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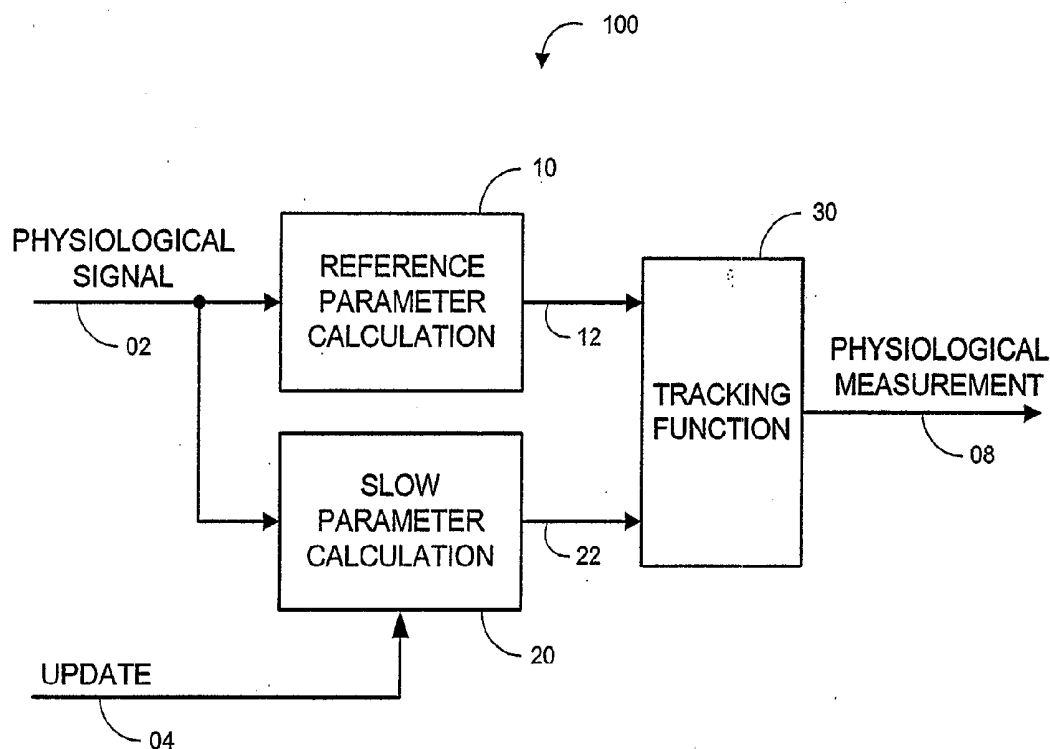
(19) **United States**(12) **Patent Application Publication**  
**Al-Ali et al.**(10) **Pub. No.: US 2013/0274572 A1**(43) **Pub. Date: Oct. 17, 2013**(54) **PHYSIOLOGICAL PARAMETER TRACKING SYSTEM**

(60) Provisional application No. 60/498,749, filed on Aug. 28, 2003.

(71) Applicant: **MASIMO CORPORATION**, Irvine, CA (US)**Publication Classification**(72) Inventors: **Ammar Al-Ali**, San Juan Capistrano, CA (US); **Mohamed K. Diab**, Ladera Ranch, CA (US); **Walter M. Weber**, Laguna Hills, CA (US)(51) **Int. Cl.**  
**A61B 5/1455** (2006.01)  
(52) **U.S. Cl.**  
CPC ..... **A61B 5/1455** (2013.01); **A61B 5/14552** (2013.01)  
USPC ..... **600/328; 600/322**(21) Appl. No.: **13/777,936**(57) **ABSTRACT**(22) Filed: **Feb. 26, 2013****Related U.S. Application Data**

(63) Continuation of application No. 11/834,602, filed on Aug. 6, 2007, now Pat. No. 8,385,995, which is a continuation of application No. 10/930,048, filed on Aug. 30, 2004, now Pat. No. 7,254,431.

A physiological parameter tracking system has a reference parameter calculator configured to provide a reference parameter responsive to a physiological signal input. A physiological measurement output is a physiological parameter derived from the physiological signal input during a favorable condition and an estimate of the physiological parameter according to the reference parameter during an unfavorable condition.



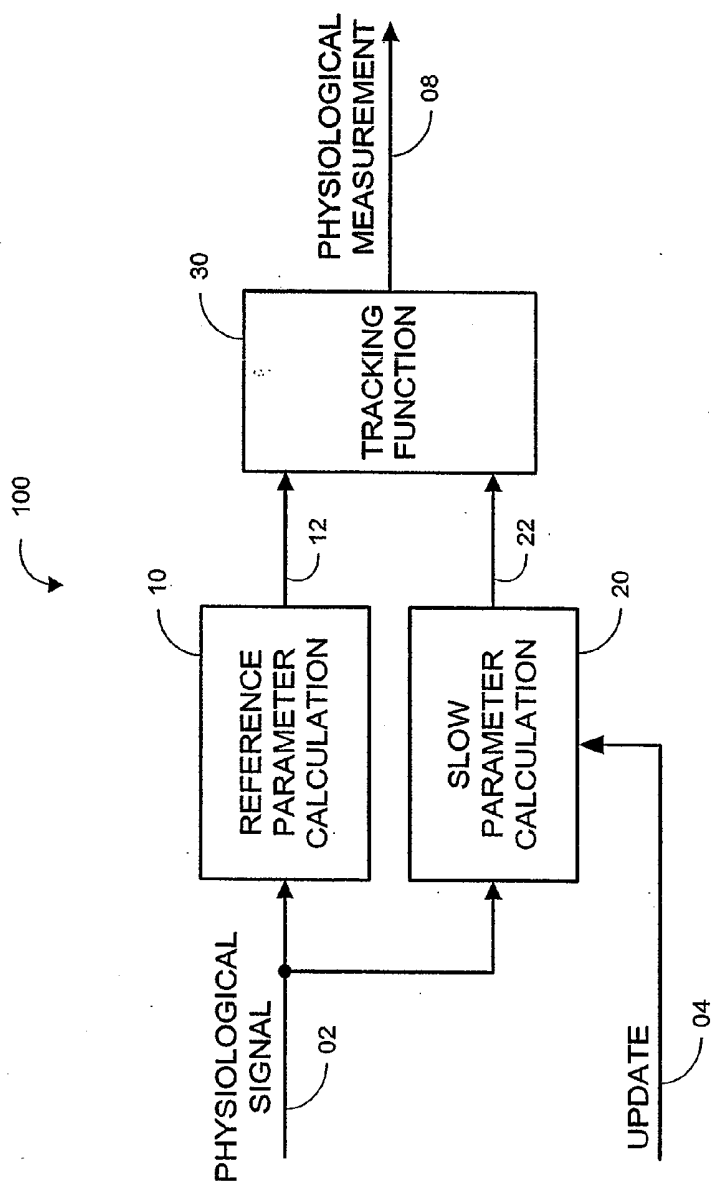


FIG. 1

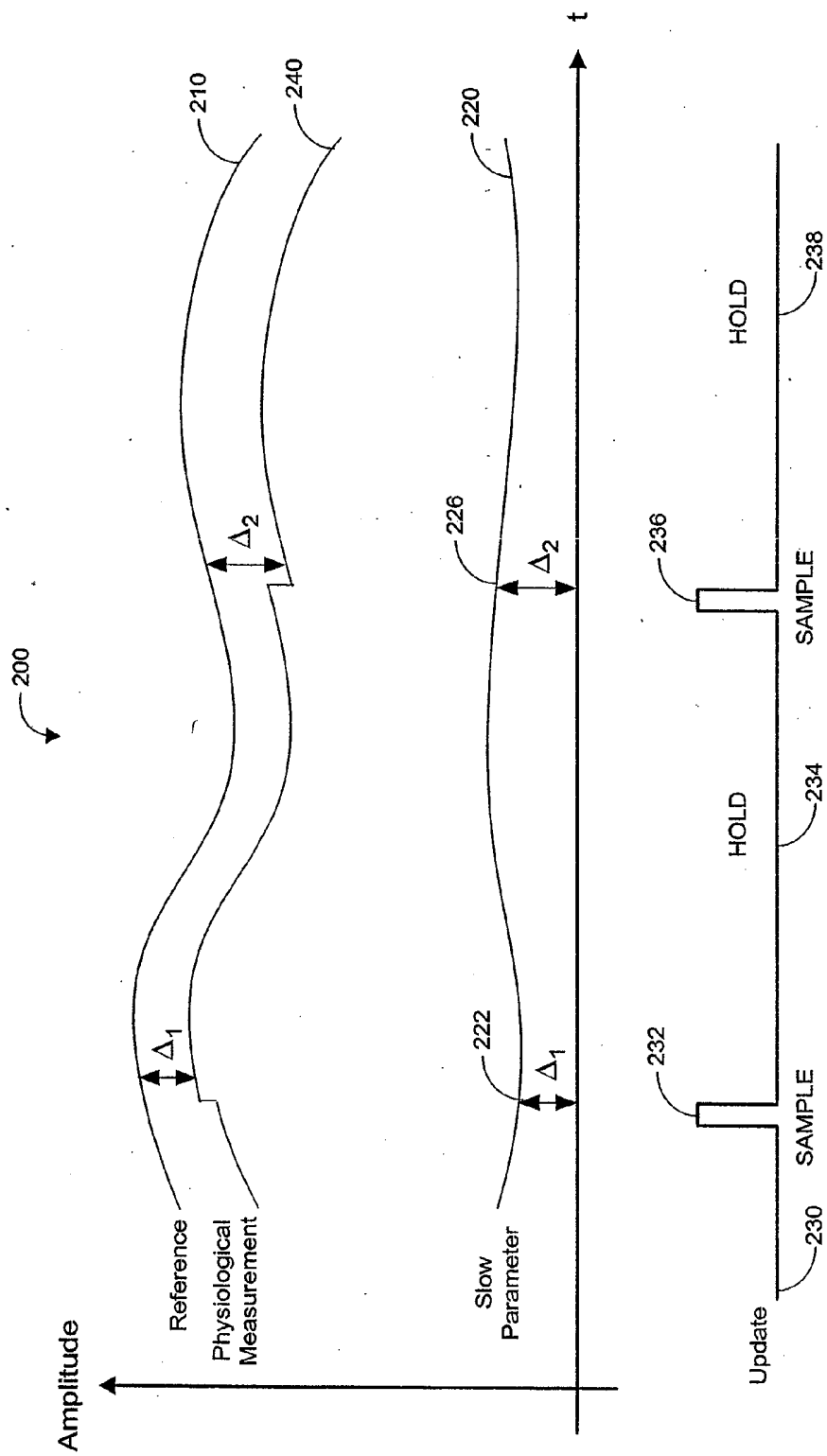


FIG. 2

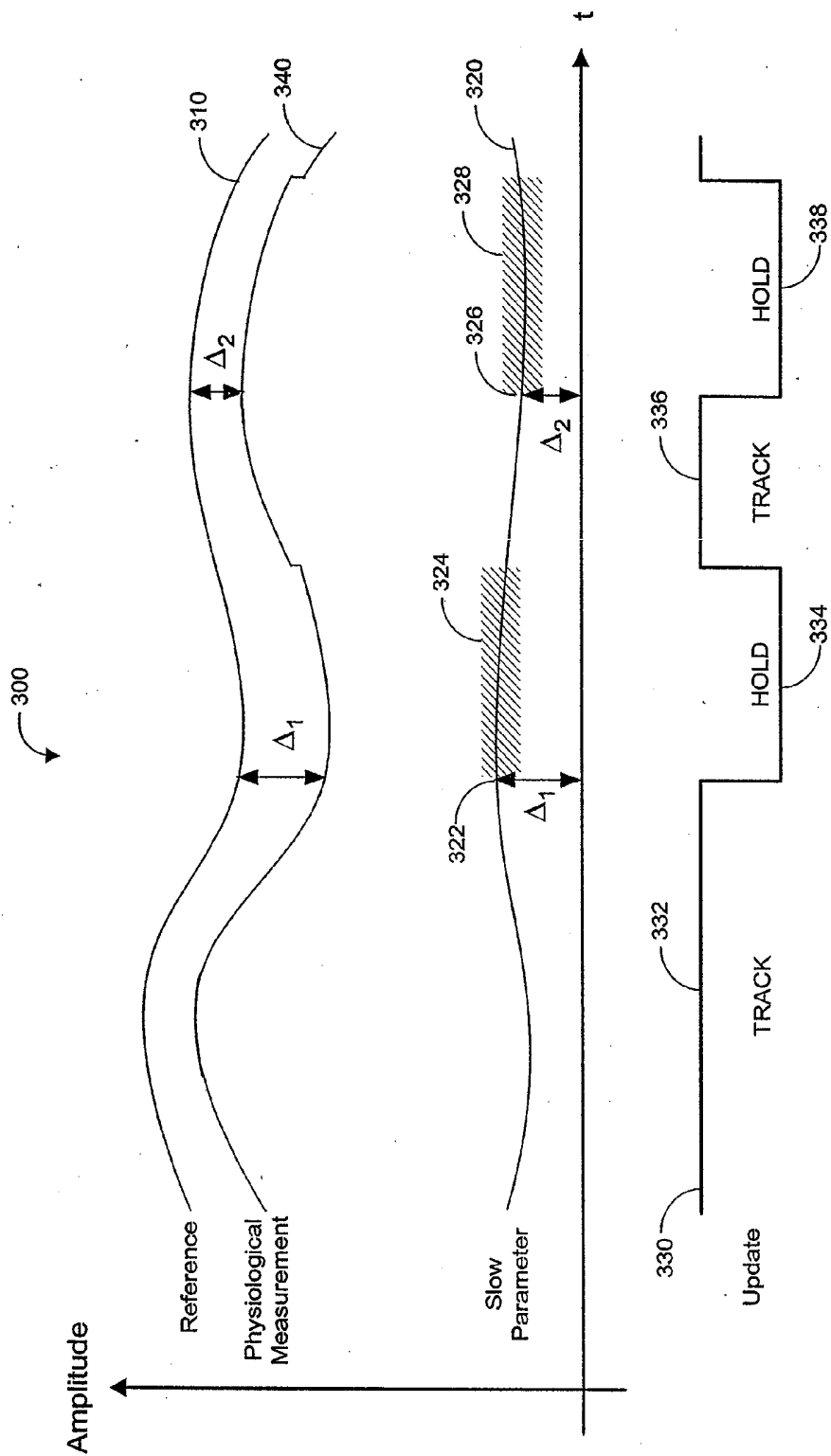


FIG. 3

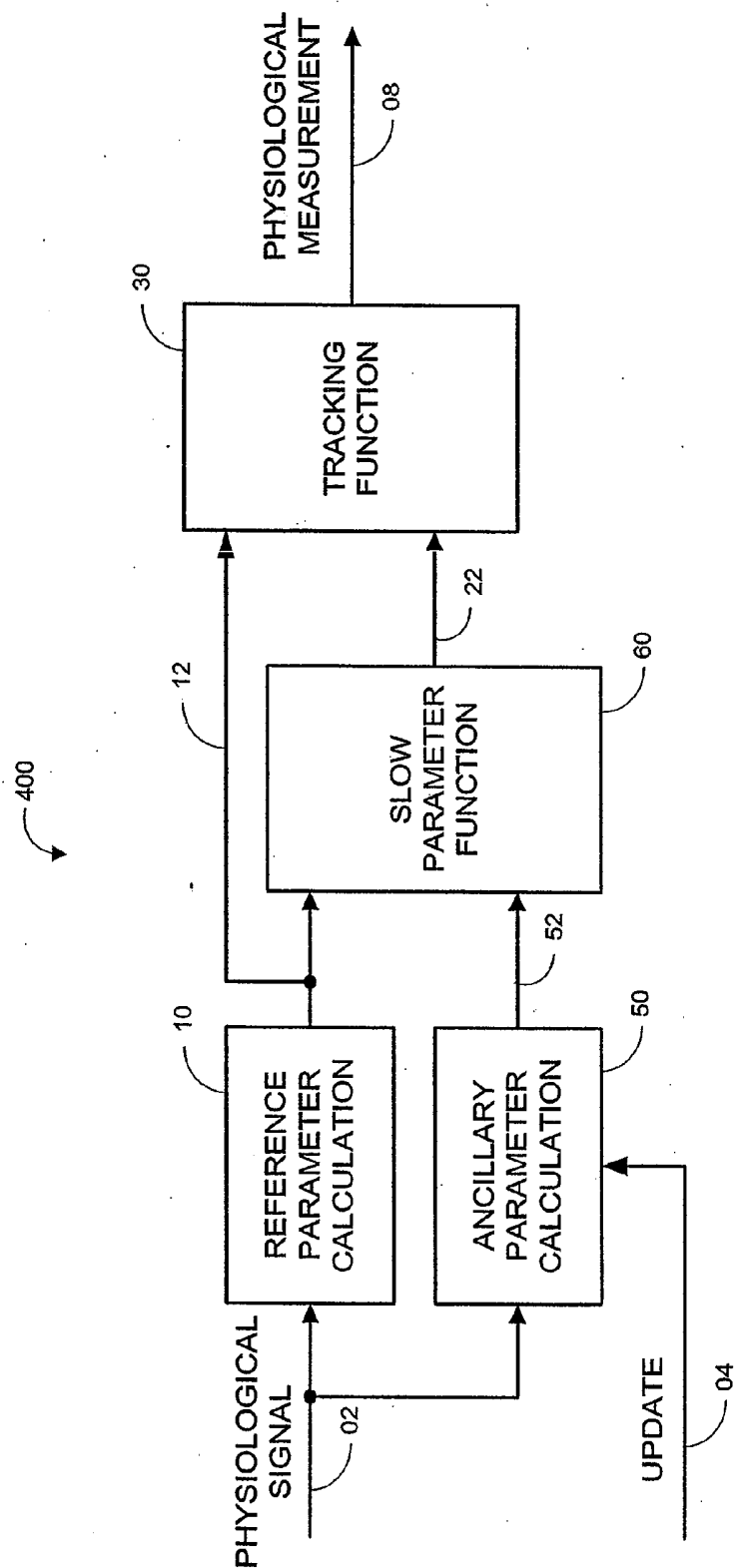


FIG. 4

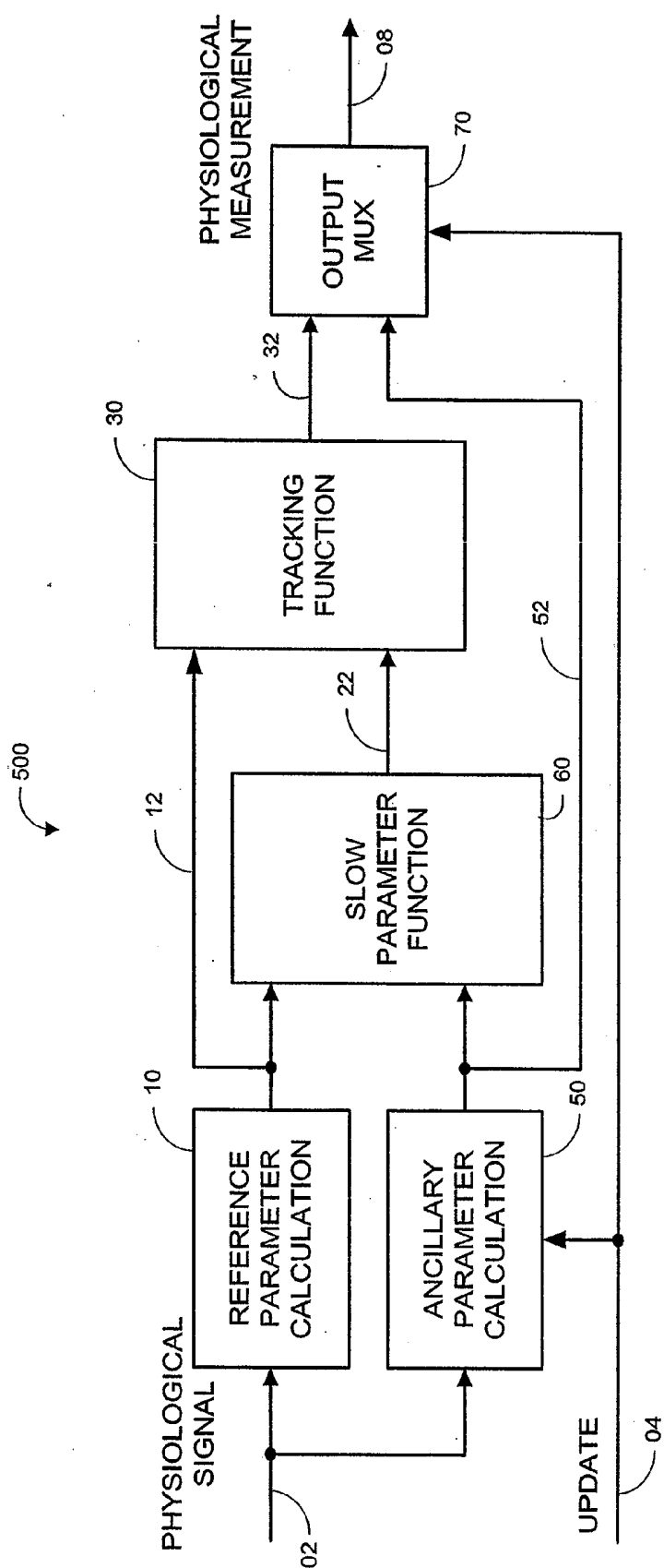


FIG. 5

## PHYSIOLOGICAL PARAMETER TRACKING SYSTEM

### CROSS-REFERENCE TO RELATED APPLICATIONS

**[0001]** This application claims priority benefit under 35 U.S.C. §120 from, and is a continuation of U.S. patent application Ser. No. 11/834,602, filed Aug. 6, 2007, entitled “Physiological Parameter Tracking System”, which is a continuation of U.S. patent application Ser. No. 10/930,048, filed Aug. 30, 2004, entitled “Physiological Parameter Tracking System”, which claims priority benefit under 35 U.S.C. §119 (e) from U.S. Provisional Patent Application No. 60/498,749, filed Aug. 28, 2003, entitled “Physiological Parameter Tracking System”. The present application incorporates the foregoing disclosures herein by reference.

### BACKGROUND OF THE INVENTION

**[0002]** Oxygen transport from the lungs to body tissue can be monitored by measuring various physiological parameters. For example, oxygen saturation of arterial blood ( $S_aO_2$ ) is a measure of the ratio of oxyhemoglobin ( $HbO_2$ ) concentration to the sum of  $HbO_2$  and deoxyhemoglobin ( $Hb$ ) concentrations in the arterial blood. Because  $HbO_2$  is the major oxygen carrying component of blood,  $S_aO_2$  is indicative of oxygen delivery to body tissues. As another example, oxygen saturation of venous blood ( $S_vO_2$ ) is a similar measure of  $HbO_2$  and  $Hb$  concentrations in venous blood and is indicative of oxygen consumption by body tissues. Measurements of the concentrations of carboxyhemoglobin ( $HbCO$ ) and methemoglobin ( $MetHb$ ) are indicative of abnormal hemoglobin constituents that interfere with oxygen transport.

**[0003]** Pulse oximetry is a noninvasive, easy to use, inexpensive procedure for measuring the oxygen saturation level of arterial blood. Pulse oximeters perform a spectral analysis of the pulsatile component of arterial blood in order to determine oxygen saturation ( $S_{pa}O_2$ ), which is an estimate of  $S_aO_2$ . A pulse oximetry system has a sensor and a monitor. The sensor has emitters that typically consist of a red light emitting diode (LED) and an infrared LED that project light through blood vessels and capillaries underneath a tissue site, such as a fingernail bed. A sensor also has a detector that typically is a photodiode positioned opposite the LEDs so as to detect the emitted light as it emerges from the tissue site. A pulse oximetry sensor is described in U.S. Pat. No. 6,088,607 entitled “Low Noise Optical Probe,” which is assigned to Masimo Corporation, Irvine, Calif. and incorporated by reference herein.

### SUMMARY OF THE INVENTION

**[0004]** One aspect of a physiological parameter tracking system comprises a physiological signal and first, second, third and fourth calculators. The physiological signal has at least first and second intensity signal components received from a light-sensitive detector that detects light of at least first and second wavelengths transmitted through body tissue carrying pulsing blood. The first calculator is configured to output a reference parameter responsive to the physiological signal. The second calculator is configured to output an ancillary parameter responsive to the physiological signal. The third calculator is configured to output a slow parameter that is a function of the reference parameter and the ancillary parameter. The slow parameter is a function of time that is

slowly varying relative to the reference parameter and the ancillary parameter. A fourth calculator is configured to output a physiological measurement responsive to the reference parameter and the slow parameter. In an embodiment, the fourth calculator provides a physiological measurement that is at least in part a function of the reference parameter and the slow parameter during a first time interval and is the ancillary parameter during a second time interval. In an embodiment, the first time interval includes a period when calculations of the ancillary parameter are unfavorable. In an embodiment, the second time interval includes a period when calculations of the ancillary parameter are favorable.

**[0005]** Another aspect of a physiological parameter tracking system comprises inputting a physiological signal, deriving a physiological measurement from the physiological signal during a favorable condition, estimating the physiological measurement during an unfavorable condition and outputting a combination of the derived physiological measurement and the estimated physiological measurement. In an embodiment, estimating comprises calculating a slow parameter that is physiologically related to the reference parameter and the physiological measurement and tracking the reference parameter with the slow parameter. In an embodiment, outputting comprises selecting between estimated physiological measurement and derived measurement according to the favorable condition and the unfavorable condition. In an embodiment, the favorable condition and the unfavorable conditions relate to power consumption goals. In an embodiment, the favorable condition and the unfavorable conditions relate to the quality of the physiological signal.

**[0006]** A further aspect of a physiological parameter tracking system comprises a physiological signal input, a reference parameter calculator and a physiological measurement means for outputting and estimating. The physiological signal input has at least first and second intensity signal components received from a light-sensitive detector that detects light of at least first and second wavelengths transmitted through body tissue carrying pulsing blood. The reference parameter calculator is configured to output a reference parameter responsive to the physiological signal. The physiological measurement means outputs a physiological parameter derived from the physiological signal input during a favorable condition and estimates the physiological parameter according to the reference parameter during an unfavorable condition. In an embodiment, a slow parameter means relates the reference parameter to the physiological parameter during the unfavorable condition. In an embodiment, an update means selects a first time period for outputting the derived physiological parameter and a second time period for outputting the estimated physiological parameter.

### BRIEF DESCRIPTION OF THE DRAWINGS

**[0007]** FIG. 1 is a block diagram of a slow parameter calculation embodiment of a physiological parameter tracking system;

**[0008]** FIG. 2 is a graph illustrating operation of a physiological parameter tracking system in a sample and hold (S/H) mode;

**[0009]** FIG. 3 is a graph illustrating operation of a physiological parameter tracking system in a track and hold (T/H) mode;

**[0010]** FIG. 4 is a block diagram of an ancillary calculation embodiment of a physiological parameter tracking system for operation in a S/H mode; and

**[0011]** FIG. 5 is a block diagram of an ancillary calculation embodiment of a physiological parameter tracking system for operation in a T/H mode.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

**[0012]** Overview

**[0013]** FIGS. 1, 4 and 5 illustrate embodiments of a physiological parameter tracking system that advantageously provide a clinically accurate physiological measurement by tracking a reference parameter based upon a slowly varying (“slow”) parameter. As such, it is not necessary to continuously or frequently perform complex calculations to derive the physiological measurement. That is, the physiological measurement is a relatively simple function of the reference parameter and the slow parameter. Slow parameter calculations are performed only when conditions are favorable, or alternatively, suspended when conditions are not favorable, as indicated by an update command. The update command may be responsive to conditions such as power consumption goals or the quality of a physiological signal input to name a few.

**[0014]** In one embodiment, the slow parameter is HbCO or MetHb, and the reference parameter is  $S_{pa}O_2$ . Accordingly, the physiological measurement is  $S_{pa}O_2$  corrected for the presence of one or both of these abnormal hemoglobin constituents. In another embodiment, the slow parameter is  $\Delta_{av} = S_{pa}O_2 - S_vO_2$ , a measure of oxygen consumption at a tissue site, and the reference parameter is  $S_{pa}O_2$ . Accordingly, the physiological measurement is an estimate of  $S_vO_2$ .

**[0015]** Slow Parameter Calculation

**[0016]** FIG. 1 illustrates a slow parameter calculation embodiment of a physiological parameter tracking system 100 in which the slow parameter 22 is derived from and responsive to a physiological signal 02. The physiological parameter tracking system 100 has a physiological signal 02 input, a reference parameter calculation 10, a slow parameter calculation 20 and a tracking function 30 and generates a physiological measurement 08 output. The reference parameter calculation 10 generates a reference parameter 12 from the physiological signal 02. The slow parameter calculation 20 generates the slow parameter 22 from the physiological signal 02 input. The tracking function 30 generates the physiological measurement 08 from the reference parameter 12 and the slow parameter 22.

**[0017]** As shown in FIG. 1, the physiological signal 02 is responsive to a physiological condition. In one embodiment, the physiological signal 02 originates from an optical sensor (not shown) attached to a tissue site. The sensor transmits multiple wavelengths of optical energy  $\lambda_1, \lambda_2, \dots, \lambda_n$  into the tissue site and detects corresponding optical energy emerging from the tissue site. The reference parameter calculation 10 may include pulse oximetry algorithms that operate on the physiological signal 02 to generate arterial oxygen saturation,  $S_{pa}O_2$ , as the reference parameter 12. A pulse oximetry signal processor and algorithms are described in U.S. Pat. No. 5,632,272 entitled Signal Processing Apparatus which is assigned to Masimo Corporation, Irvine, Calif. and incorporated by reference herein.

**[0018]** Also shown in FIG. 1, the slow parameter calculation 20 generates a slow parameter 22 from the physiological signal input 02 according to an update command 04. As an

example, the slow parameter calculation 20 may include algorithms that operate on the physiological signal 02 to generate a measure of the concentration of abnormal hemoglobin, such as HbCO or MetHb. Multiple wavelength signal processing for measuring abnormal hemoglobin constituents, for example, is described in U.S. Provisional Patent App. No. 60/426,638 entitled “Parameter Compensated Physiological Monitor,” U.S. Provisional Patent App. No. 60/428,419 entitled “Blood Parameter Measurement System,” and U.S. Pat. No. 6,229,856 entitled “Method and Apparatus for Demodulating Signals in a Pulse Oximetry System, which is assigned to Masimo Corporation, Irvine, Calif., all incorporated by reference herein.

**[0019]** Further shown in FIG. 1, the update command 04 may operate in a sample and hold (S/H) mode. That is, when the update command 04 is asserted, the slow parameter calculation 20 is triggered and the resulting slow parameter 22 value is held until a subsequent calculation. Operation of a physiological parameter tracking system having a S/H update is described with respect to FIG. 2, below. Alternatively, the update command 04 may operate in a track and hold (T/H) mode. That is, while the update command 04 is asserted, the slow parameter calculation 20 continues to generate values for the slow parameter 22. When the update command 04 is not asserted, the last generated value of the slow parameter 22 is held until the update command 04 is once more asserted. Operation of a physiological parameter tracking system having a T/H update is described with respect to FIG. 3, below.

#### Tracking Examples

**[0020]** FIG. 2 is an amplitude versus time graph 200 illustrating operation of a physiological parameter tracking system utilizing a S/H update. The graph 200 illustrates a reference curve 210 corresponding to a reference parameter 12 (FIG. 1) and a slow parameter curve 220 corresponding to a slow parameter 22 (FIG. 1). Below the graph 200 is a timing diagram 230 corresponding to the update command 04 (FIG. 1). A physiological measurement curve 240 corresponds to the physiological measurement 08 (FIG. 1).

**[0021]** As shown in FIG. 2, the physiological measurement curve 240 tracks the reference curve 210 according to a tracking function 30 (FIG. 1), which in this illustration is the difference between the reference parameter 12 (FIG. 1) and the slow parameter 22 (FIG. 1). A slow parameter 220 value is calculated at sample times 232, 236 and maintained throughout hold periods 234, 238. In particular, during a first sample time 232, a slow parameter value 222 of L is calculated, and during a second sample time 236, a slow parameter value 226 of D<sub>2</sub> is calculated. As a result, during a first hold period 234, the physiological measurement curve 240 tracks the reference curve 210 by a difference of  $\Delta_1$ . Likewise, during a second hold period 238, the physiological measurement curve 240 tracks the reference curve 210 by a difference of  $\Delta_2$ . In this manner, the physiological measurement 240 is advantageously displayed with clinical accuracy utilizing only occasional computational resources and reducing power consumption accordingly.

**[0022]** FIG. 3 is an amplitude versus time graph 300 illustrating operation of a physiological parameter tracking system utilizing a T/H update. The graph 300 illustrates a reference curve 310 corresponding to a reference parameter 12 (FIG. 1) and a slow parameter curve 320 corresponding to a slow parameter 22 (FIG. 1). Below the graph 300 is a timing diagram 330 corresponding to the update command 04 (FIG.



1). A physiological measurement curve **340** corresponds to the physiological measurement **08** (FIG. 1).

[0023] As shown in FIG. 3, the physiological measurement curve **340** tracks the reference curve **310** according to a tracking function **30** (FIG. 1), which, again, is the difference between the reference parameter **12** (FIG. 1) and the slow parameter **22** (FIG. 1). Slow parameter **320** values are calculated throughout track periods **332**, **336**, and the last computed values are maintained throughout the corresponding hold periods **334**, **338**. In particular, during a first track period **332**, the physiological measurement curve **340** is the reference curve **310** minus the slow parameter curve **320**. At the end of the first track period **332**, a slow parameter value **332** of  $\Delta_1$  is maintained throughout the first hold period **334**. As a result, during the first hold period **334**, the physiological measurement curve **340** is the reference curve **310** minus  $\Delta_1$  and does not depend on the slow parameter curve **320**. That is, during the first hold period **332**, the physiological measurement curve **340** tracks the reference curve **310** by a difference of  $\Delta_1$ .

[0024] The “track” periods **332**, **336** are so named because the slow parameter calculation **20** (FIG. 1) in response to the update timing **330** operates in a manner roughly analogous to a conventional track/hold amplifier when its output tracks the input. These are not to be confused with the periods when the physiological measurement curve **340** is “tracking” the reference parameter curve **310**, which actually is during the hold periods **334**, **338**, when the slow parameter **22** (FIG. 1) output is held constant.

[0025] Also shown in FIG. 3, during a second track period **336**, the physiological measurement curve **340** is again the reference curve **310** minus the slow parameter curve **320**. At the end of the second track period **336**, a slow parameter value **326** of  $\Delta_2$  is maintained throughout the second hold period **338**. As a result, during the second hold period **338**, the physiological measurement curve **340** is the reference curve **310** minus  $\Delta_2$  and does not depend on the slow parameter curve **320**. That is, during the second hold period **338**, the physiological measurement curve **340** tracks the reference curve **310** at a difference of  $\Delta_2$ .

[0026] Further shown in FIG. 3, the hold periods **334**, **338** may correspond to slow parameter drop-out periods **324**, **328**, i.e. periods when the slow parameter cannot be accurately calculated. In this manner, the physiological measurement **340** is advantageously displayed with clinical accuracy even when noise or other signal corruption prevents measurement of the slow parameter **320**.

[0027] Ancillary Parameter Calculation

[0028] FIG. 4 illustrates an ancillary parameter calculation embodiment of a physiological parameter tracking system **400** in which the slow parameter **22** is derived from an ancillary parameter **52** in S/H mode. The ancillary parameter **52**, in turn, is derived from a physiological signal **02**. That is, unlike the slow parameter calculation embodiment **100** (FIG. 1), the slow parameter **22** is only indirectly derived from and responsive to the physiological signal **02**. The physiological parameter tracking system **400** has a physiological signal **02** input, a reference parameter calculation **10** and a tracking function **30**, and, accordingly, generates a physiological measurement **08**, similarly as described with respect to FIG. 1, above. However, in the ancillary calculation embodiment **400**, the slow parameter **22** is a function **60** of the reference parameter **12** and/or an ancillary parameter **52**. An ancillary parameter calculation **50** generates the ancillary parameter **52** from the

physiological signal input **02** according to a S/H update command **04** input, such as described with respect to FIG. 2, above.

[0029] As an example, the ancillary parameter calculation **50** may include algorithms that operate on the physiological signal **02** to intermittently calculate venous oxygen saturation,  $S_{pv}O_2$ , as determined by a S/H update command **04**. A corresponding slow parameter function **60** is the difference between an  $S_{pa}O_2$  reference parameter **12** and the  $S_{pv}O_2$  ancillary parameter **52** to yield a  $\Delta_{av}$  slow parameter **22**. Then, the tracking function **30** is a difference between the  $S_{pa}O_2$  reference parameter **12** and the sampled  $\Delta_{av}$  slow parameter **22** to generate a  $S_{pv}O_2$  physiological measurement **08**. That is, the physiological measurement **08** in this example advantageously provides a continuous measurement of venous saturation  $S_{pv}O_2$  utilizing intermittent calculations of  $S_{pv}O_2$ . Apparatus and methods for determining  $S_{pv}O_2$  from mechanical or ventilator induced perturbation of the venous blood volume are described in U.S. Pat. No. 5,638,816 entitled “Active Pulse Blood Constituent Monitoring” and U.S. Pat. No. 6,334,065 entitled “Stereo Pulse Oximeter,” which are assigned to Masimo Corporation, Irvine, Calif. and are incorporated by reference herein.

[0030] FIG. 5 illustrates an ancillary parameter calculation embodiment of a physiological parameter tracking system **500** in which the slow parameter **22** is derived from an ancillary parameter **52** in T/H mode. The ancillary parameter **52**, in turn, is derived from a physiological signal **02**. The physiological parameter tracking system **500** has a physiological signal **02** input, a reference parameter calculation **10**, an ancillary parameter calculation **50**, a slow parameter function **60** and a tracking function **30**, and, accordingly, generates a physiological measurement **08**, similarly as described with respect to FIG. 4, above. However, in this ancillary calculation embodiment **500**, the update command **04** operates in a track and hold mode, as described with respect to FIG. 3, above. Accordingly, the ancillary calculation embodiment **500** also has an output multiplexer **70** having the tracking function output **32** and the ancillary parameter **52** as inputs and the physiological measurement **08** as an output, as controlled by the update command **04** input. As such, the physiological measurement **08** is the ancillary parameter **52** during a track period **332**, **336** (FIG. 3) of the update command **04** and is a function of the ancillary parameter **52** and the reference parameter **10** during a hold period **334**, **338** (FIG. 3) of the update command **04**. That is, the physiological measurement **08** is advantageously the ancillary parameter **52** except during a hold period, when the physiological measurement **08** tracks the reference parameter **12** according to the maintained value of the slow parameter **22**.

[0031] As an example, the ancillary parameter calculation **50** may continuously calculate venous oxygen saturation,  $S_{pv}O_2$ , as determined by the update command **04** during track periods, and this calculation is provided as the physiological measurement **08**. However, during hold periods of the update command **04**, the physiological measurement **08** becomes  $S_{pv}O_2$  i.e. the  $S_{pa}O_2$  reference parameter **12** minus a maintained value of the  $\Delta_{av}$  slow parameter **22**. The physiological measurement **08** in this example advantageously provides a measurement of venous saturation that is continuous through drop-out periods.

[0032] A physiological parameter tracking system has been disclosed in detail in connection with various embodiments. These embodiments are disclosed by way of examples only

and are not to limit the scope of the claims that follow. One of ordinary skill in the art will appreciate many variations and modifications.

What is claimed is:

1. A physiological parameter tracking method comprising the steps of:

providing a noninvasive physiological sensor that detects light of at least first and second wavelengths transmitted through body tissue carrying pulsing blood;

inputting a physiological signal from the physiological sensor;

calculating a reference parameter responsive to the physiological signal;

calculating an ancillary parameter responsive to the physiological signal;

calculating a slow parameter based at least in part on the reference parameter and the ancillary parameter, wherein the slow parameter varies slowly in time relative to the reference parameter and the ancillary parameter; and

determining a physiological measurement responsive to the reference parameter and the slow parameter.

2. The method of claim 1, wherein the calculating said ancillary parameter comprises determining whether a calculation of the ancillary parameter is favorable, wherein the

duration of an unfavorable calculation corresponds to a first time interval and the duration of a favorable calculation corresponds to a second time interval.

3. The method of claim 2, wherein the determining said physiological measurement further comprises calculating the physiological measurement as a function of the reference parameter and the slow parameter during the first time interval.

4. The method of claim 2, wherein the determining said physiological measurement further comprises outputting the ancillary parameter during the second time interval.

5. The method of claim 1, wherein the calculating said slow parameter further comprises generating a measure of the concentration of abnormal hemoglobin.

6. The method of claim 1, further comprising asserting an update command wherein, upon assertion, a value of the slow parameter is repeatedly generated.

7. The method of claim 1, further comprising asserting an update command wherein, upon assertion, a value of the slow parameter is held for a predetermined duration.

8. The method of claim 1, wherein the calculating said ancillary parameter further comprises repeatedly calculating venous oxygen saturation.

\* \* \* \* \*

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[标]申请(专利权)人(译)	梅西莫股份有限公司		
申请(专利权)人(译)	Masimo公司		
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#### 摘要(译)

生理参数跟踪系统具有参考参数计算器，其被配置为响应于生理信号输入提供参考参数。生理测量输出是在有利条件期间输入的生理信号和在不利条件期间根据参考参数估计生理参数的生理参数。

