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(54) **SYSTEM AND METHOD FOR ESTIMATING  
CARDIOVASCULAR FITNESS OF A PERSON**

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(57) **ABSTRACT**

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2014.

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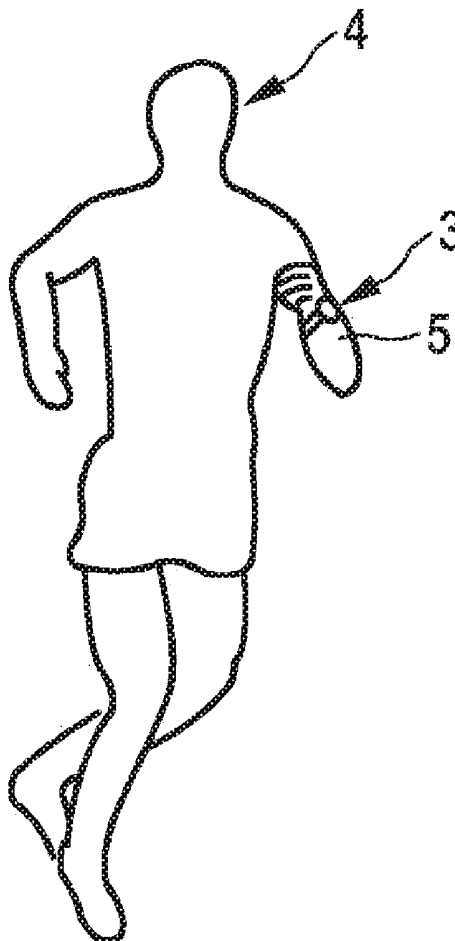
**Publication Classification**

(51) **Int. Cl.**

*A61B 5/00* (2006.01)

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The present invention relates to a system (1) for estimating cardiovascular fitness of a person, comprising a heart rate monitor (10) for acquiring a heart rate signal including information about the heart rate of the person, an activity monitor (20) for acquiring an activity signal indicative of physical activity of the person, a classifier (30) for classifying the activity of the person based on the acquired activity signal, a selector (40) for selecting one or more heart rate features obtained from the acquired heart rate signal based on the acquired heart rate signal and the classification of the activity for use in an estimation of the cardiovascular fitness of the person, and an estimator (50) for estimating the cardiovascular fitness of the person based on the one or more selected heart rate features



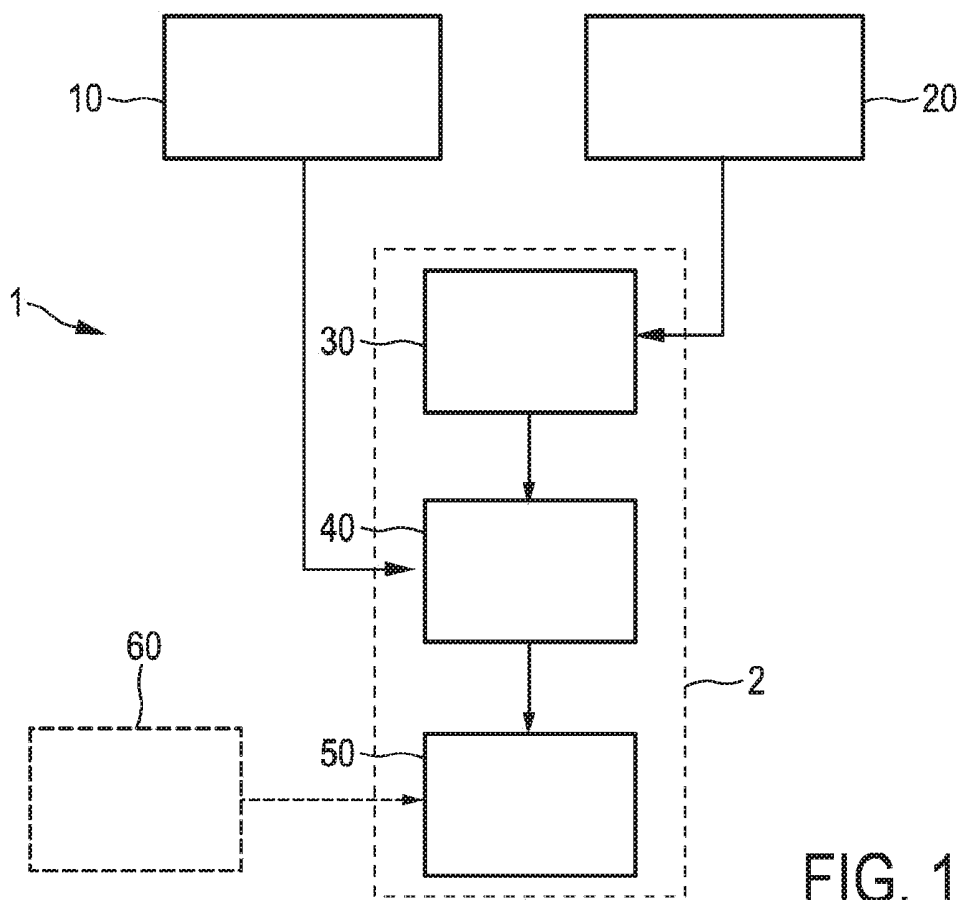


FIG. 1

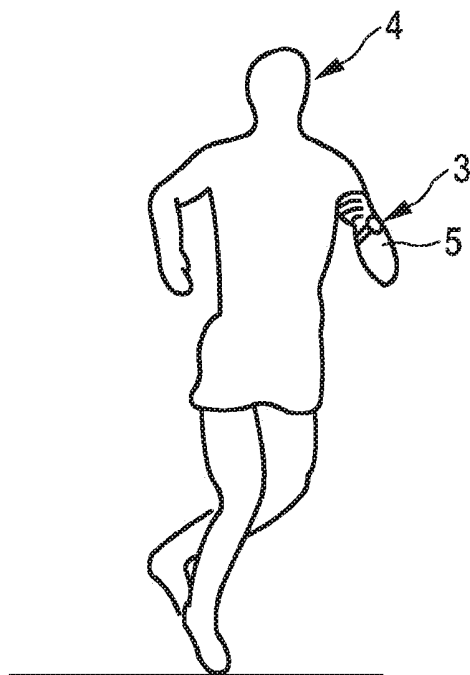


FIG. 2

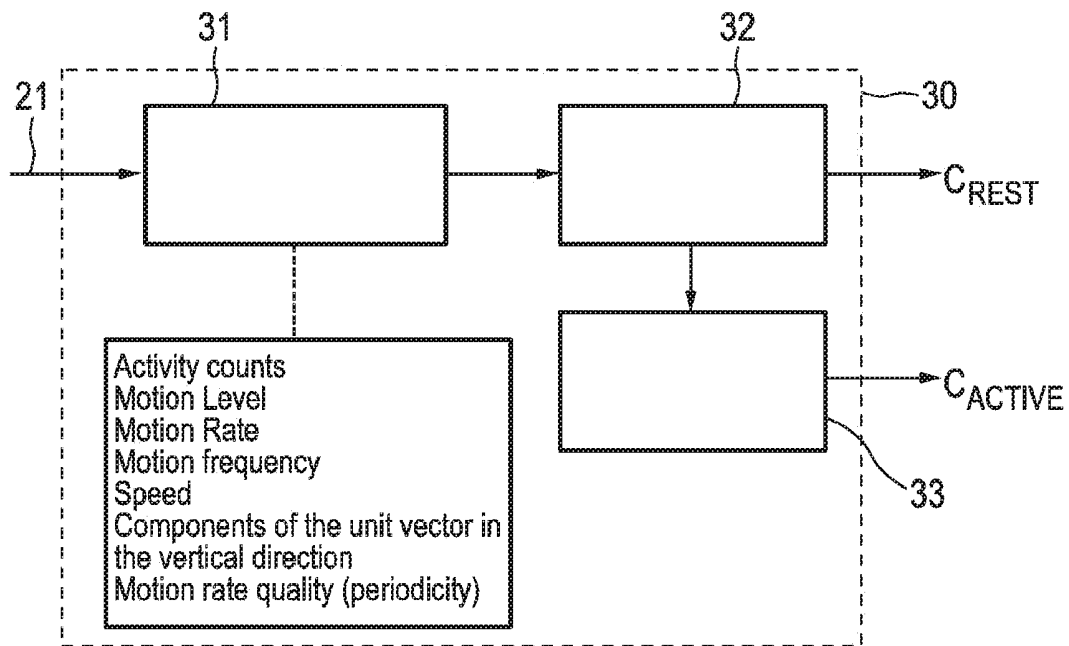


FIG. 3

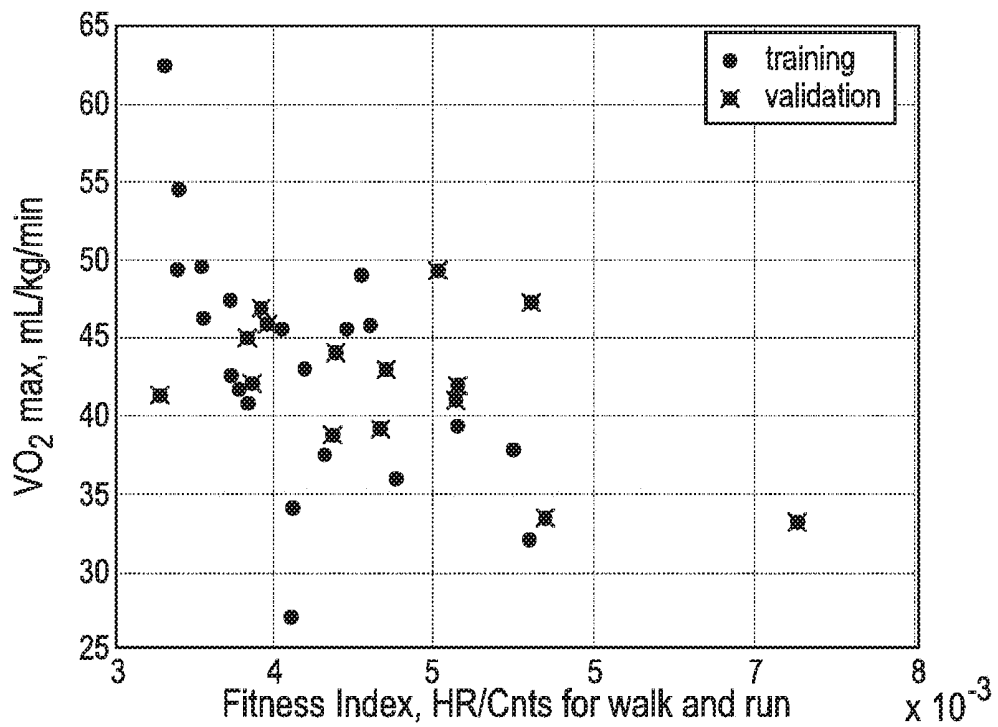


FIG. 4

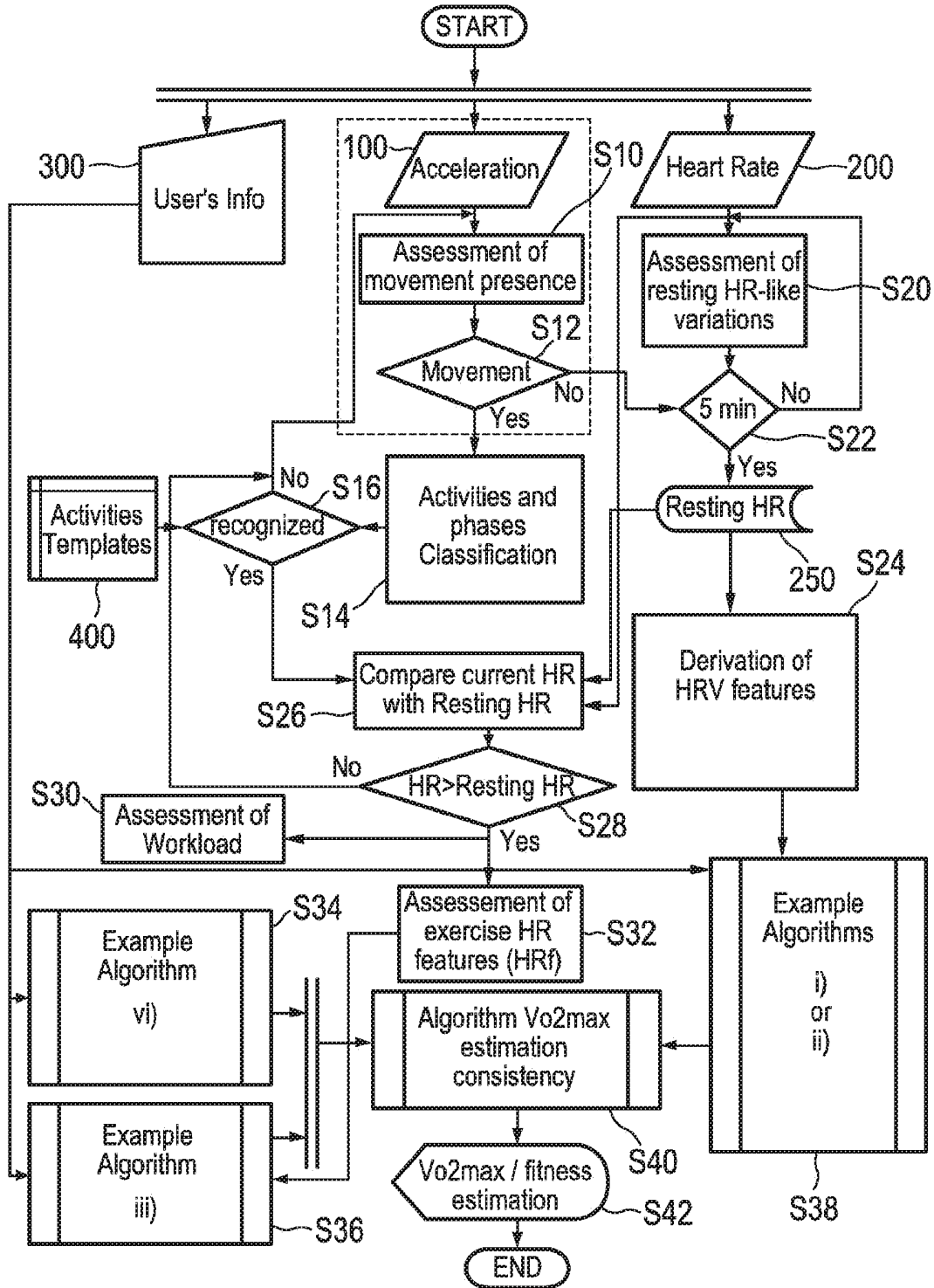


FIG. 5

## SYSTEM AND METHOD FOR ESTIMATING CARDIOVASCULAR FITNESS OF A PERSON

### CROSS-REFERENCE TO PRIOR APPLICATIONS

[0001] This application is a continuation application of U.S. National Phase application under 35 U.S.C. § 371, Ser. No. 14/915,914, filed on Mar. 2, 2016, which claims the benefit of International Application Serial No. PCT/EP2014/068642, filed on Sep. 3, 2014, which claims the benefit of European Application Serial No. 13184505.9, filed on Sep. 16, 2013. These applications are hereby incorporated by reference herein.

### FIELD OF THE INVENTION

[0002] The present invention relates to a system, a method, a processor and a processing method for estimating cardiovascular fitness of a person. Further, the present invention relates to a computer program for implementing said processing method.

### BACKGROUND OF THE INVENTION

[0003] Cardiovascular fitness is important in several fields, such as sports, fitness industry, clinical for diagnostic, prognostic and rehabilitation, and self monitoring in asymptomatic individuals. The direct measurement of fitness requires maximal physiological effort, which is associated with a greater risk of cardiovascular events, expensive devices and trained personnel. The indirect estimation of fitness overcomes some of these limitations but still requires to undergo rigorously a specific protocol, takes time and often involves the use of specific equipment (such as lab-bicycles, steps etc.).

[0004] U.S. Pat. No. 6,241,684 discloses a device, which is capable of determining the maximum oxygen uptake quantity without the restriction of a large device or requiring troublesome operations to be carried out. The device displays the upper and lower limit values for the pulse rate corresponding to an appropriate exercise intensity, and realizes in a wireless manner by means of optical communications the sending and receiving of information such as pulse wave signals to and from an information processing device which processes pulse wave information. The device is provided with a pulse wave detector for detecting the test subject's pulse waveform; an FFT processor for determining the test subject's heartbeat rate from the pulse waveform; a body motion detector for detecting body motion when the test subject is running; an FFT processor for determining the pitch from body motion during running by the test subject; exercise intensity calculator for determining pitch, the test subject's stride, and the exercise intensity from body motion during running; and a nomogram recorder for recording the relationship indicated by an Astrand-Ryhming nomogram, and determining the maximum oxygen uptake quantity from the heart rate and exercise intensity. The obtained maximum oxygen uptake quantity is divided by the test subject's body weight, to calculate the maximum oxygen uptake quantity per unit body weight. Next, the maximum oxygen uptake quantity and pulse according to sex are determined, and the pulse rate is multiplied by the upper and lower limit value coefficients, to determine the upper limit value and the lower limit value for the pulse rate.

[0005] WO 2012/057852 A1 discloses techniques for detecting ischemia and classifying a type of ischemia. Electrograms of cardiac activity are generated and evaluated. Ischemia is detected and classified as benign or malignant based on whether a change of an electrogram metric is detected, or first detected, in an electrogram. The relative timing of the change in the electrogram metric and a change in heart rate or patient activity may also be considered. The system may create a stress test for detecting ischemia by instructing the patient to exercise or increasing the cardiac pacing rate.

[0006] EP 2 524 647 A1 discloses a system and method for determining sleep stages of a person. A heart rate and a body movement are detected and classified. Combinations of heart rate class and body movement class are identified and a sleep stage and/or a sleep event of the person is determined based thereon.

[0007] EP 2 335 563 A1 discloses a system for processing exercise-related data comprising heart activity sensor and a motion sensor further. The type of exercise the user wishes to carry out is input by the user through a user interface. Based thereon exercise-related information can be calculated.

[0008] Altini et al. disclose personalizing energy expenditure estimation using a heart rate normalization factor in order to reduce an energy estimation error and inter-individual variability ("Personalizing energy expenditure estimation using a cardiorespiratory fitness predicate," *7th International Conference on Pervasive Computing Technologies for Healthcare (PervasiveHealth)*, pp. 65-72, 5-8 May 2013). The estimation of said normalization factor is based on a fixed set of parameters comprising the subject's heart rate while lying down resting and walking at different speeds together with anthropometric characteristics.

### SUMMARY OF THE INVENTION

[0009] It is an object of the present invention to provide a system, a method, a processor and a processing method for estimating cardiovascular fitness of a person which do not require the user to rigorously follow a predetermined protocol and/or to use a certain equipment like a treadmill or ergometer, but allows the user to reliably assess his cardiovascular fitness in everyday life situations.

[0010] In a first aspect of the present invention a system for estimating cardiovascular fitness of a person is presented comprising

[0011] a heart rate monitor for acquiring a heart rate signal including information about the heart rate of the person,

[0012] an activity monitor for acquiring an activity signal indicative of physical activity of the person,

[0013] a classifier for classifying the activity of the person based on the acquired activity signal,

[0014] a selector for selecting one or more heart rate features obtained from the acquired heart rate signal based on the acquired heart rate signal and the classification of the activity for use in an estimation of the cardiovascular fitness of the person, and

[0015] an estimator for estimating the cardiovascular fitness of the person based on the one or more selected heart rate features.

[0016] In a further aspect of the present invention a processor for estimating cardiovascular fitness of a person is presented comprising processing means configured to

**[0017]** classify the activity of the person based on an acquired activity signal indicative of physical activity of the person,

**[0018]** select one or more heart rate features obtained from an acquired heart rate signal including information about the heart rate of the person based on the acquired heart rate signal and the classification of the activity for use in an estimation of the cardiovascular fitness of the person, and

**[0019]** estimate the cardiovascular fitness of the person based on the one or more selected heart rate features.

**[0020]** In yet further aspects of the present invention, there are provided corresponding methods, a computer program which comprises program code means for causing a computer to perform the steps of the processing method disclosed herein when said computer program is carried out on a computer as well as a non-transitory computer-readable recording medium that stores therein a computer program product, which, when executed by a processor, causes the processing method disclosed herein to be performed.

**[0021]** Preferred embodiments of the invention are defined in the dependent claims. It shall be understood that the claimed methods, processor, computer program and medium have similar and/or identical preferred embodiments as the claimed system and as defined in the dependent claims.

**[0022]** The present invention is based on the idea to assess (“estimate”) the cardiovascular fitness of a person (user) without asking the person to undergo any specific protocol. By monitoring the user’s heart rate (HR) and physical activity the type of activity performed by the person is recognized (“classified”), and mainly based on this recognition it is decided which HR features to use in order to assess the person’s fitness. Further, information about which HR phase is currently available is used for selecting the most appropriate HR features for estimating the person’s fitness. Thus, the problem that the person needs to rigorously follow a predetermined protocol which is time consuming and in several cases requires specific equipment (such as a stairmaster, treadmill or ergometer) is overcome. The present invention allows an accurate estimation of the person’s cardiovascular fitness in everyday life situations.

**[0023]** Generally, various kinds of activity monitors may be used for acquiring an activity signal indicative of the person’s physical activity. Preferably, an activity pattern including e.g. an activity count (number of movements per unit time), the intensity of the activity, the acceleration of the moved body part, etc. is obtained. In a preferred embodiment said activity monitor includes an accelerometer for acquiring an acceleration signal indicative of acceleration of at least part of the body of the person as activity signal. Such accelerators are widely known, inexpensive and can be easily worn by the person without hampering the person’s mobility.

**[0024]** According to another embodiment said classifier is configured to classify the activity of the person at least into activity and no activity, in particular into cycling, walking, running, raising, steady recovery and no activity. The better the type of activity can be classified, the more appropriately the HR features can be selected for fitness assessment. Preferably, one or more regression models are applied and various parameters obtained from the activity signal are used for this classification.

**[0025]** In an embodiment said selector is configured to select one or more heart rate features from the group of heart

rate features comprising heart rate recovery, peak heart rate, heart rate variability, heart rate rise, resting heart rate.

**[0026]** Preferably, said selector is configured to select one or more heart rate features based on which heart rate phase is currently present. For instance, in case of rising HR different HR features (e.g. slope, linear intercept) are preferably used than in case of HR recovery (HR features used then are e.g. time constant over first 10 seconds, linear intercept).

**[0027]** The estimator is advantageously configured to estimate the maximum oxygen uptake indicating the physical fitness of the person. The cardiovascular function is ascribable to the maximal cardiac output, which, via the generally known Fick’s principle, is related to maximal oxygen uptake ( $VO_2max$ ), as e.g. described in Bassett D R, Jr., Howley E T, Limiting factors for maximum oxygen uptake and determinants of endurance performance, *Med Sci Sports Exerc.* 2000 January; 32(1):70-84.

**[0028]** In still another embodiment the system further comprises an interface for receiving user information about the person including one or more age, gender, weight, height, body mass index of the person. Further, said estimator is configured to estimate the cardiovascular fitness of the person based on the one or more selected heart rate features and the user information in this embodiment. This further increases the accuracy of the fitness estimation. The cardiovascular fitness is preferably computed considering the mean  $VO_2max$  estimated by each heart rate feature weighted for the relative error assessed in the laboratory.

**[0029]** Preferably, said selector is configured to select as heart rate features

**[0030]** one or more heart rate features derived from a peak heart rate if physical activity of the person is detected,

**[0031]** one or more heart rate recovery parameters if no physical activity of the person is detected, and/or

**[0032]** one or more heart rate statistical measurements if no physical activity of the person is detected and if the heart rate of the person is at rest.

**[0033]** This embodiment has shown to provide reliable and accurate results of the fitness estimation.

**[0034]** Further, in an embodiment directed to even further increase the accuracy, said estimator is configured to evaluate the consistency between the cardiovascular fitness estimated based on the different selected heart rate features, in particular by use of a root mean square estimation.

**[0035]** In more practical embodiments said heart rate monitor includes a photo-plethysmography or optical sensor (as e.g. described in WO 2013/076656 A1), and/or said heart rate monitor and said activity monitor are integrated into a common wearable device that can be worn by the person, in particular a wrist worn device (as e.g. described in WO 2013/038296 A1).

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0036]** These and other aspects of the invention will be apparent from and elucidated with reference to the embodiment(s) described hereinafter. In the following drawings

**[0037]** FIG. 1 shows a schematic diagram of an embodiment of a system according to the present invention,

**[0038]** FIG. 2 shows an embodiment of a practical implementation of a system according to the present invention,

**[0039]** FIG. 3 shows a schematic diagram of an embodiment of a classifier,

[0040] FIG. 4 shows a diagram illustrating the correlation between  $\text{VO}_2\text{max}$  and HR/Counts during walking and running, and

[0041] FIG. 5 shows a flow chart of an embodiment of a method according to the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

[0042] Assessment of the functional capacity of the cardiovascular system is essential in sports medicine. Cardiovascular function is ascribable to the maximal cardiac output, which, via Fick's principle, is related to maximal oxygen uptake ( $\text{VO}_2\text{max}$ ). In athletes, the  $\text{VO}_2\text{max}$  provides valuable information about their aerobic power. Aerobic power is a fundamental determinant of endurance sport performance and an indicator of training efficacy. In the clinical setting, the  $\text{VO}_2\text{max}$  provides key diagnostic and prognostic information in several clinical populations, such as patients with coronary artery disease or heart failure. Likewise, cardiovascular function assessment can be very important to evaluate fitness in asymptomatic adults. Although direct determination of  $\text{VO}_2\text{max}$  is the most accurate method, it requires a maximal level of exertion by the subject, which brings a higher risk of adverse events in individuals with intermediate to high risk of cardiovascular problems. Moreover, a respiratory gas analysis system is needed, which requires expertise and involves additional costs. Estimation of  $\text{VO}_2\text{max}$  during submaximal exercise testing can offer a precious alternative. Over the past decades many protocols have been developed for this purpose. However, submaximal protocols require that the user follows a standardized exercise protocol. In many cases these exercise protocols require time (3 to 6 min) and special pieces of equipment (step, treadmill, ergometers), and above all, users have to follow the instructions properly in order to have a good estimation of their cardiovascular function.

[0043] Ultimately, cardiovascular fitness is intrinsically linked to the heart size. A big heart has a greater stroke volume (L/beat). The stroke volume is the volume of blood pumped at each heart cycle (beat). Since the body requires an adequate blood supply, around 5 L/min (in standard subject at rest), the heart rate is adapted according to the stroke volume. If the stroke volume is large, for example 125 mL/beat, then a heart rate (HR) of 40 beat/min would be sufficient to deliver 5 L/min in output. Conversely, if in the same standard subject the heart is smaller, consequently the stroke volume is lesser, for instance 56 mL, then a higher HR of 90 (beat/min) is required to supply the same cardiac output of 5 L/min. This would be true also for exercising conditions and recovery conditions. This is why the HR is often used to assess cardiovascular fitness.

[0044] Although resting HR per se is very representative of the fitness level of an individual, this is also affected by external or internal stressors, such as emotions, temperature, digestion, drugs (caffeine) etc. When a person (also called user hereinafter) engages in a physical activity the HR becomes less influenced by these factors and directly related to the metabolic need ( $\text{VO}_2$ ) of the activity itself. However, also exercise HR can be influenced by some fitness independent factors such as fatigue, temperature and exercise intensity. Furthermore, if peak exercise HR is close to the maximal HR achievable this will be mainly influenced by age regardless the individual's fitness. The beat to beat variability of the HR (HRV) can be used to predict fitness as

well. However, its relation with fitness is less intuitive than the one with just HR. This relationship seems to be in the role that the autonomic nervous system plays in regulating the pace maker of the heart, which as just described is strongly influenced by the heart size. HRV has also some limitations; in fact, it is influenced by respiration, movement and many other factors.

[0045] Based on recognitions derived from the above, the inventors have arrived at the rationale to use an integrated approach where these HR derived parameters are used when their prediction power is at their highest. Moreover, accelerometry (or, more generally, detection of the physical activity of the person) is used to classify the type of physical activity, in particular to estimate the workload (speed) of a physical activity. It has been found that this is a valuable information since per each workload (i.e. running speed) a fit/unfit person—given some personal data such as body-weight, age and gender—should present a somewhat known exercise HR and recovery HR. Therefore, by comparing the measured HR with a reference HR per that estimated workload, the user's actual fitness can be predicted. This additional feature which implies accurate physical activity onset and end recognition and precise activity classification vastly improves the accuracy of the fitness prediction. Details will be explained now in more detail.

[0046] FIG. 1 shows a schematic diagram of an embodiment of a system 1 for estimating cardiovascular fitness of a person according to the present invention. The system 1 comprises a heart rate monitor 10 for acquiring a heart rate signal including information about the heart rate of the person and an activity monitor 20 for acquiring an activity signal indicative of physical activity of the person. A classifier 30 is provided for classifying the activity of the person based on the acquired activity signal. A selector 40 is provided for selecting one or more heart rate features obtained from the acquired heart rate signal based on the acquired heart rate signal and the classification of the activity for use in an estimation of the cardiovascular fitness of the person. An estimator 50 is provided for estimating the cardiovascular fitness of the person based on the one or more selected heart rate features.

[0047] In an embodiment the classifier 30, the selector 40 and the estimator 50 are implemented on a processor 2, but other implementations (e.g. through separate processors, software elements, dedicated hardware elements) are possible as well.

[0048] Optionally, an interface 60 for receiving user information about the person including one or more age, gender, weight, height, body mass index of the person is additionally provided. Said information may be input by the person, or may be stored in a storage element (not shown). With this option, the estimator 50 is configured to estimate the cardiovascular fitness of the person based on the one or more selected heart rate features and the user information. An example estimation may be:

$$\text{VO}_2\text{max} = a_0 \times \text{age} + a_1 \times \text{gender} \text{ (1 for males, 0 for females)} + a_3 \times \text{weight} + a_4 \times \text{height} + a_5 \times \text{BMI} + a_6 \text{ HR feature}$$

wherein  $a_0$  to  $a_6$  are predetermined weighting coefficients for assigning weights to the various pieces of information about the person indicating how important the respective pieces of information shall be taken into account in the estimation.

**[0049]** The problem in cardiovascular function ( $VO_2\max$ ) that the users need to follow a determined protocol, which is time consuming and in several cases requires specific equipment (step, treadmill, ergometer), and that the exercise protocol has to be followed rigorously in order to allow an accurate estimation of  $VO_2\max$  is overcome by the proposed system. The present invention combines one or more different HR features, such as HR recovery (rate at which the heart rate returns to baseline after a period of exercise), peak HR (maximum HR; highest heart rate the person achieves during the physical activity), HR variability (HRV; variation in the time interval between heartbeats), HR rest (heart rate at rest) according to context and the behaviour of the user. The system decides when to use which HR feature (for example, if the user is sitting or lying, HRV is preferably used; if the user is recovering from an exercise, the HR recovery is preferably used) to reliably assess fitness in free-living conditions.

**[0050]** Generally, any HR monitor can be used in combination with an activity monitor (e.g. an accelerometer). An example of an integrated device which can be used for this purpose is an optical HR sensor containing a photo-plethysmography sensor (for HR detection) and a 3-axial accelerometer (for activity detection) as e.g. described in WO 2013/038296 A1. Such an integrated device may be implemented as a wrist worn device **3** as exemplarily shown in FIG. 2, which can be worn by a user **4** at his arm **5**. This technology allows monitoring HR unobtrusively and comfortably at any location on the body (for example at the wrist). This embodiment is ideal since users will have their fitness evaluation done just by wearing a watch or bracelet throughout the day and possibly night.

**[0051]** Generally, other kinds of HR monitors and physical activity monitors can be used in a system according to the present invention as well. For instance, as HR monitor an ECG sensor or a conventional HR sensor may be used, and as physical activity monitor one or more motion sensors may be used. Other examples of heart rate monitors are PPG sensors, and camera PPG sensors and GPS can be also used as an activity monitor.

**[0052]** A schematic diagram of an embodiment of a classifier **30** is depicted in FIG. 3. In the simplest embodiment the classifier **30** will recognize if an activity is performed or not by the user, i.e. will determine a corresponding indicator, such as rest (no activity) and activity.

**[0053]** The signal recorded with the physical activity monitor **20** can further be used to derive information about movement rate, intensity, speed, energy or signal entropy. These characteristics are useful to develop a machine learning algorithm able to classify activity states such as rest and activity. A review of different techniques to identify and to classify different activities from body-worn sensor data can be found in Preece S J, Goulermas J Y, Kenney L P, Howard D, Meijer K, Crompton R. Activity identification using body-mounted sensors—a review of classification techniques. *Physiol Meas.* 2009 April; 30(4):R1-33. doi: 10.1088/0967-3334/30/4/R01. Epub 2009 April 2.

**[0054]** Referring again to the exemplary embodiment of the classifier **30** in FIG. 3, the classifier **30** receives the activity signal **21** recorded with the physical activity monitor **20**. The classifier includes a feature extractor **31**, which calculates movement features from the activity signal **21** such as activity counts measured on a minute-basis (AC); a motion level, i.e., an amplitude of the signal area under the

curve (ML); a motion rate (MR, bpm); a motion frequency; a speed of motion; components of the unit vector along the vertical direction (gravity vector) projected in the three sensing axes of the accelerometer (Cx, Cy, Cz); a signal entropy (J); and a motion quality, e.g. an inverse of the signal entropy (MRQuality, e.g. represented as a value in the range from 0 to 1).

**[0055]** Movement features represent the input to a first classifier stage **32**, referred to as rest/activity classifier, which determines whether a user is performing physical activity or is being sedentary. The following algorithm can be used to determine the output of the rest/activity classifier:  $ModelOutput = \Theta_0 + \Theta_1 * AC + \Theta_2 * ML + \Theta_3 * Cz + \Theta_4 * MR + \Theta_5 * MRQuality$

$Probability = 1 / (1 + e^{(-ModelOutput)})$

If  $Probability < ProbTh_1$

Output  $\leq$  CREST

Else

Output  $\leq$   $C_{ACTIVE}$

**[0056]** with the following parameters:

**[0057]**  $\Theta_{1 \dots 5} = [0.6044, -2.4805, 2.9425, 1.2822, 1.1371, 4.1358]$ ;

**[0058]**  $ProbTh_1$ , decision boundary = 0.50;

**[0059]** The classifier **30** can make use of a multi-parametric model which provides a two-stage classification to decide whether the activity belongs to the rest ( $C_{REST}$ ) or the activity ( $C_{ACTIVE}$ ) class, where  $C_{REST}$  and  $C_{ACTIVE}$  represent the class output of the classifier indicating a condition of rest or activity. In case activity is detected by the first classifier unit **32**, a second classifier unit **33**, referred to as activity type classifier, is employed to discriminate between types of physical activity. Distinction between walking, walking stairs, running, and cycling can be made by evaluating movement features related to speed of movement and changes in altitude to detect walking up and down stairs. Another example on how this second classifier unit **33** can be built is as a NaiveBayes classifier which describes the probability of activity types given a list of features of the activity signal (as listed above). When the features calculated from the measured activity signal are similar in value to pre-recorded features for a specific activity in a database, the probability that the activity measured by the activity monitor **20** corresponds to the activity identified in the database is large. Thereby, activity types can be classified by the second classifier unit **33**. The output  $C_{ACTIVE}$  of the classifier **30** then denotes the type of activity.

**[0060]** Furthermore, temporal information related to the duration, onset and termination of physical activity can also be deducted from the classifier output. This information can be further used to characterize HR dynamics such as trends during HR rise at the beginning of an activity, trends in HR recovery after activity termination of HR peaks while the activity is ongoing. These features are then used in combination with  $VO_2\max$  predictive algorithms to estimate cardio-respiratory fitness.

**[0061]** According to whether an activity is performed or not and according to which HR phase is available (e.g. rising HR, steady-state exercise HR, HR recovery) the selector **40** will select the most appropriate HR feature(s) to estimate

VO<sub>2</sub>max for that activity. The most appropriate estimation of VO<sub>2</sub>max is preferably the one that has shown the lowest Root Mean Squared Error (RMSE) in validation tests (preferably once done in a laboratory in advance).

**[0062]** According to an embodiment a VO<sub>2</sub>max estimation model is chosen from among a larger number of HR feature based models. For example, if the activity counts are below 1000, the HR rest (e.g. HR rest median) or HRV (e.g. SDNN; standard deviation of NN intervals) regression models are used to estimate VO<sub>2</sub>max. If the activity counts are between 1000 and 5000 for at least 1 min or more, a regression model based on exercise HR (e.g. HR/counts) is used; if the classifier recognizes at least 30 s of activity recovery, HR recovery (e.g. slope or delta) is used and so on.

**[0063]** In the following examples of regression models based on several different HR features are shown:

i) VO<sub>2</sub>max [L/kg/min]=69.9243-0.40637×HRrest median

**\*\*TRAINING\*\***

**[0064]** bias=-9.77e-015  
rmse=5.8772  
% std=0.1428  
% mean=-0.022043  
% see=0.035767

**\*\*VALIDATION\*\***

**[0065]** bias=1.6395  
rmse=7.5044  
% std=0.17599  
% mean=0.020929  
% see=0.040603

ii) VO<sub>2</sub>max [L/kg/min]=50.1855-1.3398×STD HR during rest (SDNN)

**\*\*TRAINING\*\***

**[0066]** bias=-6.2172e-015  
rmse=5.9303  
% std=0.14409  
% mean=-0.021243  
% see=0.034673

**\*\*VALIDATION\*\***

**[0067]** bias=1.5481  
rmse=6.2864  
% std=0.14643  
% mean=0.018633  
% see=0.032297

iii) VO<sub>2</sub>max [L/kg/min]=43.4858-0.0090276×Rise Tau exponential fit

**\*\*TRAINING\*\***

**[0068]** bias=-7.6383e-015  
rmse=6.2464  
% std=0.15176  
% mean=-0.02538  
% see=0.03968

**\*\*VALIDATION\*\***

**[0069]** bias=0.27444  
rmse=7.0794  
% std=0.16974  
% mean=-0.015193  
% see=0.04097

iv) VO<sub>2</sub>max [L/kg/min]=29.958+0.4424×Recovery DeltaHR over 30 sec

**\*\*TRAINING\*\***

**[0070]** bias=-3.7303e-015  
rmse=5.9601  
% std=0.14481  
% mean=-0.022976  
% see=0.037613

**\*\*VALIDATION\*\***

**[0071]** bias=1.8209  
rmse=7.2645  
% std=0.16901  
% mean=0.021432  
% see=0.040426

v) VO<sub>2</sub>max [L/kg/min]=34.0319-11.718×Recovery slope

**\*\*TRAINING\*\***

**[0072]** bias=5.862e-015  
rmse=5.9956  
% std=0.14567  
% mean=-0.023327  
% see=0.037605

**\*\*VALIDATION\*\***

**[0073]** bias=1.5238  
rmse=7.7082  
% std=0.18159  
% mean=0.012476  
% see=0.044786

vi) VO<sub>2</sub>max [L/kg/min]=66.8135-6.0392×sex-3684.1417×FitnessX->ratio  
HR/Counts for walk/run (if walking and running HR and activity counts are available)

**\*\*Stepwise MLR Training\*\***

**[0074]** bias=1.7764e-016  
rmse=4.8545  
% std=0.11795  
% mean=-0.015754  
% see=0.031514

**\*\*Stepwise MLR VALIDATION\*\***

**[0075]** bias=1.9627  
rmse=5.5032  
% std=0.12336  
% mean=0.038283  
% see=0.02918

These number report the performance of the models.

**[0076]** FIG. 4 depicts a diagram illustrating the correlation between VO<sub>2</sub>max and HR/Counts during walking and running. It illustrates how the model HR/counts performs in estimating VO<sub>2</sub>max.

**[0077]** FIG. 5 shows a flow chart of an embodiment of a method according to the present invention. This algorithm chooses the VO<sub>2</sub>max estimation generated by the predictive model with the lowest validation RMSE, based on the data available. A predictive model is an algorithm which com-

compares the lab errors of the models based on all the HR features available. For example if three features have been detected

model 1→HR feature 1 Error=3

model 2→HR feature 2 Error=3.5

model 3→HR feature 3 Error=1

then the system chooses the VO<sub>2</sub>max estimated by model 3.

**[0078]** For instance it could happen that only part of the HR recovery data is available so that the heart rate feature “Recovery slope” is available. Then, the VO<sub>2</sub>max estimation is based on HR Recovery slope model (RMSE=7.7). But in case that also resting data is available, STD HR during rest (SDNN) can be used. This will be the displayed VO<sub>2</sub>max prediction, since its RMSE is 6.3 and therefore lower than for the HR Recovery model.

**[0079]** In a first step S10 an assessment of the presence of movement is made based on acceleration signal 100. If movement is present, as checked in step S12, a classification of activities and heart rate phases is made in step S14, i.e. the type of activity (such as walking, running, raising, steady-state, recovery, cycling, etc.) is detected. If no certain type of activity is recognized, as checked in step S16 by use of activities templates 400, the algorithm returns to step S10.

**[0080]** In a parallel path of processing resting HR-like variations are assessed based on the heart rate signal 200 in step S20. If there is no movement detected, as checked in step S12 and if 5 min have passed, as checked in step S22, a resting HR 250 is detected. Otherwise, the algorithm returns to step S20. In step S24 a derivation of HRV features (in the time domain and/or frequency domain; non-linear) is then made.

**[0081]** If a certain type of activity is recognized, as checked in step S16, the current HR 200 is compared with the resting HR 250 in step S26. Subsequently, it is checked in step S28, if the current HR is higher than the resting HR. If this is not the case, the algorithm returns to step S16. Otherwise, an assessment of workload (e.g. speed) is made in step S30 and/or an assessment of exercise HR features (HRf) is made in step S32. An example algorithm S34 for step S30, which in addition uses user information 300 (e.g. age, gender, weight of the user) is indicated above as example vi). An example algorithm S36 for step S32, which also uses user information 300, is indicated above as example iii). Example algorithms S38 for step S24, which also use user information 300, are indicated above as examples i) and ii).

**[0082]** Finally, in step S40 the consistency of the various estimations in steps S34, S36, S38 is made, preferably based on the lowest RMSE, and the most consistent estimation result is output in step S42.

**[0083]** Generally, any HR monitor can be used in combination with any activity monitor. A useful embodiment comprises an optical HR sensor that is worn by the user on his body (for instance at the wrist or around the chest). An accelerometer is also worn by the user on his body (this could be at the same location or at a different location).

**[0084]** In an embodiment, when the accelerometer detects acceleration (and, thus, movement), information from the HR sensor is used by the classifier to discriminate which type of activity is performed by the user. Once a type of activity useful for the fitness estimation is recognized (such as walking, running, cycling etc.) the workload (e.g. speed, or the speed and incline, etc.) of this activity is estimated from the acceleration data. At the same time HR derived

parameters coming from peak HR are used together with user’s characteristics to estimate the user’s VO<sub>2</sub>max. Once the accelerometer does no longer detect movement, HR recovery parameters are extracted to make a further estimate of the user’s VO<sub>2</sub>max. In case the accelerometer does not detect any movement and the HR of the user is at rest, HRV statistical measures together with user’s information are used to estimate the user’s VO<sub>2</sub>max.

**[0085]** In an embodiment the consistency between these VO<sub>2</sub>max estimations is evaluated (e.g. based on the lowest RMSE), which will select the most consistent estimation, increasing the accuracy of the estimation.

**[0086]** The present invention can be used in various applications. A first field of application is in sports where VO<sub>2</sub>max estimation is important to assess the capacity of the athlete to improve and to track the effect of an aerobic training. A second field of application is the fitness industry, such as gyms, fitness centres etc. A third field of application is clinical since cardiorespiratory and cardiovascular function is a very important diagnostic and prognostic information in several clinical populations. Moreover, cardiovascular fitness monitoring is very important in order to assess the improvements of patients recovering from a heart event, such as a heart attack. Finally, self monitoring of VO<sub>2</sub>max is important since it gives information of the cardiovascular fitness, which is valuable information in asymptomatic adults.

**[0087]** While the invention has been illustrated and described in detail in the drawings and foregoing description, such illustration and description are to be considered illustrative or exemplary and not restrictive; the invention is not limited to the disclosed embodiments. Other variations to the disclosed embodiments can be understood and effected by those skilled in the art in practicing the claimed invention, from a study of the drawings, the disclosure, and the appended claims.

**[0088]** In the claims, the word “comprising” does not exclude other elements or steps, and the indefinite article “a” or “an” does not exclude a plurality. A single element or other unit may fulfill the functions of several items recited in the claims. The mere fact that certain measures are recited in mutually different dependent claims does not indicate that a combination of these measures cannot be used to advantage.

**[0089]** A computer program may be stored/distributed on a suitable non-transitory medium, such as an optical storage medium or a solid-state medium supplied together with or as part of other hardware, but may also be distributed in other forms, such as via the Internet or other wired or wireless telecommunication systems.

**[0090]** Any reference signs in the claims should not be construed as limiting the scope.

1. A method for estimating VO<sub>2</sub>max of a subject comprising:

- receiving, via a heart rate sensor, a heart rate signal;
- receiving, via an activity monitor, an activity signal;
- determining an activity classification based on the received activity signal;
- determining a heart rate feature based on the heart rate signal;
- selecting an estimation model using the activity classification and heart rate feature;

determining, for the selected estimation model, an available heart rate feature that is based on the heart rate signal;  
 estimating the  $VO_2$ max of the subject based on the determined available heart rate feature and the selected estimation model; and  
 outputting, on a display, information indicative of the estimated  $VO_2$ max.

2. The method of claim 1, wherein the activity classification comprises: active and non-active.

3. The method of claim 2, wherein when the activity classification comprises active, a secondary activity classification is determined based on the received activity signal, and wherein the secondary activity classification is used in the selection of the estimation model.

4. The method of claim 1, wherein the activity monitor comprises an accelerometer.

5. The method of claim 1, wherein the activity monitor comprises GPS.

6. The method of claim 1, wherein estimating the  $VO_2$ max of the subject is further based on at least one of: a subject's age, a subject's gender, a subject's weight, a subject's height, and a subject's body-mass-index.

7. A method for estimating  $VO_2$ max of a subject comprising:

determining an activity classification based on an activity signal received via an activity sensor;

determining a heart rate feature based on a heart rate signal received via a heart rate sensor;

determining, using an estimation model based on the activity classification and heart rate feature, an available heart rate feature that is based on the heart rate signal;

estimating the  $VO_2$ max of the subject based on the determined available heart rate feature and the selected estimation model; and

outputting, on a display, information indicative of the estimated  $VO_2$ max.

8. The method of claim 7, wherein the activity classification comprises: active and non-active.

9. The method of claim 8, wherein when the activity classification comprises active, a secondary activity classification is determined based on the received activity signal, and wherein the secondary activity classification is used in the selection of the estimation model.

10. The method of claim 7, wherein the activity monitor comprises an accelerometer.

11. The method of claim 7, wherein the activity monitor comprises GPS.

12. The method of claim 7, wherein estimating the  $VO_2$ max of the subject is further based on at least one of: a subject's age, a subject's gender, a subject's weight, a subject's height, and a subject's body-mass-index.

13. A device configured to estimate  $VO_2$ max of a subject, the device comprising:

an activity sensor configured to receive an activity signal;  
 a heart rate sensor configured to receive a heart rate signal;

a display; and

a processor configured to:

determine an activity classification based on the activity signal;

determine a heart rate feature based on the heart rate signal;

determine, using an estimation model based on the activity classification and heart rate feature, an available heart rate feature that is based on the heart rate signal;

estimate the  $VO_2$ max of the subject based on the determined available heart rate feature and the selected estimation model; and

output, on the display, information indicative of the estimated  $VO_2$ max.

14. The device of claim 13, wherein the activity classification comprises: active and non-active.

15. The device of claim 14, wherein when the activity classification comprises active, a secondary activity classification is determined based on the received activity signal, and wherein the secondary activity classification is used in the selection of the estimation model.

16. The device of claim 13, wherein the activity monitor comprises an accelerometer.

17. The device of claim 13, wherein the activity monitor comprises GPS.

18. The device of claim 13, wherein estimating the  $VO_2$ max of the subject is further based on at least one of: a subject's age, a subject's gender, a subject's weight, a subject's height, and a subject's body-mass-index.

\* \* \* \* \*

专利名称(译)	用于估计人的心血管健康的系统和方法		
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[标]申请(专利权)人(译)	皇家飞利浦电子股份有限公司		
申请(专利权)人(译)	皇家飞利浦N.V.		
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[标]发明人	SARTOR FRANCESCO BONOMI ALBERTO GIOVANNI		
发明人	SARTOR, FRANCESCO BONOMI, ALBERTO GIOVANNI		
IPC分类号	A61B5/00 A61B5/0205 A61B5/11 A61B5/024		
CPC分类号	A61B5/14542 A61B5/02405 A61B2562/0219 A61B5/02416 A61B2505/09 A61B5/1123 A61B5/7246 A61B5/02438 A61B5/4866 A61B5/681 A61B5/0205 A61B5/1118 A61B5/7264 G16H50/20		
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外部链接	<a href="#">Espacenet</a> <a href="#">USPTO</a>		

摘要(译)

本发明涉及一种用于估计人的心血管健康度的系统 ( 1 )，包括用于获取包括有关该人的心率的信息的心率信号的心率监测器 ( 10 )，活动监测器 ( 20 )，用于获取指示人的身体活动的活动信号；分类器 ( 30 )，用于基于获取的活动信号对人的活动进行分类；选择器 ( 40 )，用于选择一个或多个 根据所获取的心率信号和用于对人的心血管适应度进行估计的活动的分类，从所获取的心率信号所获得的心率特征以及用于估计患者的心血管适应度的估计器 ( 50 ) 基于一个或多个选定的心率特征的人

