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(54) **NONINVASIVE PHYSIOLOGICAL ANALYSIS USING WEARABLE SOLID STATE OPTICAL AND MECHANICAL DEVICES**

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(60) Provisional application No. 61/000,181, filed on Oct. 25, 2007.

**Publication Classification**

(51) **Int. Cl.**

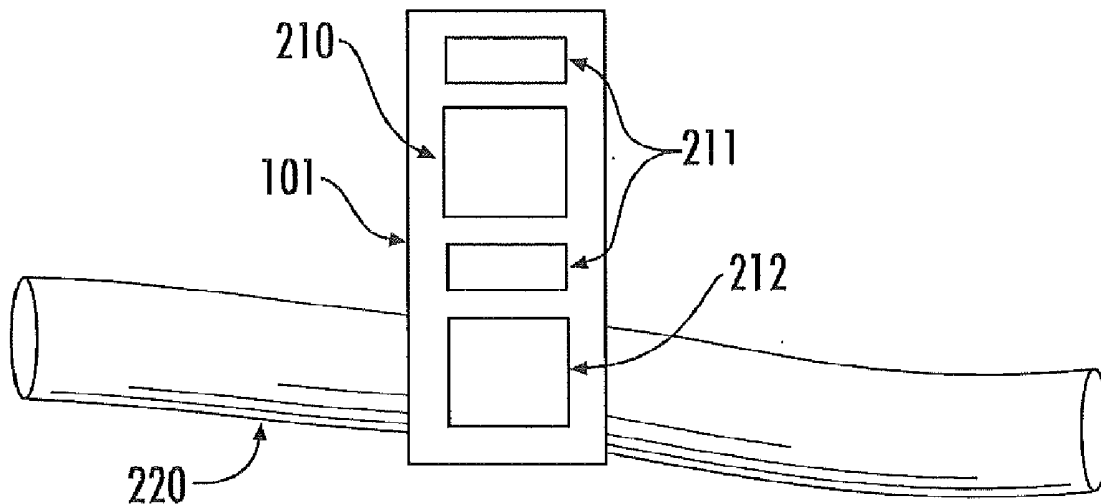
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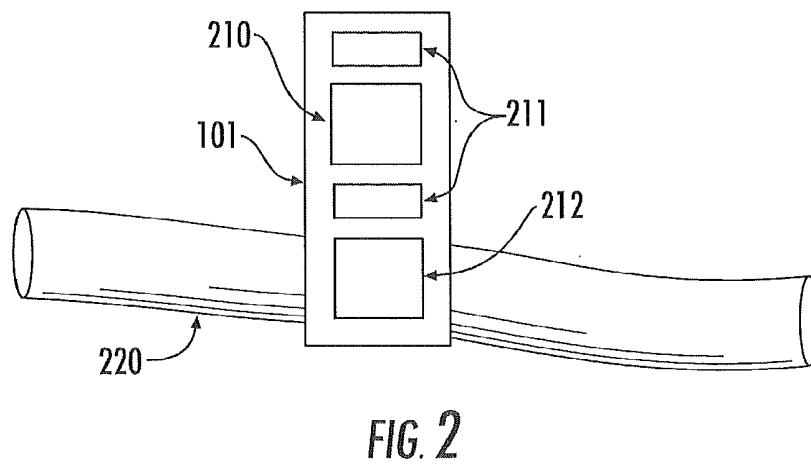
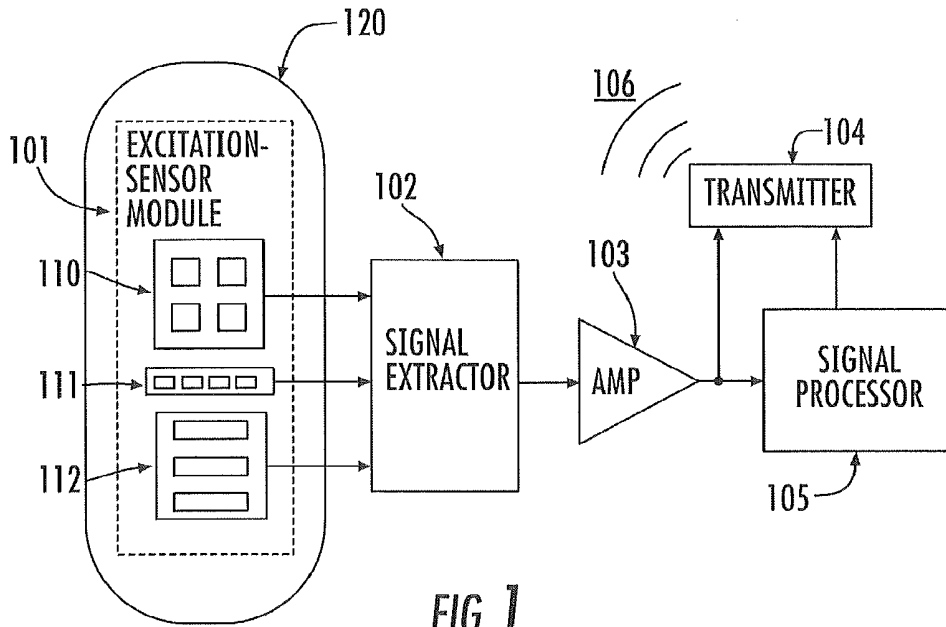
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**ABSTRACT**

Methods and apparatus for qualifying and quantifying excitation-dependent physiological information extracted from wearable sensors in the midst of interference from unwanted sources are provided. An organism is interrogated with at least one excitation energy, energy response signals from two or more distinct physiological regions are sensed, and these signals are processed to generate an extracted signal. The extracted signal is compared with a physiological model to qualify and/or quantify a physiological property. Additionally, important physiological information can be qualified and quantified by comparing the excitation wavelength-dependent response, measured via wearable sensors, with a physiological model.





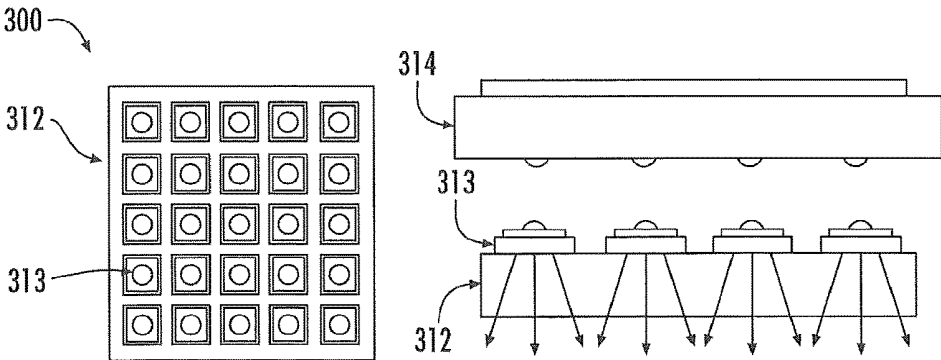


FIG. 3

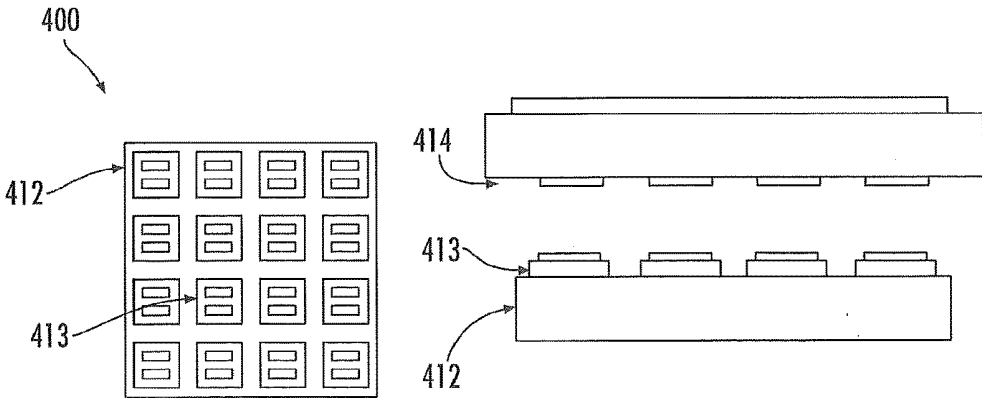


FIG. 4

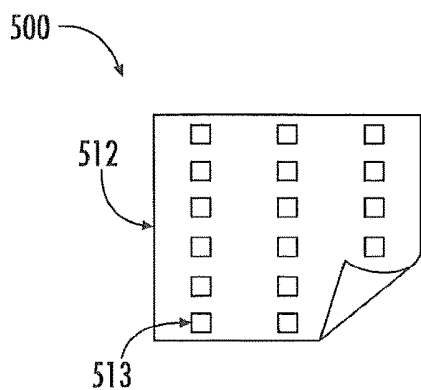


FIG. 5A

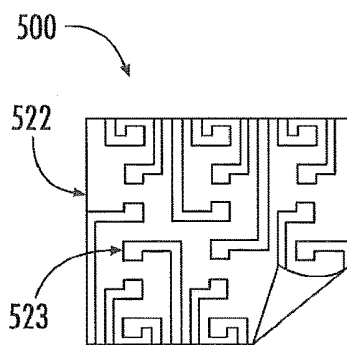


FIG. 5B

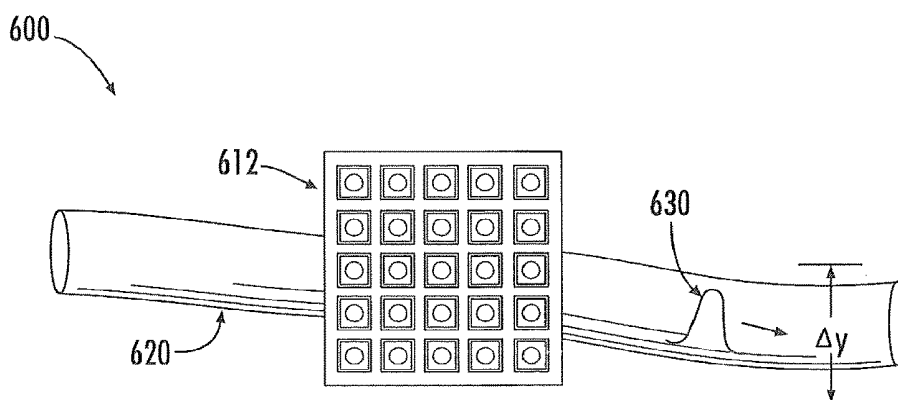


FIG. 6

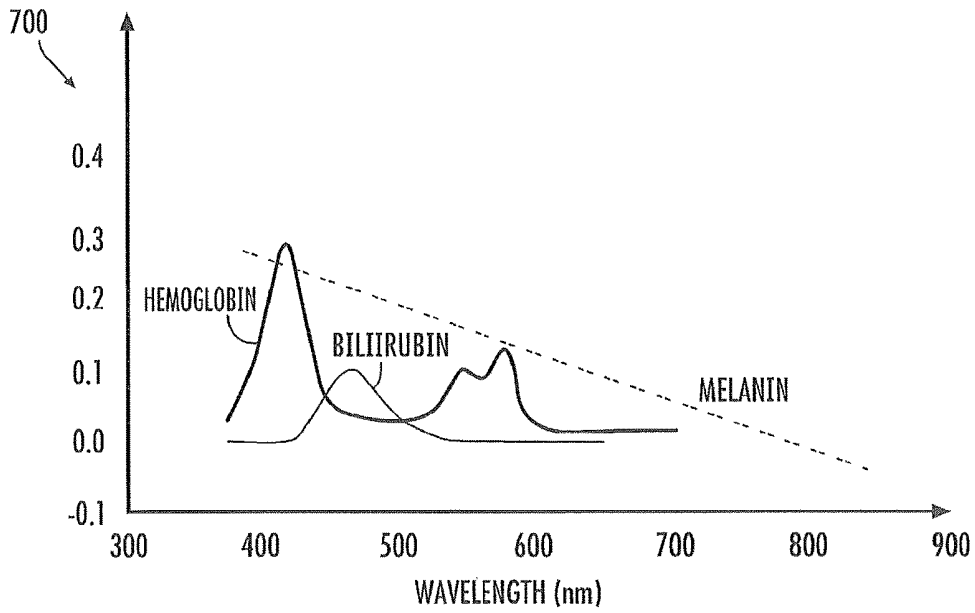


FIG. 7

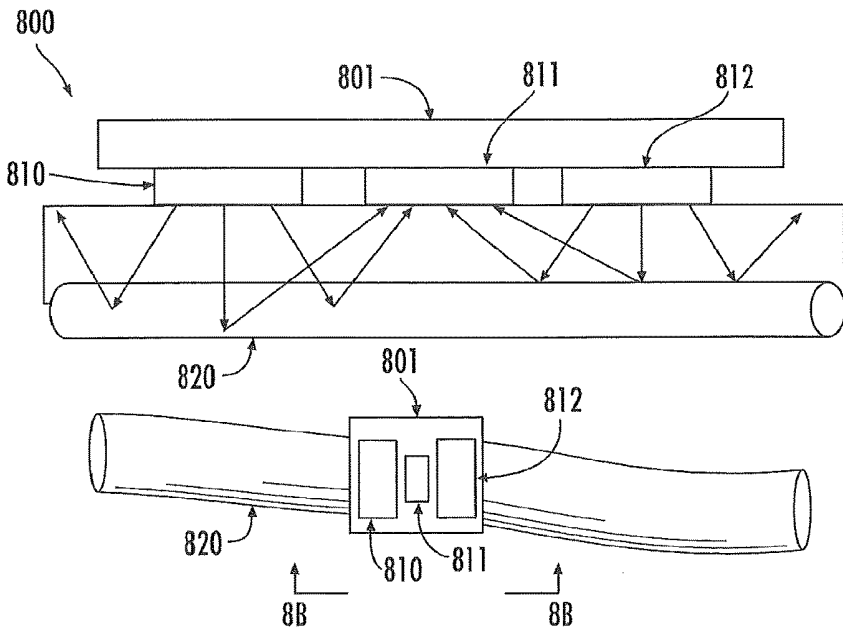


FIG. 8B

FIG. 8A

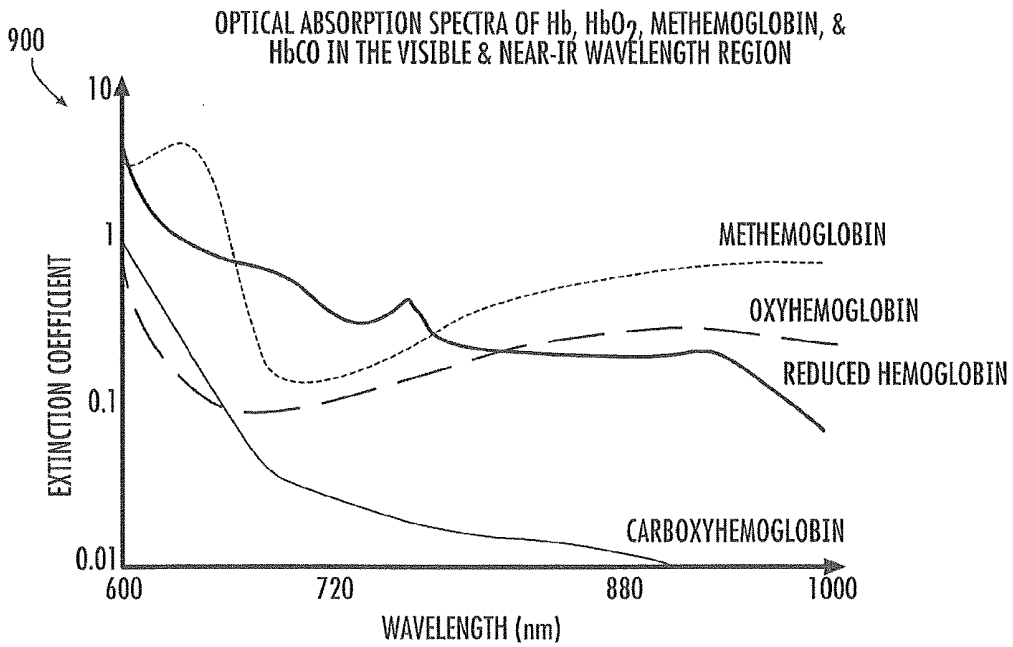


FIG. 9

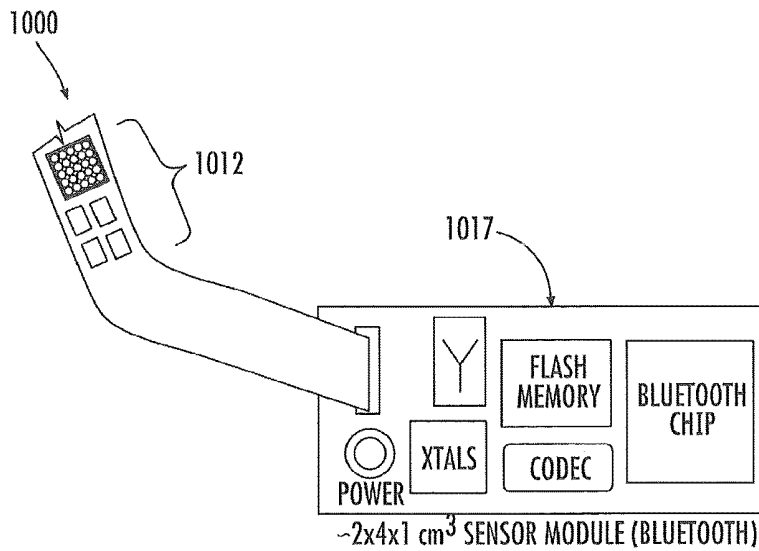


FIG. 10

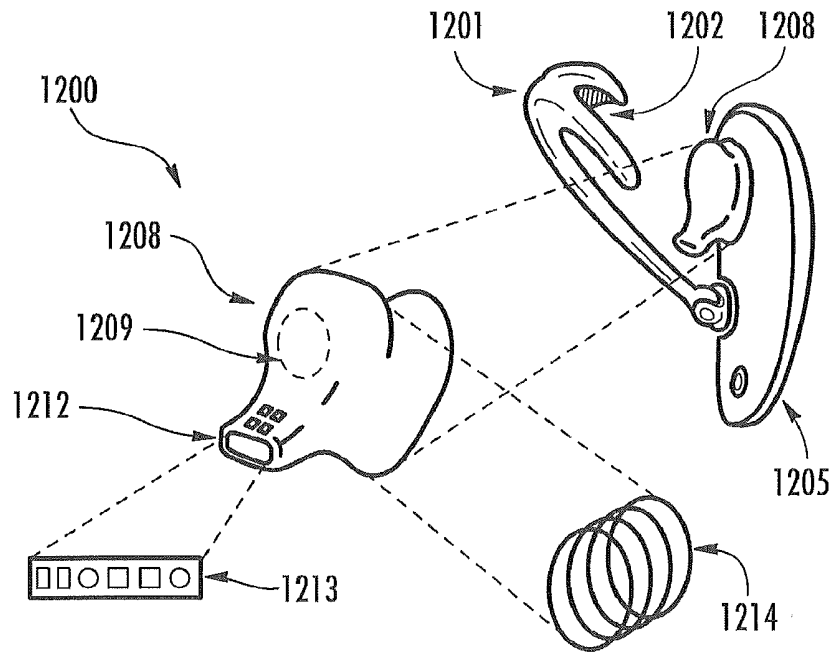


FIG. 11

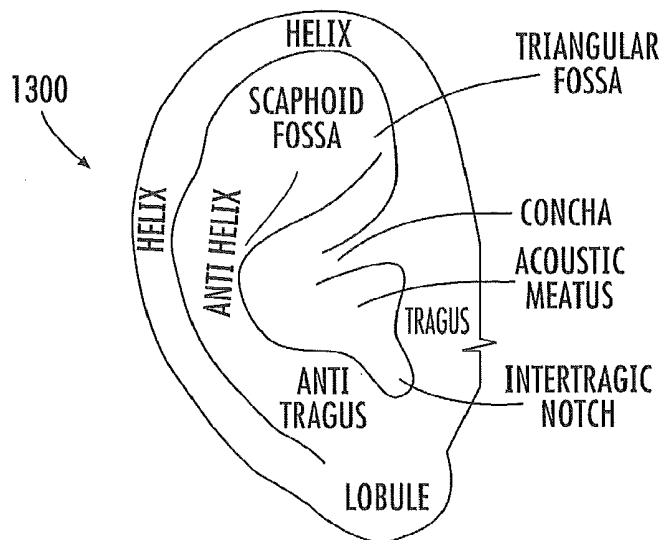


FIG. 12

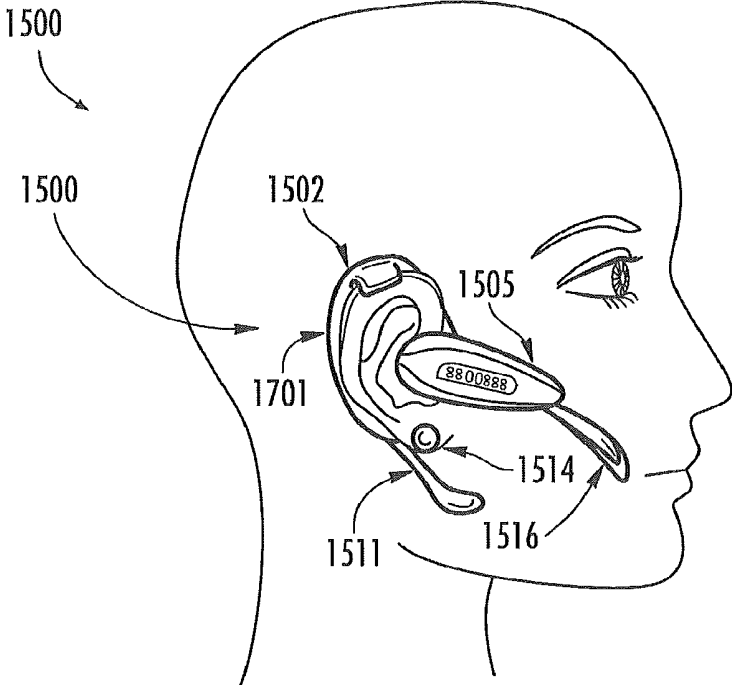


FIG. 13

## NONINVASIVE PHYSIOLOGICAL ANALYSIS USING WEARABLE SOLID STATE OPTICAL AND MECHANICAL DEVICES

### RELATED APPLICATION

[0001] This application is a continuation application of pending U.S. patent application Ser. No. 14/023,127, filed Sep. 10, 2013, which is a continuation application of U.S. patent application Ser. No. 13/552,117, filed Jul. 18, 2012, now U.S. Pat. No. 9,044,180, which is a continuation application of U.S. patent application Ser. No. 12/256,793, filed Oct. 23, 2008, now U.S. Pat. No. 8,251,903, which claims the benefit of and priority to U.S. Provisional Patent Application No. 61/000,181, filed Oct. 25, 2007, the disclosures of which are incorporated herein by reference as if set forth in their entireties.

### FIELD OF THE INVENTION

[0002] The present invention relates generally to health and, more particularly, to health monitoring.

### BACKGROUND OF THE INVENTION

[0003] Noninvasive qualification and quantification of physiological properties via wearable sensors may be executed by exciting a physiological region with energy and monitoring the response to that energy with one or more sensors. In wearable pulse oximetry, for example, optical energy from one or more light-emitting diodes (LEDs) excites a region of the body rich with blood vessels (such as a finger tip), and a photodiode senses scattered optical energy relating to blood flow through these blood vessels. Physiological information extracted via such wearable sensor devices may be confounded by a variety of unavoidable factors. Firstly, the extraction of important physiological information may be obscured by unwanted motion artifacts. These motion artifacts may generate false signals that distort physiological information extracted from the wearable sensors. Secondly, the physiological information of interest may be overpowered by unwanted information from neighboring physiological features. For example, pulse oximetry data regarding blood oxygen levels in a blood vessel may be distorted by optical scatter from the skin or blood vessels themselves. Other factors may also confound the physiological information of interest.

### SUMMARY

[0004] In view of the above discussion, methods and apparatus for qualifying and quantifying excitation-dependent physiological information extracted from wearable sensors in the midst of interference from unwanted sources are provided. According to some embodiments of the present invention, an organism is interrogated with at least one excitation energy, energy response signals from two or more distinct physiological regions are sensed, and these signals are processed to generate an extracted signal. The extracted signal is compared with a physiological model to qualify and/or quantify a physiological property. Additionally, important physiological information can be qualified and quantified by comparing the excitation wavelength-dependent response, measured via wearable sensors, with a physiological model.

[0005] According to some embodiments of the present invention, a method of monitoring at least one physiological

property (e.g., properties associated with the skin, blood, and/or blood vessels, etc.) of an organism includes directing energy at a target region of the organism; detecting an energy response signal from the target region and an energy response signal from a region adjacent to the target region; processing the detected signals to produce an extracted energy response signal; and comparing the extracted energy response signal with a physiological model to assess a physiological condition of the organism. Energy directed at a target region may include electromagnetic radiation, mechanical energy, acoustical energy, electrical energy, and/or thermal energy.

[0006] Processing the detected signals to produce an extracted energy response signal may include subtracting the energy response signal from the region adjacent to the target region from the energy response signal from the target region. In some embodiments, the energy response signal from the target region and the energy response signal from a region adjacent to the target region may be differentially amplified prior to processing. In some embodiments, the extracted energy response signal may be amplified prior to comparing the extracted signal with a physiological model. The extracted energy response signal may be transmitted (e.g., wirelessly, etc.) to a remote device, such as a computing device, communication device, entertainment device, etc.

[0007] According to some embodiments of the present invention, directing energy at a target region of the organism includes directing electromagnetic radiation via one or more optical emitters, such as laser diodes (LDs), light-emitting diodes (LEDs), organic light-emitting diodes (OLEDs), etc. In some embodiments, one or more arrays of optical emitters may be utilized to direct energy at a target region. Monolithic and partially monolithic arrays may be utilized. In some embodiments, optical emitters may be configured to direct electromagnetic radiation at different wavelengths, and the detectors may be configured to detect electromagnetic radiation at different wavelengths.

[0008] According to some embodiments of the present invention, detecting an energy response signal from the target region and an energy response signal from a region adjacent to the target region includes detecting via one or more detectors, such as acoustic detectors, auscultatory detectors, motion detectors, optical detectors, thermal detectors, piezoelectric detectors, etc. In some embodiments, one or more arrays of detectors can be utilized.

[0009] According to some embodiments of the present invention, an apparatus that monitors at least one physiological property of an organism includes at least one energy emitter configured to direct energy at a target region of the organism; at least one detector configured to detect an energy response signal from the target region and an energy response signal from a region adjacent to the target region; and a processor. The processor is configured to process the detected signals to produce an extracted energy response signal, and to compare the extracted energy response signal with a physiological model to assess a physiological condition (e.g., skin properties, blood flow properties, blood pressure, blood vessel properties, etc.) of the organism. The processor is configured to subtract the energy response signal from the region adjacent to the target region from the energy response signal from the target region to produce an extracted energy response signal. In some embodiments, the processor differentially amplifies the energy response signal

from the target region and the energy response signal from a region adjacent to the target region prior to producing the extracted energy response signal. In some embodiments, the processor amplifies the extracted energy response signal prior to comparing the extracted energy response signal with a physiological model to assess a physiological condition of the organism.

[0010] Energy emitters that direct electromagnetic radiation, mechanical energy, acoustical energy, electrical energy, and/or thermal energy may be utilized. In some embodiments, the at least one energy emitter comprises one or more optical emitters, such as LDs, LEDs, OLEDs, etc. In some embodiments, at least one array of optical emitters are utilized to direct energy at a target region. Monolithic and partially monolithic arrays may be utilized. In some embodiments, optical emitters may be configured to direct electromagnetic radiation at different wavelengths, and the detectors may be configured to detect electromagnetic radiation at different wavelengths.

[0011] Detectors utilized to detect an energy response signal from the target region and an energy response signal from a region adjacent to the target region may include auscultatory detectors, motion detectors, optical detectors, thermal detectors, piezoelectric detectors, etc. In some embodiments, one or more arrays of detectors can be utilized. In some embodiments, one or more detectors are utilized to detect an energy response signal from the target region and one or more other detectors are utilized to detect an energy response signal from a region adjacent to the target region. For example, at least one array of detectors may be utilized to detect an energy response signal from the target region and at least one array of detectors may be utilized to detect an energy response signal from a region adjacent to the target region.

[0012] Apparatus according to some embodiments of the present invention may include a transmitter in communication with the processor that is configured to transmit (e.g., wirelessly, etc.) the extracted energy response signal to a remote computing device, communication device, and/or entertainment device.

[0013] According to other embodiments of the present invention, wearable apparatus for monitoring at least one physiological property of an organism are provided. For example, a wearable apparatus includes a housing configured to be worn by the organism; at least one energy emitter attached to the housing that is configured to direct energy at a target region of the organism; at least one detector attached to the housing that is configured to detect an energy response signal from the target region and an energy response signal from a region adjacent to the target region; and a processor attached to the housing. The processor is in communication with the at least one detector and is configured to process detected signals to produce an extracted energy response signal, and to compare the extracted energy response signal with a physiological model to assess a physiological condition of the organism. In some embodiments, the wearable apparatus is an earpiece that is configured to be attached to an ear of the organism.

[0014] According to other embodiments of the present invention, an apparatus that monitors at least one physiological property of an organism includes a processor, and one or more optical emitters configured to direct electromagnetic radiation at a target region of the organism. The optical emitters are configured to be electrically biased by

the processor so as to detect an energy response signal from the target region and an energy response signal from a region adjacent to the target region. The processor is configured to process the detected signals to produce an extracted energy response signal, and to compare the extracted energy response signal with a physiological model to assess a physiological condition of the organism.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0015] FIG. 1 is a block diagram of a device for non-invasively monitoring a physical property of an organism, according to some embodiments of the present invention.

[0016] FIG. 2 illustrates the excitation-sensor module of FIG. 1 aligned over a physiological region of interest.

[0017] FIG. 3 illustrates an excitation-sensor module comprising a monolithic array of optical emitters operating as emitters or detectors depending on the electrical bias, according to some embodiments of the present invention.

[0018] FIG. 4 illustrates an excitation-sensor module comprising an array of piezoelectric sensors operating as both mechanical energy generators as well as mechanical energy sensors depending on the electrical bias, according to some embodiments of the present invention.

[0019] FIGS. 5A-5B illustrate flexible piezoelectric arrays that may be utilized in accordance with embodiments of the present invention.

[0020] FIG. 6 illustrates an excitation-sensor array, according to some embodiments of the present invention, being used to qualify and/or quantify physiological properties of a blood vessel and/or blood, such as blood pressure or metabolic status of the blood.

[0021] FIG. 7 is a graph that illustrates the spectral reflectance response of melanin, bilirubin, and hemoglobin.

[0022] FIG. 8A is a top plan view of a device for exciting at least one region with multiple wavelengths of electromagnetic radiation and sensing the response related to each wavelength for comparison with a physiological model, according to some embodiments of the present invention.

[0023] FIG. 8B is side elevation view of the device of FIG. 8A, taken along lines 8B-8B.

[0024] FIG. 9 is a graph that illustrates the spectral extinction coefficient of various forms of hemoglobin.

[0025] FIG. 10 is a block diagram of a wearable telemetric device, according to some embodiments of the present invention.

[0026] FIG. 11 is an exploded perspective view of a telemetric hands-free audio headset capable of both telemetric personal communications and/or entertainment and physiological monitoring, that can be utilized to implement various embodiments of the present invention.

[0027] FIG. 12 illustrates the anatomy of the human ear.

[0028] FIG. 13 illustrates the hands-free headset of FIG. 11 being worn by a person.

#### DETAILED DESCRIPTION

[0029] The present invention now is described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are pro-

vided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art.

**[0030]** Like numbers refer to like elements throughout. In the figures, the thickness of certain lines, layers, components, elements or features may be exaggerated for clarity.

**[0031]** The terminology used herein is for the purpose of describing particular embodiments only and is not intended to be limiting of the invention. As used herein, the singular forms “a”, “an” and “the” are intended to include the plural forms as well, unless the context clearly indicates otherwise. It will be further understood that the terms “comprises” and/or “comprising,” when used in this specification, specify the presence of stated features, integers, steps, operations, elements, and/or components, but do not preclude the presence or addition of one or more other features, integers, steps, operations, elements, components, and/or groups thereof. As used herein, the term “and/or” includes any and all combinations of one or more of the associated listed items.

**[0032]** Unless otherwise defined, all terms (including technical and scientific terms) used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. It will be further understood that terms, such as those defined in commonly used dictionaries, should be interpreted as having a meaning that is consistent with their meaning in the context of the specification and relevant art and should not be interpreted in an idealized or overly formal sense unless expressly so defined herein. Well-known functions or constructions may not be described in detail for brevity and/or clarity.

**[0033]** It will be understood that when an element is referred to as being “on”, “attached” to, “connected” to, “coupled” with, “contacting”, etc., another element, it can be directly on, attached to, connected to, coupled with or contacting the other element or intervening elements may also be present. In contrast, when an element is referred to as being, for example, “directly on”, “directly attached” to, “directly connected” to, “directly coupled” with or “directly contacting” another element, there are no intervening elements present. It will also be appreciated by those of skill in the art that references to a structure or feature that is disposed “adjacent” another feature may have portions that overlap or underlie the adjacent feature.

**[0034]** Spatially relative terms, such as “under”, “below”, “lower”, “over”, “upper” and the like, may be used herein for ease of description to describe one element or feature’s relationship to another element(s) or feature(s) as illustrated in the figures. It will be understood that the spatially relative terms are intended to encompass different orientations of the device in use or operation in addition to the orientation depicted in the figures. For example, if the device in the figures is inverted, elements described as “under” or “beneath” other elements or features would then be oriented “over” the other elements or features. Thus, the exemplary term “under” can encompass both an orientation of “over” and “under”. The device may be otherwise oriented (rotated 90 degrees or at other orientations) and the spatially relative descriptors used herein interpreted accordingly. Similarly, the terms “upwardly”, “downwardly”, “vertical”, “horizontal” and the like are used herein for the purpose of explanation only unless specifically indicated otherwise.

**[0035]** The term “monitoring” refers to the act of measuring, quantifying, qualifying, estimating, sensing, calculat-

ing, interpolating, extrapolating, inferring, deducing, or any combination of these actions. More generally, “monitoring” refers to a way of getting information via one or more sensing elements. For example, “blood health monitoring” includes monitoring blood gas levels, blood hydration, and metabolite/electrolyte levels.

**[0036]** The term “physiological” refers to matter or energy of or from the body of a creature (e.g., humans, animals, etc.). In embodiments of the present invention, the term “physiological” is intended to be used broadly, covering both physical and psychological matter and energy of or from the body of an organism. However, in some cases, the term “psychological” is called-out separately to emphasize aspects of physiology that are more closely tied to conscious or subconscious brain activity rather than the activity of other organs, tissues, or cells.

**[0037]** The term “body” refers to the body of a person (or animal) that may utilize an earpiece module according to embodiments of the present invention. Monitoring apparatus, according to embodiments of the present invention may be worn by humans and animals.

**[0038]** Referring to FIG. 1, methods and apparatus for qualifying and quantifying one or more physiological properties of an organism, according to some embodiments of the present invention, are illustrated. An extracted signal indicative of the physiological energy response from two or more distinct regions of an organism is generated following the excitation of at least one region via one or more forms of excitation energy. In the illustrated embodiment, an excitation-sensor module **101** is configured to generate and direct excitation energy towards at least one surface **120** of an organism and to sense the energy response from at least two distinct regions of the surface **120**. The signal from the excitation-source module may be passed to a signal extractor **102** for processing and/or subtracting the signals to generate at least one extracted signal more closely related to a physiological property of interest. This extracted signal may then be sent to a transmitter **104** for wirelessly transmitting the desired information **106** to another device or network and/or to a signal processor **105** for processing the extracted signal, comparing the processed extracted signal with at least one physiological model, and sending a physiological assessment to the transmitter **104**.

**[0039]** The excitation-sensor module **101** may include of one or more excitation source(s) **110**, **112**, having similar or different excitation elements and/or excitation configurations, as well as one more sensor element(s) **111** having similar or different sensor elements and/or sensor configurations. These elements (**110**, **112**, and **111**) are positioned in contact with, or near to, a surface **120** of an organism. The excitation source(s) **110**, **112** can generate energy such as, but not limited to, electromagnetic radiation, mechanical energy, acoustical energy, electrical energy, and/or thermal energy, etc. The sensors **111** can detect one or more of these types of energy.

**[0040]** In some embodiments, an excitation source is a solid-state source, such as a light-emitting diode (LED), laser diode (LD), lamp, radio or microwave transmitter, etc. In some embodiments, a sensor is an acoustic/auscultatory sensor, motion sensor, optical sensor, thermal sensor, etc.

**[0041]** In some embodiments, the excitation sources and sensors are integrated into a wearable device. This wearable device can be configured to process information from the sensors and send processed information telemetrically to

another device or network. This other device may be a portable device such as a mobile phone, portable computer, portable entertainment device, embedded computer, or the like. The wearable device may also include at least one communication module for communicating information to the organism and/or entertaining the organism.

**[0042]** FIG. 2 illustrates an excitation-sensor module **101** positioned noninvasively over the surface **120** (i.e., the skin) of an organism such that an optical emitter **212** is positioned over an area largely covering or completely covering a blood vessel and an optical emitter **210** is positioned over an area near, but not covering, the blood vessel. Optical detectors **211** are arranged to detect scattered excitation light from two separate regions and generate at least two separate electrical signals. Signals related to light scattered from the region lacking a blood vessel can be subtracted from signals related to light scattered from the region covering a blood vessel (e.g., via an electronic circuit). These signals can be subtracted in raw analog form through analog mixers, and these signals can also be digitized first and subtracted in digital form. Regardless, the extracted signal contains “cleaner” information about scattered light coming from the blood vessel itself as compared to light scattered by the blood vessel and neighboring skin tissue. Similarly, as the excitation-sensor module **101** is physically one unit, the effects of motion artifacts can also be subtracted because changes in scattered light at each region will typically happen in unison.

**[0043]** The term “blood vessel”, as used herein refers to veins, arteries, capillaries, and the like.

**[0044]** The optical emitters **210**, **212** and optical detectors **211** can be solid state devices. For example, the optical emitters **210**, **212** can include, but are not limited to, a light-emitting diode (LED), a laser diode (LD), a miniature incandescent lamp, a miniature mercury lamp, a light guide delivering light from an outside source (such as the sun or other light source), a multiwavelength source, a microplasma source, an arc source, a combination of these sources, and the like. Special variants of light-emitting diodes, such as resonant-cavity light emitting diodes (RCLEDs), superluminescent LEDs (SLEDs), organic LEDs (OLEDs), and the like can also be utilized. The optical detectors include, but are not limited to, photodiodes (PDs), avalanche photodiodes (APDs), photomultipliers, or other compact optical detectors.

**[0045]** Though only two optical emitters and optical detectors are shown in FIG. 2, it should be understood that multiple optical emitters and optical detectors can be arranged in an array. The greater the number of optical emitters and detectors in an array, the higher resolution of physiological features and properties that can be extracted. For example, the intensity of optical scatter from a blood vessel at multiple points along the surface of skin covering that blood vessel can be used to judge the size of that blood vessel, without having to calibrate a single optical source for each blood vessel. Unfortunately, increasing the number of optical arrays can increase the fabrication costs of an optical module **101**. Additionally, it can become difficult to align and package individual optical sources and detectors on a module for quantifying the size of a blood vessel.

**[0046]** One methodology for reducing the cost and complexity of a high-density optical array is to incorporate a monolithic solid state optical array, such as an LED or LD array. A key benefit of such an array is that solid state optical emitters can alternately operate as optical emitters or optical

detectors depending on the electrical biasing. Because these devices can be fabricated monolithically down to the limits of state-of-the-art lithography, a highly dense array of individually controlled LED mesas can be fabricated in a single wafer fabrication run. Thus, an array of optical emitters/detectors can be fabricated self-aligned without needing separate packaging techniques. With such a dense array, the optical emitters can be alternately biased forward and reverse to operate as optical emitters and detectors respectively. For example, for neighboring LED mesas, one LED mesa can be forward-biased to generate light whereas a neighboring LED mesa can be reverse-biased to detect light. When the monolithic array is in proximity to the surface of an organism, the number of mesas detecting significant optical scatter related to a blood vessel can then be used gauge the size of that blood vessel. Similarly, the intensity of optical scatter at each mesa can be used to gauge the size of that blood vessel.

**[0047]** FIG. 3 illustrates an exemplary monolithic optical emitter array **312** containing individually controlled optical emitters **313** which can also be biased as optical detectors. Though a variety of techniques can be used to control the bias through each mesa, one technique is to bond the metal contacts of each individual mesa to a mounting package **314** having metal bumps aligned to the monolithic array **313** and having circuitry for controlling each individual mesa separately. This packaging forms a module **300** with the array. FIG. 6 shows how an excitation-sensor array module **612**, such as a monolithic optical emitter array module **300**, may be aligned to a blood vessel **620** for gauging the size or shape of the blood vessel, as well as extracting a cleaner signal relating physiological information about the blood vessel **620**.

**[0048]** The fabrication of solid-state monolithic optical arrays is well known to those skilled in the art. Solid-state monolithic optical arrays can be semiconductor optical arrays, such as LED or LD arrays, organic LED arrays, such as OLEDs and the like. OLED arrays can offer a benefit of being flexed, as shown in FIGS. 5A-5B, at least partially around a blood vessel. OLEDs can also be dual-based as optical emitters and detectors, but separate optical detectors can also be printed within an array. The print-style manufacturing technique for fabricating organic electronics makes the manufacture of organic/polymer device arrays potentially less costly and tedious than that of traditional LED arrays. Because of the ability to “print” device components for organic electronics, OLED arrays, organic photodetector arrays, and organic piezoelectric arrays can be deposited in the same module and interlaced in the same array. This adds higher-level physiological sensing functionality by increasing the number of physiological-related parameters that can be monitored at the same time.

**[0049]** Piezoelectric arrays can also be employed for non-invasively monitoring the physiological properties of an organism, according to some embodiments of the present invention. This allows mechanical energy from some piezoelectric elements to couple with a region of the organism while other piezoelectric elements measure the response. The processing of this information to generate information on physiological dimensions or physiological properties can be the same as that described for monolithic LED arrays **312**.

**[0050]** Many polar semiconductors contain piezoelectric properties, and thus several types of device arrays on several

types of semiconductors can be used as piezoelectric sensors and/or actuators, according to embodiments of the present invention. For example, metal arsenides and metal nitrides, such as aluminum indium gallium arsenide or aluminum indium gallium nitride alloys, and the like, can be used to fabricate piezoelectric arrays. The elements of these arrays can be micro-manufactured or nano-manufactured as cantilevers, membranes, flexible rods, or the like using standard microelectromechanical systems (MEMS) and nanoelectromechanical (NEMS) fabrication techniques. Similarly, simple device structures such as field effect transistors, resistors, and even light-emitting diodes can be operated as piezoelectric sensors. Thus, an LED array can be used as both an optical emitter-detector array or piezoelectric sensing array depending on the biasing of the array. Methods of fabricating piezoelectric arrays are well known to those skilled in the art. The monolithic piezoelectric actuator-sensor array **400** of FIG. **4** can be fabricated as an array **412** of metallic contacts **413** on a semiconductor surface, where the surface may or may not be defined into individual mesas. The packaging module **414** can be employed in the same manner as package **314** of FIG. **3**.

**[0051]** As described earlier, flexible organic/polymer arrays can also be employed for physiological monitoring as shown in FIG. **6**. The array elements (e.g., **513**, FIG. **5A**) can come from any number of optical emitting (OLED), optical detecting (OLED or organic photodetector), piezoelectric (such as polarized fluoropolymers), or other sensing elements. A secondary screen-printed (or similar) film **523** (FIG. **5B**), which may be deposited on the organic polymer array layer **512** or on a separate layer **522**, can be used to electrically access each device element **513**. In the case of a polymer piezoelectric array **500**, polarized polymers, such as polyvinylidene fluoride (PVDF), can be used as an active piezoelectric element for generating and/or sensing mechanical energy from an organism. For example, by generating mechanical energy with one filament in the array and detecting the mechanical energy response coming from the organism at other filaments, a physiological map of a feature, such as a blood vessel, can be processed. This can be used to gauge the size of a blood vessel opening and closing in time.

**[0052]** Embodiments of the present invention can be used to assess blood pressure or blood pressure properties in a blood vessel. For example, the information on the size of a blood vessel, as well as the change in size of a blood vessel during blood flow, can be combined with information regarding the total flow of blood to assess blood pressure. Namely, the size and change of size in a blood vessel can relate the area of a blood vessel, and this can be combined with the volumetric flow rate of blood to gauge or estimate blood pressure.

**[0053]** Referring to FIG. **6**, reflective pulse oximetry can be combined with blood vessel size estimation via optical scatter detection, according to some embodiments of the present invention. For example, an optical emitter generating blue light can be used to generate an optical scatter signal more closely related to the size of a blood vessel, shown by  $\Delta y$  in FIG. **6**. An optical emitter generating IR light can be used to generate an optical scatter signal more closely related to the blood flow in the blood vessel, shown by **630** in FIG. **6**. A third and fourth optical emitter, violet and red respectively, may be located near (but not covering) the blood vessel, for example in an arrangement as that

illustrated in FIG. **2**. Optical scatter signals from these sources are more closely related to optical scatter from the skin or other tissue. Thus, when these skin-related optical scatter signals are differentially amplified with respect to their blood-vessel-related counterparts, at least two extracted signals can be generated that are more closely related to the size of a blood vessel and the blood flow rate through a blood vessel. These extracted signals can then be digitized, processed, and compared with a physiological model related to blood pressure to qualify and quantify blood pressure in real time.

**[0054]** The aforementioned IR scatter signal more closely related to the blood flow in the blood vessel may also contain some information related to the optical scatter from the expanding blood vessel wall. Thus, differentially amplifying the aforementioned blue scatter signal more closely related to the size of a blood vessel with respect to the aforementioned IR scatter signal can help subtract artifacts associated with expanding blood vessel size from the desired blood flow information. Thus, second order effects can be alleviated, to at least some degree, from the overall assessment of blood pressure.

**[0055]** Embodiments of the present invention can be utilized for qualifying and quantifying a variety of physiological properties in physiological tissue and fluids. For example, the optical scatter signal associated with blood glucose in a blood vessel can be more accurately and/or precisely extracted. In another embodiment, blood hemoglobin components, such as oxyhemoglobin, methemoglobin, carboxyhemoglobin, and the like, can be more accurately and/or precisely extracted. In these embodiments, the optical scatter response associated with the skin is subtracted from the optical scatter response associated with skin+blood metabolites to generate a clean extracted signal more closely related to blood metabolite quality and quantity. In each case, the optical signal associated with scatter from the skin tissue is separated from the optical signal associated with the blood vessel or blood components. This embodiment utilizes multiple emitters, multiple detectors, or both, with each emitter and detector located in a distinct region in the vicinity of a blood vessel—either directly over the blood vessel or near but not covering the blood vessel. If the optical emitters and detectors are located too far apart from the region of interest, it can be difficult to extract the desired physiological-related signal. This is because optical scatter from separate areas can be too dissimilar for successful differential amplification and extraction of a clear physiologically related signal.

**[0056]** In some embodiments of the present invention, the same sensors, sensor configurations, and processing, can be used to extract signals related to the physiological properties of the skin. For example, information related to the size of a blood vessel or flow of blood through a blood vessel can be subtracted from an optical scatter signal reflected from the skin. This will yield cleaner information more closely related to the physiological properties of the skin, such as skin metabolite levels, hydration, elasticity, and the like.

**[0057]** As described above, the scatter intensity of light for each wavelength of electromagnetic excitation can be used to qualify and/or quantify a particular physiological parameter. For example, in humans, shorter wavelength optical radiation (blue-UV) reflects largely from the skin, whereas longer wavelength radiation (red-IR) can penetrate through blood vessels (FIG. **7**). Thus, an approach for qualifying

and/or quantifying at least one physiological property of an organism according to some embodiments of the present invention is to generate at least two extracted signals, each indicative of at least one physiological energy response from at least one region of the organism following the electromagnetic excitation of at least one region with at least two wavelengths of electromagnetic excitation. The wavelength-dependent energy response from each region can then be sensed by at least one neighboring sensor and/or sensor array and converted into at least two electrical signals. This energy response can be mechanical, acoustical/auscultatory, electrical, or thermal in origin. The two or more electrical signals can be converted into extracted signals by filtering out each signal with respect to noise, as described earlier. These extracted signals are each indicative of at least one physiological energy response to at least one wavelength of electromagnetic energy. These extracted signals can then be amplified, compared, processed, and compared with at least one physiological model to qualify and/or quantify at least one physiological property of the organism. One specific example of physiological properties that can be extracted, such as blood metabolites, is shown in FIG. 9.

**[0058]** A specific embodiment of noninvasively qualifying and/or quantifying a particular physiological parameter is shown in FIGS. 8A-8B. In this embodiment, the electromagnetic excitation sources, **810**, **812**, are optical emitters. Optical emitter **810** generates long wavelength radiation and optical emitter **812** generates short wavelength radiation. The optical detector **811** converts the optical scatter from the optical emitters **810**, **812** into an electrical signal. The short wavelength optical emitter **812** generates optical radiation which is reflected from the surface of the blood vessel **820**, whereas the long wavelength optical emitter **810** generates optical radiation which is at least partially reflected from the blood inside the blood vessel. If the optical emitters **810**, **812** are pulsed and synchronized in time with the optical detector **811**, at least two separate signals can be extracted for each excitation wavelength. For example, the electrical signal associated with the short wavelength optical energy from the optical source **812** is more closely associated with the size of the blood vessel **820**, whereas the electrical signal associated with the long wavelength optical energy from the optical source **810** is more closely associated with the blood flow through the blood vessel. Thus, as described earlier, by comparing these independent signals, an assessment of blood pressure can be estimated.

**[0059]** Embodiments of the present invention described herein can be quite useful when integrated into a wearable device, such as a wearable telemetric device. In some embodiments, a wearable device can communicate telemetrically with a portable computer or portable communication device, such as a cellular phone, personal digital assistant, or the like. Thus, a person wearing the device can view a real-time assessment of personal vital signs through a portable view screen. In some embodiments, this telemetric information can be transmitted through a cellular network and onto the world-wide-web for storage in a database. This stored data can then be accessed through the web. Devices according to embodiments of the present invention can be comprised of compact, low-power solid-state devices, such as LEDs, photodiodes, piezoelectric elements, microphones, NEMS/MEMS devices, or the like. As such, embodiments of the present invention can be integrated into wearable monitors.

**[0060]** FIG. 10 illustrates the use of excitation-sensor modules **1012** in a wearable physiological monitor **1000**. The modules **1012** can be integrated into a flexible circuit board or flexible connector, connected to a Bluetooth processing board. Flexible circuit boards are typically fabricated from a polymer with integrated copper electrodes and circuit paths.

**[0061]** In a particular embodiment, the wearable physiological monitor **1000** can be integrated into the main body **1205** of a telemetric earpiece, as shown in FIG. 11. FIG. 11 illustrates details about the location of sensors in certain parts of an earpiece module **1205**, according to embodiments of the present invention. The ear support **1201** contains a pinna (helix) cover **1202** that may contain sensors for monitoring physiological and environmental factors. This structure is particularly useful for sensing methodologies which require energy to be transmitted through the thin layers of the pinna (the outer ear). Though any portion of the pinna can be covered and/or contacted, in some embodiments, the pinna cover **1202** overlaps at least a part of the helix or a part of the scapha of an ear (FIG. 12 illustrates a human ear). Likewise, an optical absorption detector, composed of an optical emitter and optical detector, can be integrated into the pinna cover **1202** for monitoring, for example, hydration, dosimetry, skin temperature, inductive galvanometry, conductive galvanometry, and the like.

**[0062]** Galvanometry, the measurement of electrical properties of the skin, can be measured inductively, through contactless electromagnetic induction without contacts, or conductively, with two, three, four, or more conductivity probes. Additionally, a 4-point conductivity probe technique, such as that used for measuring the conductivity of semiconductor wafers, can be applied. A variety of sensors can be integrated into the earpiece fitting **1208**. For example, a galvanometric device can be integrated into the surface **1209** of the earpiece fitting where the earpiece fitting touches the skin of the outer ear. A particularly strong pulse response can be monitored with excitation-sensor modules such as those described above mounted in the earpiece fitting region **1209**, touching the acoustic meatus (FIG. 12). Additionally, an inductive device, such as an inductive coil **1214**, can be integrated along the earpiece fitting body to measure movements of the tympanic membrane inductively. The inductive impedance can also be measured with the inductive coil **1214** or another inductive sensor, and this can be applied towards contactless galvanometry. The inductive coil **1214** can include one or more coils arranged in any orientation, and a core material, such as an iron-containing material, may be used to improve the sensitivity of the response. In some cases, multiple coils may be used to facilitate the canceling of stray electromagnetic interference. Sensors can also be integrated into the end tip **1212** of the earpiece fitting **1208** to measure physiological properties deeper into the ear canal. For example, the modules of FIGS. 2-4 and 5A-5B may be located in, at, or near the end tip region **1212** in a module **1213**. The sensors on the module **1213** in this region are carefully arranged so as not to prevent the transmission of sound (from the built-in communication module) and to not be distorted during earpiece placement and removal. The end tip sensor module **1213** can contain several types of sensors for generating multiple types of energy and detecting multiple types of energy, and this module can be integrated into the speaker module (part of the communication module) inside the earpiece fitting

**1208** that is used for sound transmission to the user during telemetric conversations. In some embodiments, the speaker module can be used as a microphone to measure auscultatory signals from the body. This may be especially useful for measuring low frequency signals less than 1000 Hz. Employing the speaker as a microphone may require impedance matching to maximize the auscultatory signal extraction. The modules of FIGS. 2-4 and 5A-5B can be located in, at, or near other parts of the earpiece module, such as the earpiece fitting **1208** surface **1209**, the ear support **1201**, or the earpiece body **1205**.

**[0063]** Another multifunctional earpiece module **1500**, according to embodiments of the present invention, is illustrated in FIG. 13. The illustrated earpiece module **1500** includes the embodiments illustrated in FIG. 11, such as a pinna cover **1502**, an ear support **1501**, a mouthpiece **1516**, an earpiece body **1505**, and the like. Additionally, the earpiece module **1500** may contain an extension **1511** with sensors for monitoring jaw motion, arterial blood flow near the neck, or other physiological and environmental factors near the jaw and neck region.

**[0064]** The person illustrated in FIG. 15 is also wearing an earring monitor **1514** according to embodiments of the present invention. Because at least one portion of an earring may penetrate the skin, earring monitor **1514** may contain sensors and telemetric circuitries that provide access to various blood analytes through iontophoresis and electrochemical sensing that may not be easily accessible by the other portions of the earpiece module **1500**. Additionally, the earring monitor **1514** may provide a good electrical contact for ECG or skin conductivity.

**[0065]** The foregoing is illustrative of the present invention and is not to be construed as limiting thereof. Although a few exemplary embodiments of this invention have been described, those skilled in the art will readily appreciate that many modifications are possible in the exemplary embodiments without materially departing from the teachings and advantages of this invention. Accordingly, all such modifications are intended to be included within the scope of this invention as defined in the claims. The invention is defined by the following claims, with equivalents of the claims to be included therein.

1. (canceled)
2. A method of monitoring at least one physiological property of an organism, the method comprising:
  - directing energy to at least one skin region of the organism;
  - detecting a first energy response signal region that contains information about blood flow from the at least one skin region, a second energy response signal that contains information about blood vessel size from the at least one skin region, and a third energy response signal that contains information about skin motion from the at least one skin region; and
  - processing the first, second, and third energy response signals to produce a first extracted energy response signal containing cleaner blood flow information as compared to the information about blood flow contained in the first energy response signal, and a second extracted energy response signal containing cleaner blood vessel size information as compared to the information about blood vessel size contained in the second energy response signal,

wherein the directing and detecting are performed by a device worn by the organism.

3. The method of claim 2, wherein detecting the first, second, and third energy response signals comprises detecting via at least one detector selected from the group consisting of acoustic detectors, auscultatory detectors, motion detectors, optical detectors, thermal detectors, and piezoelectric detectors.

4. The method of claim 2, wherein detecting the first and second energy response signals comprises detecting electromagnetic radiation at different first and second wavelengths, respectively.

5. The method of claim 2, wherein the at least one physiological property comprises blood pressure, and further comprising:

- comparing the first extracted energy response signal and the second extracted energy response signal in context of a physiological model to assess the blood pressure of the organism, wherein the comparing comprises:

- calculating the blood pressure of the organism based on an area of a blood vessel and based on a volumetric flow rate of blood therein, wherein the area is based on blood vessel size and a change in the blood vessel size indicated by the second extracted energy response signal, and wherein the volumetric flow rate is indicated by the first extracted energy response signal.

6. A method of monitoring at least one physiological property of an organism, the method comprising:

- directing energy at a first skin region of the organism and at a second skin region of the organism adjacent the first skin region;

- detecting a first energy response signal from the first skin region and a second energy response signal from the second skin region, wherein both of the first and second energy response signals contain information about blood flow and skin motion; and

- processing the first and second energy response signals to produce an extracted energy response signal indicative of the at least one physiological property, the extracted energy response signal containing cleaner blood flow information as compared to the information contained in the first and second energy response signals, wherein the processing comprises filtering each of the first and second energy response signals with respect to a third energy response signal containing information about the skin motion, and subtracting the second energy response signal from the first energy response signal responsive to the filtering,

- wherein the directing and detecting are performed by a device worn by the organism.

7. The method of claim 6, wherein the first and second energy response signals indicate respective changes therein, and wherein the respective changes in the first and second energy response signals occur in unison.

8. The method of claim 7, further comprising differentially amplifying the first energy response signal and the second energy response signal prior to the processing.

9. The method of claim 6, further comprising comparing the extracted energy response signal that is indicative of the at least one physiological property with a physiological model to assess a physiological condition of the organism.

10. The method of claim 9, further comprising amplifying the extracted energy response signal prior to the comparing.

11. The method of claim 6, further comprising telemetrically communicating the extracted energy response signal to a portable communication device for display thereon in real time.

12. The method of claim 6, wherein the first skin region comprises vascular and non-vascular tissue, and wherein the second skin region comprises more non-vascular tissue than vascular tissue compared to the first skin region.

13. The method of claim 6, wherein detecting the first energy response signal from the first skin region and the second energy response signal from the second skin region comprises detecting via at least one detector selected from the group consisting of acoustic detectors, auscultatory detectors, motion detectors, optical detectors, thermal detectors, and piezoelectric detectors.

14. The method of claim 6, wherein detecting the first energy response signal from the first skin region comprises detecting electromagnetic radiation at a first wavelength, and wherein detecting the second energy response signal from the second skin region comprises detecting electromagnetic radiation at a second wavelength that is different than the first wavelength.

15. The method of claim 6, wherein directing the energy at the first and second skin regions comprises directing electromagnetic radiation via at least one optical emitter and wherein detecting the first and second energy response signals from the first and second skin regions comprises electrically biasing at least one other optical emitter to operate as an optical detector.

16. The method of claim 6, wherein directing the energy at the first and second skin regions comprises directing electromagnetic radiation via at least one optical emitter and wherein detecting the first and second energy response signals from the first and second skin regions comprises detecting the electromagnetic radiation via at least one optical detector.

17. The method of claim 6, wherein directing the energy at the first and second skin regions comprises directing electromagnetic radiation via one or more arrays of optical emitters.

18. The method of claim 17, wherein the one or more arrays comprises at least one monolithic or partially monolithic array of optical emitters.

19. A method of assessing blood pressure in an organism, the method comprising:

directing, via first and second emitters, light at one or more regions of the organism;

detecting, via at least one detector, scattered light from the organism responsive to directing the light at the one or more regions;

generating first and second electrical signals corresponding to the light from the first and second emitters, respectively, based on the detecting of the scattered light; and

processing, via at least one processor, the first and second electrical signals, wherein the processing comprises:

filtering each of the first and second electrical signals with respect to a signal containing information about optical scatter from skin tissue of the organism to generate a first extracted signal and a second extracted signal, wherein the first extracted signal comprises information about blood flow through a blood vessel of the organism, and wherein the second extracted signal comprises information about size of the blood vessel; and

comparing the first extracted signal and the second extracted signal, responsive to generation of each by the filtering with respect to the signal containing the information about optical scatter from skin tissue of the organism, in context of a physiological model to assess the blood pressure of the organism.

20. The method of claim 19, wherein filtering each of the first and second electrical signals with respect to the signal containing information about optical scatter from skin tissue of the organism comprises:

subtracting the signal containing information about optical scatter from skin tissue of the organism from the first electrical signal to generate the first extracted signal comprising the information about the blood flow; and

subtracting the signal containing information about optical scatter from skin tissue of the organism from the second electrical signal to generate the second extracted signal comprising the information about the size of the blood vessel.

21. The method of claim 19, wherein the first and second emitters emit light at different first and second wavelengths, respectively, and wherein the first and second electrical signals are associated with the first and second wavelengths, respectively.

\* \* \* \* \*

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申请(专利权)人(译)	VALENCELL INC.		
当前申请(专利权)人(译)	VALENCELL INC.		
[标]发明人	LEBOEUF STEVEN FRANCIS TUCKER JESSE BERKLEY AUMER MICHAEL EDWARD		
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摘要(译)

提供了用于限定和量化在来自不需要的源的干扰中从可穿戴传感器提取的依赖于激励的生理信息的方法和装置。用至少一种激发能量询问生物体，感测来自两个或更多个不同生理区域的能量响应信号，并处理这些信号以产生提取信号。将提取的信号与生理模型进行比较以限定和/或量化生理特性。另外，通过比较通过可穿戴传感器测量的激发波长相关响应与生理模型，可以限定和量化重要的生理信息。

