(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 18 September 2003 (18.09.2003)

PCT

(10) International Publication Number WO 03/075769 A1

(51) International Patent Classification⁷:

A61B 8/00

- (21) International Application Number: PCT/US03/06607
- **(22) International Filing Date:** 6 March 2003 (06.03.2003)
- (25) Filing Language: English
- (26) Publication Language: English

(30) Priority Data:

60/362,763 8 March 2002 (08.03.2002) U

- (71) Applicant (for all designated States except US): UNI-VERSITY OF VIRGINIA PATENT FOUNDATION [US/US]; 1224 West Main Street, Suite 1-110, Charlottesville, VA 22903 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): WALKER, William, F. [US/US]; 3822 Burnley Station Road, Barboursville, VA 22923 (US). HOSSACK, John, A. [GB/US]; 617 Davis Avenue, Charlottesville, VA 22901 (US). BLALOCK, Travis, N. [US/US]; 3085 Beaumont Farm Road, Charlottesville, VA 22901 (US).

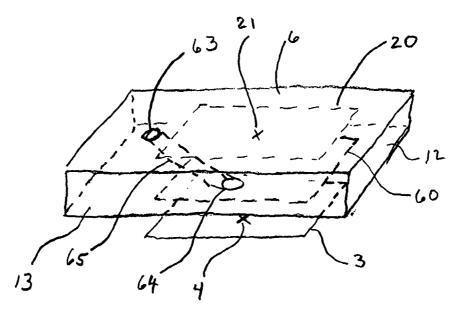
- **(74) Agent: DECKER, Robert, J.**; University of Virginia Patent Foundation, 1224 West Main Street, Suite 1-110, Charlottesville, VA 22903 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: AN INTUITIVE ULTRASONIC IMAGING SYSTEM AND RELATED METHOD THEREOF



(57) Abstract: A hand held ultrasonic instrument (10) is provided in a portable unit that performs C-Mode imaging and collects 3D image data. In a preferred embodiment a transducer array (60), display unit (20), beamformer (40), power system, and image processor are integrated in one enclosure weighing less than three pounds. In operation, the portable unit is scanned across a target and the displayed image is conveniently presented to the operator whereby the displayed image corresponds exactly to the target, or a scaled fashion if desired.



A 97570/80 O7



For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

AN INTUITIVE ULTRASONIC IMAGING SYSTEM AND RELATED METHOD THEREOF

5 CROSS-REFERENCES TO RELATED APPLICATIONS

The Present invention claims priority from U.S. Provisional Patent Application Serial No. 60/362,763 filed March 8, 2002, entitled "An Intuitive Ultrasonic Imaging System and Related Method thereof," the entire disclosure of which is hereby incorporated by reference herein in its entirety.

10

FIELD OF THE INVENTION

The present invention is directed to ultrasonic diagnostic systems and methods and, in particular, a substantially integrated hand held ultrasonic diagnostic instrument.

15

BACKGROUND OF THE INVENTION

Medical imaging is a field dominated by high cost systems that are so complex as to require specialized technicians for operation and the services of experienced medical doctors and nurses for image interpretation. Medical ultrasound, which is considered a low cost modality, utilizes imaging systems costing as much as \$250K. These systems are operated by technicians with two years of training or specialized physicians. This high-tech, high-cost approach works very well for critical diagnostic procedures. However it makes ultrasound impractical for many of the routine tasks for which it would be clinically useful.

25

20

A number of companies have attempted to develop low cost, easy to use systems for more routine use. The most notable effort is that by Sonosite. Their system produces very high quality images at a system cost of approximately \$20,000. While far less expensive than highend systems, these systems are still very sophisticated and require a well-trained operator. Furthermore, at this price few new applications are opened.

30

The applicability of conventional ultrasound is further limited by the typical image format used. Images are produced in what is commonly referred to as a B-Mode format, representing a tomographic slice through the body perpendicular to the skin surface. This image

format is non-intuitive and the simple act or process of mentally registering the B-Mode image to the patient's anatomy requires significant experience.

5

10

15

20

25

30

Most existing ultrasonic imaging systems utilize an array transducer that is connected to beamformer circuitry through a cable, and a display that is usually connected directly to or integrated with the beamformer. This approach is attractive because it allows the beamformer electronics to be as large as is needed to produce an economical system. In addition, the display may be of a very high quality. Unfortunately this configuration is not intuitive for most users because the image appears far from the patient. Furthermore, these systems typically acquire B-mode images, that is, images consisting of a tomographic slice taken perpendicular to the face of the transducer array. Most new users find images in this format very difficult to interpret and to register mentally with the tissue geometry. Conventional system configurations can be awkward to use because of the lengthy cable involved. Finally, the typical large size of the beamformer limits the system's portability.

Some conventional system architectures have been improved upon through reductions in beamformer size. One of the most notable efforts has been undertaken by Advanced Technologies Laboratories and then continued by a spin-off company, Sonosite. U.S. Patent No. 6,135,961 to Pflugrath et al., entitled "Ultrasonic Signal Processor for a Hand Held Ultrasonic Diagnostic Instrument," hereby incorporated by reference herein in its entirety, describes some of the signal processing employed to produce a highly portable ultrasonic imaging system. The Pflugrath '961 patent makes reference to an earlier patent, U.S. Patent No. 5,817,024 to Ogle et al., entitled, "Hand Held Ultrasonic Diagnostic Instrument with Digital Beamformer," hereby incorporated by reference herein in its entirety. While the titles of these patents refer to a handheld ultrasound system, neither integrates the display and transducer unit. In U.S. Patent No. 6,203,498 to Bunce et al., entitled "Ultrasonic Imaging Device with Integral Display," hereby incorporated by reference herein in its entirety, however, the transducer, beamformer, and display are all integrated to produce a very small and convenient imaging system. The Bunce '498 system, however, has some imitations. For example, but not limited thereto, Bunce '498 continues to use the confusing b-mode image format and its configuration is not intuitive for some users making it difficult for an untrained user to interpret the image and connect it to the organ, target, or subject under investigation.

The present invention ultrasonic imaging system and method provides the opportunity to be a common component of nearly every medical examination and procedure. The present invention provides a system and method which shall be referred to as "sonic window".

The present invention system may be produced, and the related method performed, at a low cost and will be nearly as easy to use as a magnifying glass.

The present invention ultrasonic imaging system and method provides the potential to have a broad and significant impact in healthcare. The instant document identifies various clinical applications of the present invention sonic window, but should not be limited thereto, and other applications will become attained as clinicians gain access to the system and method.

SUMMARY OF INVENTION

5

10

15

20

25

30

The present invention comprises a hand held ultrasonic instrument that is provided in a portable unit which performs C-Mode imaging and collects 3D image data. In a preferred embodiment a transducer array, display unit, beamformer, power system, and image processor are integrated in one enclosure weighing less than three pounds. In operation, the portable unit is scanned across a target and the displayed image is conveniently presented to the operator whereby the dimension of the displayed image corresponds exactly to the dimension of the target. Alternatively, the displayed image is a scaled version of the target. If scaled, then the image may be magnified or reduced accordingly.

In one aspect, the present invention provides an ultrasonic imaging system capable of producing C-Mode images and/or collecting 3D image data of a target. The system comprising: a housing; a transducer array disposed on the housing; a display unit disposed on the housing, wherein the transducer array and the display unit are integrated with the housing; and a beamformer is in communication with the system. Alternatively, the beamformer may be integrated within the housing.

In another aspect, the present invention provides a method of imaging a target to produce C-Mode ultrasonic images and/or collect ultrasonic 3D image data. The method comprising the steps of: providing a housing; providing a transducer array disposed on the housing, the transducer for transmitting ultrasonic energy into the target and receiving ultrasonic echo signals from the target; beamforming the received echo signals to provide data; processing the beamformed data; and providing a display unit disposed on the housing, the display unit displaying the processed data.

BRIEF DESCRIPTION OF THE DRAWINGS

5

10

15

20

25

30

The foregoing and other objects, features and advantages of the present invention, as well as the invention itself, will be more fully understood from the following description of preferred embodiments, when read together with the accompanying drawings in which:

- FIGS. 1A and 1B illustrate schematic representations of the present invention ultrasonic imaging system having an integrated beamformer and a stand alone beamformer, respectively.
- FIGS. 2A-2B schematically illustrate top and bottom perspective views, respectively, of the hand held ultrasonic imaging system of the present invention.
- FIGS. 3A-3C schematically illustrate various embodiments of the access ports, access outlets, and passages of the hand held ultrasonic imaging system of the present invention.
- FIG. 4 schematically illustrates an adjustable display unit of the hand held ultrasonic imaging system of the present invention.
- FIGS. 5A-5B schematically illustrate top and bottom perspective views, respectively, of the hand held ultrasonic imaging system of the present invention having a cover thereon, as well as adhesive devices on the housing and/or cover.
- FIG. 6 schematically illustrates a top perspective view of the hand held ultrasonic imaging system of the present invention having a retaining device thereon.
- FIG. 7 illustrates in block diagram form the architecture of an embodiment of the ultrasonic imaging system of the present invention.
 - FIGS. 8A-8B, show a schematic longitudinal perspective view and lateral side view, respectively, of the hand held ultrasonic system wherein the curve of the display, housing, and/or transducer is in the longitudinal direction.
- FIGS. 9A-9B, show a schematic longitudinal perspective view and lateral side view, respectively, of the hand held ultrasonic system wherein the curve of the display, housing, and/or transducer is in the lateral direction.

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides a new ultrasound system architecture and related method thereof that eliminates many of the problems and limitations associated with conventional architectures. The present invention system and method, termed the sonic window, integrates the transducer array and the display unit so that the ultrasound image is

displayed at the location it is acquired. More significantly, the sonic window obtains C-Mode images, that is, images in which the image plane is parallel to the surface of the transducer.

Novice ultrasound users, among other types of users, would find the present invention system and method very useful and beneficial. C-Mode image formats are discussed in U.S. Patent No. 6,245,017 to Hashimoto et al., entitled "3D Ultrasonic Diagnostic Apparatus," hereby incorporated by reference herein in its entirety, as well numerous other patents. The present invention sonic window may also acquire and display 3-D images (and/or transmit the images to exterior devices for display).

5

10

15

20

25

30

The C-Mode image of the present invention and method may be selected from an arbitrary depth depending upon user preference and the specific target or tissue of interest. A preferred embodiment would include a simple user control, such as a thumbwheel, to select the depth of image acquisition. Likewise, a preferred embodiment would also include a simple display indicating the depth selected.

As shown in FIG. 1A, the present invention imaging system utilizes a transducer array 60 that is in communication with beamformer circuitry 40 and a display 20 in communication to the beamformer 40. The transducer 60, beamformer circuitry 40, and display 20, are integrated whereby they are located in the same general housing (enclosure) or on same general platform or board. Images are formed by transmitting a series of acoustic pulses from the transducer array 60 and displaying the magnitude of the echoes received from these pulses. The beamformer 40 applies delays needed to steer and focus the acoustic pulses and echoes.

While full integration of the transducer, beamformer, and display is preferred, it should be appreciated that in some instances only the transducer and display are integrated, keeping a cable 50 to connect the transducer unit 60 and display unit 20 to a separate beamformer unit 40, as show in FIG. 1B. Rather than a cable, a channel that carries signals may be implemented using wire or cable, fiber optics, a phone line, a cellular phone link, an RF link, an infrared link, blue tooth and other communications channels.

The beamforming operations of the present invention system and method may be distributed between the transducer/display unit and a separate beamforming unit. Such a design would be intermediate between the fully integrated approach and the separate beamformer approach described above. This approach has the advantage of limiting the amount of data which must be passed between the transducer/display unit and the beamformer unit.

As the present invention system and method provides an integrated transducer unit 60

and a C-Mode or 3-D display 20, a variety of tissue information may be obtained through judicious pulse transmission and signal processing of received echoes. Such information could be displayed in conjunction with or instead of the aforementioned echo information. One such type of information is referred to as color flow Doppler as described in U.S. Patent No. 4,573,477 to Namekawa et al., entitled "Ultrasonic Diagnostic Apparatus," hereby incorporated by reference herein in its entirety. Another useful type of information is harmonic image data as described in U.S. Patent No. 6,251,074 to Averkiou et al., entitled "Ultrasonic Tissue Harmonic Imaging" and U.S. Patent no. 5,632,277 to Chapman et al., entitled "Ultrasound Imaging System Employing Phase Inversion Subtraction to Enhance the Image," both of which are hereby incorporated by reference herein in their entirety. Yet another type of information that may be obtained and displayed is known as Power Doppler as described in U.S. Patent No. 5,471,990 to Thirsk, entitled "Ultrasonic Doppler Power Measurement and Display System," hereby incorporated by reference herein in its entirety. Angular scatter information might also be displayed. Such data could be acquired using a method described in a co-pending U.S. Patent Application No. 10/030,958, entitled "Angular Scatter Imaging System Using Translating Apertures Algorithm and Method Thereof," filed June 3, 2002, of which is hereby incorporated by reference herein in its entirety.

5

10

15

20

25

30

Speckle is a common feature of ultrasound images. While it is fundamental to the imaging process, many users find its appearance confusing and it has been shown to limit target detectability. A variety of so called compounding techniques have been described which could be valuable for reducing the appearance of speckle in sonic window images. These techniques include spatial compounding and frequency compounding, both of which are well described in the literature.

The present invention acquisition of 3-D data sets also allows a new type of compounding that might be termed "C-Mode compounding." In this technique a number of envelope detected C-Mode images from adjacent planes would be summed to yield a single speckle reduced image. While some resolution in the slice thickness dimension would be lost by this averaging, the improvement in effective signal to noise ratio achieved by reducing the speckle might outweigh that cost.

One skilled in the art would appreciate that the common practice of frequency compounding could be readily applied to the current invention. By transmitting a plurality of pulses at different frequencies and forming separate detected images using the pulses one

may obtain multiple unique speckle patterns from the same target. These patterns may then be averaged to reduce the overall appearance of speckle.

5

10

15

20

25

30

The well known techniques of spatial compounding may also be applied to the current invention. The most conventional form of spatial compounding, which we call two-way or transmit-receive spatial compounding, entails the acquisition of multiple images with the active transmit and receive apertures shifted spatially between image acquisitions. This shifting operation causes the speckle patterns obtained to differ from one image to the next, enabling image averaging to reduce the speckle pattern. In another technique, which we term one-way or receive-only spatial compounding, the transmit aperture is held constant between image acquisitions while the receive aperture is shifted between image acquisitions. As with two-way spatial compounding, this technique reduces the appearance of speckle in the final image.

In many ultrasound applications the received echoes from tissue have very small amplitude, resulting in an image with poor signal to noise ratio. This problem may be addressed through the use of a technique known as coded excitation. In this method the transmitted pulse is long in time and designed so that it has a very short autocorrelation length. In this manner the pulse is transmitted and received signals are correlated with the transmitted pulse to yield a resultant signal with good signal to noise ratio, but high axial resolution (short correlation length). This method could be readily applied in the present invention sonic window device and method to improve the effective signal to noise ratio. The coded excitation technique is described in U.S. Patent No. 5,014,712 to O'Donnell, entitled "Coded Excitation for Transmission Dynamic Focusing of Vibratory Energy Beam," hereby incorporated by reference herein in its entirety.

An aspect in fabricating a system like the present invention sonic window is in construction of the transducer array. Both cost and complexity could be reduced by incorporating a transducer fabricated using photolithographic techniques, i.e. the transducer is formed using microelectromechanical systems (MEMS). One particularly attractive approach has been described in U.S. Patent No. 6,262,946 to Khuri-Yakub et al., entitled "Capacitive Micromachined Ultrasonic Transducer Arrays with Reduced Cross-Coupling," hereby incorporated by reference herein in its entirety.

In an embodiment, the present invention ultrasound system and method proves particularly valuable for guiding the insertion of needles and catheters. Currently, technicians attempt to insert needles based on the surface visibility of veins coupled with their knowledge

of anatomy. This approach works quite well in thin, healthy individuals, but can prove extremely difficult in patients who are ill or obese. The low cost, easy to use present invention imaging system and related method provides additional guidance in these cases, increasing the efficiency of treatment, reducing patient discomfort, and improving patient outcomes by speeding treatment. Such a low cost, easy to use system would undoubtedly find additional medical applications.

5

10

15

20

25

30

As shown in FIGS. 3A through 3C, the system 10 may have an access port 65 that is configured to receive a medical instrument, medical tool, other instruments, other tools, other needles, probes, or the like. In clinical use an instrument or needle could be inserted into the access port entry 63, pass through the device through a passage 65, and enter the tissue near the outlet 64. An instrument inserted through the passage 65 will intersect with the image plane 3 at the intersection point 4. The displayed image could readily indicate the location where an inserted needle or the like would enter the tissue or other target. The displayed image could show where the needle, instrument, and/or tool would intersect with the image, even if it doesn't actually show the needle, instrument, and/or tool. Likewise, the image could have an intersection point indicator 21 to show or indicate the location of the intersection point 4 within a given image. The location of the access port 63 is not limited to the upper surface of the device, but could also be located on any of the device sides 12, 13, 14. 15. A device may include multiple access ports 63 to enable access from different locations or simultaneous use of multiple tools. A system with multiple access ports 63 might include internal sensors (not shown) to determine which ports were in use at a given time and thereby provide appropriate indicators on the display. The outlet(s) 64 or access port entry (entries) 63 might be located within the transducer array 60 or at a location outside or adjacent to the transducer array 60, for example on the sides 12, 13, 14, 15, top 6 or bottom 8 of the housing 2, or other available components of the system 10.

It should be recognized that the access port **63**, access outlet **64**, and passage **65** may in combination in whole or in part include, but not limited thereto, the following: recess, aperture, port, duct, conduit, channel, pipe, tube, hose, tunnel, channel, flute, fiber optic, or equivalent structure.

For example, but not intended to be limiting, **FIG. 3A** schematically shows the passage **65** running from the top **6** to the bottom **8**. Next, for example, but not intended to be limiting, **FIG. 3B** schematically shows the passage **65** running from the top **6** through transducer array **60**. Still further, for example, but not intended to be limiting, **FIG. 3C**

schematically shows the passage 65 running from one of the sides 13 (or optionally from another side 12, 14, 15) to the bottom 8 (or optionally could have been through the transducer array 60 as well).

5

10

15

20

25

30

Also shown in **FIG. 2B**, the system may also have a transducer **60** or housing **2** incorporating a marking devices or mechanisms **67** wherein when the devices or mechanisms **67** come in contact or near contact with the target **1** (e.g., skin or surface), or when the user so instructs the system, then the marking devices or mechanisms **67** place or apply one or more marks on the target **1**. Such marks may include raised bumps, indentations, dye, or other suitable means. Marks formed in this manner may be useful for guiding surgical or other interventions which will occur without the sonic window device in place. Additionally, the marks might provide useful for maintaining device registration while surgical or other medical procedures are performed with the sonic window in place. Likewise permanent or semi-permanent marks might be used to guide the sonic window to the same location during later imaging sessions. Such alignment would be facilitated by the inclusion of optical or other sensing devices (not shown) on the face of the sonic window containing the transducer array.

Still referring to FIGS. 2A-2B and 3A-3C, an embodiment of the hand held imaging system 10 is described. The system 10 comprises a housing 2 (or platform, board, enclosure, casing or the alike) preferably formed of plastic or metal or other desirable materials appreciated by those skilled in the art. The enclosure has four sides 12, 13, 14, 15 (but may be more or less according as desired), a top side 6, and a bottom side 8. The display unit 20 is on the top side 6 and transducer array 60 is on the bottom side 8, substantially or exactly parallel with the display 20. The system 10 may also have various controls for the user, for example, roller ball or toggle stick 19, alphanumeric keyboard 18, and or menu buttons 7.

As best shown in FIG. 2B, the system 10 also has a communication interface 87 that is operable with a communication path or channel 88 (shown in FIG. 7). The communications interface 87, for example, allows software and data to be transferred between the system 10 and external devices. Examples of communications interface 87 may include a modem, a network interface (such as an Ethernet card), a communications port, a PCMCIA slot and card, etc. Software and data transferred via communications interface 87 are in the form of signals which may be electronic, electromagnetic, optical, or other signals capable of being received by communications interface 87. Signals are provided to communications interface 87 via a communications path (i.e., channel) 88 (as shown in FIG.

7). The Channel 88 carries signals and may be implemented using wire or cable, fiber optics, a phone line, a cellular phone link, an RF link, infrared link, blue tooth, and other communications channels. It should be noted that in general other transmission channels associated with the system may utilize similar architecture.

5

10

15

20

25

30

As best shown in **FIG. 2A** and **FIG. 4**, the system **10** may have a display unit **20** that may be adjustable relative to the housing **2** or other suitable structure of the system **10**. In one preferred embodiment, adjustment of the angle of the display **20** would alter the angle of an image slice selected from a 3D volume of space. The user would thus be able to select the image plane of most interest by simply adjusting the display angle (e.g., from about zero to about 135 degrees), as depicted by arrow **A**, until that slice was displayed. This approach should provide a useful mode of navigation for novice users. **FIG. 2A** illustrates the display **20** in a position substantially or exactly parallel with the transducer array **60**. **FIG. 4** illustrates the display **20** that may be rotated in any desired angle relative to the transducer array **60**. The adjustment device **22** or devices may be a variety of devices or combinations thereof including, but not limited thereto, the following: gimbal, spindle, core, axle, shaft, rod, arbor, mandrel, axis, pin, pintle, bar, journal, and bearing.

FIGS. 5A-5B schematically illustrate top and bottom perspective views, respectively, of the hand held ultrasonic imaging system of the present invention. In particular, a cover 31 or covers (removable, semi-permanent, or permanent) are provided on the bottom 8, for example, or at least a portion of the bottom 8, that is/are applied to achieve a clean, sterile, or antiseptic condition. The cover 31 would be the portion of the device in contact with the patient or target. Optionally, the cover 31 could be disposed on other areas of the housing 2 or system 10. In addition, the cover 31 may require intakes 34 or via for objects to pass through the cover 31 to the marking mechanisms 67 and/or access outlets 64. It could include portions meant to extend through the passages 65 to the access ports 63. The intakes 34 may include, but is not limited thereto, the following: perforated holes, seams, covers, plugs, lids, punch outs, doors, windows, slits, gaskets, diaphragms, valves, or other intake / access mechanisms.

The cover **31** could serve as personal protection glove. The cover **31** may be a variety of materials such as plastics, polymers, rubber, latex, metal, or any desired material. The cover **31** may include, but not limited thereto, the following: sheath, casing, well, case, shell, envelope, sleeve, or glove. Moreover, besides protecting the target or patient, the cover **31**

may be used to protect the rest of the device from damage or dirt from the target or environment.

5

10

15

20

25

30

Still referring to FIGS. 5A-5B, there is schematically illustrated an adhesive device 33 or adhesive devices that may be disposed, for example on the top 6 (shown in FIG. 5A) or alternatively on the cover 33 (shown in FIG. 5B) or both to hold the system 10 in place during treatment and pre or post treatment. Optionally, the adhesive device 33 could be disposed on other areas of the housing 2 or system 10. The adhesive device 33 may include, but not limited thereto, the following: glue, adhesive, VELCRO, tape, mirco-machined spikes, catch, latch or other holding mechanisms. In addition the adhesive device 33 may be incorporated entirely within the cover 31 to form an integrated cover/adhesive device.

Next, turning to FIG. 6, FIG. 6 schematically illustrates a top perspective view of the hand held ultrasonic imaging system of the present invention having a retaining device 32 or retaining devices that may disposed, for example on side 13 and/ or side 15 (or optionally could be disposed on other areas of the housing 2 or system 10). The retaining device 32 may include, but not limited thereto, the following: strap, belt, latch, clamp, coupling, joint, keeper, connection, VELCRO, tape, or other retaining mechanisms or structures.

An advantage of the present invention ultrasonic imaging system is that it may be compact and light weight. For example, the hand held imaging system shown in FIGS. 2A-2B, 3A-3C, 4, 5A-5B, and 6, can have a variety of sizes. In one instance it may have a housing 2 with the dimensions (height, length and width) in inches of about 1x2x 2, respectively. In another instance, the dimensions (height, length and width) in inches may be about 2x6x 4, respectively. Of course one should appreciate that the housing size may be larger or smaller. Moreover, the hand held system 10 may be lightweight weighing less than about 2 pounds. Of course one should appreciate that it may be heavier or lighter.

The present invention hand held system may be curved so as to fit the shape of the target or a partial area of the target, such as a patient or inanimate object. For example, FIGS. 8A-8B, show a schematic longitudinal perspective view and lateral side view, respectively, of the hand held ultrasonic system 10 wherein the curve of the display 20, housing 2, and/or transducer array 60 is in the longitudinal direction (any select one of these components or combination thereof, as well as other system components may be curved). Some components Whereas, FIGS. 9A-9B, show a schematic longitudinal perspective view and lateral side view, respectively, of the hand held ultrasonic system 10 wherein the curve of the display 20, housing 2, and/or transducer array 60 is in he lateral direction (any select one

of these components or combination thereof, as well as other system components may be curved). One should appreciate that the longitudinal and lateral curves may be combined to form various shapes and contours.

In an embodiment, the present invention ultrasound system and method proves particularly valuable for continuous monitoring of obstructive sleep apnea. Sleep apnea (obstruction of the air passage in the throat) is highly prevalent, affecting more than eighteen million Americans. Amongst the variants of sleep apnea, obstructive sleep apnea is by far the most common. It is difficult and expensive to diagnose and represents a significant risk to the patient. Typical diagnostic methods require an overnight hospital stay in an instrumented laboratory. Many at risk patients refuse this inconvenient testing regime and thus go undiagnosed. The present invention low cost sonic window can be coupled with relatively simple image processing to directly diagnose obstructive sleep apnea in a minimally obtrusive manner. Such an approach could be used in both initial diagnosis and as a warning device in chronic cases.

In an embodiment, the present invention ultrasound system and method proves particularly valuable as an adjunct to palpation. Manual palpation is an exceedingly common diagnostic procedure. Clinicians use their sense of touch to feel for subcutaneous lumps or even to estimate the size of lymph nodes or other masses. While palpation undoubtedly yields valuable qualitative information, numerous studies have shown it to have extremely poor sensitivity and that quantitative size estimates are completely unreliable. The present invention sonic window would offer a new method and system of observing subcutaneous tissues. It can be appreciated that various applications can be utilized, including providing more reliable and quantitative information than simple manual palpation.

In an embodiment, the present invention ultrasound system and method proves particularly valuable for non-destructive evaluation. In a broad variety of industrial applications ultrasound is used to search for internal defects in metallic or ceramic parts. Current systems are cost effective, but are unwieldy and acquire limited data, making it difficult to ensure that a thorough search has been performed. The present invention sonic window allows for more rapid and thorough examination than current techniques, and at a competitive cost.

30

5

10

15

20

25

EXAMPLES

The following example is intended for illustrative purposes only and is not intended to be limiting in any manner.

Example No. 1

5

10

15

20

25

30

Referring to FIG. 7, a schematic block diagram of an embodiment of the invention is shown, whereby a two-dimensional piezoelectric transducer array 60 is utilized. The transducer array 60 consists of a 32 X 32 element array of 500 X 500 um elements 62. These elements can be constructed by using a commercially available wafer dicing saw to cut a Lead Zirconate Titanate (PZT) ceramic that had been mounted to a printed circuit board. While the printed circuit board does not provide optimal acoustic properties, it can be easily fabricated at a low cost. Selection of non-standard materials as the substrate for the printed circuit board (such as a thermoplastic) will enable some control over the acoustic response of the transducer. The printed circuit board provides the connection to one side of the elements 62. The other side of the elements is tied to a common ground plane by adhering a foil layer to the surface using an electrically conductive epoxy.

A transmit-receive switch 70 would be connected directly to the transducer elements. This switch acts 70 to ensure that either transmit or receive circuitry 75 is connected to the transducer elements 62, but never both simultaneously. This is essential since the high transmit voltages (on the order of about 50-200 Volts) would damage the sensitive amplifiers used in echo reception. Furthermore, the preferred embodiment utilizes a CMOS integrated circuit. Such CMOS processes are relatively easily damaged by the application of high voltage.

In one embodiment of this invention the transmit-receive switch and transmit circuitry are integrated in such a manner as to reduce cost and complexity.

A preferred embodiment maintains low cost and system performance by integrating the preamplifiers 71, amplifiers 72, A/D converters 73, buffer RAM 74, and beamformer 40 into a single CMOS integrated circuit. A single integrated circuit could include a large number of channels, that is, all the circuitry required for reception and focusing of some large number of elements. A preferred embodiment would include all these circuit components for all 1024 elements on a single integrated circuit.

The preamplifiers 71 provide electrical impedance matching between the transducer elements 62 and the receiving electronics. They also provide some small amount of fixed gain. The amplifier stage provides a more significant level of gain that is adjustable to account for signal losses due to frequency dependant attenuation. The analog to digital converters (A/D converters) 73 digitize the received echoes at 8 bits and a nominal sampling frequency of 40 MHz. Sampled data is then stored temporarily in the buffer RAM 74.

Sampled data is read from this buffer RAM by the beamformer 40. The beamformer delays the echo signals differentially to focus the signals on the location of interest. These delays may have a smaller interval than the sampling interval by employing digital interpolation filters. Once the echo signals have been appropriately delayed they may be summed together to yield the focused signal for a single line through the tissue. One skilled in the art would appreciate that the aforementioned focal delays might be updated at rapid intervals to perform what is commonly known as "dynamic focusing."

5

10

15

25

30

Focused echo data coming out of the beamformer would be processed further by a general purpose digital signal processor (DSP) 41 such as the Texas Instruments TMS320C55 DSP processor. This DSP 41 processes the focused line data by performing envelope detection and mapping the envelope detected data to the appropriate location in the image display. Finally, the image data would be displayed using an LCD screen 20 such as those employed in handheld televisions, personal digital assistants, or laptop computers.

Transmit timing, focal parameters, image depth, image gain, and other parameters could be determined by a system control unit **80**. This control unit **80** could consist of a second DSP chip like the one described above. This chip would read user controls and update system settings to implement user adjustments. This control unit might also employ an interface to an external storage device and an interface to an external printer.

The following U.S. Patents are hereby incorporated by reference herein in their entirety:

- U.S. Patent 4,240,295 to Uranishi, entitled "Ultrasonic Diagnosing Apparatus;"
- U.S. Patent No. 5,065,740 to Itoh, entitled "Ultrasonic Medical Treatment Apparatus;"
 - U.S. Patent No. 5,097,709 to Masuzawa et al., entitled "Ultrasonic Imaging System;"
- U.S. Patent No. 5,722,412 to Pflugrath et al., entitled "Hand Held Ultrasonic Diagnostic Instrument;"
- U.S. Patent No. 5,879,303 to Averkiou et al., entitled "Ultrasonic Diagnostic Imaging of Response Frequency Differing from Transmit Frequency;"
- U.S. Patent 5,833,613 to Averkiou et al., entitled "Ultrasonic Diagnostic Imaging with Contrast Agents;"
 - U.S. Patent No. 5,893,363 to Little et al., entitled "Ultrasonic Array Transducer Transceiver for a Hand Held Ultrasonic Diagnostic Instrument;"

U.S. Patent 6,106,472 to Chiang, et al., entitled "Portable Ultrasound Imaging System;"

- U.S. Patent 6,241,673 to Williams, entitled "Diagnostic Medical Ultrasound System with Wireless Communication Device;"
- U.S. Patent 6,283,919 to Roundhill et al., entitled "Ultrasonic Diagnostic Imaging with Blended Tissue Harmonic Signals;"

5

15

25

30

- U.S. Patent 6,383,139 to Hwang et al., entitled "Ultrasonic Signal Processor for Power Doppler Imaging in a Hand Held Ultrasonic Diagnostic Instrument;"
- U.S. Patent 6,436,040 to Collamore et al., entitled "Intuitive User Interface and Control Circuitry Including Linear Distance Measurement and User Localization in a Portable Ultrasound Diagnostic Device;"
 - U.S. Patent 6,440,072 to Schuman et al., entitled "Medical Diagnostic Ultrasound Imaging System and Method for Transferring Ultrasound Examination Data to a Portable Computing Device;"
 - U.S. Patent 6,488,625 to Randall et al., entitled "Medical Diagnostic Ultrasound System and Method;" and
 - U.S. Patent 6,497,661 to Brock-Fisher, entitled "Portable Ultrasound Diagnostic Device with Automatic Data Transmission."
- In conclusion, in view of the foregoing, an advantage of the present invention ultrasonic imaging system and method provides is ease of use, whereby acquiring and displaying data in the intuitive C-Mode format little or no training will be necessary for clinicians to make use of the device.

Another advantage of the present invention ultrasonic imaging system and method is low cost, whereby large scale integration of the beamformer will enable the system to be produced at a very low cost. This will open numerous applications for which ultrasound was previously cost prohibitive.

Still yet, another advantage of the present invention ultrasonic imaging system and method is portability, whereby the small size of the system will make it easy to carry in a pocket or on a belt attachment. This will make the system or device as available as a stethoscope and will thus open new applications.

Further, another advantage of the present invention ultrasonic imaging system and method is that there are no low cost, portable systems that produce C-Mode displays.

Moreover, another advantage of the present invention is that it can be battery operated without a power cord or the like.

Finally, another advantage of the present invention is that entanglement of transducer cable is avoided.

5

10

The present invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. The foregoing embodiments are therefore to be considered in all respects illustrative rather than limiting of the invention described herein. Scope of the invention is thus indicated by the appended claims rather than by the foregoing description, and all changes which come within the meaning and range of equivalency of the claims are therefore intended to be embraced herein.

CLAIMS

We claim:

An ultrasonic imaging system capable of producing C-Mode images and/or 1 1. collecting 3D image data of a target, said system comprising: 2 a housing; 3 4 a transducer array disposed on said housing; a display unit disposed on said housing, wherein said transducer and said 5 6 display unit is integrated with the housing; and 7 a beamformer in communication with said system; 1 2. The system of claim 1, wherein said beamformer is disposed on said housing. The system of claim 2, wherein said display unit lies in a plane substantially 1 3. parallel or exactly parallel to said transducer array. 2 1 4. The system of claim 2, wherein said display unit is adjustably mounted to said 2 housing. 5. The system of claim 4, wherein adjustment of the angle of said display unit 1 2 controls the slice of a 3D image set to be displayed. 1 6. The system of claim 2, wherein said system weighs less than about 5 pounds. The system of claim 2, wherein said system weighs less than about 2 pounds. 1 7. 1 8. The system of claim 2, wherein said housing has a volume of less than about 4 2 cubic inches.

1 10. The system of claim 1, wherein said display unit lies in a plane substantially

2 parallel or exactly parallel to said transducer array.

1

2

9.

48 cubic inches.

The system of claim 2, wherein said housing has a volume of less than about

1 11. The system of claim 1, wherein said display unit is adjustably mounted to said 2 housing.

- 1 12. The system of claim 11, wherein adjustment of the angle of said display unit 2 controls the slice of a 3D image set to be displayed.
- 1 13. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed on said display unit is scaled in a manner whereby dimensions of 3 said image corresponds with dimensions of the target.
- 1 14. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed on said display unit is scaled in a manner that magnifies the 3 dimensions of the target.
- 1 15. The system of claim 14, wherein said system further comprises a user control 2 unit, wherein said image displayed on said display unit is scalable as determined by a user.
- 1 16. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed on said display unit is a representation of a complete 3D image.
- 1 The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed on said display unit is a single slice through a complete 3D 3 image.
- 1 18. The system of claim 1, wherein said display unit is adapted for displaying an image, said image displayed on said display unit is a C-Mode image of the tissue, whereby said displayed image is obtained in a plane substantially parallel or exactly parallel to the face of the transducer.
- 1 19. The system of claim 18, wherein said image displayed on said display unit 2 displays an animation whereby said C-Modes images are from different depths of the target, 3 said animation may be displayed simultaneously or a different times.

1 20. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed is formed by averaging at least two envelope detected images

- 3 from multiple parallel planes, whereby appearance of speckle in the displayed image is
- 4 reduced.
- 1 21. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed represents estimated blood flow velocities encoded in color.
- 1 22. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed depicts Power Doppler information.
- 1 23. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed depicts tissue harmonic information.
- 1 24. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed is formed by transmit-receiving compounding.
- 1 25. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed is formed by receive only spatial compounding.
- 1 26. The system of claim 1, wherein said display unit is adapted for displaying an 2 image, said image displayed is formed by frequency compounding.
- The system of claim 1, wherein said display unit is adapted for displaying an image, said image displayed depicts speckle pattern decorrelation over time as a means to identify tissue or blood motion.
- 1 28. The system of claim 1, wherein said transducer array transmits ultrasonic 2 energy into the target, wherein the ultrasonic energy transmitted uses one or more focused 3 transmit beams.

1 29. The system of claim 1, wherein said transducer array transmits ultrasonic 2 energy into the target, wherein the ultrasonic energy transmitted uses an unfocused transmit 3 beam.

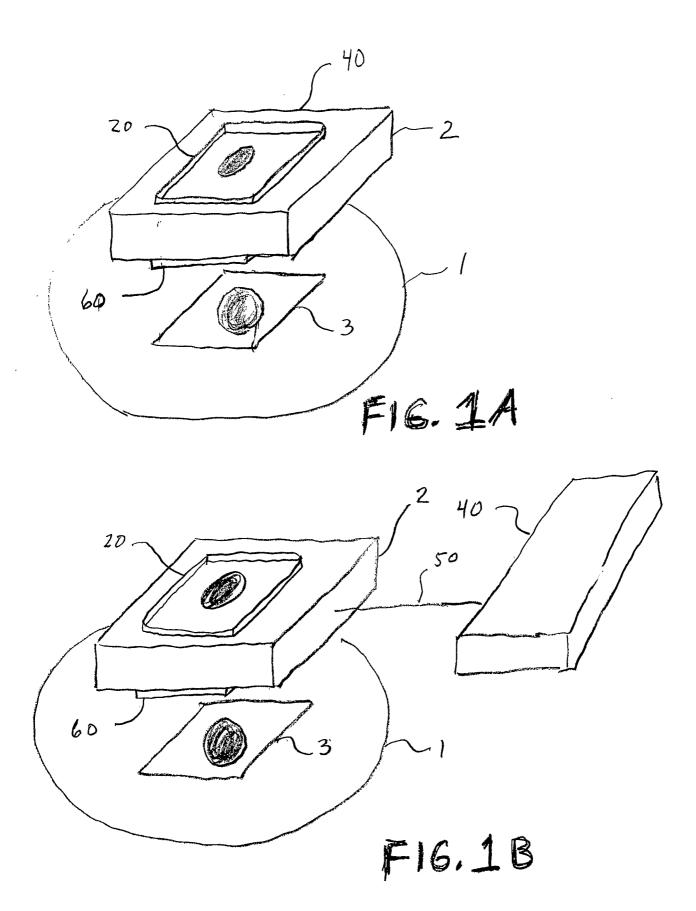
- 1 30. The system of claim 1, wherein said transducer array transmits ultrasonic 2 energy into the target, and said transducer array being responsive for receiving ultrasonic 3 echo signals from the target, said transducer array using a coded excitation scheme to 4 increase the effective signal to noise ratio of received echo signals.
- 1 31. The system of claim 1, further comprising: 2 at least one passage in communication with said system, said

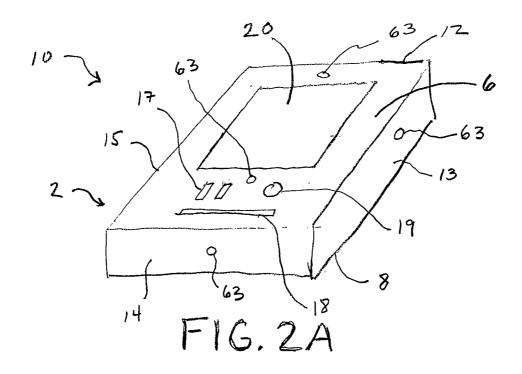
3

- at least one passage in communication with said system, said system being adapted to correlate location of said passage with the target.
- 1 32. The system of claim 31, wherein a needle or tool can be inserted into said 2 passage, and said location of said needle or tool is tracked and displayed on said display unit 3 relative to said passage.
- 1 33. The system of claim 31, wherein at least of one said passage is disposed on at least one of said transducer array and/or housing.
- 1 34. The system of claim 1, further comprising:
- a marker unit, said marker unit adapted for placing one or more marks on the target.
- 1 35. The system of claim 1, wherein said transducer array is comprised at least in 2 part of lead zirconate titanate or some other appropriate piezoelectric material.
- 1 36. The system of claim 1, wherein said system weighs less than about 5 pounds.
- 1 37. The system of claim 1, wherein said system weighs less than about 2 pounds.
- 1 38. The system of claim 1, wherein said housing has a volume of less than about 4 cubic inches.

1	39. T	he system of claim 1, wherein said housing has a volume of less than about		
2	48 cubic inches.			
1	40. T	he system of claim 1, further comprising:		
2	at least o	ne removable cover, at least one said cover at least partially covering said		
3	housing.			
1	41. T	he system of claim 40, further comprising:		
2	at least o	ne adhesive device, at least one said adhesive device at least partially		
3	disposed on said	disposed on said cover.		
1		he system of claim 40, further comprising:		
2	at least or	ne intake disposed on said cover, said intake allowing access through said		
3	cover.			
1	42 77			
1		he system of claim 1, further comprising:		
2		ne adhesive device, at least one said adhesive device at least partially		
3	covering said ho	using.		
1	44. T	he system of claim 1, further comprising:		
2		ne retaining device, at least one said retaining device at least partially		
3	disposed on said			
	,			
1	45. TI	he system of claim 1, wherein at least one of said housing, display, and		
2	transducer array			
1	46. A	method of imaging a target to produce C-Mode ultrasonic images and/or		
2	collecting	gultrasonic 3D image data, comprising the steps of:		
3	pr	oviding a housing;		
4	pr	oviding a transducer array disposed on said housing, said transducer for		
5	transmitti	ng ultrasonic energy into the target and receiving ultrasonic echo signals		
6	from the t	carget;		
7	be	eamforming said received echo signals to provide data:		

8		processing said beamformed data; and
9		providing a display unit disposed on said housing, said display unit displaying
10	said _I	processed data.
1	47.	The method of claim 46, wherein said beamformer is disposed on said
2	housing.	
1	48.	The system of claim 31, wherein the location correlation function is achieved
2	by at least or	ne intersection point indicator displayed on said display unit, at least one said
3	intersection point indicator corresponds with at least one desired intersection point on the	
4		
1		





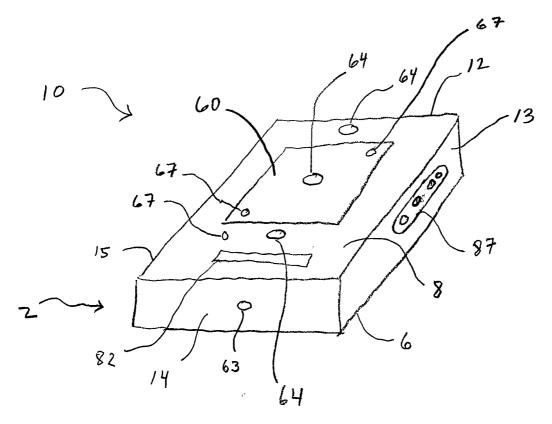
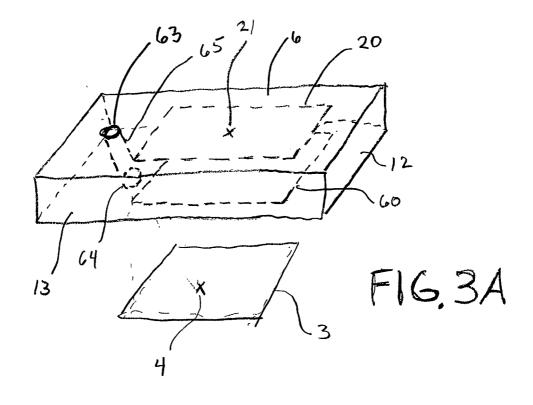
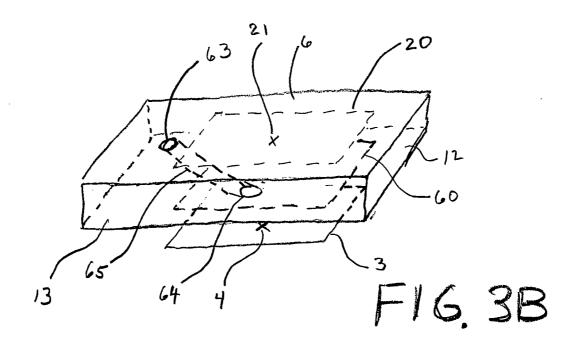
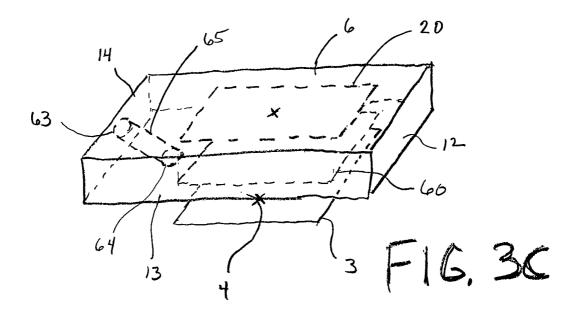
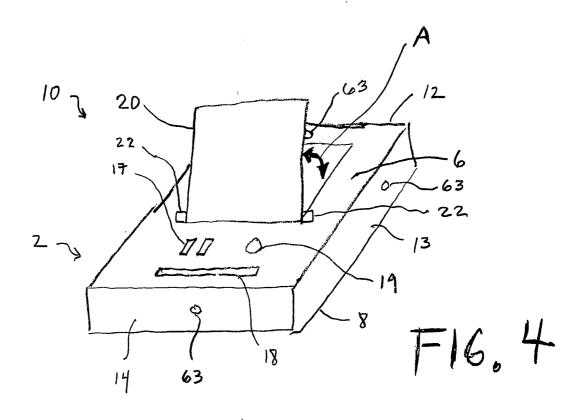


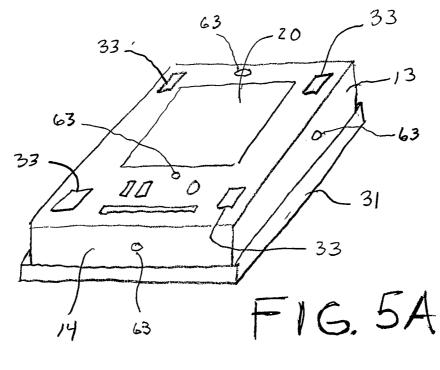
FIG. 2B

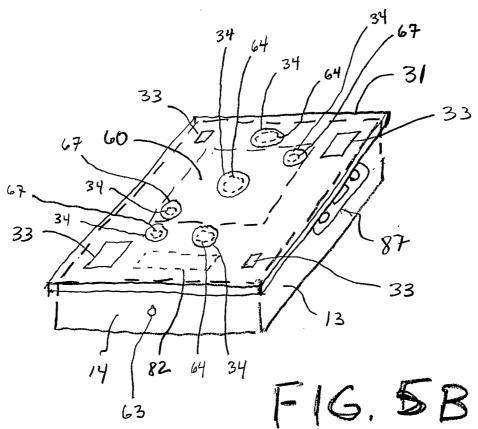


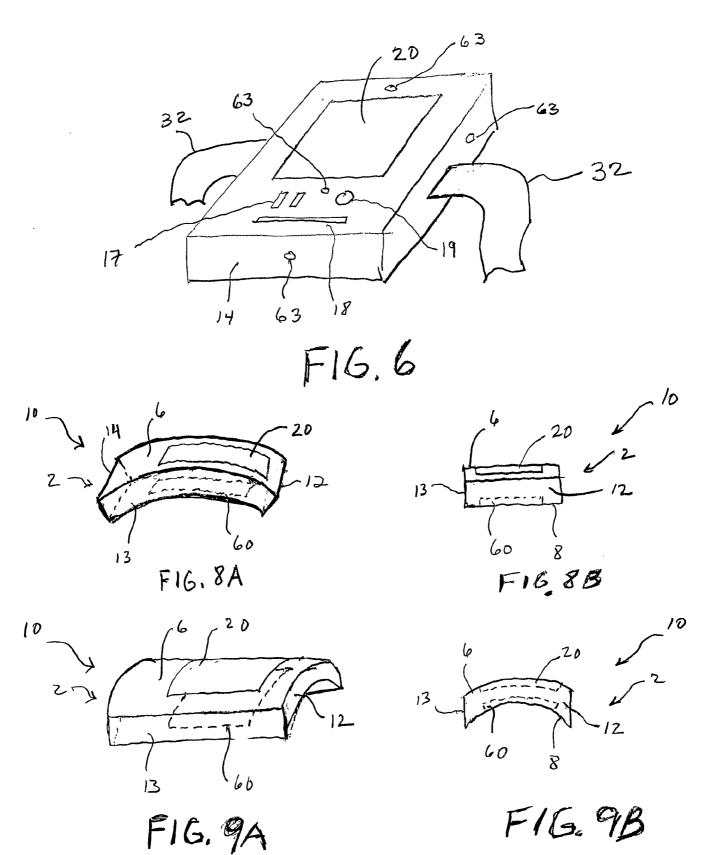


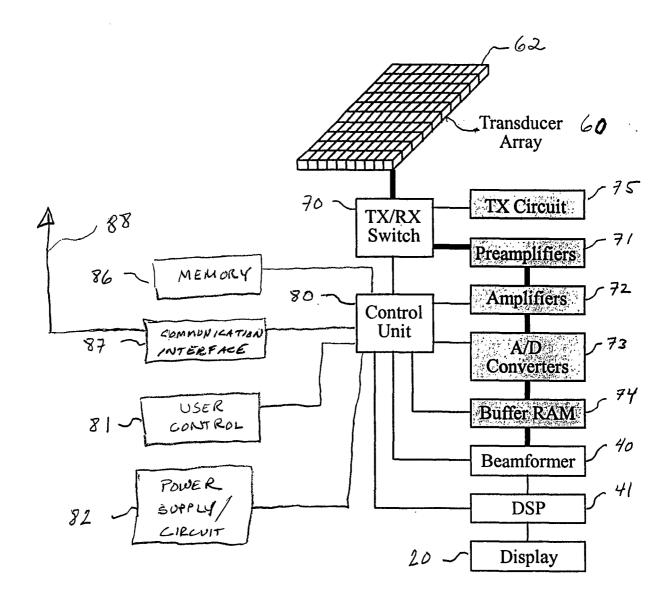












F16. 7

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/06607

A. CLAS	SSIFICATION OF SUBJECT MATTER					
IPC(7) : A61B 8/00						
US CL	: 600/437, 446					
	International Patent Classification (IPC) or to both i	national classification and IPC				
	DS SEARCHED					
	cumentation searched (classification system followed					
0.8.:6	00/437-471; 73/620-633; 367/7, 11, 130, 138; 128/9	10				
Documentati	on searched other than minimum documentation to th	e extent that such documents are included	d in the fields searched			
Doodingman	on sourched onto than imminist documentation to the	o onioni mai suon accumento are metade	a III bilo iiotab boai biioa			
Electronic de	ata base consulted during the international search (na	ne of data base and where practicable s	earch terms used)			
	Continuation Sheet	no or anti ouse ara, where praesioners, s	outou torms asou,			
1 lease see C	ontinuation bitot					
C. DOC	UMENTS CONSIDERED TO BE RELEVANT					
Category *	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.			
X	US 6,312,381 B1 (Knell et al.) 06 November 2001	(06.11.2001), entire document.	1-48			
	,	` '				
X,P	US 6,436,039 B1 (Lannutti et al.) 20 August 2002	(20.08.2002), entire document.	1-48			
,-		`				
		'				
			j			
	,					
		ł				
į						
Further	r documents are listed in the continuation of Box C.	See patent family annex.				
* S	special categories of cited documents:	"T" later document published after the inte				
"A" documen	t defining the general state of the art which is not considered to be	date and not in conflict with the applic principle or theory underlying the inve				
	ular relevance					
4T:n1!	the size of the si	"X" document of particular relevance; the				
"E" earlier ag	oplication or patent published on or after the international filing date	considered novel or cannot be consider when the document is taken alone	ed to myorve an inventive step			
	t which may throw doubts on priority claim(s) or which is cited to					
establish specified	the publication date of another citation or other special reason (as	"Y" document of particular relevance; the considered to involve an inventive step				
specifica,	,	combined with one or more other such				
"O" documen	t referring to an oral disclosure, use, exhibition or other means	being obvious to a person skilled in the	art			
"P" documen	t published prior to the international filing date but later than the	"&" document member of the same patent	family			
	late claimed		•			
Date of the	Date of the actual completion of the international search Date of mailing of the international search report					
Date of the a	Date of the actual completion of the international scarcin					
30 May 2003 (30.05.2003)						
	ailing address of the ISA/US	Authorized officer				
1	il Stop PCT, Attn: ISA/US	Ali Imam Dione Arneth f Telephone No. 703-308-1148				
	mmissioner for Patents	All Imam Diano Ameth L				
P.O. Box 1450 Alexandria, Virginia 22313-1450 Telephone No. 703-308-1148						
Facsimile No. (703)305-3230						

	PCT/US03/06607
INTERNATIONAL SEARCH REPORT	
Continuation of D. FIELDS SEAD CHED Home 2.	
Continuation of B. FIELDS SEARCHED Item 3: EAST 1.3	
search terms: ultrasound, hand held, portable, beamformer, c-mode	
search terms, uttrasound, hand held, portable, beamformer, c-mode	
·	
•	

Form PCT/ISA/210 (second sheet) (July 1998)



专利名称(译) 直	直观的超声成像系统及其相关方法			
公开(公告)号	EP1549221A1	公开(公告)日	2005-07-06	
申请号 E	EP2003716289	申请日	2003-03-06	
[标]申请(专利权)人(译) 身	弗吉尼亚大学专利基金会			
申请(专利权)人(译) V	VIRGINIA专利大学基金会			
当前申请(专利权)人(译) V				
H	VALKER WILLIAM F HOSSACK JOHN A BLALOCK TRAVIS N			
H	VALKER, WILLIAM, F. HOSSACK, JOHN, A. BLALOCK, TRAVIS, N.			
IPC分类号 A61B8/00 A61B8/06 A61B8/08 A61B18/14 G01S7/52 G01S15/89				
优先权 6	60/362763 2002-03-08 US			
	EP1549221B1 EP1549221A4			
外部链接	<u>Espacenet</u>			

摘要(译)

手持式超声波仪器(10)设置在便携式单元中,该便携式单元执行C模式成像并收集3D图像数据。在优选实施例中,换能器阵列(60),显示单元(20),波束形成器(40),电源系统和图像处理器集成在一个重量小于3磅的外壳中。在操作中,便携式单元在目标上扫描,并且所显示的图像被方便地呈现给操作者,由此所显示的图像精确地对应于目标,或者如果需要则按比例的方式。