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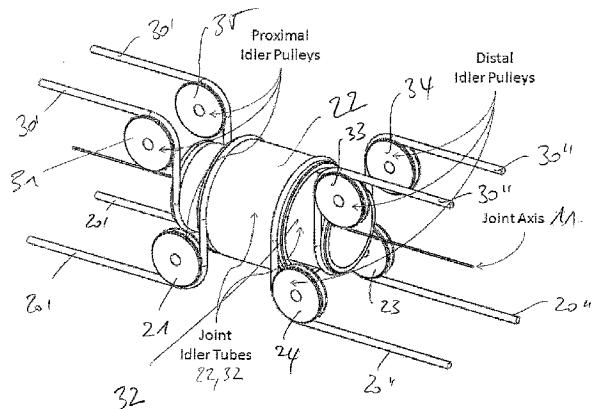


FIGURE 7

(57) Abstract: A novel mechanical system, based on a new cable driven mechanical transmission, able to provide sufficient dexterity, stiffness, speed, precision and payload capacity to actuate multi-DOF micro-manipulators. Besides the possibility of being used in several articulated surgical instruments and robotic systems for surgery or other applications involving remote manipulation, it enables the design of a novel fully mechanical surgical instrument, which offer the advantages of conventional laparoscopy (low cost, tactile feedback, high payload capacity) combined with the advantages of single port surgery (single incision, scarless surgery, navigation through several quadrants of the abdominal cavity) and robotic surgery (greater degrees of freedom, short learning curve, high stiffness, high precision, increased intuition). The unique design of the proposed system provides an intuitive user interface to achieve such enhanced manoeuvrability, allowing each joint of a teleoperated slave system to be driven by controlling the position of a mechanically connected master unit.

## MECHANICAL MANIPULATOR FOR SURGICAL INSTRUMENTS

### CROSS-REFERENCE TO RELATED APPLICATIONS

This Application claims the benefit of European patent application N°10187088.9 and N°10187097.0, both filed on October 11, 2010, the entire disclosure of which is hereby incorporated herein by reference.

### FIELD OF THE INVENTION

The present invention relates to the field of remotely actuated mechanisms and devices for use in surgical procedures within the abdominal cavity, using reduced incisions in the abdominal wall.

### BACKGROUND OF THE INVENTION

A major progress in abdominal surgery has occurred during the last decades with the introduction of laparoscopic and minimally invasive techniques. These innovative procedures focused much attention due to their several advantages: smaller abdominal incisions needed, resulting in faster recovery of the patient, improved cosmetics, and shorter stay in the hospital. The safety, efficiency and cost-effectiveness of laparoscopic surgery have subsequently been demonstrated in clinical trials for many routine abdominal operations. However, from the surgeon's point of view, there are still many difficulties in learning and performing such procedures with current laparoscopic equipment, which is non-ergonomic, non-intuitive and missing in adequate stiffness, precision and force feedback.

In order to overcome the disadvantages of traditional minimally invasive surgery (MIS), robot technology has been introduced into the operation room. Although a wide range of diagnostic and therapeutic robotic devices have been developed, the only commercial systems that have already been used in human surgery are the *da Vinci System*, by *Intuitive Surgical*, [Guthart2000], and *ZEUS*, by *Computer Motion*. Following the fusion between the two companies, the *ZEUS* robot is no longer produced. The major advantages of these robotic systems are related with the additional degrees of freedom available to the surgeon that allows more complex movements in a limited space, with an increased stiffness. This increased mobility and stiffness has led to short learning curves even for non-laparoscopic surgeons. A major disadvantage of these systems is the high cost of acquisition and maintenance which are actually not affordable for the majority of surgical departments worldwide.

Another drawback of these systems is related with the fact that current surgical robots are voluminous, competing for precious space within the operating room environment and significantly increasing preparation time. Access to the patient is thus impaired and this raises safety concerns. In addition, although robotic systems offer excellent vision and precise tissue manipulation within a defined area, they are limited in operations involving more than one quadrant of the abdomen. Since many gastrointestinal operations involve operating in at least two abdominal quadrants, the repeated disconnection and movement of the robots increase significantly the duration of the surgical procedure.

Despite various existing interesting systems and after several years of surgical instrumentation research, surgical robotics is still only at the very beginning of a very promising large scale development. One of the major open drawbacks is related to the fact that current robotic instruments are still too bulky and have insufficient dexterity for complex surgical procedures.

Further weaknesses of these systems are related with the stiffness, precision and payload capacity of the micro-manipulator units. A large number of conventional and robotic manipulators have been developed [Taylor1999, Cavusoglu1999, Mitsuishi2003, Mayer2004, Guthart2000, Tavakoli2003, Seibold2005, Das1997, Dachs2006, Abbott2007, Ikuta2003, Nakamura2000, Yamashita2005, Arata2005, Salle2004, Kobayashi2002, Dario2000, Peirs2003, Simaan2004, Ikuta2003, Focacci2007, Ishii2007] but their size, dexterity, stiffness, precision and payload capacity are not completely fulfilling the needs for MIS. In some cases, these insufficiencies lead to increased operative time or imprecise performance of several surgical tasks.

Other prior art documents include the following publications: US 2005/0096502, US 2009/0247821, GB 969,899, JP 2008-104620, US 6,197,017, US 2002/0049367, US 2003/0208186, US 2005/0240078, US 2006/0183975, US 2007/0299387, EP 0 595 291, US 6,233,504, US 2004/0236316, US 2004/0253079, US 2008/0058776, US 2008/0314181, US 2009/0198253, WO 03/067341, WO 2004/052171, WO 2005/046500, WO 2007/133065, WO 2008/130235, WO 03/086219, WO 2010/030114, DE 10314827, JP 2004041580, WO 2010/050771, WO 2010/019001, WO 2009/157719, WO 2009/145572, WO 2010/096580, DE 10314828, WO 2010/083480, US 5,599,151, EP 1 254 642, CN 101584594, CN 101732093, US 5,810,716, DE 4303311, US 2008/071208, US 2006/253109, WO 2009/095893, WO 2005/009482, CN 101637402, EP 0 621 009, WO 2009/091497, WO 2006/086663, EP 2 058 090.

## SUMMARY OF THE INVENTION

A first aim of the present invention is to improve the known devices and systems.

A further aim of the present invention is to provide a mechanical system, based on a new cable driven mechanical transmission, able to provide sufficient dexterity, stiffness, speed, precision and payload capacity to actuate multi-DOF (degrees of freedom) micro-manipulators. Besides the possibility of being used in several articulated surgical instruments and robotic systems for surgery or other applications involving remote manipulation, it enables the design of a fully mechanical surgical instrument, which offers the advantages of conventional laparoscopy (low cost, tactile feedback, high payload capacity) combined with the advantages of single port surgery (single incision, scarless surgery, navigation through several quadrants of the abdominal cavity) and robotic surgery (greater degrees of freedom, short learning curve, high stiffness, increased intuition).

The unique design of the proposed system provides an intuitive user interface to achieve such enhanced manoeuvrability, allowing each joint of a teleoperated slave system to be driven by controlling the position of a mechanically connected master unit.

The design and performance specifications of this system were driven by surgical task requirements and its use can contribute to increase the performance of abdominal surgical procedures, increasing their reliability.

The mechanical design of micro-mechanical systems can be performed according to many possible concepts and options, even if the kinematical architecture has already been defined and size and shape specifications imposed. One of the main issues is related with the design of a proper actuation and transmission system. In case of micro-mechanical systems for minimally invasive surgery, and especially for the endoscopic units, this aspect is crucial because the working space and incision dimensions are extremely limited and the high dexterity kinematics and demanding performance constraints are tough design goals to be pursued, since the micro mechanisms should meet highly demanding requirements of stability, precision, force and speed to effectively perform a surgical task. Given that, a special effort was placed in the study and development of a novel mechanical transmission, able to meet all those specified requirements.

The invention concerns a mechanism for remote manipulation comprising:

- a. a plurality of movable links; and

- b. a plurality of actuated joints placed between the said links, in a serial, parallel or hybrid configuration; and
- c. a plurality of joint driven pulleys, placed on each said actuated joint, with the axis co-linear with the axis of the respective joint, actuating different degrees of freedom of the mechanism; and
- d. a plurality of actuation pulleys, remotely placed relatively to the movable links of the said mechanism  
wherein the actuation commands are transmitted to said driven pulleys by means of a cable driven mechanical transmission; and
- e. said cable driven mechanical transmission comprising a plurality of driving cables, each coupling an actuation pulley at a proximal location of the mechanism and another one of said joint driven pulley, and wherein at least one of said joints is a co-axial joint where the axis of adjoining links are aligned or in a parallel configuration.

In an embodiment, each said driving cable may comprise a closed loop cable system, transmitting the actuation motion from the said actuation pulleys to the joint driven pulleys.

In an embodiment, each said coaxial joint(s) may comprise an idler tube which is coaxial with the joint axis and which is able to rotate around its axis.

In an embodiment, said closed loop cable may comprise a single ended cable, whose both extremities are linked to said actuated pulley or said joint driven idler pulley or said idler tube for transmission of the controlled motion by contact force.

In an embodiment, said closed loop cable may comprise two ended cables, whose extremities are attached in the said actuated pulleys, said joint driven idler pulleys or said idler tubes.

In an embodiment, at least one of said actuated joints may be of pivot type, where the axis of the said adjoining links are not alignment and the angle between them and changes with the movement of the actuated joint.

In an embodiment each said co-axial joint may comprise one joint idler tube per degree of freedom of the mechanism and each said joint idler tube are co-linear with the axis of the respective said co-axial joint.

In an embodiment, the axis of each said idler tube may keep its co-linear position by means of a set of external ball bearings.

In an embodiment, the axial position of each said idler tube may be kept, in relation to the other idler tubes of the same co-axial joint, by means of the contact between one or more parts of the idler tube, namely radial flanges or extremities, with external ball bearings or bushing components or any other component of the mechanism.

In an embodiment, the transmission of the actuated motion between the different stages of closed cable loops and the respective joint idler tubes may be done through the force generated on the fixation of the cable extremities.

In an embodiment, the transmission of the actuated motion between the different stages of closed loop cables, for the same said closed loop cable system, and the respective said joint idler tubes may be done through the contact force generated between them.

In an embodiment, said contact force may be increased by increasing the number of cable turns around the said joint idler tubes.

In an embodiment, said contact force may be increased by the use of a chain or flexible timing belt element or any other flexible transmission element.

In an embodiment, the chain or flexible element may be a bead chain, comprising a cable with several spherical or other axisymmetric elements, spaced by a constant pitch, along the segments of the cable that contact said joint idler tubes.

In an embodiment, the joint idler tubes, idler pulleys, actuation pulleys and joint driven pulleys may comprise grooves and specially shaped holes to hold said chain or flexible belt element and said spherical or other axisymmetric elements, increasing the transmitted force.

In an embodiment, the actuation pulleys may receive the input control commands.

In an embodiment, the input commands may be given by an operator moving directly the actuated pulleys.

In an embodiment, the input commands may be given by an operator moving a mechanical system that promotes the rotation of the said actuated pulleys.

In an embodiment, the input commands may be given by a plurality of actuators, controlled by electrical signals, to selectively drive the distal part of the mechanism.

In an embodiment, the forces experienced by the distal part of the mechanism are reproduced at the said actuated pulleys to provide force feedback.

In an embodiment, the invention concerns a device comprising a mechanism as defined in the different embodiments defined herein.

In an embodiment, the device is a mechanical teleoperated surgical system, comprising:

- ) a rigid support tube, having two extremities, a distal one, which is inside the patient's body during the surgical procedure, and a proximal one, which is located outside the patient's body; and
- ) a slave articulated unit, coupled to said distal portion of said support tube, composed by two miniature serial manipulators, sharing the same proximal shoulder component, said proximal shoulder component coupled to the said distal portion of the support tube by a rotational joint whose axis is perpendicular to the said support tube's axis, each said miniature serial manipulator comprising a plurality of linkages and joints and a distal gripping end-effector element;
- ) a master articulated unit, placed in the distal extremity of the said support tube, composed by two serial manipulators, each one having a plurality of linkages and joints and a distal input handle, with exactly the same kinematics and cable transmission topology of the said slave manipulators, wherein input commands from an operator cause the movement of said slave's end-effectors according to said input commands; and
- ) a cable driven mechanical transmission system, coupled between said master and said slave manipulators, for precisely emulating movement of said master manipulators by said slave manipulators, wherein each driven pulley, actuating a certain degree of freedom, of the said master manipulators is connected to the driven pulley of the said slave manipulator actuating the same degree of freedom; and
- ) a stereoscopic image capture component positioned at the distal end of the guide tube; and
- ) distal surgical instruments, attached to the end-effectors of the slave manipulators.

The surgical instruments may be of any type suitable to be used with the present invention and systems.

In an embodiment, the miniature serial manipulator has an anthropomorphic kinematics, resembling the human arm, said miniature serial manipulator comprising:

- ) a first distal joint, coupled to said distal end of said proximal shoulder component, said first distal joint having a first joint axis substantially co-axial to said proximal shoulder component axis,
- ) a first distal link movably coupled to said first distal joint,
- ) a second distal joint coupled to said first distal joint via said first distal link, said second distal joint having a second joint axis substantially perpendicular and intersecting to said first joint axis,
- ) a second distal link movably coupled to said second distal joint,
- ) a third distal joint coupled to said second distal joint via said second distal link, said third distal joint having a third joint axis substantially parallel to said second joint axis,
- ) a third distal link movably coupled to said third distal joint,
- ) a fourth distal joint coupled to said third distal joint via said third distal link, said fourth distal joint having a fourth joint axis substantially perpendicular and intersecting to said third joint axis,
- ) a fourth distal link movably coupled to said fourth distal joint,
- ) a fifth distal joint coupled to said fourth distal joint via said fourth distal link, said fifth distal joint having a fifth joint axis substantially perpendicular and intersecting to said fourth joint axis,
- ) a fifth distal link movably coupled to said fifth distal joint,
- ) a sixth distal joint coupled to said fifth distal joint via said fifth distal link, said sixth distal joint having a sixth joint axis substantially perpendicular and non-intersecting to said fifth joint axis, said sixth distal joint movably coupled to said at least one end-effector element,
- ) a seventh distal joint coupled to said fifth distal joint via said fifth distal link, said seventh distal joint having a seventh joint axis substantially perpendicular and non-intersecting to said fifth joint axis, said seventh distal joint having a seventh joint axis substantially coincident to said sixth joint axis, said seventh distal joint movably coupled to said a second end-effector element, in such a way that said first and second end effector elements are movable relative to, and independently of, one another,
- ) a plurality of miniaturized driving cables, each coupling an actuation pulley at a proximal location of the support tube and another one of said joint driven pulley, placed on said slave distal joints; and

In an embodiment, a coupling unit, placed at the proximal end of the support tube, mechanically connects the said master and said slave manipulators and their mechanical cable driven transmissions.

In an embodiment, an external manipulator mechanism, fixed relatively to the patient, is able to provide external degrees of freedom to the said support tube in such a way that the said slave unit can be inserted, positioned and moved within the abdominal cavity.

In an embodiment, said sixth distal joint has a sixth joint axis substantially parallel to said fifth distal joint axis, said sixth joint axis further coincident to said seventh joint axis.

In an embodiment, said sixth distal joint has a sixth joint axis substantially perpendicular and intersecting to said fifth distal joint axis, said sixth joint axis further coincident to said seventh joint axis.

In an embodiment, an eighth distal joint is provided between the said second distal joint and said third distal joint wherein said eighth distal joint has a eighth joint axis substantially perpendicular and intersecting to said second distal joint axis and third joint axis.

In an embodiment, the actuation pulleys of the master and slave manipulators are directly connected, in the said coupling unit, with multiple transmission ratios;

In an embodiment, the positioning mechanism further comprises a setup joint which connects the base to an operating room table or to the ground.

In an embodiment, the coupling unit is adapted to releasable connect the support tube to the master unit.

In an embodiment, an external positioning mechanism is provided which has external degrees of freedom of movement that are redundant with the degrees of freedom of movement of the said slave unit, comprises a remote centre of motion mechanism for pivoting the support tube about the incision point.

In an embodiment, said input commands may comprise the operator moving at least one master manipulator input linkage, wherein movement of said input handle corresponds to an analogous scaled increment movement of said slave end-effector.

In an embodiment, the forces experienced by the slave unit during a surgical procedure may be reproduced at the master input handle to provide the operator with force feedback.

In an embodiment, the slave articulate manipulator comprises a serial linkage having a number X of DOFs, and wherein said master input linkage is characterized by a number Y of DOFs where Y is equal to X.

In an embodiment, X comprises 7 slave degrees of freedom of movement and Y comprises 7 master degrees of freedom of movement.

In an embodiment, X comprises 8 slave degrees of freedom of movement and Y comprises 8 master degrees of freedom of movement.

In an embodiment, the rigid support tube has a free internal channel, in which a third surgical instrument may pass, as well as a tool to exchange the gripper distal instruments;

In an embodiment, the third surgical instrument may be flexible, having a distal camera in the tip, or a gripping or a cutting or an ablating end-effector.

In an embodiment, the slave manipulator unit can pivot around the distal extremity of the guide tube by the said proximal shoulder component, being inserted aligned with the tube and then, when already inside the abdominal cavity, being externally actuated to turn into a working configuration, perpendicular to the said positioning tube's axis.

In an embodiment, the magnitude of movements of the slave manipulator unit can be scaled relatively to the movements of the said master manipulator unit.

In an embodiment, the slave articulated unit and said master articulated unit comprise:

- ) a plurality of movable links; and
- ) a plurality of actuated joints, placed, between the said links, in a serial configuration; and
- ) a plurality of joint driven pulleys, placed on each said actuated joint, with the axis collinear with the axis of the respective joint, actuating the different degrees of freedom of the mechanism; and
- ) a plurality of actuation pulleys, remotely placed relatively to the movable links of the said articulated units and transmitting the actuation commands to the said driven pulleys by means of a cable driven mechanical transmission; and

-) a cable driven mechanical transmission, composed by a plurality of driving cables, each coupling an actuation pulley at a proximal location of the mechanism and another one of said joint driven pulley, placed on said micro-mechanism distal joints.

In an embodiment, each of the driving cable consist of a closed loop cable system, transmitting the actuation form the said actuation pulleys to the joint driven pulleys.

In an embodiment, the closed loop cable system may be composed by multiple sets of stages of closed loop cables, transmitting the actuation commands between them, form the said actuation pulleys to the joint driven pulleys, and keeping a constant total length for all the possible joint configuration of the said mechanism.

In an embodiment, the different closed loop cables of the multiple sets of stages of closed cable loops, transmit the actuation commands between them by a called idler tube, comprising an axisymmetric mechanical component, able to rotate around its axis, which is aligned with the axis of the said co-axial actuated joints.

In an embodiment, the closed loop cable is composed by a single ended cable, whose both extremities are fixed in the said actuated pulley or in the respective said joint driven pulley or in a said idler tube.

In an embodiment, the closed loop cable is composed by a single endless cable, transmitting the controlled motion between said actuated pulleys, said joint driven pulleys and idler tubes by means of contact force.

In an embodiment, the closed loop cable is composed by two ended cables, whose extremities are fixed in both the said actuated pulley and the respective said joint driven pulley or in both the said actuated pulley and an idler tube or in both an idler tube and a said actuated pulley.

In an embodiment, the actuated joints can be of pivot type, where the axis of the said adjoining links are not alignment and the angle between them and changes with the movement of the said actuated joint, and co-axial type, where the axis of adjoining links are aligned or in a parallel configuration.

In an embodiment, the axis of the different idler tubes, for the different degrees of freedom and belonging to the same said co-axial joint, are collinear with the axis of the respective said co-axial joint.

In an embodiment, the axis of each said idler tube is keeps its collinear position by means of the contact with a set of external ball bearings or bushing components or any other component of the mechanism.

In an embodiment, the axial position of each said idler tube is kept, in relation to the other idler tubes of the same co-axial joint, by means of the contact between one or more parts of the idler tube, namely radial flanges or extremities, with external ball bearings or bushing components or any other component of the mechanism.

In an embodiment, the transmission of the actuated motion between the different stages of closed cable loops and the respective idler tubes is done through the force generated on the fixation of cable's extremities.

In an embodiment, the transmission of the actuated motion between the different stages of closed loop cables, for the same said closed loop cable system, and the respective said idler tubes is done through the contact force generated between them.

In an embodiment, the contact force may be increased by increasing the number of cable turns around the said idler tubes.

In an embodiment, the contact force may be increased by the use of a chain or flexible timing belt element or any other flexible transmission element in the close loop cable system.

In an embodiment, the chain or flexible element is a bead chain, comprising a closed loop cable containing some spherical or other axisymmetric elements, spaced by a constant pitch, along the segments of the cable that can be in contact with the said idler tubes.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention will be better understood from the following detailed description and with reference to the drawings which show:

Figure 1 illustrates two different architectures for remote actuated cable driven systems, a) One actuated pulley per DOF b) Two actuated pulleys per DOF;

Figure 2 illustrates (a) a Pivot Joint and (b) a Co-axial Joint;

Figure 3 illustrates a cable rooting along a Pivot Joint, (a) being a 2D view and (b) being a perspective 3D view;

Figure 4 illustrates the problem of the cable routing along a co-axial joint;

Figure 5 further illustrates the problem of the cable routing along a co-axial joint;

Figure 6 illustrates a Co-axial joint Concept Development;

Figure 7 illustrates a Co-axial joint Concept, for a 2-DOF example;

Figure 8 illustrates a bead chain turning the idler cylinder;

Figure 9 illustrates a use of two ball bearings to mount an idler tube;

Figure 10 illustrates a Radial and axial restriction of the joint idler tubes;

Figure 11 illustrates a bead chain turning the idler cylinder;

Figure 12 illustrates a 3D Model of a micro-manipulator according to the invention;

Figure 13 illustrates a Kinematic Model of the micro-manipulator;

Figure 14 illustrates cabling schematics of the 7-DOF micro-manipulator;

Figure 15 illustrates 3D cable layout of the 7-DOF micro-manipulator;

Figure 16 illustrates component mounting parts;

Figure 17 illustrates radial and axial restriction of the joint turning distal link;

Figure 18 illustrates the overall composition of a teleoperated mechanical system according to the invention;

Figure 19 illustrates the external positioning degrees of freedom;

Figure 20 illustrates the kinematic model of the micro-manipulators according to the present invention;

Figures 21 and 22 illustrate the insertion procedure for the micro-manipulators;

Figure 23 illustrates a 3D Model of the endoscopic unit;

Figure 24 illustrates an overview of the fully mechanical master-slave system;

Figure 25 illustrates a cabling schematics of a sub-teleoperated system;

Figure 26 illustrates a cabling schematic for the entire teleoperated system according to the present invention and

Figure 27 illustrates an overview of the entire teleoperated system with the external positioning mechanism.

In order to actuate the joints of a micro-manipulator for MIS, two basic approaches are possible:

- (1) placing the actuators within the moving links of the manipulator, or integrating them in the joints directly, without transmission elements; or
- (2) placing the actuators on an external location, outside of the patient's body, having the motion transmitted to each joint by means of a complex mechanical transmission.

Internal actuation simplifies the mechanical configuration of the joint, reducing the complexity of the transmission chain. In particular, it has the great advantage that the motion of the joint is kinematically independent with respect to other joints. However, the size of the manipulator links is imposed by the dimension of the actuators and, due to technological power-to-volume limitations of available robotic actuation, it is quite difficult to obtain an anthropomorphic kinematics and the

required working performances and dimensions required for an endoscopic system. Furthermore, the motors occupy a rather large space inside the robotic structure, making it difficult to host other elements, like different kind of sensors or internal structural components. Another issue is that, since the mass of the actuators is concentrated inside the manipulator links, the dynamic behaviour of the system and its response bandwidth are reduced.

A further negative aspect is related with the routing of both power and signal cables of the actuators. This issue is more serious for the actuation of distal joints than for the proximal ones, since the cables in distal joints produce a relatively large resistant torque and volume disturbance on the proximal joints.

As a consequence of all those above mentioned disadvantages, the internal actuation of these micro-manipulators was discarded in favour of a remotely actuated solution.

As opposite to internal actuation architectures, in remote actuation the joints are driven by actuators placed outside the moving links. It requires a motion transmission system, which must pass through the joints between the motor and the actuated joint and may bring problems of kinematic and dynamic coupling between the actuated joint and the previous ones.

According to the type of adopted transmission elements, remote actuation systems can be classified as (1) flexible or (2) rigid transmission. This last way of transmission is mainly based on articulated linkages or rolling conjugated profiles (e.g. gear trains) and although may guarantee an increased stiffness of the systems, its implementation in miniature and complex multi-DOF mechanisms is extremely difficult.

On the other hand, flexible transmissions are based on deformable connections that can adapt to variations of configuration by changing the transmission path. They are based on flexible elements with translating motion, subject to tension (more frequently) or tension and compression. Two further subcategories can be identified: pulley-routed flexible elements (tendons, chains, belts) or sheath-routed flexible elements.

In this case, since it was aimed to develop a teleoperated mechanism with good force reflection properties, enabling bilateral force reflection, it was decided to use pulley-routed flexible elements, cables, with ball bearing mounted pulleys, in order to reduce the amount of friction losses along the mechanical transmission.

Remote cable driven actuation can be applied according to different types of organization, depending on the number of actuated pulleys used per joint. In particular, it is possible to recognize two main actuation architectures:

- (1) two actuated pulleys per DOF - each one can generate a controlled motion in one direction only and the return motion in the opposite direction must be obtained by an external action, which can be a passive (e.g., a spring) or an active system (e.g., an antagonistic actuator); this is the case of tendon-based transmission systems;
- (2) one actuated pulley per DOF - each one can generate a controlled motion in both directions and can be used alone to drive the joint. These two architectures are illustrated in Figure 1(a) (one actuated pulley per DOF) and (b) (two actuated pulley per DOF).

Since the second solution requires a higher number of components and brings additional complexity and cost to the mechanical system, the chosen architecture was the one that uses a single actuated pulley per DOF. In this case, the achievable performances are similar in both directions, but particular attention must be paid to backlash. Usually, it is necessary to preload the transmission system. Furthermore, the adoption of a closed loop tendon transmission requires that the overall length of the tendon route must be kept constant, for all the possible configurations of the manipulator.

$$\Delta l = 0, \forall \mathbf{q} \in W_q$$

In spite of this additional complexity, this actuation scheme has been used, for simple applications, with only a few DOF or low dexterity. However, in a multi-DOF configuration, with high dexterity, reduced dimensions and high payload requirements, several non solved problems arrive from the implementation of this kind of actuation transmission.

In the required kinematic design of high dexterity endoscopic micro-manipulators, two joint configurations may be present, which can be classified as (1) pivot joints or (2) co-axial joints, both being illustrated in figure 2(a) and (b). The distinction is related to the relative alignment of adjoining links. While in the first kind, the angle,  $\vartheta_{pd}$ , between the proximal,  $p$ , and distal,  $d$ , links changes with the movement of the joint, see Fig. 2 a), in the co-axial configuration the proximal joint has an axial rotation movement in relation to the distal one, see Fig. 2 b).

The cable routing method utilized for pivot joints is relatively standard and can be seen in several already developed solutions. As illustrated in figure 3, for this kind of configurations, the cable 2 is wrapped around a pulley 1, called the "joint idler pulley," which is concentric with the axis of

revolution 3 of the joint. To maintain a constant cable length, the cable 2 must remain in contact with the joint idler pulley 1 at all times. In this way, if the joint turns an angle  $\vartheta$  on the anticlockwise direction, the length of the superior segment 2', in contact with the idler pulley, will increase and the inferior segment 2'' will decrease, by the same value,  $R\vartheta$ , guaranteeing the constant length of the cable closed loop. As said before, each DOF is actuated by two cables, wrapped around a set of two pulleys 1, passing through the joint. The multi-DOF case, with  $n$  DOF, would require a stack of  $2n$  pulleys.

As illustrated in figure 3, the joint in addition comprises a set of proximal idler pulleys 4 and a set of distal idler pulleys 5 which guide the cable 2.

However, for the co-axial joints, the cable routing is much more complex. Some solutions to avoid this problem have already been proposed but, to the best of the inventor's knowledge, not for such a small dimension multi-DOF system with such a high dexterity and payload requirements. The problem consists in having an array of cables 10 being twisted about a co-axial axis 11, as shown in figure 4, when the proximal link 12 and the distal link 13 rotate relatively, with the two 10 cables actuating the same joint being stretched in the same way, thereby increasing the total length of the closed loop. Figure 4 also illustrates the proximal idler pulleys 14 and the distal idler pulleys 15.

This stretch of the different closed loops of cable 10 generates a resistant rotation moment that might be critical for multi-DOF systems. Another source of problems, as seen in figure 5, is the misalignment of the cables 10 in relation to the idler pulleys 14, identified by the angle  $\alpha$ , caused by this twist, which may cause the disengagement of the cables from the pulleys 14 and the rubbing of the cables 10, generating friction and wear. These problems are especially critical on the proximal joints of the manipulators, due to the high density of cables that actuate the distal joints.

In some applications of micro cable driven manipulators for MIS (minimally invasive surgery), this difficulty is minimised due to the low complexity (low number of internal DOF) of the system and the large ratio between the length of the instrument shaft,  $h$ , and the distance between the joint axis and the cables,  $d$ . In this way, the misalignment of the cables in relation to the idle pulleys is almost negligible and the change in length of the cables is small, generating a very small resistant rotation moment. In the present case however, due to the high number of internal DOF and the anthropomorphic kinematic configuration, this solution may not be applied.

The developed solution for the present invention is based on the concept shown in figure 3, which is adapted to be suitable for co-axial joints. More specifically, the configuration is similar but the two set of proximal and distal idler pulleys are separated by a joint idler pulley to allow the cables, belonging to the same closed loop, to be wrapped around the joint idler pulley, which is now in a perpendicular configuration (rather than a parallel one as illustrated in the embodiment of figure 3), aligned with the axis of the joint.

This configuration according to the present invention is illustrated in figure 6. In this configuration, the crossing and rubbing of the two cables is evident (see the drawing on the left in figure 6) and the way to avoid it resides in dividing the single primitive closed loop in two (as illustrated in the drawing on the right in figure 6). By doing this, the single closed loop is divided in two new closed loops, whose relative motion is now transmitted through an axial idler pulley (or tube) see figure 6.

More specifically, figure 6 shows (on the left side drawing) a first intermediate configuration derived from figure 3. In this first intermediate configuration, the cable 20 passes a first proximal idler pulley 21, then over the joint idler pulley 22 (or joint idler tube), a first distal idler pulley 23, goes to the tool to be controlled and comes back to a second distal idler pulley 24, crosses over the joint idler pulley 22 and finally passes a second proximal idler pulley 25. As one can easily see on the drawing of the right side of figure 6, the cable 20 crosses over the joint idler pulley 22 which renders this configuration unsuitable for the intended applications. To overcome this problem, the solution is illustrated in the drawing on the left side of figure 6. Specifically, in this configuration, the cable 20 is divided into two loops 20' and 20'' which are separated.

The first loop 20' passes the first idler pulley 21 the over the joint idler pulley and back over the second proximal idler pulley 25. The second loop 20'' passes the second distal idler pulley 24, then over the joint idler pulley 22 and then over the first idler pulley 23. Accordingly, the motion of the first cable loop 20' may be then transmitted to the second cable loop 20'' via the joint idler pulley 22.

As an extension of this concept, to be able to form a multi-DOF system, the joint according to the present invention will be composed by several co-axial idler tubes/pulleys corresponding to the pulley 22 of figure 6, with different lengths and different diameters, allowing the hosting of the different sets of proximal and distal idler pulleys for the different closed loops actuating the different joints. Figure 7 illustrates the basic principle of this extension. For example, one sees in the figure the system as illustrated in figure 6 (drawing on the right side) and described above (cables 20', 20'', pulleys 21, 23, 24 and joint idler tube 22) and there is accordingly a second similar system for the

second DOF. Specifically, basically the second system is similar to the first one with two cable loops 30', 30", proximal idler pulleys 31, 35, distal idler pulleys 33, 34 and a joint idler tube/pulley 32. This pulley 32 has a smaller diameter than the pulley 22 and is concentrical with this pulley 22, aligned along the same axis 11 (the joint axis).

Accordingly, this allows to have two independent actuating systems in the same joint, and the principle may be extended further in order to add additional DOF, the principle being to add the concentrical joint idler tubes/pulleys.

Systems with several stages of endless cables have been used in several mechanical systems where, in order to ensure enough friction to transmit the motion between consecutive closed loops, timing belts have been frequently used. However, for this specific solution, they are not a suitable choice. The main problem is related to the fact that, although timing belts might be used in out-of-plane configurations, in this reduced dimensions application, since the out-of-plane idler pulleys are too close to each other, this kind of configurations are not feasible.

A standard cable could be a solution. However, the friction generated by the cable in contact with the idle pulley and/or tube, for any pair of materials, wouldn't be sufficient and the wear would be excessive. The cable could also be wrapped several times around it, with an exponentially increased friction, but it would promote an unacceptable axial movement of the idler pulley.

Since in this configuration the motion transmission can only be made through half a turn of contact of cable around the joint idler tube/pulley, the friction in the contact is maximized by a specially developed bead chain, which is illustrated in figure 8. It is composed by a continuous stiff rope 36 (corresponding to the cables 20', 20", 30', 30" described above) with several spherical beads 37, placed with constant pitch, in the segments of the cable that may be in contact with the joint idler tube 22, 32 as described with reference to figures 6 and 7 above. The bending flexibility, axial symmetry, strength and compactness of this bead chain make it suitable for this application, where high load resistance, no slipping, low volume and right-angle driving are major requirements.

Wire ropes or cables are available in a variety of strengths, constructions, and coatings. Although cable strength generally increases with diameter, the effective minimum bend radius is decreased. Cable compliance, cost, and construction stretch generally increases with strand count.

During operation, the cable runs in a grooved surface 38, placed on the extremities of the idler tubes 22, 32 and the beads seat in sprocket indentations 39, where the shear force is generated.

As was already explained in the previous section, in a multi-DOF configuration, the primitive closed loop is divided in two new closed loops, whose motion is transmitted through the axial idler tube 22, 32, which should be able to rotate independently from other concentrical idler tubes/pulleys which are present in accordance with the embodiment illustrated in figure 7, while keeping its fixed axial position. This could be achieved for example by the use of two internal radial ball bearings 40, in a standard configuration, as shown in figure 9 where the joint idler tubes/pulleys 22, 32 correspond to the one described above.

However, in a multi-DOF system, the space gap between the concentric joint idler tubes 22, 32 is not enough to place two ball bearings for each idler tube and so, several (for example preferably six) miniature external ball bearings may be used to guarantee the concentricity of each idler tube. Specifically, one uses six external bearings per joint idler tube/pulley 22, 32 to ensure a correct and stable positioning. The axial movement is constrained by the contact of two radial flanges with the six bearings as illustrated in figure 10.

More specifically, drawing (a) in figure 10 illustrates an axial view of the arrangement of bearings 41, 42 on the joint idler tube/pulley 22, 32. Drawing (b) in figure 10 illustrates a front view of the joint arrangement with the bearings 41, 42 and flanges 43 used to block the lateral motion of the bearings. Drawing (c) in figure 10 illustrates a cut and perspective view of the joint arrangement as described.

For an application example with two transmitted degrees of freedom, the layout of the joint using the principles of the present invention described above will look like the one shown in figure 11. More specifically, this embodiment corresponds to the one illustrated in figure 7, using the cable 36 with beads 37 and the joint idler tube 22, 32 of figure 8 with the grooved surface 38, placed on the extremities of the idler tube 22, 32 and the beads seat in sprocket indentations 39. Accordingly, numerical references used in said previous figures apply here to corresponding elements as well as the description.

Making use of the transmission concept previously proposed, the design of several novel mechanical surgical instruments can be implemented. The main goals of these platforms are:

(1) to provide high dexterity within the abdominal cavity,

- (2) to provide enough precision and stiffness, enabling the performance of accurate surgical procedures,
- (3) to have reduced dimensions and
- (4) to have low inertia and friction, allowing good force reflecting properties, increasing the transparency of the teleoperated mechanical system.

As an example of application, figure 12 shows the overall composition of a mechanical system such as a manipulator, which is able to provide the desired dexterity to the performance of complicated surgical procedures, like pulling and cutting tissue or eventually suturing. This manipulator has high dexterity, high payload capacity, stiffness and precision, with seven degrees of freedom (six to orientate and to move the distal gripper, i.e. DOF1 to DOF6, and one degree of freedom, DOF7, to actuate the gripper 50). In order to be as intuitive to control as possible, the degrees of freedom are designed with an anthropomorphic kinematics, resembling a simplified human arm.

Achieving a kinematic model that matches the one of the human arm is a challenging task, especially in cable-driven devices, where the cables must be routed through joint axes while maintaining constant cable length.

Anthropomorphic joint approximations can be modelled at varying degrees of accuracy and complexity. The level of complexity needed for a suitable representation depends highly on the desired tasks to be performed. For this specific system, since it is aimed to control the position and orientation of the end-effector in the 3D space, the movement of each anthropomorphic micro-manipulator is achieved through the articulation of six single-axis revolute joints plus the gripper 50 actuation.

The manipulator degrees of freedom are labelled from J1 to J7 (as DOF1 to DOF7 illustrated in figure 12), from the proximal to the distal joint, in the order shown in figure 13.

The shoulder abduction-adduction and flexion-extension are then modelled as a composition of two intersecting axes, J<sub>1</sub> and J<sub>2</sub>. The elbow flexion-extension is modelled by a single axis parallel to the second shoulder axis, J<sub>3</sub>. Forearm prono-supination takes place between the elbow and wrist joints as it does in the physiological mechanism, J<sub>4</sub>, while two orthogonal joints, J<sub>5</sub> and J<sub>6</sub>, represent the wrist flexion-extension and radial-ulnar deviation. The offset between J<sub>5</sub> and J<sub>6</sub> is due to the physical limitation of having two cable actuated joints with intersecting axis. Finally, the gripper actuation is represented by J<sub>7</sub> and is a result of the actuation of both gripper blades about the same axis.

The resultant kinematics is identical to the Elbow Manipulator, which is considered to be the optimal kinematics for a general 6-DOF revolute joint manipulator.

As illustrated in Fig. 13, joints  $J_1$  and  $J_4$  are modelled as co-axial joints, and joints  $J_2$ ,  $J_3$ ,  $J_5$ ,  $J_6$  and  $J_7$  are pivot joints.

The cabling topology of the entire manipulator using the principle of the present invention is schematically shown in figure 14 which uses the above description of configurations of pivot joints and co-axial joints according to the present invention. The design of the mechanism is such that the closed cable loop systems which control each degree of freedom are moved by the same actuated driven pulley placed in the external part of the body.

Pulleys  $M_1$ - $M_7$  actuate joints  $J_1$ - $J_7$  through a set of cable loops,  $L_1$ - $L_7$ , that, depending of the degree of freedom, can have one, two or three stages, separated by the loop break lines,  $LB_1$  and  $LB_2$ . A single cable loop runs about multiple idler pulleys, which are placed in proximal and distal positions from the driven pulleys and joint idler tubes.

Since each idler pulley is mounted on a ball bearing, in all the closed loops, with the exception of  $L_6$  and  $L_7$ , the cables are perfectly aligned with the idler pulleys, idler tubes and driven pulleys. In this way, the idler pulleys don't suffer any torque, which cause them to tilt about an axis orthogonal to the pulley shaft. Since the single pulley bearings are not designed to handle moments, tilting the pulley forces it to rub on its neighboring pulley, creating additional friction. Also, the bearings themselves are not meant to run tilted, which can create even more friction.

Cable loop  $L_1$  is composed by a single loop stage,  $L_{11}$ . Starting from the actuated pulley  $M_1$ ,  $L_{11}$  engages directly the driven pulley  $P_1$ , passing by two proximal idler pulleys of joint  $J_1$ , and returns back to  $M_1$ , where both terminations are fixed.

Cable loop  $L_2$  is composed by two loop stages,  $L_{21}$  and  $L_{22}$ . Starting from the actuated pulley  $M_2$ ,  $L_{21}$  engages the idler tube (i.e. the joint idler tube/pulley defined above)  $IT_{21}$ , passing by two proximal idler pulleys of  $J_1$ , and returns back to  $M_2$ , where both terminations are fixed. From  $IT_{21}$ ,  $L_{22}$  passes by two distal idler pulleys of  $J_1$  and engages the driven pulley  $P_2$ , where both terminations are fixed.

Cable loop  $L_3$  is composed by two loop stages,  $L_{31}$  and  $L_{32}$ . Starting from the actuated pulley  $M_3$ ,  $L_{31}$  engages the idler tube (i.e. the joint idler tube/pulley defined above)  $IT_{31}$ , passing by two proximal

idler pulleys of  $J_1$ , and returns back to  $M_3$ , where both terminations are fixed. From  $IT_{31}$ ,  $L_{32}$  passes by the two distal idler pulleys of  $J_1$ , by the idler pulleys (proximal, joint and distal) of  $J_2$  and engages the driven pulley  $P_3$ , where both terminations are fixed.

Cable loop  $L_4$  is composed by two loop stages,  $L_{41}$  and  $L_{42}$ . Starting from the actuated pulley  $M_4$ ,  $L_{41}$  engages the idler tube (i.e. the joint idler tube/pulley defined above)  $IT_{41}$ , passing by two proximal idler pulleys of  $J_1$ , and returns back to  $M_4$ , where both terminations are fixed. From  $IT_{41}$ ,  $L_{42}$  passes by the two distal idler pulleys of  $J_1$ , by the idler pulleys (proximal, joint and distal) of  $J_2$  and  $J_3$  and engages the driven pulley  $P_4$ , where both terminations are fixed.

Cable loop  $L_5$  is composed by three loop stages,  $L_{51}$ ,  $L_{52}$  and  $L_{53}$ . Starting from the actuated pulley  $M_5$ ,  $L_{51}$  engages the idler tube (i.e. the joint idler tube/pulley defined above)  $IT_{51}$ , passing by the two proximal idler pulleys of  $J_1$ , and returns back to  $M_5$ , where both terminations are fixed. From idler tube  $IT_{51}$ ,  $L_{52}$ , which is an endless closed loop cable stage, passes by the two distal idler pulleys of  $J_1$ , by the idler pulleys (proximal, joint and distal) of  $J_2$  and  $J_3$  and engages the idler tube  $IT_{52}$ . From idler tube  $IT_{52}$ ,  $L_{53}$  passes by the two distal idler pulleys of  $J_4$  and engages the driven pulley  $P_5$ , where both terminations are fixed.

For each one of the degrees of freedom  $J_6$  and  $J_7$ , the cable loops  $L_6$  and  $L_7$  have a single stage,  $L_{61}$  and  $L_{71}$ . They run from the actuated pulleys  $M_6$  and  $M_7$  until the distal driven pulleys,  $P_6$  and  $P_7$ , passing through the idler pulleys of all the proximal pivot joints of the micro-manipulator. On the other hand, when passing by the co-axial joints  $J_1$  and  $J_4$ , they are not passing through idler pulleys and are twisted around the joint axis. However, due to extensive length of the loops, between the actuated and driven pulleys, and the short distance between the cables and the axis of rotation, the resulting stretch of the cables is slight, so that the resulting resistance to rotational motion is almost negligible. The resultant misalignment between the cables and the idler pulleys is also within reasonable limits, avoiding the cables to jump out of their path. This twisting of the cables, however, limit the rotation of the instrument shaft to  $\pm 180^\circ$ , at which point the cables will rub on each other, creating friction and wear.

It is important also to note that, since the most demanding force constraint is on the gripping joints,  $L_6$  and  $L_7$  are running in an opposite phase thru the proximal joint idler pulleys, where both coupling torques are canceled.

The references  $A_1$  to  $A_7$  identify the successive joint axis.

Figure 15 shows a 3D layout of the cabling for each 7-DOF endoscopic micro-manipulator, related to the cabling schematics described before with the joints of figure 13.

To hold in the 3D space all the components of the cabling scheme, like idler pulleys, ball bearings, and positioning pins and screws, special parts were developed, guaranteeing the perfect positioning and support of all the joint components and allowing the routing of the different cables, considering the complex design of figure 15. Special attention was paid to the assembly precision of the mechanism. Since each idler tube is radial and axially positioned by six external miniature bearings (three on each extremity) as described here above with reference to figures 10 and 11, their precise positioning is guaranteed by mounting them on a unique base part 50, 50', schematically illustrated in figure 16, whose production process, for example by CNC milling machining, ensures extremely fine tolerances. Both the proximal and distal links of a coaxial joint have a set of base parts 50, 50', which are fixed together by miniature screws, having their alignment guaranteed by positioning pins. In figure 16, the left side drawing shows the assembled joint as described above previously and the right side drawing shows the joint in the base parts 50, 50', in a mounted state.

As explained before, the distal link has an axial rotation movement in relation to the proximal one. Due to the lack of space, this axial rotation and the linear axial movement constraints are guaranteed by six additional miniature ball bearings 51, which are fixed to the distal set of base parts, in a configuration similar to the one used for the idler tubes, as illustrated in figure 17. In this way, the miniature ball bearings are in direct contact with an external proximal tube 52, which is fixed to the proximal set of base parts, enabling the precise rotation of the distal set of base parts in relation to the proximal set of parts. The top drawing of figure 17 illustrates the joint of figure 16 in top view with a proximal link and a turning distal link, the bottom left drawing illustrates the external bearings 51 on the joint and the bottom right drawing illustrates a cut view of the joint in a tube 52.

In another aspect, the present invention relates to a mechanical system using the cable transmission described herein to form a teleoperated mechanical device as will be described in detail now.

Figure 18 gives an overview of the endoscopic unit, with two micro manipulators, whose design details were explained previously, placed in an anthropomorphic and teleoperated configuration. Specifically, figure 18 illustrates the overall composition of this system which has a total of 14 degrees of freedom (excluding a possible camera system) with a master M, an insertion tube IT for insertion in the patient and a slave S, comprising the micromanipulators which used the cabled system described above.

This Surgical Platform can be divided in three major subsystems, which are designed to work together, achieving a force reflecting teleoperation. The first one is a 14 degree of freedom micro unit comprising two micro-manipulators, the mechanical slave S, with an anthropomorphic kinematics, equipped with an endoscopic camera system, providing triangulation and intuitive hand-eye coordination.

The shaft S which passes into the patient's P body incision is denominated insertion tube, IT, and not only brings the cable driven mechanical transmission from the exterior but also provides the stable fixation and movement of the slave S unit within the abdominal cavity, see figure 19 that illustrates the external positioning degrees of freedom on the insertion tube IT and the slave S inside the patient P.

The 3th subsystem comprises a mechanical master interface M, which is directly connected to the slave S through the fully mechanical cable driven transmission, in such a way that a surgeon's hand movements are reproduced in the slave's tip movements. In this way, the two handles of the master unit assume the same spatial orientation and relative position as the slave tips.

As compared with conventional endoscopic instruments, this mechanical manipulator improves the ergonomics for the surgeon, enabling a positioning of his/her hands in a natural orientation to each other, providing improved eye-hand coordination, intuitive manipulation, and an ergonomic posture.

Furthermore, to optimize the manipulation performances, a surgeon has only to control the movements of the instrument tips, without having the need to hold the insertion tube IT in its desired position within the abdominal cavity. Then, the insertion tube IT should be connected to an external positioning mechanism, linked to a fixed external reference (like ground, surgical bed, etc), which should provide the required 4 DOF, see figure 19, to fix and move the endoscopic subsystem inside the body of a patient P. To optimize force transmission and force feedback, the manipulators composing the master-slave system are designed to have light weight, low inertia, high stiffness and low friction in the joints and mechanical transmission. Finally, the endoscopic unit of the system, which enters the patient's body, is completely bio-compatible and might be able to be decoupled from the manipulators and sterilized.

In order to provide the desired mobility needed to perform complicated surgical procedures, like pulling and cutting tissue or eventually suturing, the internal DOFs are given by the two endoscopic micro-manipulators 60, 61, which exhibit high dexterity, high payload capacity, stiffness and precision inside the patient's body. In order to be as intuitive to control as possible, the degrees of freedom are designed to resemble a simplified human arm. The stereoscopic camera will be located between the two manipulators 60, 61, providing eye-manipulator alignment similar to human eye-hand alignment, and thus enhancing the telepresence and intuitiveness of the system. This aims to give the impression to the surgeon that he/she is operating inside the patient's body with his/her own two hands.

Anthropomorphic joint approximations can be modelled at varying degrees of accuracy and complexity. The level of complexity needed for a suitable representation depends highly on the desired tasks to be performed. For this specific system, since we aim to control the position and orientation of the end-effector in the 3D space, the movement of each anthropomorphic micro-manipulator 60, 61 is achieved through the articulation of six single-axis revolute joints plus the gripper.

The manipulator 60 degrees of freedom are labelled from 1 to 7, from the proximal to the distal joint, in the order shown in figure 20 which corresponds to figure 13 above and its description applies correspondingly.

The shoulder abduction-adduction and flexion-extension are then modelled as a composition of two intersecting axes, J1 and J2. The elbow flexion-extension is modelled by a single axis parallel to the second shoulder axis, J3. Forearm prono-supination takes place between the elbow and wrist joints as it does in the physiological mechanism, J4, while two orthogonal joints, J5 and J6, represent the wrist flexion-extension and radial-ulnar deviation. The offset between J5 and J6 is due to the physical limitation of having two cable actuated joints with intersecting axis. Finally, the gripper actuation is represented by J7 and is a result of the actuation of both gripper blades about the same axis.

The resultant kinematics is identical to the Elbow Manipulator, which is considered to be the optimal kinematics for a general 6-DOF revolute joint manipulator.

To allow the insertion of the endoscopic micro-manipulators 60, 61 inside the abdominal cavity, they are first set to a strait position, aligned with the insertion tube IT axis, and then, after being inserted inside the patient's body, they are finally rotated to their anthropomorphic working configuration, this process being illustrated in figure 21.

In this way, the available cross section diameter for each arm manipulator is maximized, for the same insertion tube IT diameter, specially compared with solutions where both arm manipulators are inserted at the same time, in a parallel configuration, as shown in figure 22. With this configuration, the micro-manipulators diameter can be doubled and their cross section magnified 4 times, enabling a significant increasing in the achieved stiffness of the system.

Figure 23 represents a 3D Model of the endoscopic unit 60, 61 which uses the principles of the present invention as described above with cable transmission and degrees of freedom (J1-J7, see the above description).

To reproduce the movements of surgeon's both hands to the corresponding movements at the instrument grippers a fully mechanical master-slave is used, making use of the novel cable driven transmission described before. An overview of the master-slave system is shown in Fig.24 comprising the master M, the insertion tube IT and the slave S, this typically comprising the endoscopic unit illustrated in figure 23 and preceding figures, as described herein.

The system comprises two sub-teleoperated systems working in parallel. In each one of those systems, an endoscopic micro-manipulator, whose design details were explained above, is mechanically connected to another cable driven manipulator, with exactly the same transmission layout, in such a way that, when one of the systems is moved, the other one has a corresponding movement. In other words, the joint spaces of both systems are equivalent:

$${}^M q = {}^S q, \quad \forall {}^M q \in W_{M_q} \cap {}^M q \in W_{M_q}$$

This feature can be achieved by directly connecting both master and slave actuated pulleys for each degree of freedom,  ${}^M M_i$  and  ${}^S M_i$ , as shown on Fig 25 which illustrates the cabling schematics of the system. This is similar to the system described above in relation to figure 14 and its description applies correspondingly. Indeed, in figure 25, the same system is illustrated but only doubled to consider both "arms" of the manipulators 60, 61.

The cabling schematic for the entire teleoperated system is then represented in Fig. 26. It corresponds to the system of figure 25 which is doubled (one for the slave S and one for the master

M) and the description made above in relation to preceding figures (in particular figures 14 and 25) apply correspondingly here since the overall system works in an identical way.

With this teleoperated system, the ergonomics of the surgeon is visibly improved. He does not have to stand up with his hands in a non ergonomic position, does not have to manipulate long endoscopic instruments with only 4 DOFs and does not have to adapt to the mirroring effect due to the incision in the patient's body. The surgeon can sit comfortably on a chair, with supported elbows, and with his hands positioned in a natural orientation to each other. Placing the endoscopic camera between the two micro-manipulators, aligned with the insertion tube, together with a properly placed output screen, the surgeon also will be able to manipulate his own viewing direction.

In order to be placed, fixed and moved within the abdominal cavity, the teleoperated system (master M, insertion tube IT and slave S) supported by an external positioning manipulator 100 (see figure 27), which is fixed relatively to an operating table 101, able to provide external degrees of freedom to the endoscopic micro-manipulators, in such a way that they can be inserted, positioned and moved within the abdominal cavity of a patient.

An example of such an external positioning device 100 illustrated in figure 27 is given in PCT/IB2011/053576, the content of which is incorporated by reference in its entirety in the present application.

Although the present invention has been exemplified by an application on a micro-mechanism for performing minimally invasive surgical procedures, it may also be used for other forms of endoscopic surgery as well as open surgery and also in other devices, not limited to medical applications.

The present mechanical system could also be employed for any suitable remote actuated application requiring a dexterous manipulator with high stiffness and quality force feedback. It can be applied in system with different sizes and different kinds of remote actuations, from manual to computer controlled control.

Moreover, while this invention has been particularly shown and described with references to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the spirit and scope of the invention as defined by the appended claims, for example by way of equivalent means. Also the different embodiments disclosed may be combined together according to circumstances.

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## CLAIMS

1. A mechanism for remote manipulation comprising:
  - ) a plurality of movable links; and
  - ) a plurality of actuated joints placed between the said links, in a serial, parallel or hybrid configuration; and
  - ) a plurality of joint driven pulleys, placed on each said actuated joint, with the axis co-linear with the axis of the respective joint, actuating different degrees of freedom of the mechanism; and
  - ) a plurality of actuation pulleys, remotely placed relatively to the movable links of the said mechanism wherein the actuation commands are transmitted to said driven pulleys by means of a cable driven mechanical transmission; and
  - ) said cable driven mechanical transmission comprising a plurality of driving cables, each coupling an actuation pulley at a proximal location of the mechanism and another one of said joint driven pulley, and wherein at least one of said joints is a co-axial joint where the axis of adjoining links are aligned or in a parallel configuration.
2. The mechanism of claim 1, wherein each said driving cable comprises a closed loop cable system, transmitting the actuation motion from the said actuation pulleys to the joint driven pulleys.
3. The mechanism of claim 2, wherein each said coaxial joint(s) comprise(s) an idler tube which is coaxial with the joint axis and which is able to rotate around its axis.
4. The mechanism of one of the preceding claims, wherein said closed loop cable comprises a single ended cable, whose both extremities are linked to said actuated pulley or said joint driven idler pulley or said idler tube for transmission of the controlled motion by contact force.
5. The apparatus of claim 4, wherein said closed loop cable comprises two ended cables, whose extremities are attached in the said actuated pulleys, said joint driven idler pulleys or said idler tubes.
6. The mechanism of one of claims 1 to 7, wherein at least one of said actuated joints is of pivot type, where the axis of the said adjoining links are not alignment and the angle between them and changes with the movement of the actuated joint.
7. The mechanism of claim 3 or 6, wherein the each said co-axial joint comprise one joint idler tube per degree of freedom of the mechanism and each said joint idler tube are co-linear with the axis of the respective said co-axial joint.
8. The mechanism of claim 7, wherein the axis of each said idler tube keeps its co-linear position by means of a set of external ball bearings.

9. The mechanism of claim 8, wherein the axial position of each said idler tube is kept, in relation to the other idler tubes of the same co-axial joint, by means of the contact between one or more parts of the idler tube, namely radial flanges or extremities, with external ball bearings or bushing components or any other component of the mechanism.
10. The mechanism of one of the preceding claims, wherein the transmission of the actuated motion between the different stages of closed cable loops and the respective joint idler tubes is done through the force generated on the fixation of the cable extremities.
11. The mechanism of one of the preceding claims, wherein the transmission of the actuated motion between the different stages of closed loop cables, for the same said closed loop cable system, and the respective said joint idler tubes is done through the contact force generated between them.
12. The mechanism of claim 11, wherein said contact force may be increased by increasing the number of cable turns around the said joint idler tubes.
13. The mechanism of claim 11, wherein said contact force may be increased by the use of a chain or flexible timing belt element or any other flexible transmission element.
14. The mechanism of claim 13, wherein the chain or flexible element is a bead chain, comprising a cable with several spherical or other axisymmetric elements, spaced by a constant pitch, along the segments of the cable that contact said joint idler tubes.
15. The mechanism of claim 14, wherein said joint idler tubes, idler pulleys, actuation pulleys and joint driven pulleys comprise grooves and specially shaped holes to hold said chain or flexible belt element and said spherical or other axisymmetric elements, increasing the transmitted force.
16. The mechanism of one of the preceding claims, wherein the said actuation pulleys receive the input control commands.
17. The mechanism of claim 16, wherein said input commands are given by an operator moving directly said actuated pulleys.
18. The mechanism of claim 16, wherein said input commands are given by an operator moving a mechanical system that promotes the rotation of the said actuated pulleys.
19. The mechanism of claim 16, wherein said input commands are given by a plurality of actuators, controlled by electrical signals, to selectively drive the distal part of the mechanism.
20. The mechanism of claim 19, wherein the forces experienced by the distal part of the mechanism are reproduced at the said actuated pulleys to provide force feedback.
21. A device comprising a mechanism as defined in the preceding claims.
22. A device as defined in claim 21, wherein said device is a mechanical teleoperated surgical system, comprising:

- ) a rigid support tube, having two extremities, a distal one, which is inside the patient's body during the surgical procedure, and a proximal one, which is located outside the patient's body; and
- ) a slave articulated unit, coupled to said distal portion of said support tube, composed by two miniature serial manipulators, sharing the same proximal shoulder component, said proximal shoulder component coupled to the said distal portion of the support tube by a rotational joint whose axis is perpendicular to the said support tube's axis, each said miniature serial manipulator comprising a plurality of linkages and joints and a distal gripping end-effector element;
- ) a master articulated unit, placed in the distal extremity of the said support tube, composed by two serial manipulators, each one having a plurality of linkages and joints and a distal input handle, with exactly the same kinematics and cable transmission topology of the said slave manipulators, wherein input commands from an operator cause the movement of said slave's end-effectors according to said input commands; and
- ) a cable driven mechanical transmission system, coupled between said master and said slave manipulators, for precisely emulating movement of said master manipulators by said slave manipulators, wherein each driven pulley, actuating a certain degree of freedom, of the said master manipulators is connected to the driven pulley of the said slave manipulator actuating the same degree of freedom; and
- ) a stereoscopic image capture component positioned at the distal end of the guide tube; and
- ) distal surgical instruments, attached to the end-effectors of the slave manipulators.

23. The device of claim 22, wherein said miniature serial manipulator has an anthropomorphic kinematics, resembling the human arm, said miniature serial manipulator comprising:

- ) a first distal joint, coupled to said distal end of said proximal shoulder component, said first distal joint having a first joint axis substantially co-axial to said proximal shoulder component axis,
- ) a first distal link movably coupled to said first distal joint,
- ) a second distal joint coupled to said first distal joint via said first distal link, said second distal joint having a second joint axis substantially perpendicular and intersecting to said first joint axis,
- ) a second distal link movably coupled to said second distal joint,
- ) a third distal joint coupled to said second distal joint via said second distal link, said third distal joint having a third joint axis substantially parallel to said second joint axis,
- ) a third distal link movably coupled to said third distal joint,

- ) a fourth distal joint coupled to said third distal joint via said third distal link, said fourth distal joint having a fourth joint axis substantially perpendicular and intersecting to said third joint axis,
- ) a fourth distal link movably coupled to said fourth distal joint,
- ) a fifth distal joint coupled to said fourth distal joint via said fourth distal link, said fifth distal joint having a fifth joint axis substantially perpendicular and intersecting to said fourth joint axis,
- ) a fifth distal link movably coupled to said fifth distal joint,
- ) a sixth distal joint coupled to said fifth distal joint via said fifth distal link, said sixth distal joint having a sixth joint axis substantially perpendicular and non-intersecting to said fifth joint axis, said sixth distal joint movably coupled to said at least one end-effector element,
- ) a seventh distal joint coupled to said fifth distal joint via said fifth distal link, said seventh distal joint having a seventh joint axis substantially perpendicular and non-intersecting to said fifth joint axis, said seventh distal joint having a seventh joint axis substantially coincident to said sixth joint axis, said seventh distal joint movably coupled to said a second end-effector element, in such a way that said first and second end effector elements are movable relative to, and independently of, one another,
- ) a plurality of miniaturized driving cables, each coupling an actuation pulley at a proximal location of the support tube and another one of said joint driven pulley, placed on said slave distal joints; and

24. The device of claim 22, wherein a coupling unit, placed at the proximal end of the support tube, mechanically connects the said master and said slave manipulators and their mechanical cable driven transmissions.

25. The device of claim 22, wherein an external manipulator mechanism, fixed relatively to the patient, is able to provide external degrees of freedom to the said support tube in such a way that the said slave unit can be inserted, positioned and moved within the abdominal cavity.

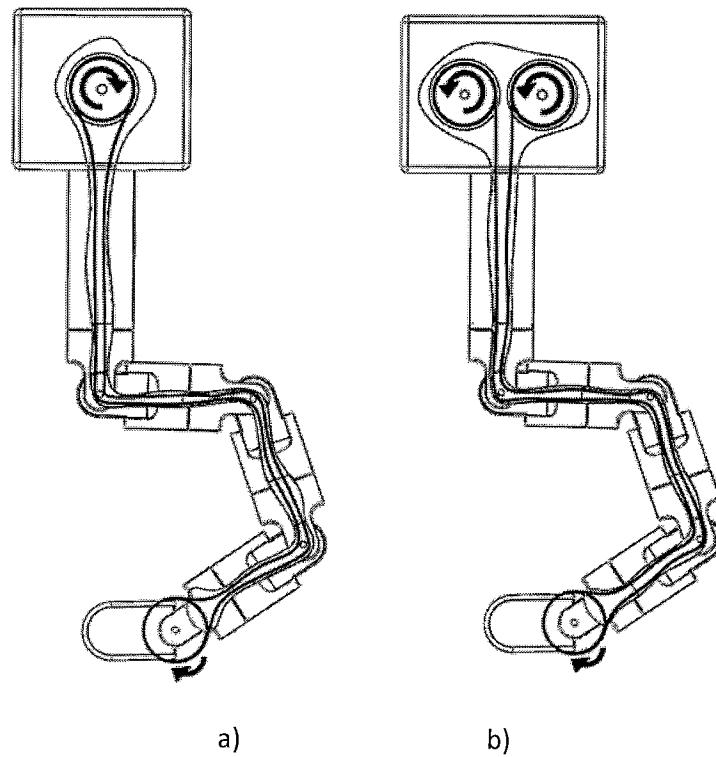
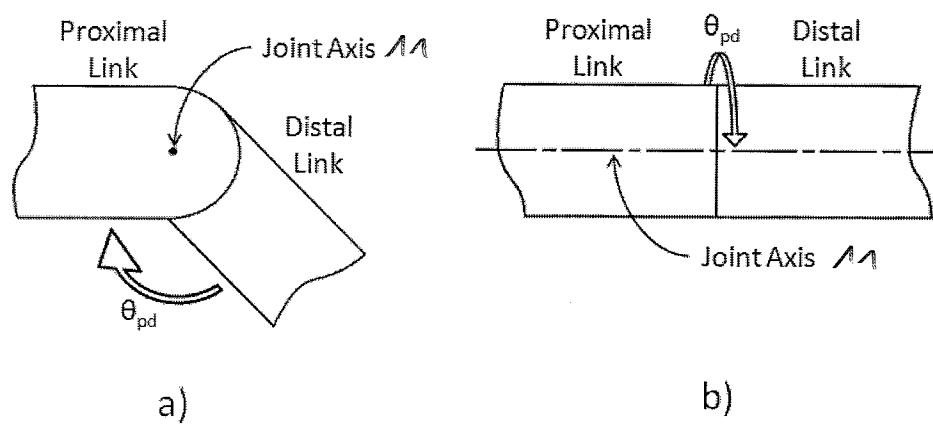
26. The device of claim 23, wherein said sixth distal joint has a sixth joint axis substantially parallel to said fifth distal joint axis, said sixth joint axis further coincident to said seventh joint axis.

27. The device of claim 23, wherein said sixth distal joint has a sixth joint axis substantially perpendicular and intersecting to said fifth distal joint axis, said sixth joint axis further coincident to said seventh joint axis.

28. The device of claim 23, 26 or 27, further comprising an eighth distal joint, between the said second distal joint and said third distal joint, wherein said eighth distal joint has a eighth joint axis substantially perpendicular and intersecting to said second distal joint axis and third joint axis.

29. The device of any of claims 22 to 28, wherein the actuation pulleys of the master and slave manipulators are directly connected, in the said coupling unit, with multiple transmission ratios;
30. The device of any of claims 22 to 29, wherein the positioning mechanism further comprises a setup joint which connects the base to an operating room table or to the ground.
31. The device of any of claims 22 to 30, wherein the coupling unit is adapted to releasable connect the support tube to the master unit.
32. The device of any of claims 25 to 31, wherein the external positioning mechanism has external degrees of freedom of movement that are redundant with the degrees of freedom of movement of the said slave unit, comprises a remote centre of motion mechanism for pivoting the support tube about the incision point.
33. The device of any of claims 22 to 32, wherein said input commands comprise the operator moving at least one master manipulator input linkage, wherein movement of said input handle corresponds to an analogous scaled increment movement of said slave end-effector.
34. The device of any of claims 22 to 33, wherein the forces experienced by the slave unit during a surgical procedure are reproduced at the master input handle to provide the operator with force feedback.
35. The apparatus of any of claims 22 to 34, wherein said slave articulate manipulator comprises a serial linkage having a number X of DOFs, and wherein said master input linkage is characterized by a number Y of DOFs where Y is equal to X.
36. The apparatus of any of claims 22 to 34, wherein X comprises 7 slave degrees of freedom of movement and Y comprises 7 master degrees of freedom of movement.
37. The apparatus of any of claims 22 to 34, wherein X comprises 8 slave degrees of freedom of movement and Y comprises 8 master degrees of freedom of movement.
38. The apparatus of any of claims 22 to 37, wherein the rigid support tube has a free internal channel, in which a third surgical instrument may pass, as well as a tool to exchange the gripper distal instruments;
39. The apparatus of claim 38, wherein the third surgical instrument may be flexible, having a distal camera in the tip, or a gripping or a cutting or an ablating end-effector.
40. The apparatus of any of claims 22 to 39, wherein said slave manipulator unit can pivot around the distal extremity of the guide tube by the said proximal shoulder component, being inserted aligned with the tube and then, when already inside the abdominal cavity, being externally actuated to turn into a working configuration, perpendicular to the said positioning tube's axis.
41. The apparatus of any of claims 22 to 40, wherein the magnitude of movements of the said slave manipulator unit can be scaled relatively to the movements of the said master manipulator unit.

42. The apparatus of claim 22, wherein said slave articulated unit and said master articulated unit comprise a mechanism as defined in one of claims 1 to 20.

**FIGURE 1****FIGURE 2**

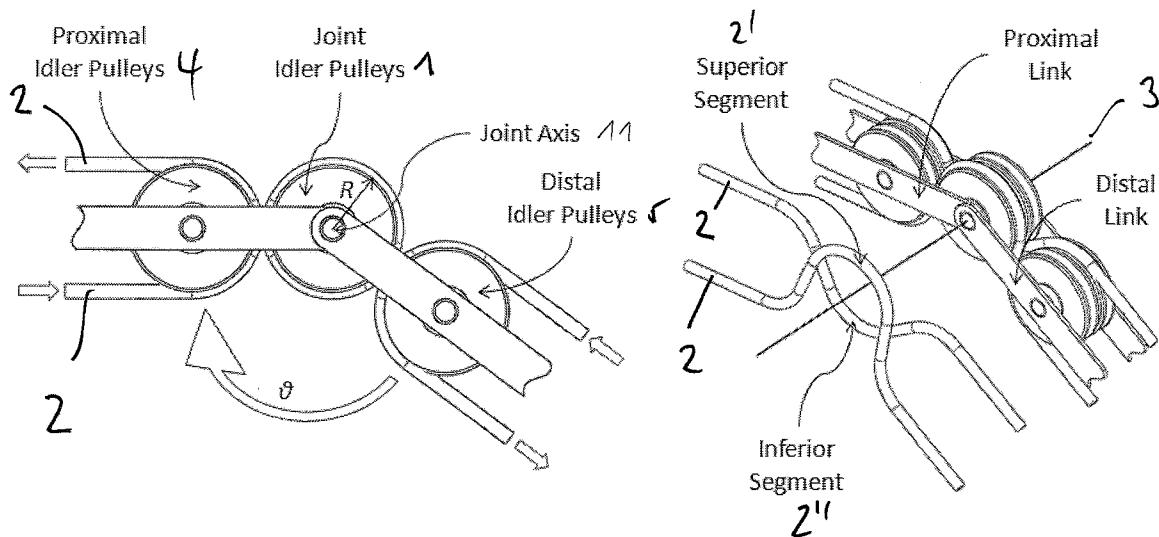


FIGURE 3

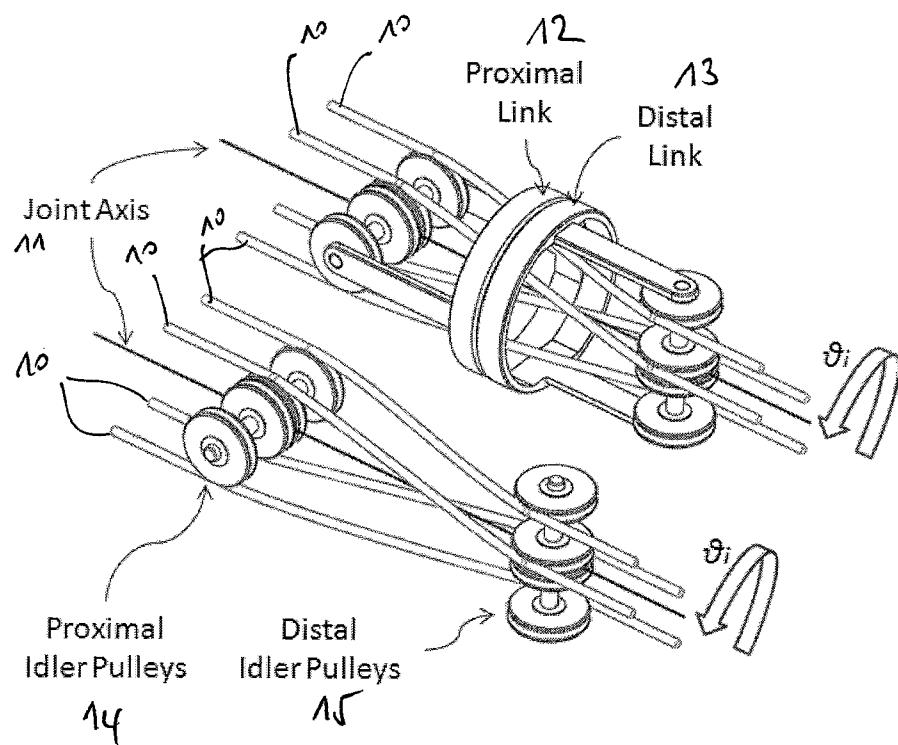
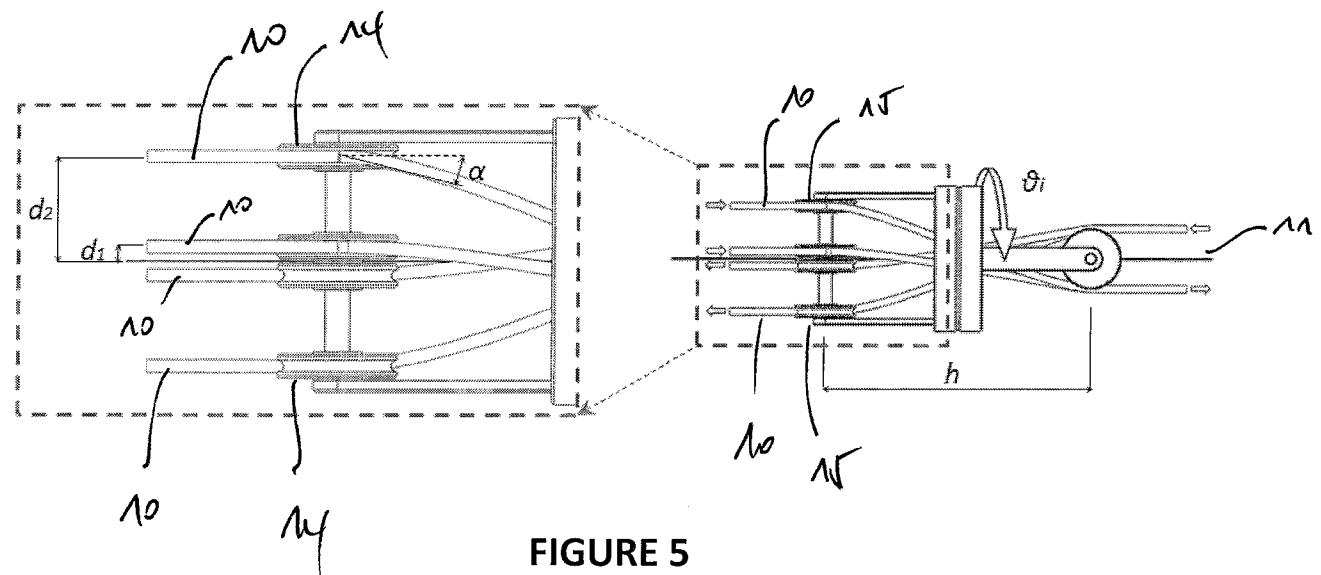
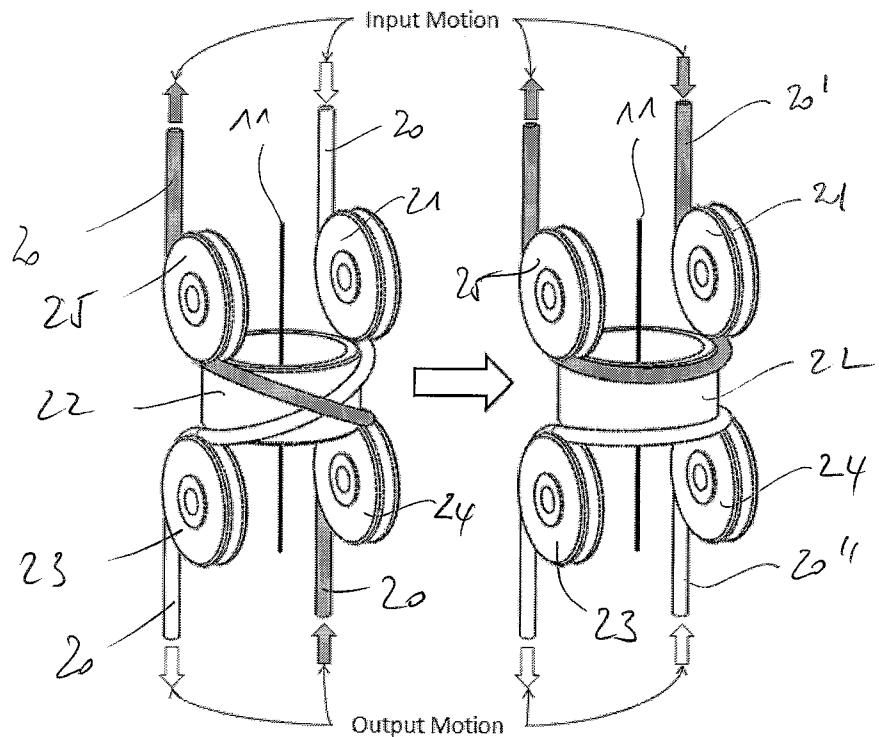


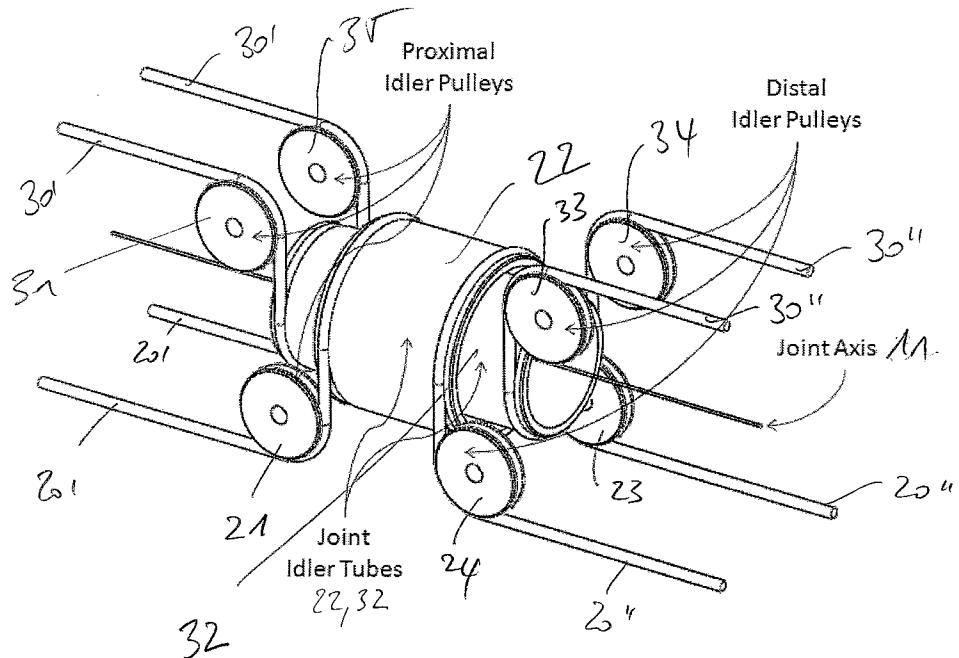
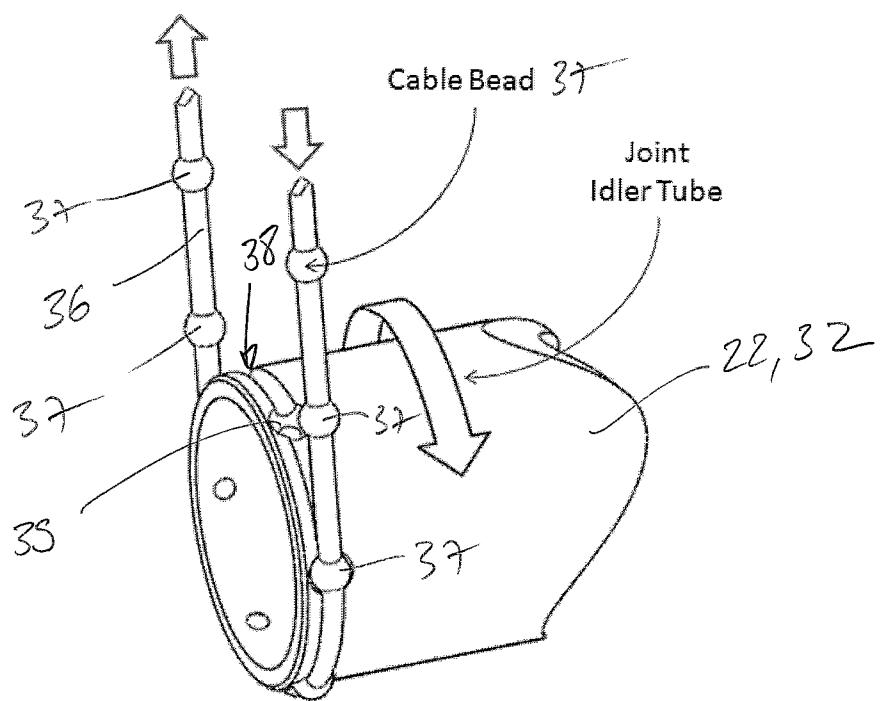
FIGURE 4



## FIGURE 5



## FIGURE 6

**FIGURE 7****FIGURE 8**

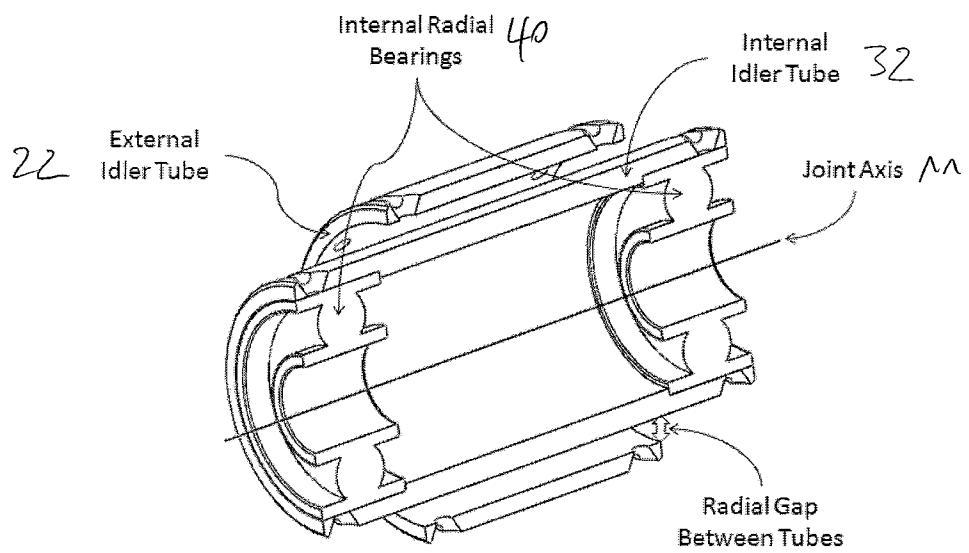


FIGURE 9

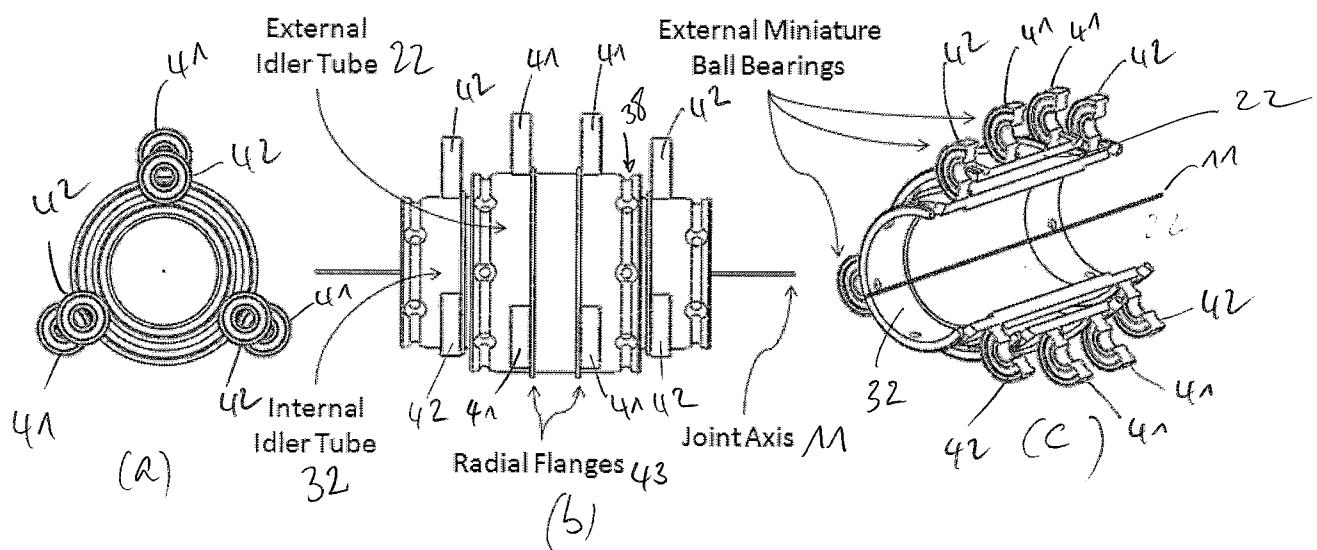


FIGURE 10

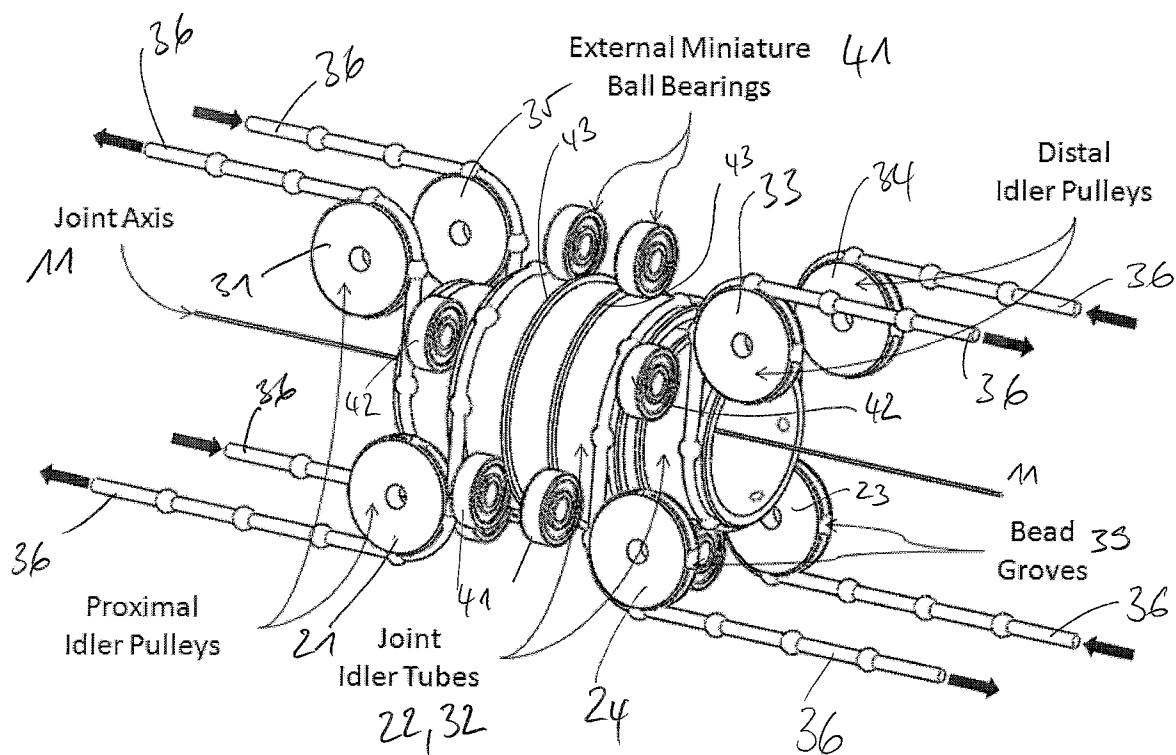


FIGURE 11

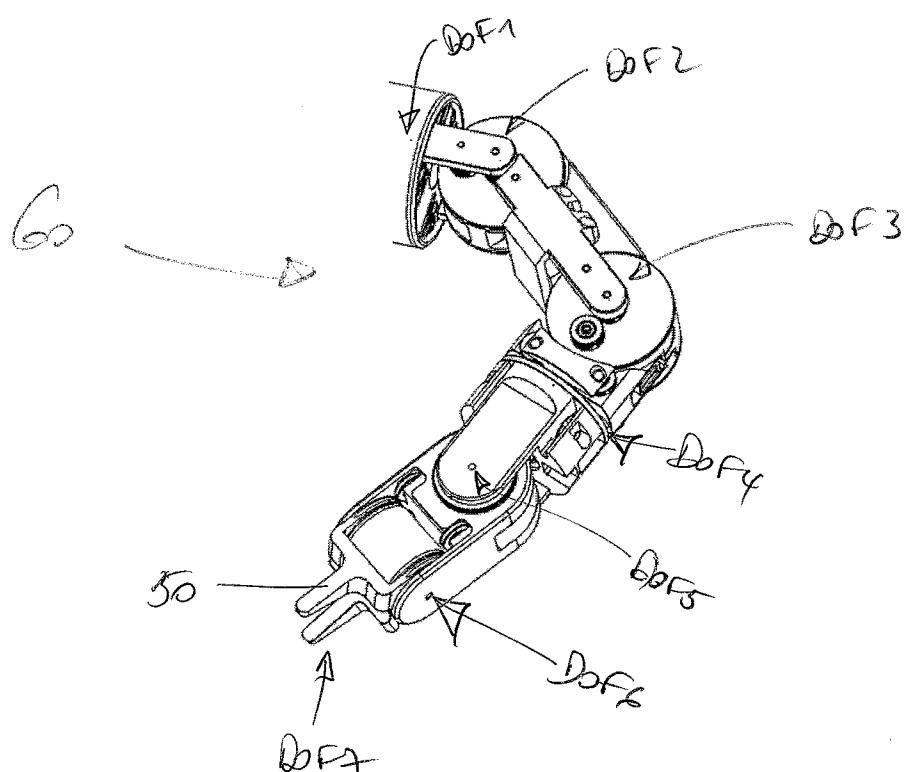
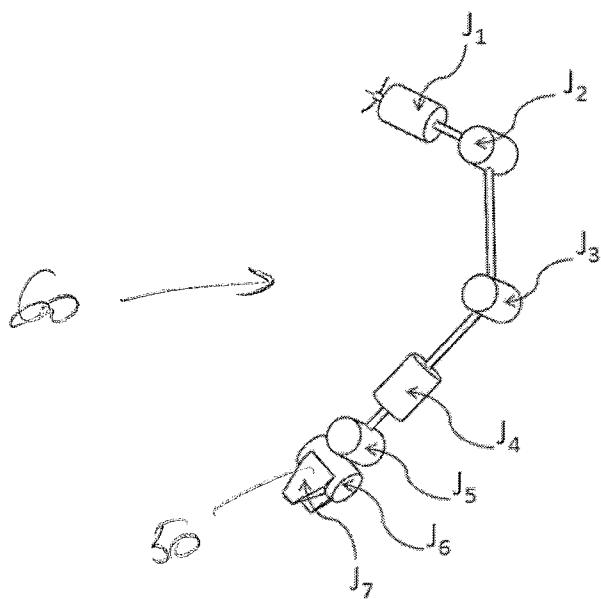


FIGURE 12



**FIGURE 13**

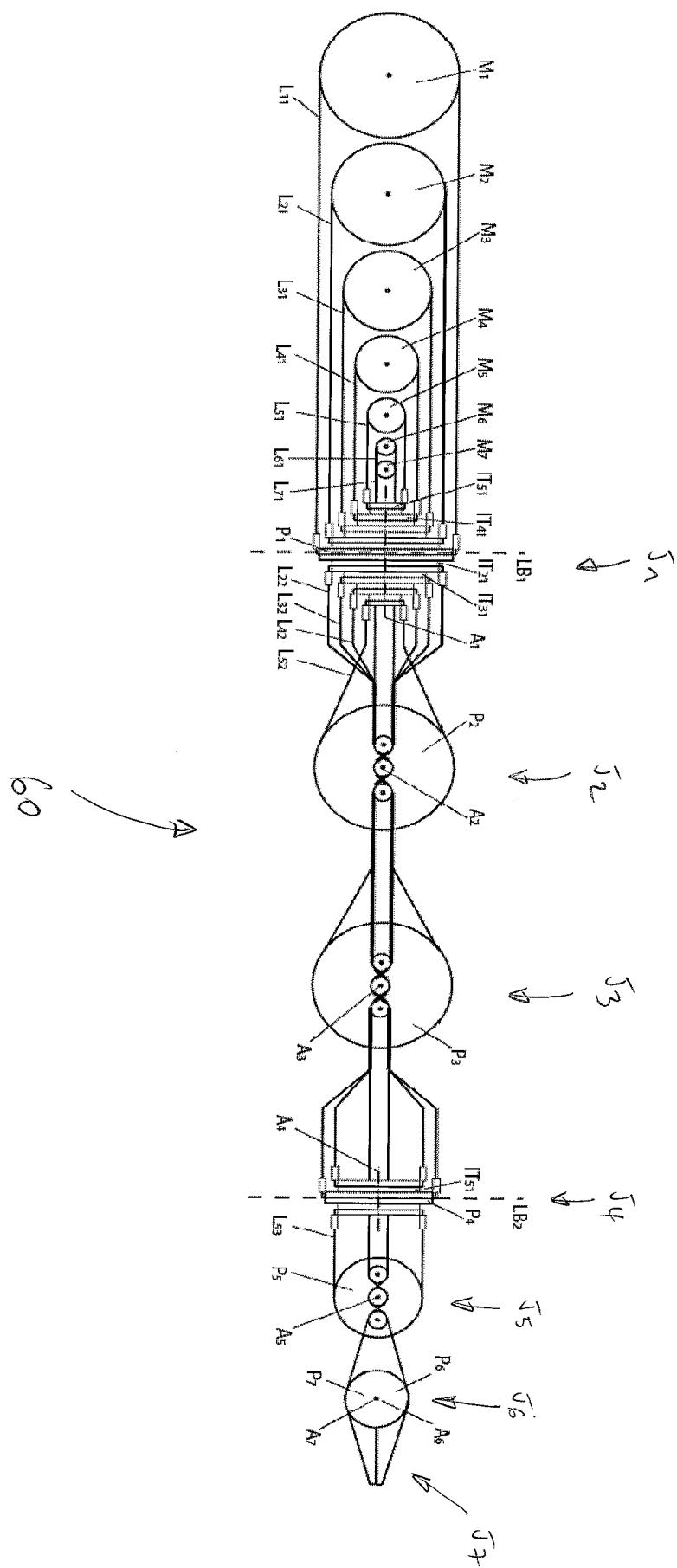
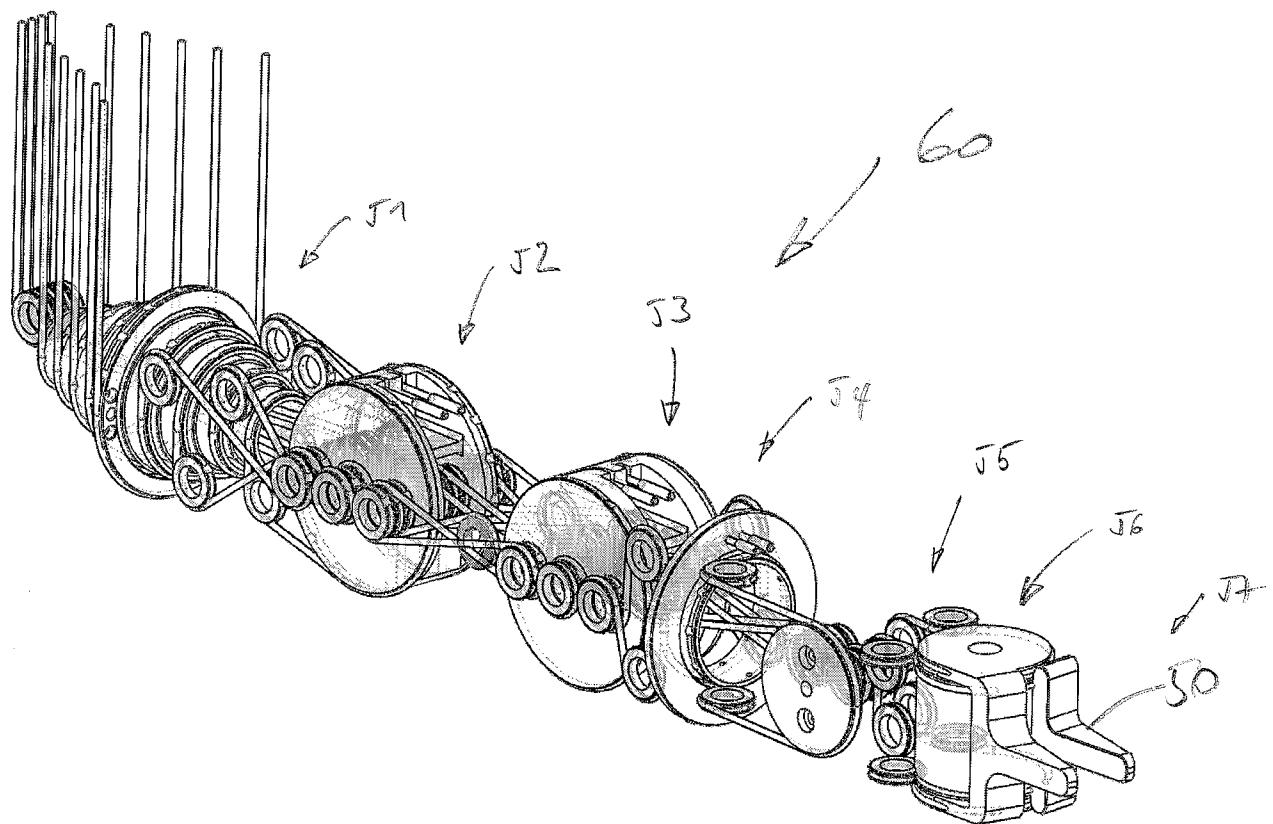


FIGURE 14



**FIGURE 15**

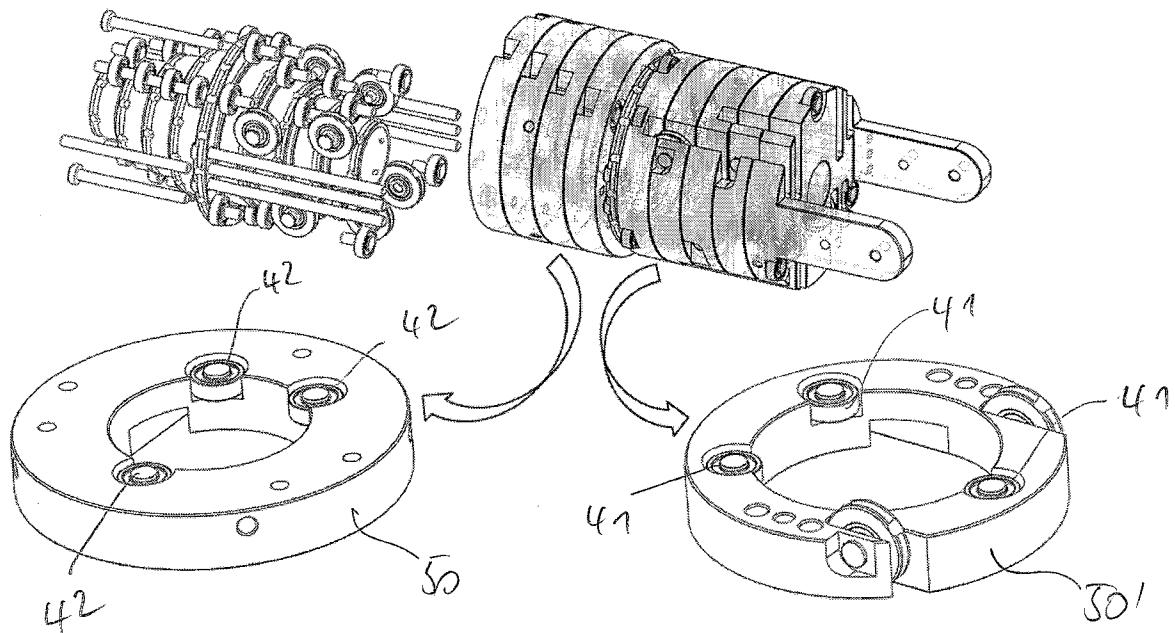


FIGURE 16

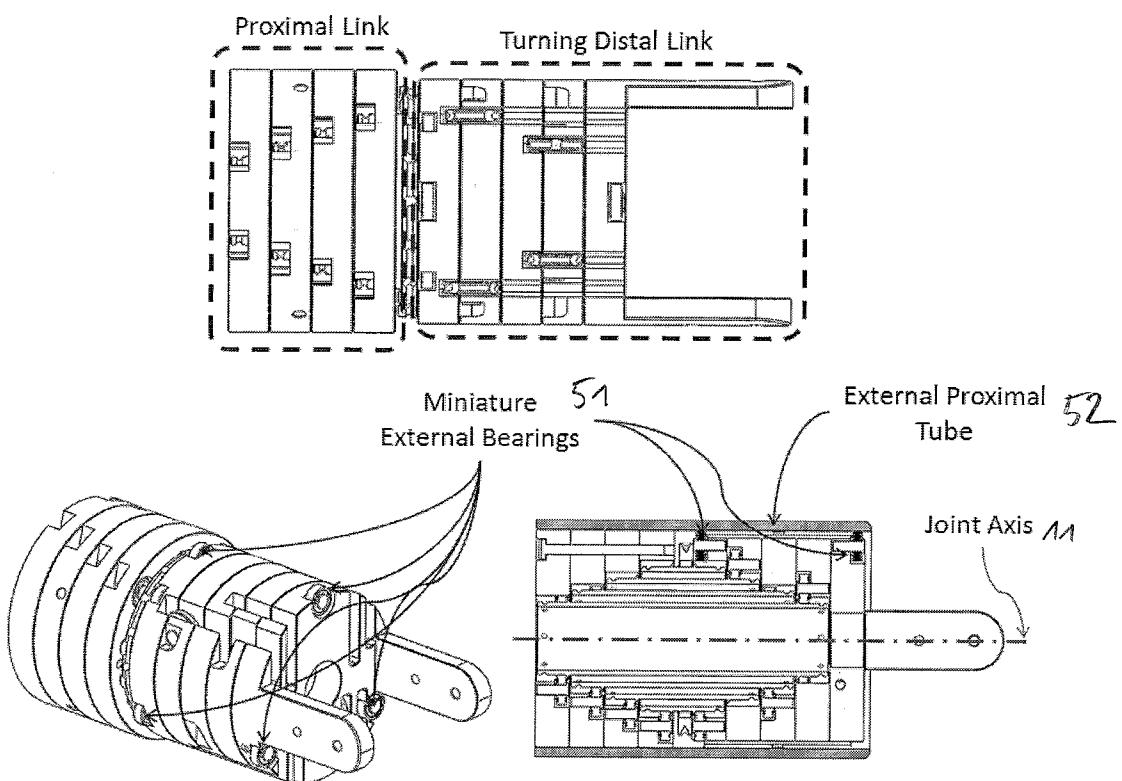


FIGURE 17

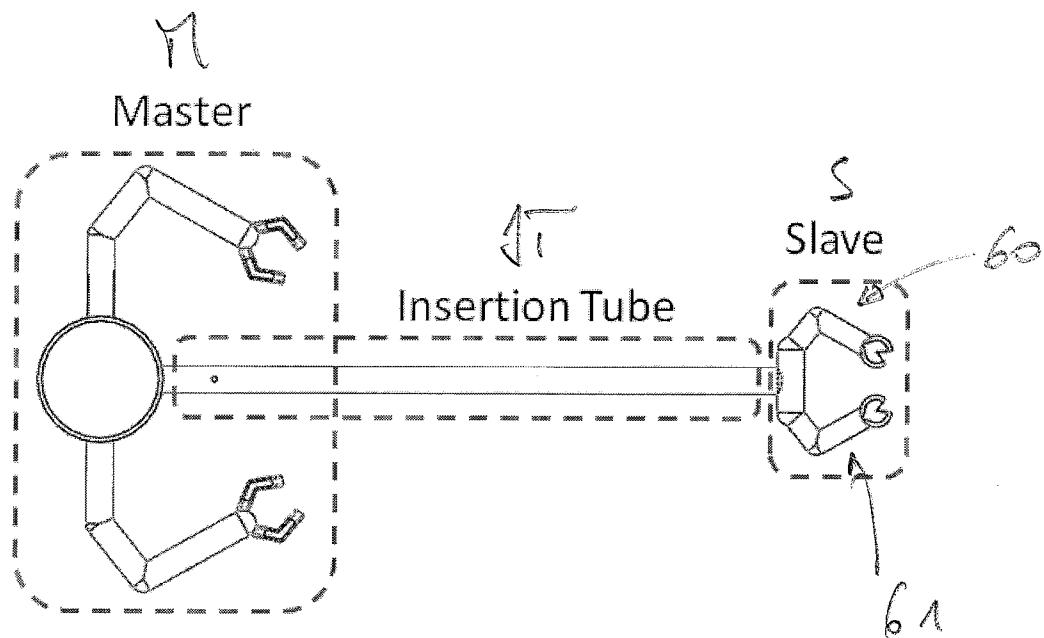


FIGURE 18

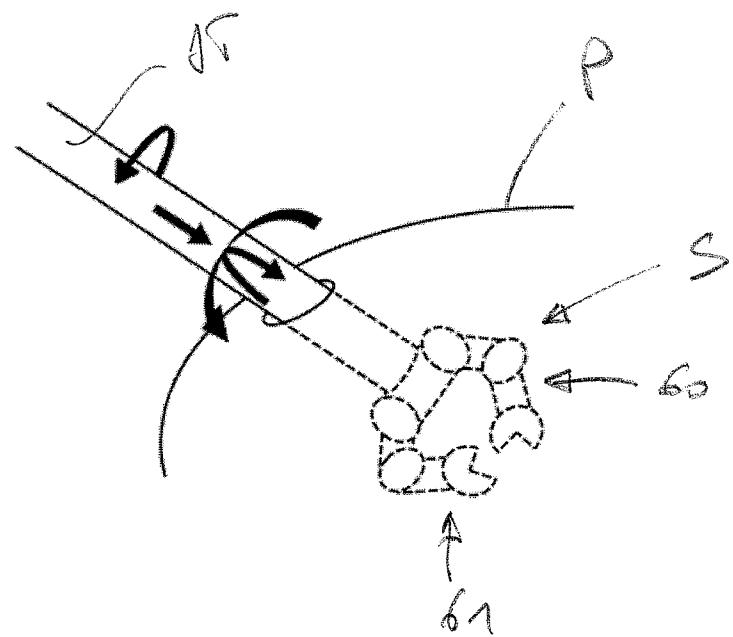
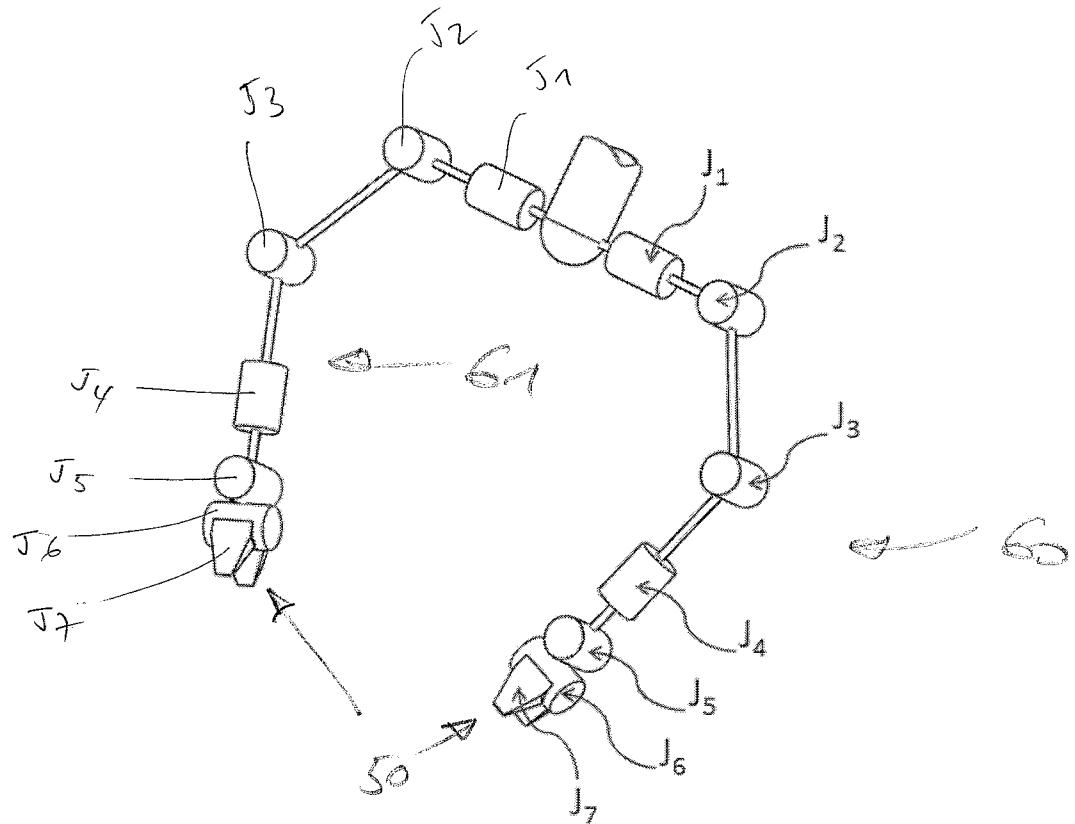
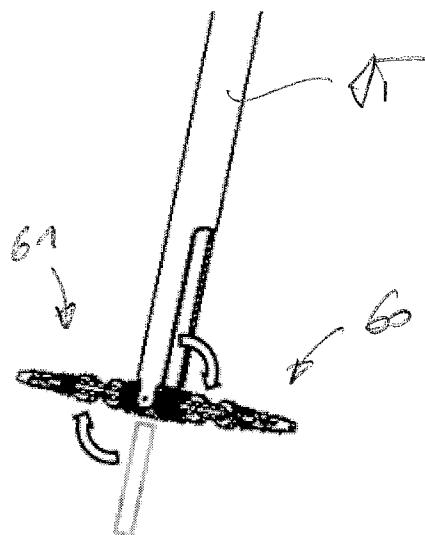
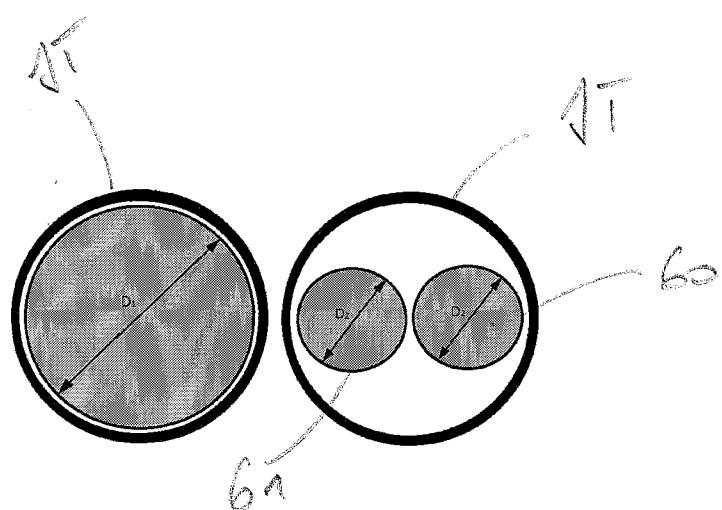
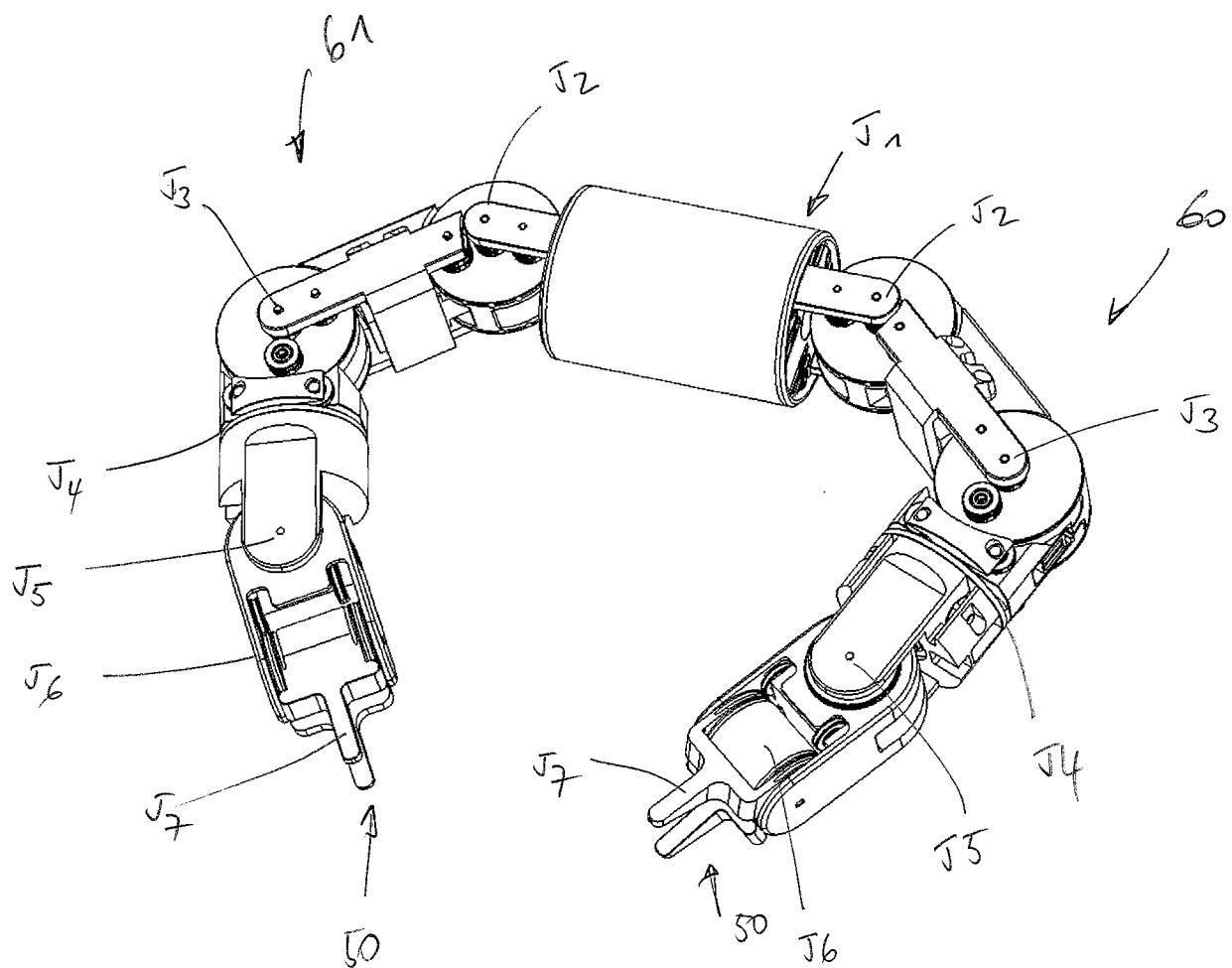


FIGURE 19

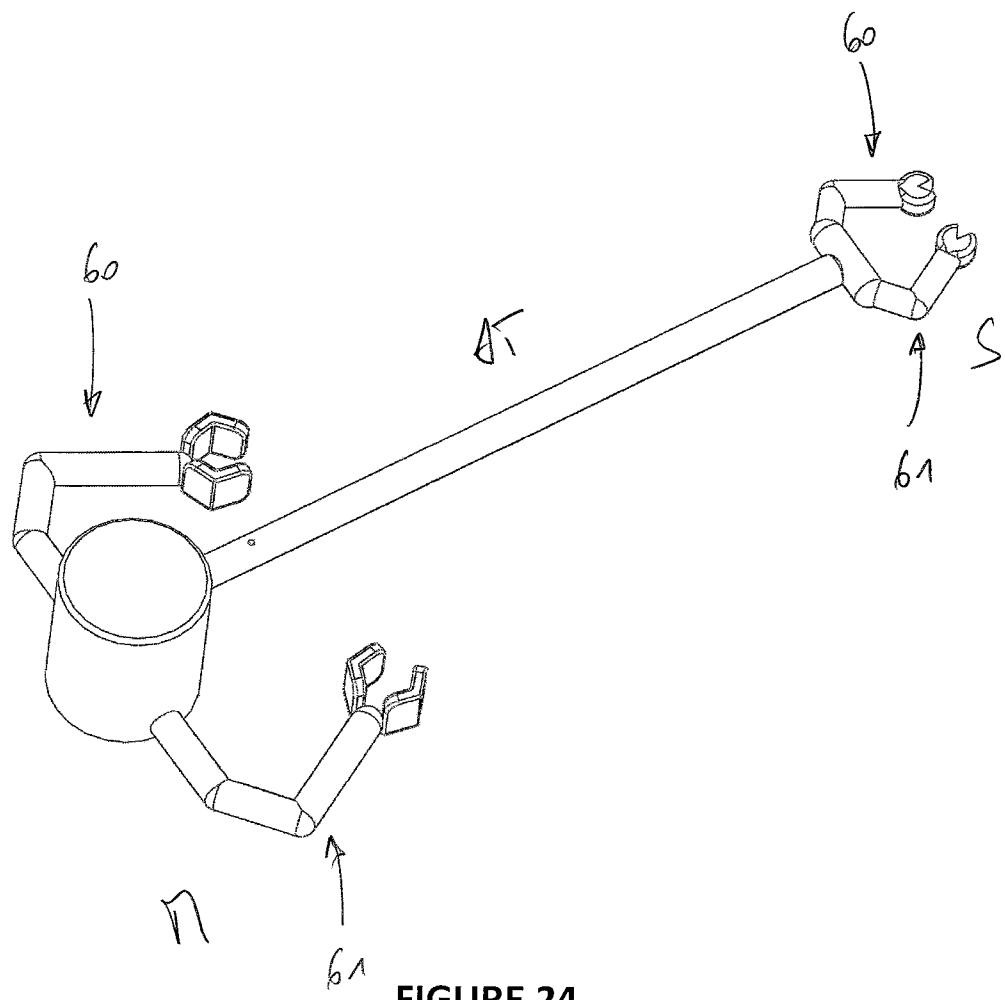


**FIGURE 20**

**FIGURE 21****FIGURE 22**



**FIGURE 23**



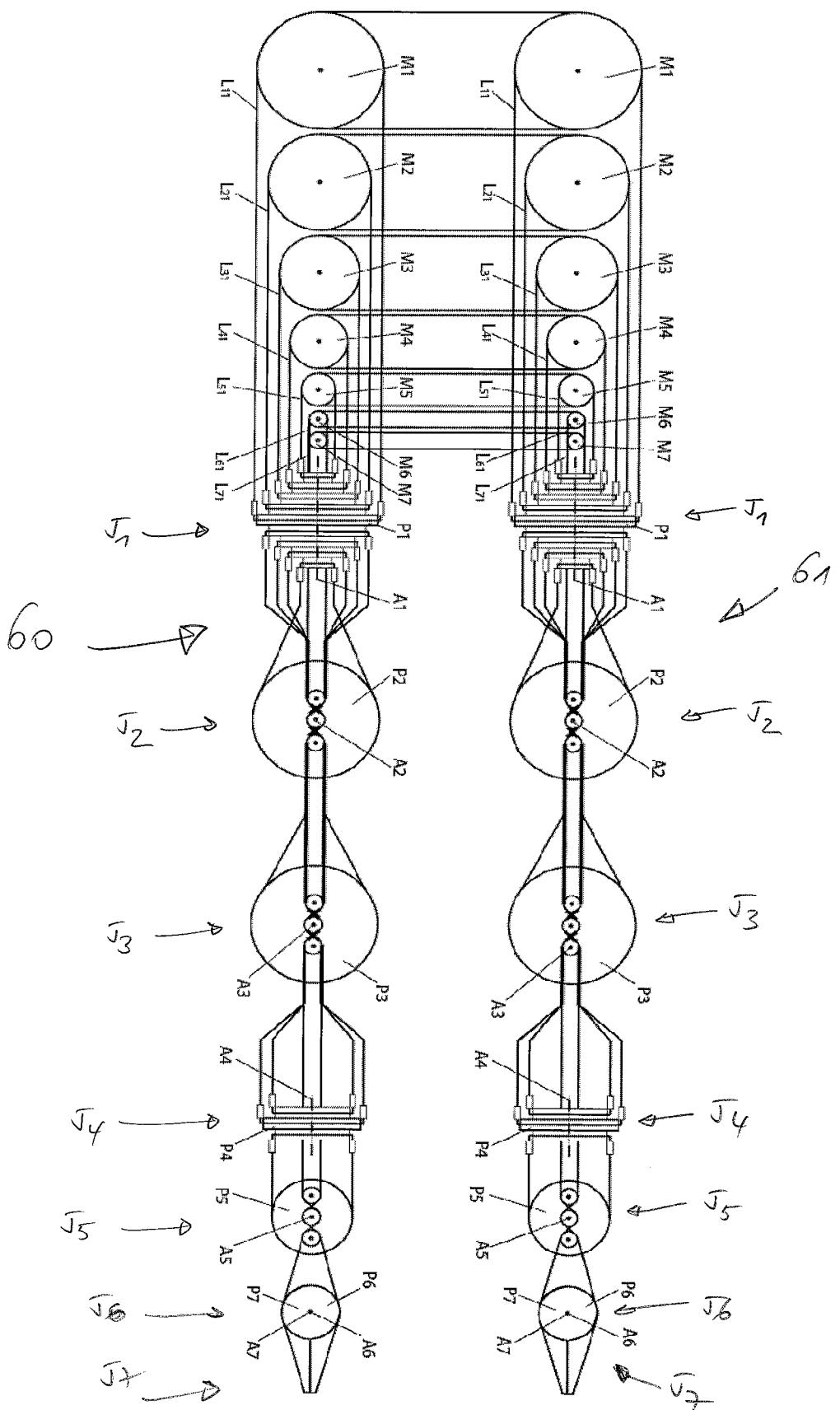


FIGURE 25

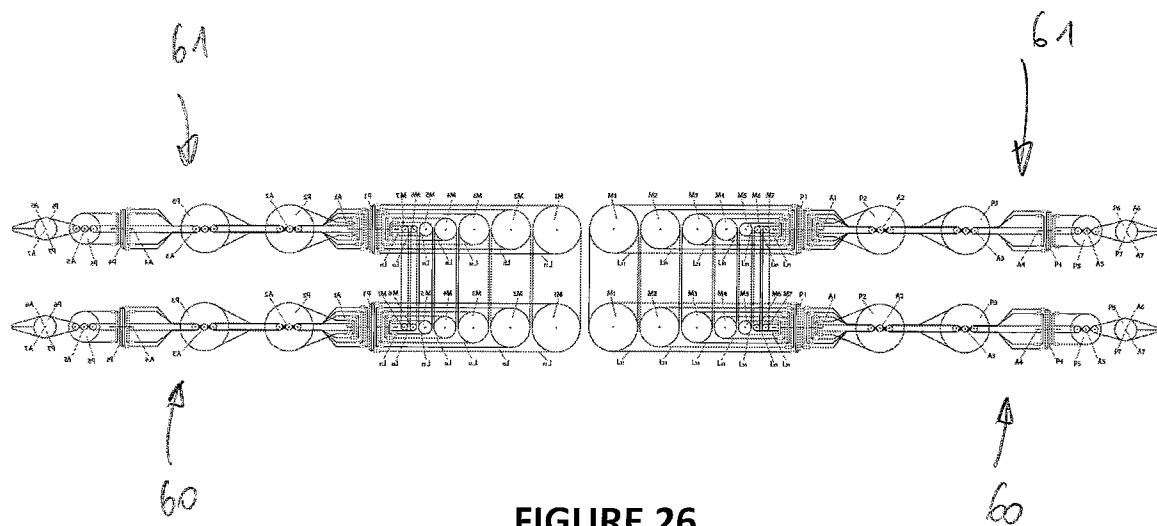


FIGURE 26

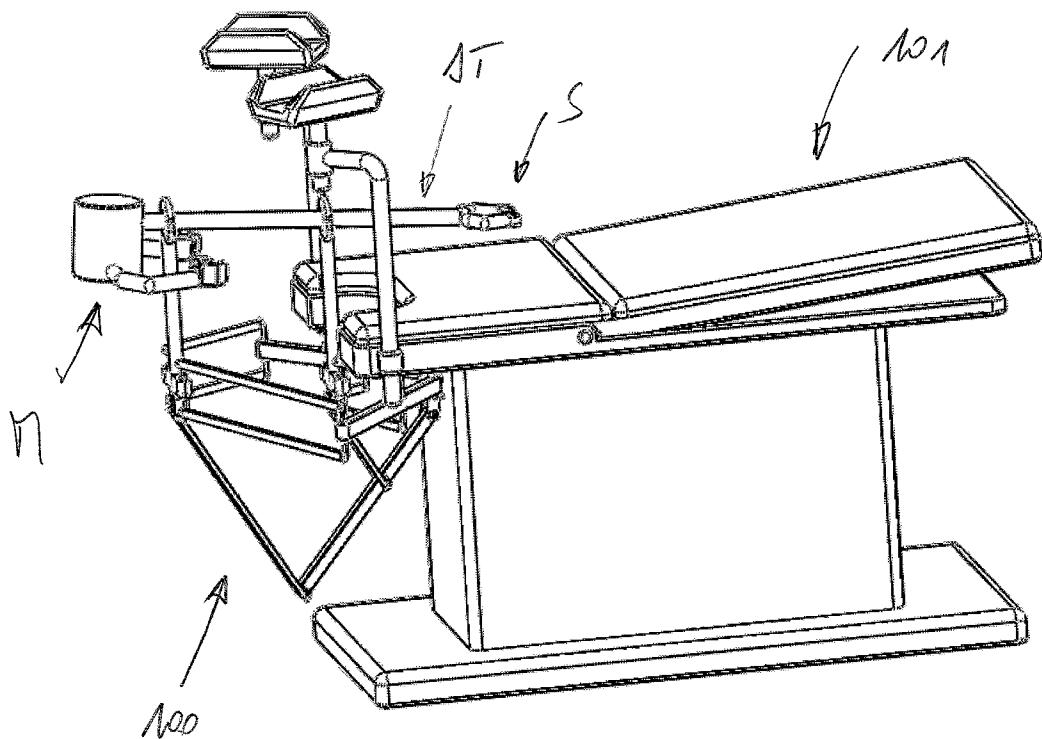


FIGURE 27

# INTERNATIONAL SEARCH REPORT

International application No

PCT/IB2011/054476

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. A61B19/00  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 902 560 B1 (MORLEY TRACEY A [US] ET AL) 7 June 2005 (2005-06-07)  abstract; figures 9-17 column 8, line 5 - column 10, line 29 -----	1-6, 10-13, 16-42
X	US 2010/160929 A1 (ROGERS THEODORE W [US] ET AL) 24 June 2010 (2010-06-24)  abstract; figures 1A-1C,2b-2c paragraphs [0033] - [0039] -----	1-6, 10-13, 16-42
A	US 2009/216249 A1 (JINNO MAKOTO [JP] ET AL) 27 August 2009 (2009-08-27) abstract; figures 5,6,28,40-42 -----	1
A	US 2008/046122 A1 (MANZO SCOTT [US] ET AL) 21 February 2008 (2008-02-21) abstract -----	1

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

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"L" document which may throw doubts on priority, claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
"O" document referring to an oral disclosure, use, exhibition or other means  
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search  19 March 2012	Date of mailing of the international search report  23/03/2012
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Macaire, Stéphane

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No PCT/IB2011/054476
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Patent document cited in search report	Publication date	Patent family member(s)			Publication date
US 6902560	B1	07-06-2005	US 6902560 B1		07-06-2005
			US 2005204851 A1		22-09-2005
			US 2008103492 A1		01-05-2008
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US 2010160929	A1	24-06-2010	NONE		
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US 2009216249	A1	27-08-2009	AT 543455 T		15-02-2012
			EP 1707153 A1		04-10-2006
			US 2006219065 A1		05-10-2006
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US 2008046122	A1	21-02-2008	NONE		
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专利名称(译)	用于手术器械的机械操纵器		
公开(公告)号	<a href="#">EP2627278A1</a>	公开(公告)日	2013-08-21
申请号	EP2011794853	申请日	2011-10-11
[标]申请(专利权)人(译)	洛桑联邦理工学院		
申请(专利权)人(译)	洛桑联邦理工学院 ( EPFL )		
当前申请(专利权)人(译)	洛桑联邦理工学院 ( EPFL )		
[标]发明人	BEIRA RICARDO CLAVEL REYMOND BLEULER HANNES		
发明人	BEIRA, RICARDO CLAVEL, REYMOND BLEULER, HANNES		
IPC分类号	A61B19/00		
CPC分类号	A61B34/30 A61B34/71 A61B2017/2906 A61B2090/371 F16H19/08 Y10T74/18848		
优先权	2010187097 2010-10-11 EP 2010187088 2010-10-11 EP		
其他公开文献	<a href="#">EP2627278B1</a>		
外部链接	<a href="#">Espacenet</a>		

#### 摘要(译)

一种新型机械系统，基于新型电缆驱动机械传动，能够提供足够的灵活性，刚度，速度，精度和有效载荷能力，以驱动多自由度微操纵器。除了用于手术或其他涉及远程操作的应用的多种关节外科手术器械和机器人系统的可能性之外，它还能够设计新型全机械手术器械，其提供传统腹腔镜检查的优点（低成本，触觉反馈，高有效载荷能力）结合单口手术（单切口，无瘢痕手术，通过腹腔的几个象限导航）和机器人手术（更大的自由度，短学习曲线，高刚度，高精度，增加直觉）的优点。所提出的系统的独特设计提供了直观的用户界面以实现这种增强的可操作性，允许通过控制机械连接的主单元的位置来驱动远程操作的从属系统的每个关节。