interior surface of the stomach wall. This step is similar to the step illustrated in FIGS. **16A-16B** in connection with the first embodiment.

[0139] Suction is applied to the vacuum head **14g** via port **49** to draw a portion of the stomach wall into the chamber as shown in FIG. **34B**, thus orienting sections **S1**, **S2** of the stomach wall with their serosal surfaces generally facing one another.

[0140] Next, the rod **160** is advanced to drive tip **20g** through the sections **S1**, **S2**. Tip **20g** is captured within the channel **158** adjacent to anvil **156**. The mesh element **24g** is carried by the rod **160** into position between the stomach wall sections **S1**, **S2**. The retention sleeve **162** is retracted, allowing the mesh element **24g** to expand to the position shown in FIG. **34D**. One or more centering struts **166** extend between the mesh element **24g** and rod **160** and maintain the mesh element in a generally centered orientation relative to the rod **160**.

[0141] After the mesh element **24g** is deployed, the tissue is compressed to the position shown in FIG. **34E** to bring the opposed sections **S1**, **S2** of the stomach wall into contact or close proximity with one another and to compress the mesh element **24g** into a disk shape (also see FIG. **14B**). This folding/compressing step may be accomplished by folding the vacuum chamber **14g** itself, such as by pushing the shaft **16g** in a distal direction while maintaining traction on the rod **160**. After folding, the staple driver head **152** is pushed distally, driving the staples **154** through the tissue and against the anvil **156** as shown in FIG. **34F**. In a simultaneous or separate step illustrated in FIG. **34G**, the cannula **50g** is advanced to core the tissue, thus forming the cutout C and snipping the centering struts **166** (not visible in FIG. **34G**) connecting the mesh element to the rod **160**. In forming the cutout C, the cannula **50g** removes a margin of tissue surrounding the punctures created by tip **20g** during its advancement towards channel **158**.

[0142] The cannula **50g** and tip **20g** are withdrawn into shaft **16f**, and the vacuum head **14g** is separated from the tissue, leaving the cutout reinforced plication as shown in FIGS. **35A** and **35B**.

#### Plication System of the Third Preferred Embodiment

[0143] A third embodiment of a plicator **200** is shown in FIGS. **36A** through **39**. Plicator **200** includes a plication head **202** positioned on the distal end of an elongate shaft **204**. As with prior embodiments, shaft **204** is of sufficient length to allow passage of the plication head **202** through the mouth and esophagus into the stomach, while the proximal end of the shaft remains outside the body. A vacuum source **206** is fluidly coupled to the proximal end of the shaft **202**. Pullwires **208** extend through the shaft **204** from a handle (not shown) in the proximal end of the shaft and are anchored to a more distal location within the shaft **204**, so that manipulation of the pullwires by a user allows for steering/deflection of the plication head **202**. Shaft **204** may be formed of a plurality of spine members that articulate relative to one another but that may be locked in a desired position to fix the spine a desired shape.

[0144] Plication head **202** includes a tapered, atraumatic, distal tip **210** and a proximal portion **212** coupled to one another by one, two or more hinge member **214**. In the FIGS. **36A-39** embodiment, three hinge members **214** are shown. Each of the illustrated hinge members includes distal and proximal hinge plates **216a**, **216b** joined together at central hinge **218**. The hinge members **214** are moveable between the generally elongated position shown in FIG. **36A**, and to the expanded position of FIG. **36B** in which the central hinge **218** extends outwardly and in which the distance separating distal tip **210** and proximal end **212** of the plication head is decreased. As shown as a transparent element in the bottom plan view of FIG. **38A** and the end view of FIG. **38B**, a membrane or shroud **215** covers the hinge members **214** and is connected to the distal tip **210** and proximal portion **212** of the plication head **202** to form a vacuum chamber **217**. An opening **219** in the shroud positionable in contact with stomach wall tissue to allow tissue to be drawn into the chamber during use. Shroud **215** is preferably formed of silicone, elastomeric material, or any other inelastic or elastic flexible or deformable biocompatible material capable of forming a vacuum chamber.

[0145] Referring to FIG. **37A**, the proximal portion **212** of the plication head **202** includes a hydraulic chamber **220**. The hydraulic chamber **220** is fluidly coupled by a fluid line **222** to a source of fluid **224**. An outer piston **226** is disposed within the hydraulic chamber **220**. In the illustrated embodiment, piston **226** is a hollow cylinder having a rear wall **228** and a front wall **230**. Front wall **230** includes a center cutout **232**. An inner piston **234** is disposed within the outer piston **226**, and includes a longitudinal plunger **236** extending through the cutout **232**.

[0146] Each of the proximal hinge plates **216b** includes an inwardly-extending camming surface **238**. The hinge plates include proximal pivots **240** such that distally-oriented pressure against camming surfaces **238** causes the hinge plates **216b** to pivot about the pivots **240** into the position shown in FIG. **37B**.

[0147] Proximal portion **212** of the plication head **202** includes a staple cartridge **242** containing staples arranged in

an annular arrangement (not visible in the drawing), and a staple driver **244** positioned to drive staples from the distal end of the cartridge **242** when it is advanced in a distal direction into contact with the staples. Staple driver **244** may include a tissue penetrating element **248** (FIG. **40B**) sufficiently sharp to form a hole in tissue.

[0148] An anvil **246** on the distal tip **210** is positioned to receive the prongs of staples driven by staple driver **242** and to fold the prongs into a closed position. Staple cartridge and anvil arrangements are well known in the surgical and endoscopic stapling art and need not be discussed in further detail. The staples (and sutures) described for use herein may be permanent or bioerodible/biodegradable.

#### Exemplary Method for Using the Third Preferred Embodiment

[0149] As with the previously discussed methods, a method of using the plication system **200** of the third embodiment is carried out under visualization using an endoscope advanced via the esophagus into the stomach.

[0150] In preparation for use, the plication head is positioned with the hinge members **214** in the streamlined position shown in FIGS. **36A** and **37A**. The plication head **202** is introduced transorally into the stomach, through an introducer sheath if needed to ensure smooth passage through the esophagus. Pullwires **208** are manipulated to orient the plication head **202** so that the opening **219** in the vacuum chamber **217** (FIGS. **38** and **39**) is positioned in contact with stomach wall tissue at a location at which a plication is to be formed.

[0151] Next, as shown in FIG. **37B**, hydraulic fluid is injected from fluid source **224** into chamber **220**. The fluid pressure advances outer piston **226** in a distally direction, causing the front wall **230** of the piston **226** to contact the camming surfaces **238**, thus pivoting the pivot plates **216b** about proximal pivots **240**. In response, hinge members **214** pivot as shown in FIG. **37B** until they reach the partially expanded position shown in FIG. **37B**. The vacuum source **206** is activated to create a vacuum which draws a pinch of tissue into the vacuum chamber **217**, with serosal tissue surfaces generally facing one another as has been described with the other embodiments (see e.g. FIG. **34B**). The flexible nature of the shroud forming the vacuum chamber **217** allows the vacuum chamber **217** to deform outwardly as tissue is drawn into the chamber.

[0152] Once tissue is drawn in to the vacuum chamber **217**, additional fluid is directed into the hydraulic chamber **220** to advance the outer piston **226** until the hinge members **214** are in the fully expanded position shown in FIG. **37C**. Expansion of the hinge members **214** draws the distal tip **210** towards the proximal portion **212** of the plication head **202**. This compresses the tissue within the vacuum chamber **217**, bringing the opposed serosal tissue surfaces into contact or close proximity with each other similar to the tissue positions shown in FIG. **34E**. Once the tissue is compressed, staples from the cartridge **242** are fired through the tissue by passing the staple pusher **244** through the staple cartridge **242**. If the staple pusher **244** is provided with a tissue penetrating element **248** as shown in FIG. **40B**, the tissue penetrating element **248** penetrates the opposed layers of stomach wall tissue as the staples are driven through the tissue, forming a hole surrounded by an annular pattern of staples.

[0153] The staples fold against the anvil **246**. After stapling, the hinge members are moved to the collapsed position shown in FIG. 36A. The plicator is separated from the tissue and withdrawn from the body. The tapered profile of the proximal portion **212** of the plication head **202** allows the plication head **202** to pass through the gastro-esophageal junction, esophagus, and mouth with minimal trauma.

[0154] In the illustrated embodiment, the staple pusher **244** is driven by the injection of hydraulic fluid into the cylindrical piston **226**. The fluid drives plunger **236** distally into contact with the staple pusher **244**, which in turn drives through the cartridge **242** to advance the staples. FIG. 40A illustrates one arrangement of the pistons **226**, **234** within the hydraulic chamber **220** that will allow this to be achieved. As shown, hydraulic cylinder **220** includes first and second inlets **I1** and **I2**, and the piston **226** includes a third inlet **I3**. O-ring seals **O1**, **O2** are positioned on the exterior surface of piston **226** and o-ring seals **O3** and **O4** are positioned on the exterior surface of the inner piston **234**. When hydraulic pressure is applied to **I1**, the piston **226** advances distally (towards the left in the view shown) to expand the hinge members **214** (FIG. 37C) and compress the tissue as discussed above. After o-ring seal **O2** has moved distally of inlet **I2**, fluid pressure can be directed through **I2** and into **I3**, causing inner piston **234** to be driven distally to advance the staple pusher **244** (FIG. 37A). Although in the FIG. 40A embodiment the hydraulics for tissue compression and stapling and combined on the proximal side of the plication head, these functions may be separated, with the hydraulics driving one function positioned distally of the vacuum chamber and the hydraulics driving the other function positioned proximally of the vacuum chamber.

[0155] FIGS. 41A and 41B are side elevation views of a modified plication head **202c** in which the distal and proximal portions **210c**, **212c** are coupled by a hinge **214c** that is actuated by a lead screw **211**. Lead screw is extended as shown in FIG. 41A to elongate the plication head **202c** for passage into the body and for expansion of the vacuum chamber which, as with the FIG. 36A embodiment, is defined by a shroud **215** (FIG. 41C). Once tissue is drawn into the chamber, the lead screw **211** is actuated to bring the distal and proximal portions **210c**, **212c** into alignment for compression and stapling of the tissue as described above.

#### Plication Reinforcements

[0156] Reinforcements of various types may be implanted in or on plications formed using the plication system. Such reinforcements may function to reinforce the staple array, help to more evenly distribute the forces applied to the tissue by the staples, and/or facilitate bonding between the opposed serosal layers. Suitable reinforcements include ones positionable on or between the serosal tissue layers ("serosal side reinforcements"), as well as those delivered on the side of the mucosal tissue ("mucosal side reinforcements").

[0157] Serosal side reinforcements have been discussed in connection with the first and second embodiments. A reinforcement similar to mesh element **24** described in connection with FIGS. 14A, 14B may serve as a permanent or semi-permanent implant that will reinforce the staple array applied to the tissue and/or facilitate serosal tissue bonding between the layers of stomach wall tissue that are to be stapled or sutured together. For this purpose, the material may be a synthetic or non-synthetic mesh (formed of nitinol,

polyester, or other natural or synthetic material), porous or non-porous material, slotted material, or any other material through which adhesions will form or onto which tissue will grow. Examples include, but are not limited to, polypropylene, materials sold under the trade names Goretex or Dacron, or tissue graft material such as the Surgisis material sold by Wilson Cook Medical, Inc. The material may be treated with tissue-ingrowth promoting substances such as biologics.

[0158] In an alternative embodiment of a serosal side reinforcement shown in FIGS. 42A and 42B, a reinforcement **270** (which may be formed of a polyester fabric, mesh, or any other material including those listed elsewhere in this application) is carried by a frame **272** having a plurality of outwardly extending arms that spring to an expanded position when released from a hollow tube. The tube might be any of the tubes described above for delivering mesh or sclerosing agents etc. to the serosal tissue, e.g. tube **50g** of FIG. 34A. The hollow tube **274** is passed through stomach wall tissue so that its distal end is positioned between serosal layers (e.g., the position of needle **50a** in FIG. 26B). The frame **272** is advanced out the distal end of the needle to allow the arms of the frame to spread to the expanded position shown, thereby expanding the reinforcement between the opposed serosal layers. The reinforcement is fixed between the layers by the staples driven through the opposed regions of stomach wall, and the frame is withdrawn from the needle and out of the body.

[0159] Mucosal side reinforcements may take the form of reinforcements that are positioned on or adjacent to one or both of the mucosal surfaces lining the "pinch" of tissue that will form the plication. These reinforcements may be features of the staples or staple arrays, or they may be separate components engaged by staples as the staples are advanced through the tissue.

[0160] Referring to FIG. 43A, conventional stapling procedures will often include two parallel rows of staples, in which the staples in one row are laterally offset from the staples of the other row. According to the disclosed method, it is useful to employ this technique to the circular staple pattern delivered using the plicators described above, to produce two concentric rings of offset staples **276**, as shown in FIG. 43B. It has been found to be additionally beneficial to form mucosal side reinforcements by linking or interlocking the staples to provide greater structural reinforcement to the stapled tissue and/or to more evenly distribute forces applied to the tissue by the staples. Linked staple arrays may be formed by arranging the staples **276** in the cartridge of the plicator in a single circular pattern to interlock as shown in FIG. 43C, or in a double circular pattern with two concentric rings of interlocked staples. The staples **276a** may be curvilinear so as to form a locking pattern shown in perspective view of FIG. 43D. A linear arrangement of staples **276** may also be linked as shown in FIG. 43E.

[0161] In alternative embodiments, staples are linked together by reinforcing members formed of metallic or polymeric materials, such as nitinol, titanium, stainless steel PEEK, or other biocompatible materials including those that are bioerodible/biodegradable. According to these embodiments, the reinforcing members are positioned on one or both of the mucosal sides of the "pinch" of tissue engaged

by the plication system such that they are captured by staples being driven through the tissue. In a preferred embodiment, the staples capture a cartridge side reinforcing ring 278 (FIG. 13A) as they leave the cartridge and capture an anvil side reinforcing ring 280 (FIG. 44B) as the anvil shapes and bends them. Upon completion of the plication, the staples are linked to one another so that they cannot separate or expand radially. The rings promote even distribution of forces around the ring of staples.

[0162] The reinforcing rings are preferably provided separate from the staples although they instead may be integral with the staples. In the illustrated embodiment, ring 280 is positioned against the staple anvil 246 as shown in FIG. 45A. Ring 278 is seated within the cartridge 242 (FIG. 45B), with the staples 276 aligned with their prongs 282 extending through a plurality of the loops 284 in the ring 278. When staples 276 are driven from the cartridge, they capture ring 278 against the adjacent mucosal tissue as shown in FIG. 46A. The staple legs/prongs 282 pass through the stomach wall tissue into contact with the indentations 286 of the anvil 246. When they contact the anvil 246, the prongs 282 fold around the staple ring 280 to capture the ring 280 and interlock the staples on the anvil side of the plication as shown in FIG. 46B. Rings or other interlocking elements of this type may be used with single- or double-staple row configurations.

[0163] Rings 278, 280 are shown as generally circular, although alternative reinforcements of different shapes and patterns may also be used, including those shaped to accommodate linear, oval and other staple patterns.

#### Applications for Cutout Plications

[0164] FIGS. 47A through 49 illustrate examples of applications for cutout plications formed within the stomach using any methods or system, including those described above. As shown, the cutout plications can eliminate the need for anchor loops of the type described in connection with the first embodiment. Each of these applications is preferably (but optionally) performed in a separate procedure from that in which the plications are formed, so as to allow serosal bonding to occur before the plicated tissue is subjected to stresses imparted by implants and/or further manipulation.

[0165] A first application shown in FIGS. 47A through 47C uses two or more cutout plications CP, preferably formed at the gastro-esophageal junction region of the stomach. According to this application, the cutouts C of the plications are brought into partial or full alignment with one another (FIGS. 47A and 47B) using an endoscope or another endoscopic instrument. A restrictive implant such as the implant 4 shown in FIG. 4 is threaded through the aligned cutouts while in a radially-collapsed position, and is then allowed to expand to the position shown in FIG. 47C. Instruments and methods for orienting and expanding an implant of this type are shown and described in U.S. application Ser. No. 11/439,461, filed May 23, 2006. Once in place, the implant greatly reduces the amount of food a patient can consume, by slowing the rate at which food can descend from the esophagus into the stomach.

[0166] In the method shown in FIG. 48A, multiple cutout plications CP are formed in select positions allowing the plications to be drawn together so as to significantly narrow

the channel through which food can pass through the stomach. For example, the plications CP of FIG. 48A are arranged such that manipulating the plications to place their cutouts C in alignment causes the plications themselves to form a barrier against passage of food. This arrangement limits most food flow to a narrow food passage FP and creates a gastric pouch GP adjacent to the food passage. An implant 4a is positioned within the cutouts C as described above to retain the plications CP in their gathered arrangement. The implant 4a may have a similar configuration to the implant 4 of FIG. 4, including a through-hole allowing some passage of food through the implant, or it may be impenetrable by food thus forming a plug largely preventing passage of food and gastric juices through the cutouts C. The implant may include a valve oriented to minimize restriction of food flow out of the stomach during vomiting. Other implants that will retain the gathered configuration of the plications CP may alternatively be used, including lengths of biocompatible material passed through the cutouts and knotted or otherwise fastened into loops. In other embodiments, the collective sizes and numbers of the plications may themselves be sufficient to restrict flow of food into the stomach, without the need for any implants to connect them to one another.

[0167] In either embodiment, if the implant 4, 4a is to be removed or replaced with an implant of different dimensions (e.g. so as to slow the rate of weight loss following a period of significant weight loss, or to increase the rate of weight loss), endoscopic instruments may be used to withdraw the implant from the cutouts C and to remove the implant from the stomach.

[0168] In another embodiment shown in FIG. 49, a restrictive pouch 4b may include anchors 5 that are inserted into cutout plications CP. Anchors 5 are shown as having a button shape, but they may alternatively be other structures including loops that close on themselves to prevent detachment from the cutout, or they might be legs of the type disclosed in WO 2005/037152.

[0169] As is evident from above, the disclosed endoscopic systems function to draw a tissue into the stomach to form a depression on the exterior surface of the stomach, and staple (or suture, or fasten or adhere etc) the opposed stomach wall sections lining the depression together another to form a plication. The system may additionally place material of a type that will promote strong tissue adhesion within the depression (on the exterior of the stomach) and retain the material between the serosal surfaces to enhance. Additionally or alternatively, mucosal reinforcements such as structures that interconnect the staples may be implanted. While these systems provide convenient embodiments for carrying out this function, there are many other widely varying instruments or systems may alternatively be used within the scope of the present invention. Moreover, the disclosed embodiments may be combined with one another in varying ways to produce additional embodiments. Thus, the embodiments described herein should be treated as representative examples of systems useful for forming endoscopic tissue plications, and should not be used to limit the scope of the claimed invention.

[0170] Any and all patents, patent applications and printed publications referred to above, including those relied upon for purposes of priority, are incorporated herein by reference.



We claim:

1. An apparatus for forming plications in tissue, the apparatus comprising:

an elongate shaft; and

a plication head positioned on the elongate shaft, the plication head including

a collapsible vacuum chamber having an opening positionable in contact with tissue to be plicated such that application of suction to the vacuum chamber draws the tissue into the vacuum chamber; and

a fastening system having a first portion and a second portion positioned such that advancement of a fastener from the first portion to the second portion advances the fastener through tissue drawn into the vacuum chamber.

2. The apparatus of claim 1, wherein the vacuum chamber is expandable in response to suction of tissue into the vacuum chamber.

3. The apparatus of claim 1, wherein the vacuum chamber is collapsible from a first position in which the first and second portions are spaced by a first distance and a second position in which the first and second portions are spaced by a second distance smaller than the first distance.

4. The apparatus of claim 1, wherein the vacuum chamber is expandable from a first position to a second position to expand the opening.

5. The apparatus of claim 1, wherein the plication head includes a proximal portion carrying the first portion of the fastening system and a distal portion carrying the second portion of the fastening system, wherein the vacuum chamber extends between the proximal and distal portions.

6. The apparatus of claim 5, wherein the plication head includes at least one structural member extending between the proximal and distal portions, and wherein the vacuum chamber includes a flexible membrane on the at least one structural member.

7. The apparatus of claim 6, wherein the structural member is a hinged member.

8. The apparatus of claim 7, wherein the hinged member is coupled to the proximal and distal portions at corresponding proximal and distal pivot points.

9. The apparatus of claim 8, wherein the hinged member includes an intermediate pivot point/

10. The apparatus of claim 1, wherein the vacuum chamber is a flexible membrane.

11. The apparatus of claim 8, wherein the hinged member is pivotable about the proximal and distal pivot points to deform the vacuum chamber.

12. The apparatus of claim 1, wherein the first portion of the fastening system includes a staple cartridge including at least one staple, and a second portion of the fastening system includes a staple anvil.

13. The apparatus of claim 1, wherein the first portion of the fastening system includes a needle having a fastener thereon, and wherein the second portion of the fastening system includes a catch for engaging a portion of the needle or fastener.

14. The apparatus of claim 1, wherein the proximal portion of plication head includes a pusher positioned to push a reinforcing element into position between layers of tissue positioned in the vacuum chamber.

15. The apparatus of claim 14, wherein the reinforcing element is a tubular reinforcing element having a pair of ends, and wherein the vacuum chamber is deformable to impart pressure against the ends to laterally expand the reinforcing element.

16. The apparatus of claim 14, wherein the tubular reinforcing element comprises a mesh element.

17. The apparatus of claim 12, wherein the fastening system includes a reinforcing element positioned in proximity to the anvil such that a staple passing through the tissue into contact with the anvil will fold around the reinforcing element.

18. The apparatus of claim 12, wherein the fastening system includes a reinforcing element positioned in proximity to the staple cartridge such that a staple passing from the staple cartridge to the tissue will engage the reinforcing element.

19. The apparatus of claim 17, wherein the stapling cartridge includes an array of staples in a generally annular arrangement, and wherein the reinforcing element is a reinforcing ring.

20. The apparatus of claim 18, wherein the stapling cartridge includes an array of staples in a generally annular arrangement, and wherein the reinforcing element is a reinforcing ring.

21. The apparatus of claim 1, further including a piercing element extendable through tissue in the vacuum chamber to form a hole in tissue layers fastened together by fastening system.

22. The apparatus of claim 21, wherein the piercing element is positioned to form a hole bounded by fasteners delivered by the fastening system.

23. The apparatus of claim 1, wherein the proximal portion of plication head includes a tube positioned to inject a sclerosing element into position between layers of tissue positioned in the vacuum chamber.

24. The apparatus of claim 1, wherein the vacuum chamber is formed of silicone.

25. The apparatus of claim 13, wherein the fastening system further includes an anchor positioned in the vacuum chamber, wherein the catch comprises a portion of the anchor.

26. A method of forming a tissue plication in stomach wall tissue, comprising the steps of:

providing a plication head on an elongate shaft;

advancing the plication head transorally into a stomach;

applying suction to the plication head to draw tissue into a vacuum chamber in the plication head, said tissue including two generally opposed serosal tissue surfaces;

deforming the vacuum chamber to draw the serosal tissue surfaces into proximity with one another to form a plication; and

passing at least one fastener through the plication.

27. The method according to claim 26, wherein the method further includes the step of forming a cutout in the plication.

28. The method according to claim 27, wherein a perimeter of the cutout is sealed by the at least one fastener.

29. The method according to claim 28, wherein the passing step includes passing at least one suture through the plication.

**30.** The method according to claim 28, wherein the passing step includes passing at least one staple through the plication.

**31.** The method according to claim 28, wherein the passing step further includes positioning a reinforcing element in proximity to the anvil such that staples passing through the tissue into contact with the anvil will fold around the reinforcing element.

**32.** The method according to claim 28, wherein the passing step further includes positioning a reinforcing element in proximity to the staple cartridge such that a staple passing from the staple cartridge to the tissue will engage the reinforcing element.

**33.** The method according to claim 26, wherein the method is further for coupling an anchor to the plication, wherein vacuum chamber includes a flexible anchor positioned within a chamber, wherein the applying step draws

the tissue into the anchor, and wherein the passing step passes the fastener through the plication and the anchor.

**34.** The method according to claim 26, wherein the deforming step is performed prior to the passing step.

**35.** The method according to claim 26, wherein the deforming step is performed after the passing step.

**36.** The method according to claim 26, wherein the method includes the step of positioning a reinforcing element between the serosal surfaces, wherein the passing step retains the reinforcing element between the serosal surfaces.

**37.** The method according to claim 36, wherein the reinforcing element is a mesh element.

**38.** The method according to claim 26, wherein the method includes the step of applying a sclerosing agent between the serosal surfaces.

\* \* \* \* \*

专利名称(译)	内窥镜折device装置和方法		
公开(公告)号	<a href="#">US20070219571A1</a>	公开(公告)日	2007-09-20
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摘要(译)			

本文描述了通过经口进入胃并且通过从胃内部接合组织并将其向内拉入而使胃组织复位的内窥镜plicator。在所公开的实施例中，组织被向内抽入真空室，使得胃外部上的浆膜组织的部分彼此面对地定位。所公开的复合器允许组织的相对部分移动成彼此接触，并且优选地递送缝合线，U形钉或其他用于保持组织部分之间接触的装置，至少直到它们之间形成浆膜结合。这些步骤中的每一个可以完全从胃内部进行，因此可以消除对任何手术或腹腔镜介入的需要。在形成一个或多个褶皱之后，医疗装置可以连接到褶皱以保留在胃内。

