



(19) **United States**

(12) **Patent Application Publication** (10) **Pub. No.: US 2007/0027373 A1**

Xie (43) **Pub. Date: Feb. 1, 2007**

(54) **ANTI-STOKES RAMAN IN VIVO PROBE OF GLUCOSE CONCENTRATIONS THROUGH THE HUMAN NAIL**

Publication Classification

(51) **Int. Cl.**
A61B 5/00 (2006.01)
(52) **U.S. Cl.** **600/316**

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(57) **ABSTRACT**

A system and method are provided for detecting and quantifying an analyte in vivo. Anti-Stokes Raman scattered radiation emitted from a sample under incident radiation excitation is collected and analyzed. The intensity response is corrected for temperature effects using a Boltzmann correction factor based on the temperature of the sample. The sampled tissue is advantageously the sterile matrix beneath the nail of either a toe or a finger. The incident excitation radiation is projected onto the sterile matrix through the nail, which operates as a window. The present invention may be applied in both the blue/UV and the red/IR regions of the spectrum.

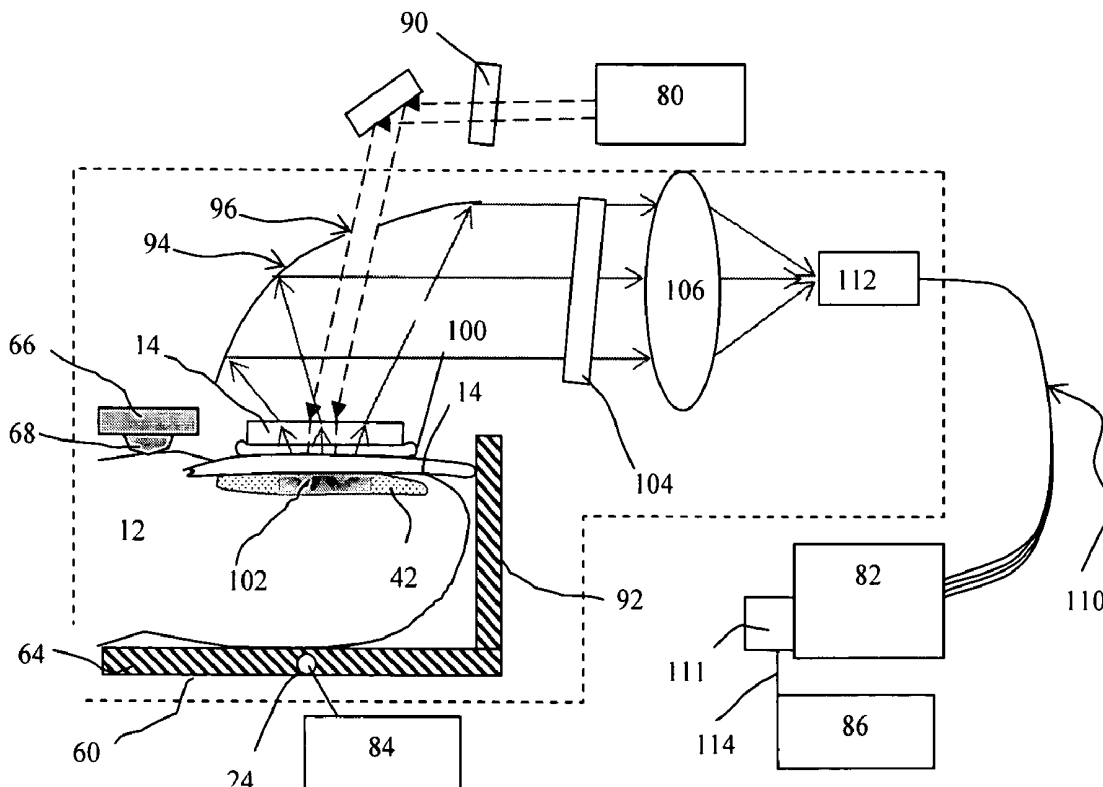
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(21) **Appl. No.: 11/527,295**

(22) **Filed: Sep. 25, 2006**

Related U.S. Application Data

(63) **Continuation-in-part of application No. 10/787,909, filed on Feb. 24, 2004.**



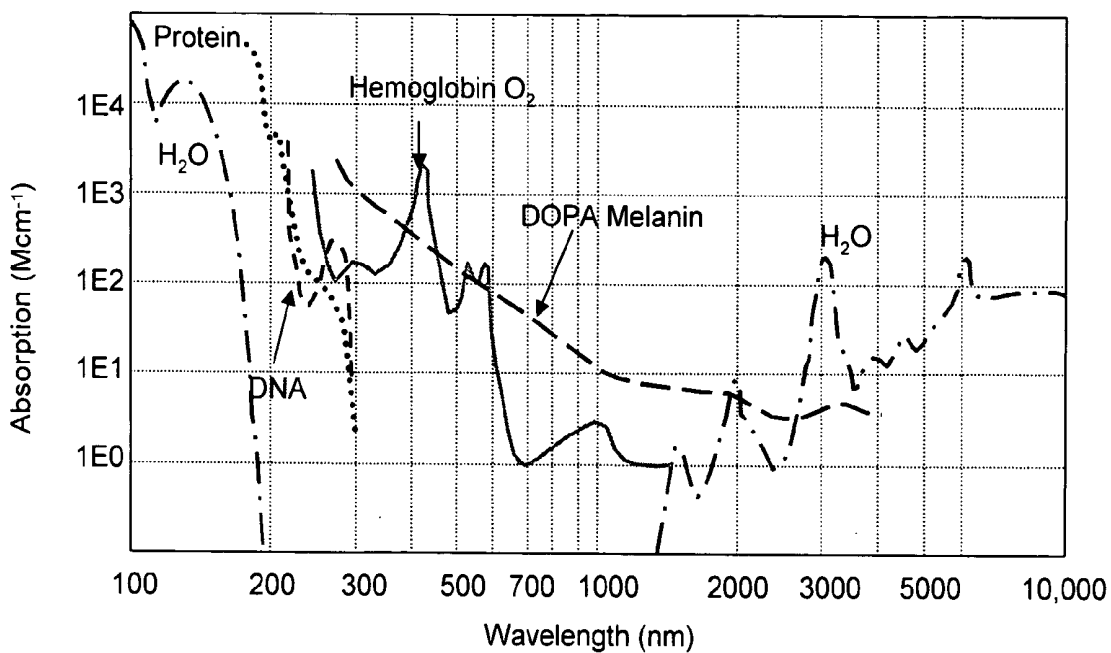


FIG-1

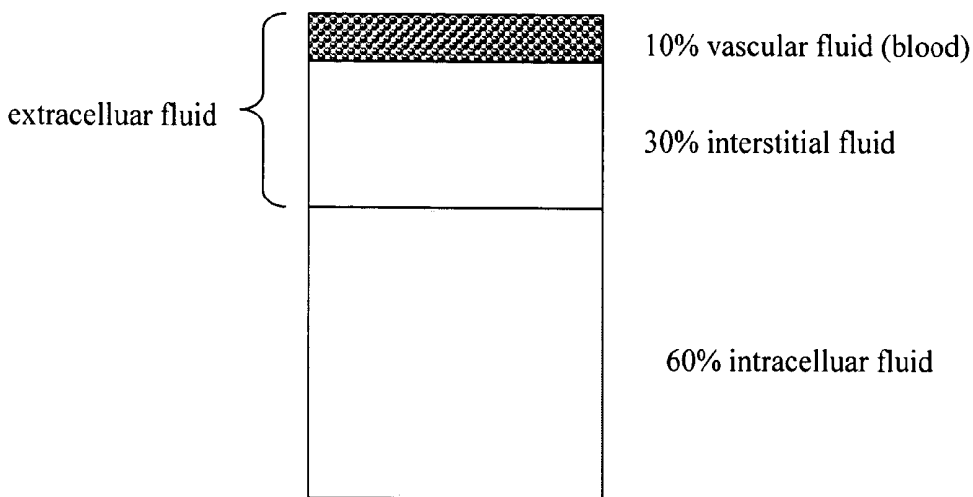


FIG-2

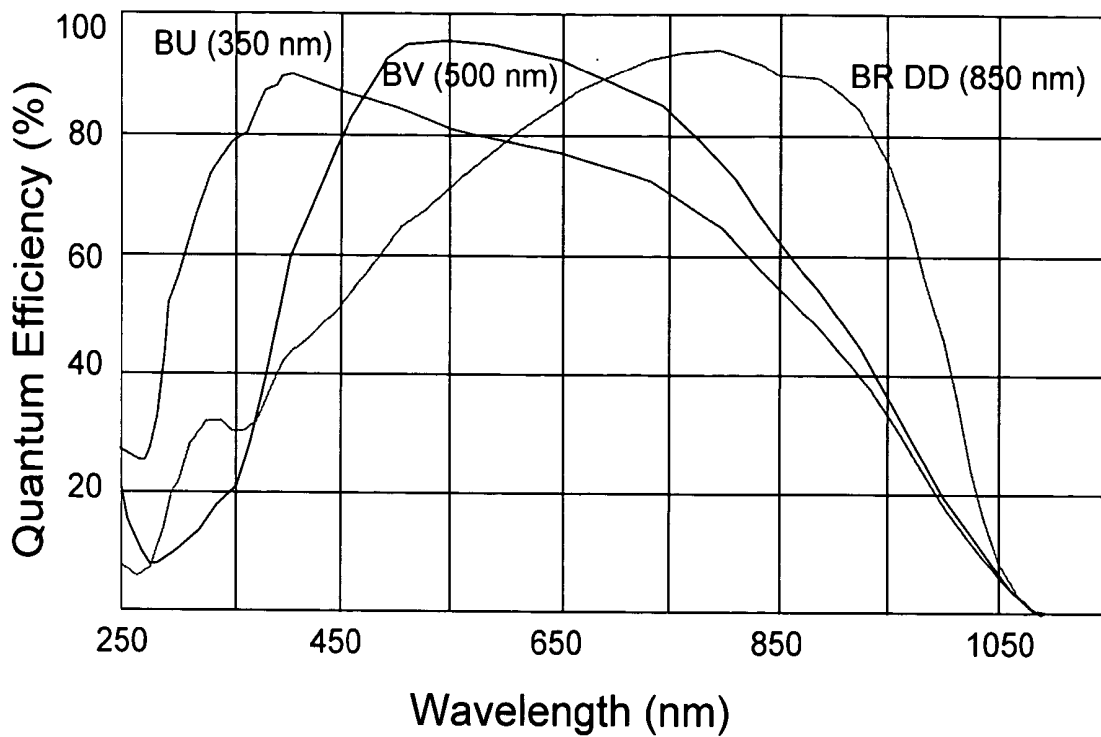


FIG-3

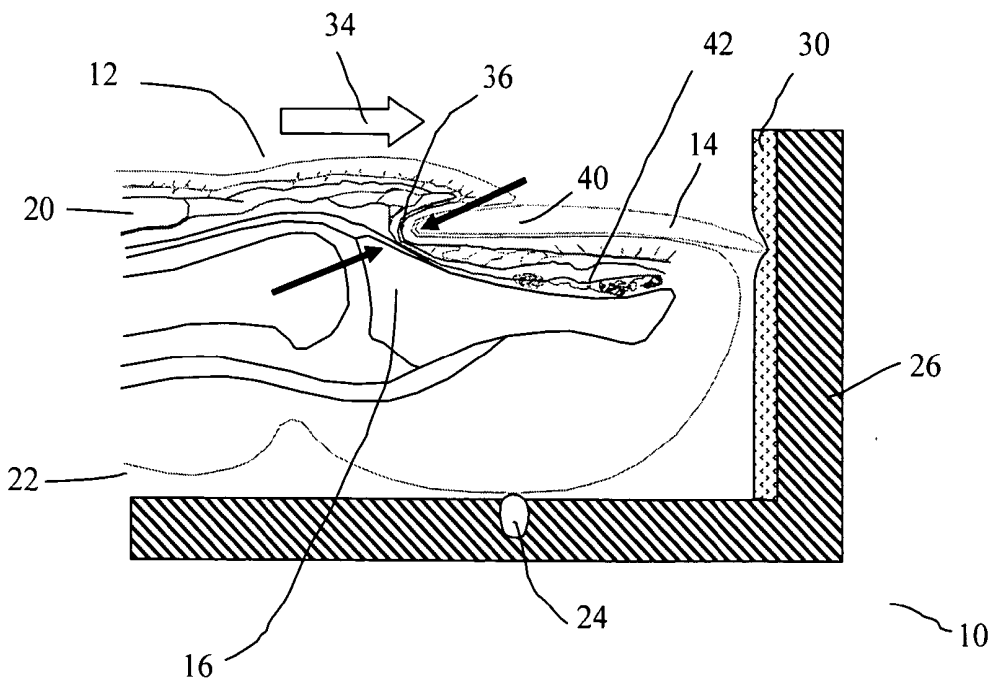


FIG-4

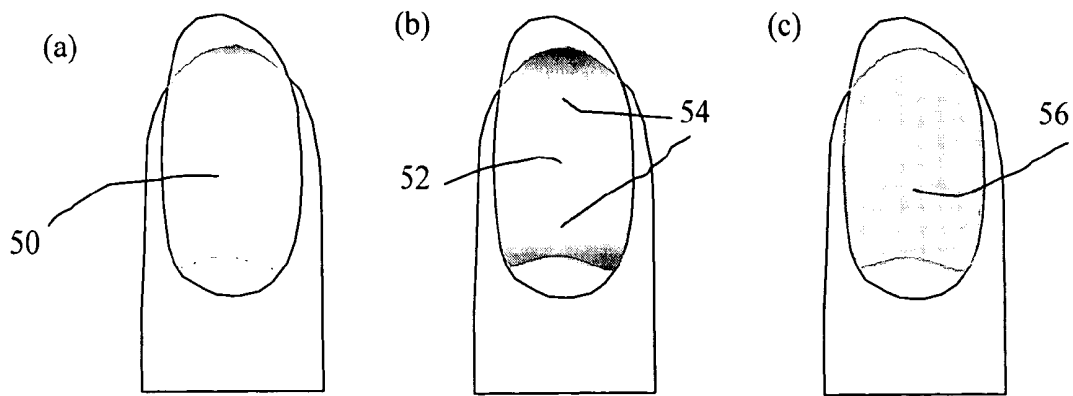


FIG-5

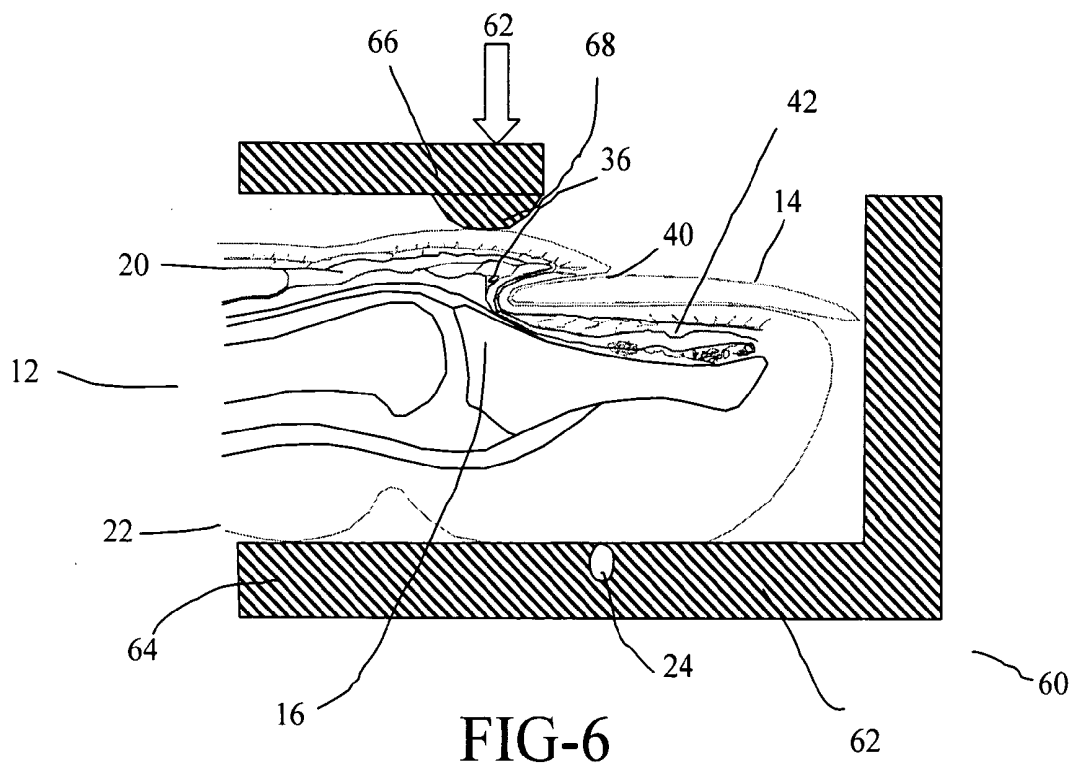


FIG-6

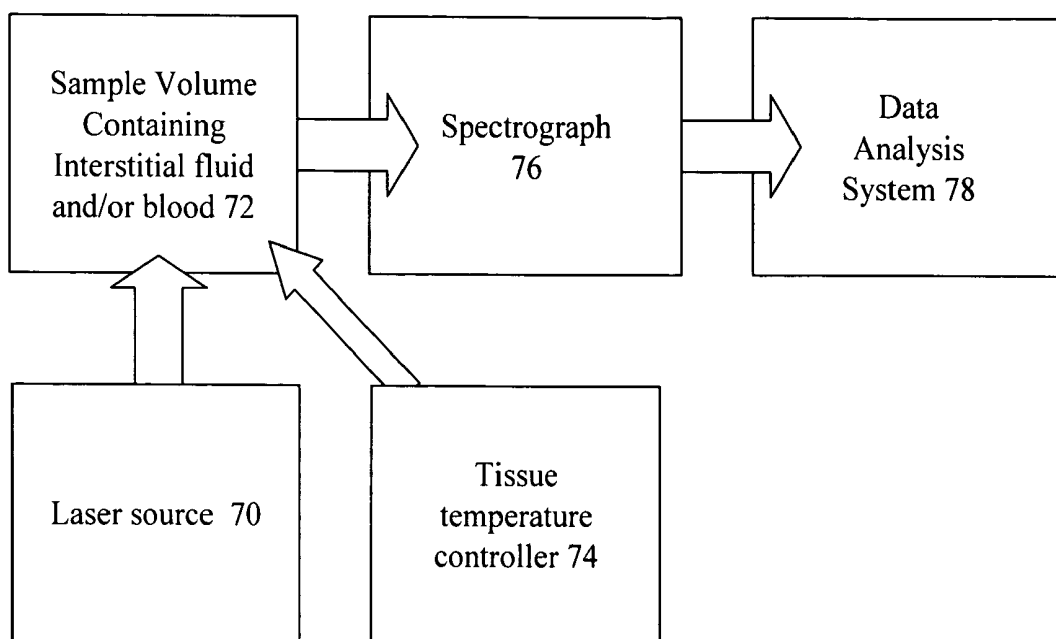


FIG-7

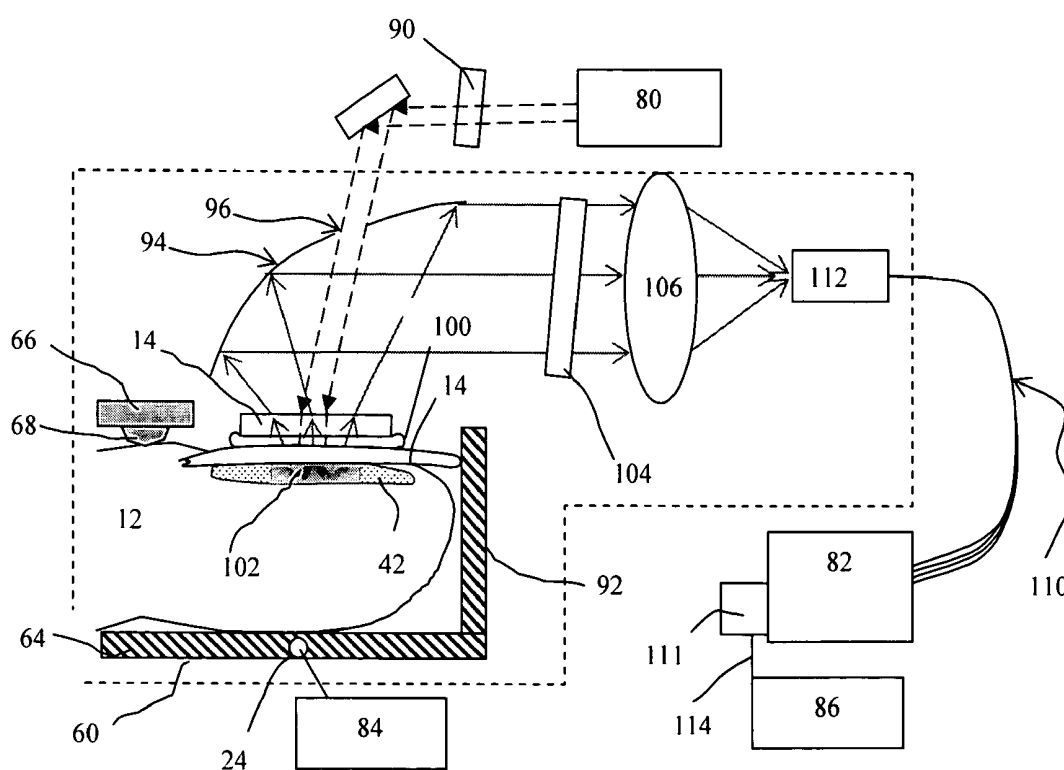


FIG-8

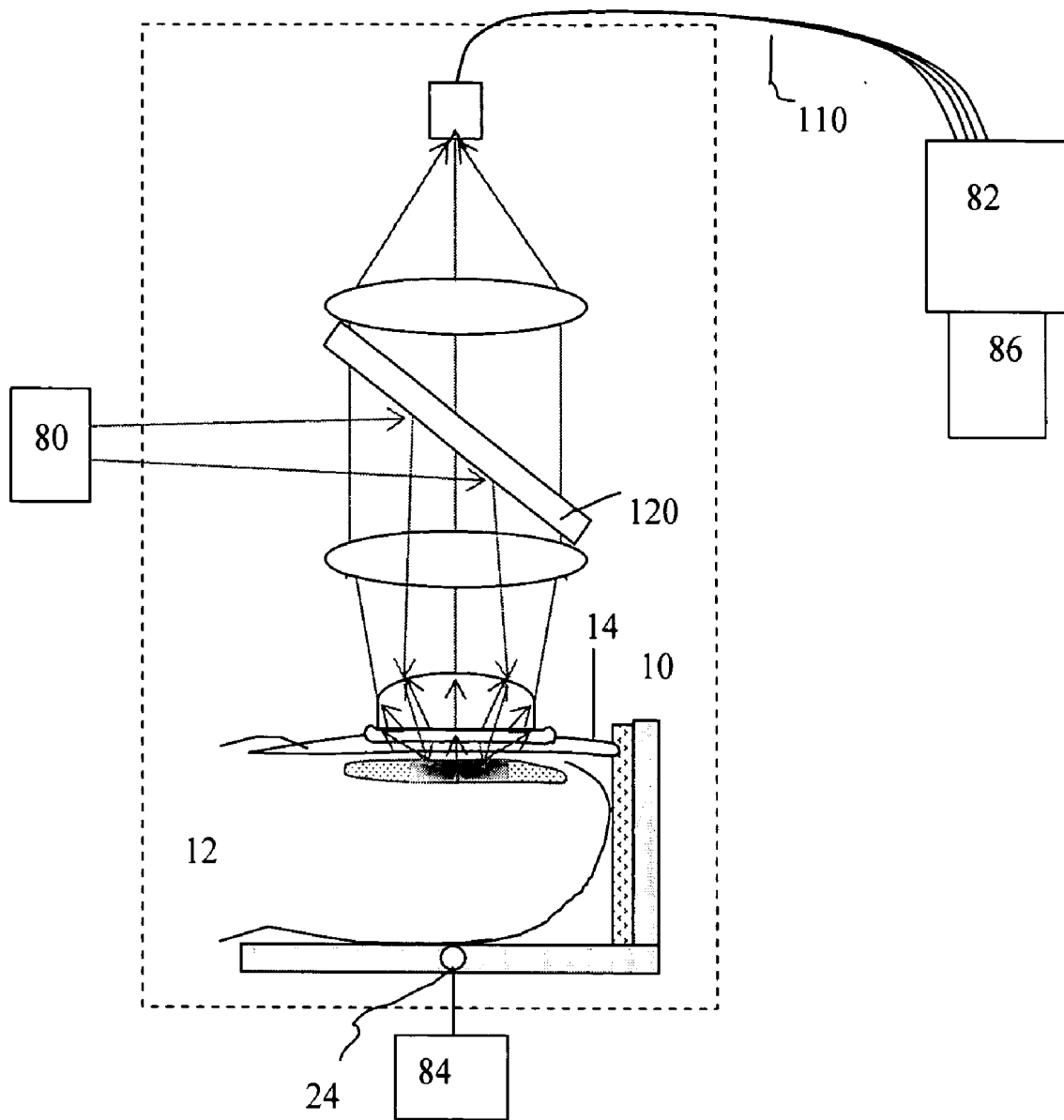


FIG-9

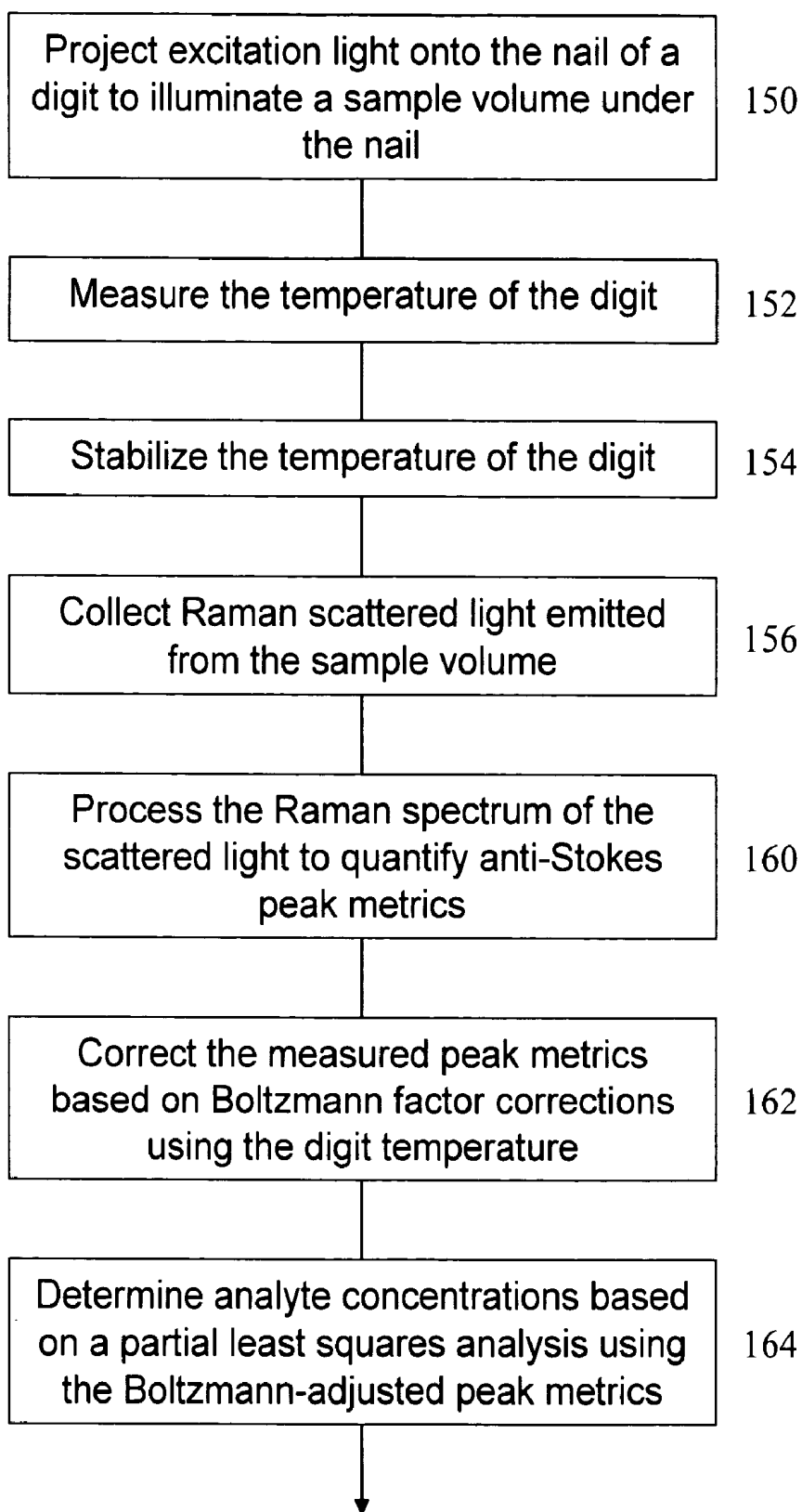


FIG-10

ANTI-STOKES RAMAN IN VIVO PROBE OF GLUCOSE CONCENTRATIONS THROUGH THE HUMAN NAIL

RELATED APPLICATIONS

[0001] This application is a continuation-in-part of co-pending, commonly assigned application Ser. 10/787,909, filed Feb. 24, 2004 and is related to co-pending U.S. patent application Ser. No. 10/723,042, filed on Nov. 26, 2003, the disclosure of both applications is incorporated herein by reference.

BACKGROUND OF THE INVENTION

[0002] 1. Field of the Invention

[0003] The present invention relates generally to the field of in vivo quantification of analytes, especially glucose, in bodily tissues and/or fluids. More specifically, the present invention relates to the generation and detection of anti-Stokes Raman signals produced in the sterile matrix under the nail in different regions of the electromagnetic spectrum.

[0004] 2. Discussion of the Prior Art

[0005] Non-invasive monitoring of body chemistry holds significant promise for a broad segment of the population. For example, approximately 16 million Americans and more than 100 million people worldwide who are afflicted with diabetes are advised to monitor their blood glucose levels several times each day. With currently available methods for measuring blood glucose levels, diabetics may need to have blood drawn as often as five to seven times per day to adequately monitor their insulin requirements. Patients understandably do not enjoy having their blood drawn, and may avoid or delay glucose testing accordingly. A non-invasive, in vivo blood glucose measurement procedure will allow closer control of glucose levels without frequent, painful needle sticks, thereby substantially reducing the damage, impairment, and costs of diabetes. Other analytes of interest for which in vivo analysis techniques may be useful include, but are not limited to, urea, cholesterol, triglycerides, total protein, albumin, hemoglobin, hematocrit, and bilirubin. Such analytes are amenable to detection using the apparatus and methods of the present invention.

[0006] Currently available optical measurement techniques for detecting and quantifying analytes in whole blood typically require calibration that involves blood draws and laboratory analysis. The available optical analysis techniques for whole blood are generally complicated by the low concentration of the target analyte. The weak signals resulting from such low concentrations may be further distorted by absorption and scattering caused by red blood cells and/or other components inevitably present in living tissue. In particular, in human tissue, the optical window is generally limited by water absorption features in the infrared (IR) region and by major bio-building blocks that absorb in the ultraviolet (UV) region of the spectrum. Specifically, protein and DNA have substantial absorption features in the UV spectral region due to amino acid and nucleic acid groups. Overall, the window is limited from approximately the near UV (NUV) to the near IR (NIR), as shown in FIG-1. However, a number of chromophores add color to the tissue even within this spectral window. This is especially true in three major body tissues: skin, blood, and muscle, which

contain pigments, hemoglobin, and myoglobin, respectively. FIG-1 shows the absorption spectrum of melanin, one of the predominant light absorbing species present in skin pigmentation. As shown in FIG-1, melanin has a strong absorption band in the UV region which decreases as a function of wavelength up to the NIR region. FIG-1 also shows the absorption spectrum of hemoglobin. The red color of blood results from the strong hemoglobin absorption in the blue (visible light) region of the spectrum. Myoglobin has a spectrum similar to that of hemoglobin, and also shows strong absorption in the blue region.

[0007] Existing methods of in vivo glucose optical monitoring have therefore not proven to be entirely satisfactory due to undue complexity and/or insufficient accuracy. Most reported prior art systems require transmission of an optical signal through tissue to provide an absorption spectrum. This approach does not provide a sufficiently precise measure of glucose concentration due to interference by other components present in bodily fluids (see e.g. Simonsen et al., U.S. Pat. No. 5,551,422). To overcome this problem, several prior art workers have suggested using Raman Spectroscopy. For example, Lambert et al., U.S. Pat. No. 6,424,850 suggests directing an excitation laser beam at the anterior chamber of the eye to measure the glucose present in the aqueous humor. The apparatus described is rather complex and such a technique would presumably require that the subject be anesthetized, which certainly makes this approach unsuitable for individual use. U.S. Pat. No. 5,553,616 seeks to overcome the problem resulting from the multitude of components present in body fluids by means of a complex artificial neural network discrimination procedure. U.S. Pat. No. 6,370,406 requires that the target analyte be in a cavity bounded by reflective surfaces and provides a complex optic fiber system to achieve this. Again, all such approaches are unsuitable for individual home use. U.S. Pat. No. 6,373,828 requires the use of a temperature probe proximate to the target analyte (e.g., glucose) which probe absorbs the incident light energy and transfers it to the target analyte. All of these prior art approaches lack the uniquely advantageous benefits of the present invention, i.e., simplicity and accuracy resulting from performing anti-Stokes Raman analysis of a bodily fluid sample present in the sterile matrix under the nail.

[0008] Light scattering may be classified as elastic or inelastic scattering. Elastic scattering changes the direction of light propagation but not the light energy (i.e. the frequency or wavelength of the incident light). The causes of elastic scattering include rough surfaces or index mismatched particles as well as Rayleigh scattering from molecules. Inelastic scattering from matter changes the light energy (wavelength) as well as the propagation direction and polarization of the emitted photons relative to the incident photons, and is called Raman scattering. Raman scattering is a very powerful spectroscopic method for the detection of analytes, as the Raman spectra of different analytes are frequently more distinct than the spectra obtained by direct light absorption and/or reflectance.

[0009] Raman scattered radiation includes both anti-Stokes radiation generated at wavelengths shorter than the excitation light and Stokes radiation emitted at wavelengths longer than the excitation light. The Stokes signal results from a photonic interaction with a molecule in which the molecule absorbs energy and re-emits a lower energy scat-

tered photon having a longer wavelength than the incident light. In contrast, anti-Stokes emissions result from a molecular transition to a lower energy state upon interaction with the incident photon. This energy is released as scattered photons with higher energy, and therefore a short wavelength, than the incident exciting radiation.

[0010] Raman systems may be calibrated to provide information about absolute concentrations of analytes in a sample based on input data including the absolute scattering cross section, excitation laser path length, and photon collection efficiency from the sample interaction volume. These parameters are readily obtainable for transparent optical media in the gas phase or in solution. Human tissue, however, is a turbid media. Path lengths for the laser light passing through the tissue and the efficiency of the Raman scattering out of human tissue are substantially more difficult to quantify. Thus, the use of Raman spectroscopy to quantify a specific analyte, such as glucose, in vivo is a challenging task.

[0011] Raman spectroscopic analysis of analytes in human tissues is further complicated by several additional obstacles. As noted above, human tissues have many absorption features that may attenuate the intensity both of incident excitation light into the tissue and of scattered light exiting the tissue. Additionally, certain tissues give off a fluorescence background upon laser excitation. This fluorescence may interfere with accurate quantification of the Raman signal by introducing a non-stable baseline. Also, Raman scattered light intensity is typically substantially weaker than the fluorescence response. Similarly to the absorption curve of melanin shown in FIG-1, fluorescence tends to be strongest at lower wavelengths, such as in the UV region. In general, as the excitation wavelength increases, the magnitude of the fluorescence response decreases. Additionally, fluorescence occurs at longer wavelengths (lower photon energy) than the incident light.

SUMMARY OF THE INVENTION

[0012] The present invention provides systems and methods for analyzing the concentration of one or more analytes in vivo using anti-Stokes Raman spectroscopy.

[0013] In one embodiment, a method is provided for in vivo detection of an analyte. The method comprises illuminating a sample volume of body tissue in the sterile matrix with a beam of optical radiation from an optical source having an incident wavelength. Scattered anti-Stokes Raman radiation emitted by the sample volume is collected and then analyzed to determine an intensity response as a function of wavelength. The analyte concentration is then calculated based on the intensity response as a function of wavelength.

[0014] In one preferred embodiment, a system is provided for using anti-Stokes Raman spectroscopy to detect an analyte in vivo, which system comprises a digit holder for positioning a digit (i.e., a finger or toe). The human digit comprises skin and a nail plate. The nail plate has a first end that is under the skin and a second opposite end that is disposed proximate to the tip of the digit. The digit holder comprises a substantially flat base plate that is attached to a back wall which is disposed approximately perpendicularly to the base plate such that a digit may be placed in the holder with the side of the digit opposite to the nail plate resting on the base plate and the second end of the nail plate disposed proximate to the back wall. The system further comprises a

sensor attached to the digit holder for measuring the temperature of the digit and an incident light source that provides excitation radiation at a selected excitation wavelength. The excitation radiation is directed through the nail plate into the sterile matrix beneath the nail plate. A collection system for receiving scattered radiation emitted from within the sterile matrix is also provided. This system may be adapted for use with either blue visible or UVA excitation radiation or red visible or IR excitation radiation. The temperature sensor may be adapted in concert with a dynamic feedback loop comprising a processor and a heating element to reactively stabilize the digit temperature in response to temperature measurements from the sensor.

[0015] In a further preferred embodiment of the present invention, a method is provided for in vivo detection of an analyte. The method comprises the steps of projecting excitation light onto the nail of a digit to illuminate a sample volume in the sterile matrix under the nail, measuring the temperature of the digit, and collecting Raman scattered light emitted from the sample volume. The Raman scattered light comprises an anti-Stokes signal. The Raman spectrum of the scattered light is processed to quantify one or more peak metrics for the anti-Stokes signal, and the peak metrics are corrected based on a Boltzmann correction factor that is calculated using the measured temperature of the digit. The target analyte (e.g., glucose) concentration is determined based on a partial least squares analysis using the Boltzmann-adjusted peak metrics.

BRIEF DESCRIPTION OF THE DRAWINGS

[0016] Other objects and advantages of the present invention will become apparent upon reading the detailed description of the invention and the appended claims provided below, and upon reference to the drawings, in which:

[0017] FIG-1 is a chart showing absorption curves of water, hemoglobin, diluted hemoglobin, melanin, protein, and DNA plotted on an exponential scale for both wavelength and absorbance.

[0018] FIG-2 is a chart showing the approximate distribution of fluids in the human body.

[0019] FIG-3 is a chart showing charge coupled detector (CCD) response curves from a back-illuminated CCD with peaks at 350 nm, 500 nm, and 850 nm.

[0020] FIG-4 is a schematic diagram of a finger holder according to one embodiment of the present invention, that suppresses blood supply to the sterile matrix by pushing the fingernail in the horizontal direction against the back vertical surface of an L shaped stand.

[0021] FIG-5 is an illustrative representation of a fingertip showing the contrast between the color intensity of a fingernail (a) with pressure applied at the front tip of the finger back toward the nail, (b) in its natural state with no pressure applied, and (c) with blood pooling resulting from pressure applied to the bottom and/or top of the fingertip.

[0022] FIG-6 is a schematic diagram of a finger holder according to one embodiment of the present invention that enhances pooling of blood in the sampled sterile matrix by pushing the finger downward against a base.

[0023] FIG-7 is a schematic diagram illustrating an anti-Stokes Raman probe system according to one embodiment of the present invention.

[0024] FIG-8 is a schematic diagram illustrating an anti-Stokes Raman probe system according to an alternative blue/UV embodiment of the present invention.

[0025] FIG-9 is a schematic diagram illustrating an anti-Stokes Raman probe system according to an alternative embodiment of the present invention.

[0026] FIG-10 is a flow chart describing the steps of a method for blood analyte analysis according to one embodiment of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

[0027] The present invention provides a system and method for analyzing Raman measurements of analytes in tissue by measuring and quantifying scattered anti-Stokes photons.

[0028] In general, according to the present invention, Raman scattered light emitted at either longer or shorter wavelengths compared to the exciting incident light may be collected and sent to a spectrograph. A fingernail (or toe nail) may be used as a transparent window to reach the tissue containing analytes below, and to collect Raman light scattered from the sampled tissue. Alternatively, a toenail or some other anatomical feature with very low absorption characteristics for the incident light wavelength may be used. In the following description, "nail" generally refers to either a fingernail or a toenail and "digit" to either a finger or toe.

[0029] As noted above, incident light that interacts with body tissue typically produces a fluorescence signal in the tissue in addition to whatever absorption and/or scattering interactions may occur. This fluorescence occurs at a longer wavelength (lower energy) than the pump light, and thus may sometimes overlap with and interfere with Stokes Raman emissions. Anti-Stokes scattering emissions occur at shorter wavelengths than the incident light. Thus, although anti-Stokes emissions generally have a lower intensity than Stokes emissions, avoidance of the varying baseline and other signal interference caused by fluorescence emissions may be quite beneficial, as is discussed in greater detail below.

[0030] According to one embodiment of the present invention, analyte concentration calculations are based on analysis of the anti-Stokes Raman signal. At anti-Stokes wavelengths, there is less overlap with fluorescence emissions from tissues because most fluorescence emissions occur at longer wavelengths than the excitation laser, not the shorter wavelengths where anti-Stokes signals occur. The present invention provides methods and systems for combining anti-Stokes Raman measurement and analysis into advantageous spectral windows for effective detection of analytes, especially glucose, in human tissue in vivo.

[0031] According to a further embodiment of the present invention discussed in greater detail below, absorption of incident excitation light in the skin and muscles may be avoided through use of a nail as a "window" through which the incident laser light is projected to the sample of bodily fluid. Glucose and other important blood analytes are equally concentrated in interstitial fluid and vascular fluid (blood). Accordingly, it is possible to reduce the impact of hemoglobin's strong absorption band by physically exclud-

ing blood in the tissue beneath the nail through exertion of gentle pressure on the nail. Use of a blue (visible) or UVA laser as the source of excitation light increases the Raman cross section dramatically while reducing absorption. In an alternative embodiment, anti-Stokes Raman emissions may be measured using a red (visible) or NIR laser as the incident light to further reduce the fluorescence background.

[0032] 1. Absorption and window in human tissues.

[0033] Strong absorption of incident and/or scattered light reduces the Raman signal of the target analyte. A system and method for Raman analysis of tissue that avoids these interferences is therefore highly desirable. To avoid strong absorption regions that may confound quantification of Raman scattered emissions, it is advantageous to use the visible red or NIR region of the spectrum in which most major elements show relatively low absorption, as shown in FIG-1. In other spectral regions, it is advantageous to avoid wavelength bands in which colored skin, blood, and muscle may absorb more strongly. In comparison to skin, human finger and toe nails comprise mostly keratin and are therefore substantially transparent in the red and NIR as well as the blue and UVA regions of the spectrum. Nail materials also do not contain the same chromophores as skin. These characteristics make nails a much better window than skin in applications wherein light is used to probe analytes in blood and interstitial fluid. Furthermore, there are no muscles directly under the fingernail, so myoglobin absorption is largely avoided. By manipulating the finger or toe through application of selective pressure, the blood contents under the nail may also be controlled to show red and white corresponding to pooling and suppressing blood, as is described in greater detail below.

[0034] FIG-2 illustrates the approximate distribution of fluid in the human body. As shown, extracellular fluid, which accounts for approximately 40% of the total body fluid, is separated into 75% of interstitial fluid between cells and 25% of vascular fluid in blood. In general, glucose has the same concentration in interstitial fluid as in blood plasma in transcapillary tissue. Under the nail plate, there is sterile matrix tissue, which is filled with blood capillaries that provide adequate circulation for analytes in interstitial fluid and blood to ensure frequent equalization with concentrations throughout the body.

[0035] 2. Fluorescence

[0036] Incident light with NIR wavelengths tends to induce a relatively lower fluorescence response from human tissue than incident light in the UV or visible spectral regions. However, even at lower energy NIR wavelengths, the fluorescence background may present substantial problems in accurate quantification of the Raman Stokes signal. Fluorescence, like Raman Stokes emissions, occur at lower energy (longer wavelengths) than the incident excitation light. Even in the NIR, fluorescence emissions may still be large enough to cause significant problems for quantification of the Raman Stokes signal. Anti-Stokes Raman emissions, which occur at a shorter wavelength than the excitation light, are not as significantly impacted by the fluorescence background because the fluorescence emission is at a longer wavelength than the excitation light. Therefore, an anti-Stokes Raman signal has little overlap with the fluorescence signal.

[0037] 3. Anti-Stokes Raman Scattering

[0038] Anti-Stokes Raman emissions have an intrinsically weak signal compared to the Stokes signal because they originate from less populated vibrationally excited levels of molecules. These emissions result from scattering of an incident photon accompanied by relaxation of the scattering molecule from an excited vibrational state. The population in a molecular vibrational level follows the Boltzmann distribution:

$$P(v) = e^{-v/kT} \quad (1)$$

where v is vibrational energy, T is the temperature in K, and k is the Boltzmann constant. At human body temperature (approximately 310 K) a vibrational level at an energy of 1000 cm^{-1} above the ground state contains approximately 1% of the molecular population of the ground state energy level. Thus, for such a vibrational state, the anti-Stokes Raman signal is ~100 times weaker than the Stokes signal.

[0039] The Raman cross section of most molecules changes dramatically with the wavelength of the incident excitation light. The Stokes Raman relative cross section β , is

$$\beta = \beta_0 v_L (v_L - v)^3 \quad (2)$$

while the anti-Stokes Raman relative cross section is

$$\beta = \beta_0 v_L (v_L + v)^3 \quad (3)$$

[0040] where β_0 is the wavelength independent cross section, v_L is the inverse of the excitation wavelength ($v_L = \lambda_L^{-1}$) in wavenumbers, and v is the vibrational band in wavenumbers, which is much smaller than v_L . Because of the 4th power dependency of β on v_L , the Raman cross section of a given molecule increases dramatically as the wavelength decreases. Table 1 summarizes Raman cross sections and Raman signal changes in a combination of six wavelengths and three vibration bands for glucose. The relative cross section values are normalized to the Raman band of 1130 cm^{-1} at an excitation wavelength of 1064 nm excitation. The tabulated relative cross sections and overall signals are for a multiplying population at 310 K. In the blue and UVA regions of the spectrum, the Raman cross sections increase dramatically relative to those observed in the NIR.

[0041] 4. Temperature variation and stabilization

[0042] The strength of the anti-Stokes Raman signal is also sensitive to temperature as shown in Equation 1. For Raman Stokes radiation, small temperature changes in a sample tend to have almost no impact on the spectrum intensity. Molecules that emit in the Stokes mode are mostly in the ground state. The relative number of ground state molecules in a given sample is not a strong function of temperature. In contrast, anti-Stokes Raman radiation is emitted primarily from excited state molecules that relax back to the ground state upon interaction with an incident photon. The population of excited state molecules in a sample is much stronger function of temperature, so anti-Stokes signal strength is much more temperature dependent. The spectral peaks in the anti-Stokes spectrum exhibit stronger variations in a larger Raman shift, and weaker variation in a smaller shift.

[0043] The temperature of a fingertip or of a toe tip may sometimes fluctuate substantially from the core body temperature, and is dependent on factors such as environmental temperature variations, patient stress level, and the like. To address this issue, one embodiment of a Raman probe according to the present invention further comprises a sensor to monitor the temperature of the fingertip as it is pushed onto the finger stand. At the same time, the stand includes a heater or other means for stabilizing the temperature of the finger. Anti-Stokes Raman measurements are advantageously not made until a stable finger (or toe) temperature close to that of standard body temperature (37° C., 310K) is reached and maintained. One of skill in the art may readily understand that a temperature sensor such as that described herein may also advantageously be incorporated into a sensor designed for the toenail and that such a system is also within the scope of the of the present invention as described herein. As noted above, the finger holders described herein may readily be modified by one of ordinary skill in the art for use as toe holders.

[0044] Measurement and maintenance of the sample temperature (i.e., the temperature of the finger or toe) at a stable, known value facilitates inclusion of the Boltzmann factor

TABLE 1

Relative Raman cross section of glucose and factored by population at 310K of body temperature for anti-Stokes and Stokes scattering at six different wavelengths.						
Relative cross section *population						
	UVA	Blue	Red	NIR	Ref. I	Ref. II
	365 nm	488 nm	632.8 nm	980 nm	830 nm	1064 nm
	Anti-Stokes	Anti-Stokes	Anti-Stokes	Anti-Stokes	Stokes	Stokes
β	120	39.0	14.4	2.80	2.95	1.00
$\beta * P(1130 \text{ cm}^{-1})$	0.65	0.20	0.075	0.015	2.95	1.00
β	112	36.0	13.0	2.37		
$\beta * P(524 \text{ cm}^{-1})$	10.5	3.4	1.13	0.21		
β	111	35.4	12.8	2.32		
$\beta * P(442 \text{ cm}^{-1})$	14.2	4.53	1.63	0.30		

into a partial least squares (PLS) type multi-variate regression analysis program for improved calculation of analyte concentrations. Specifically, when using a multivariate technique to measure analyte concentrations, known spectra at a given concentration are required. Since the relative amplitudes of the components' spectra change with temperature, deviations of the sample temperature from that of the "calibration standard" may mimic a change in the relative concentrations of the analytes. Temperature changes may also alter the basis vectors such that the regression analysis will be unsuccessful. For example, in classical least squares (CLS), the relationship:

$$r=cS \quad (4)$$

is employed, where r is the resulting total spectrum from the analytes (measured during an experiment), c is a vector containing the concentration of the analytes, and S is the matrix of measured spectra of each analyte (measured during calibration). The following linear algebra may be performed to determine the concentrations of each analyte:

$$rS^t=cSS^t \quad (4)$$

$$rS^t(SS^t)^{-1}=cSS^t(SS^t)^{-1} \quad (5)$$

$$rS^t(SS^t)^{-1}=cSS^t(SS^t)^{-1} \quad (6)$$

$$c=rS^t \quad (7)$$

The superscripts "t" and "-1" in Equations 5, 6, and 7 indicate the transposed matrix and inverse matrix, respectively. The predicted concentration in Equation 7 relies on the fact that the spectra in S are known. The matrix S may be adjusted using Boltzmann corrections derived with mea-

hemoglobin peak at 406 nm (see FIG-1) generally separates the available spectral window into two parts, one in the UVA and one in the blue region. Use of the UVA window with an excitation wavelength of approximately 370 nm has the benefit of avoiding the strong absorption bands of DNA and protein which occur at shorter wavelengths while also avoiding the main absorption peak of hemoglobin. The blue window lies in the "valley" centered at a wavelength of approximately 480 nm between the two hemoglobin absorption peaks shown in FIG-1. At wavelengths longer than the second peak of hemoglobin at approximately 550 nm, the region from red to NIR provides an additional spectral window with very low absorption. In the NIR region, the window is practically limited by the sensitivity of currently available charge coupled device (CCD) detectors. The three major anti-Stokes bands resulting from excitation of glucose using a NIR wavelength illumination source of approximately 980 nm occur at approximately 882.3 nm, 932.13 nm and 939.31 nm, respectively. These wavelengths are close to the physical limit of currently available CCD detectors in the IR. In Raman measurements, a CCD offers certain advantages including multiple channels of detection, high quantum efficiency, and extremely low noise. However, CCD response is a function of wavelength, and peak quantum efficiency typically occurs in the visible to very near infrared. Roll-off of CCD response occurs below the visible region on the short wavelength side, and above the visible on the long wavelength side. FIG-3 shows response curves at three wavelengths for a typical CCD (Andor model number DU420, -BU, -BV, -BRDD, Southwindsor, CT06074).

TABLE 2

	Raman bands of anti-Stokes and Stokes at 6 different excitation wavelengths					
	Raman shift				Ref. I 850 nm Stokes	Ref. II 1064 nm Stokes
	UVA 365 nm Anti- Stokes	Blue 488 nm Anti- Stokes	Red 632.8 nm Anti- Stokes	NIR 980 nm Anti- Stokes		
1130 cm ⁻¹	350.54	462.50	590.57	882.30	915.90	1209.4
524 cm ⁻¹	358.15	475.83	612.49	932.13		
442 cm ⁻¹	359.21	477.70	615.58	939.31		
CCD Q*	>80%	>80%	>90%	>80%	>80%	none

*CCD Q stands for quantum efficiency for CCD detector. The numbers are quoted from Andor on back-illuminated CCD arrays detectors, BU(350 nm), BV(500 nm), BR(750 nm), and BR(850 nm).

sured temperature information and Equation 1. One of skill in the art will note that the linear algebra procedure described herein is based on CLS. However, in PLS and other regression analysis routines according to various alternative embodiments of the present invention, CLS is a subset of the analysis. ("Chemometric techniques for quantitative analysis" Richard Kramer, Marcel Dekker, New York, 1998)

[0045] 5. Windows for anti-Stokes Raman detection in tissue.

[0046] As discussed above in regards to FIG-1, the absorption spectra of various tissue components provide a possible window for Raman detection. Table 2 summarizes various parameters of Stokes and anti-Stokes Raman emissions at several excitation wavelengths in this window. The

[0047] 6. Blue and UVA embodiment

[0048] FIG-4 shows a design for a finger holder 10 according to one embodiment of the present invention. The structure of a typical finger 12 includes the nail plate 14, the finger tip bone 16, blood vessels 20 that include arterial tissue and capillaries, and the skin 22. In general, the finger holder 10 may comprise a sensor 24 to measure finger temperature through contact with surface 22 of finger 12. In use, nail plate 14 is pushed against the back wall 26 of finger holder 10. For comfort, the back wall 26 may further comprise a padded surface 30 against which the fingertip may be pressed. When nail plate 14 is pushed back along the main axis of the finger (shown by arrow 34), it suppresses the arterial vessels 36 lying in the narrow region behind the sub-cutaneous end 40 of nail plate 14 and finger tip bone

16. As a result, the blood supply to the sterile matrix 42 under the fingernail plate is suppressed. This effect is visible on a typical human fingernail as a broad, pale or "whitish" region.

[0049] FIG-5(a) illustrates the effect of a finger holder such as, for example, that shown in FIG-4. The finger represented in FIG-5(a) has a pale region 50 in which blood has been largely excluded from the area under the nail by pressing the nail back along the axis of the finger as described above. In comparison, a fingernail with no pressure exerted upon it is represented by FIG-5(b) in which a lighter central region 52 is surrounded by darker blood-rich regions 54. A finger holder such as that depicted in FIG-4 is thus well suited for anti-Stokes Raman spectroscopy. The sterile matrix 42 beneath nail 14 contains interstitial fluid containing glucose in a concentration similar to that present in the blood stream. When the fingernail plate is pushed back into the fingertip bone as described above, it suppresses the arterial vessels lying in the narrow region behind the fingernail's root and the bone. As a result, it suppresses the blood supply to the sterile matrix under the nail plate. In this manner, blood may be largely excluded from the sterile matrix, so the interference of the strong absorbance of hemoglobin in these spectral regions with both the incident excitation light and Raman scattered radiation is substantially reduced.

[0050] Incident light in the blue spectral region generally and more specifically at a wavelength of approximately 480 nm and alternatively in the UVA spectral region generally and more specifically at a wavelength of approximately 370 nm has a relatively good spectral window to probe the interstitial fluid in the sterile matrix under a nail wherein blood hemoglobin is substantially excluded. A system and method according to this embodiment offers substantial benefits over previously available spectroscopy-based in vivo analysis methods. Use of an excitation wavelength in the blue or UVA results in a dramatically increased Raman cross-section. Measurement of the anti-Stokes Raman spectrum either in addition to or in lieu of the Stokes spectrum permits avoidance of much of the fluorescence background that may hinder accurate determination of analyte concentrations based solely on Stokes Raman emissions. Tissue that is perfused with mostly interstitial fluid and little blood permits light at these wavelengths to penetrate more deeply, thereby resulting in a longer path length and an increased Raman signal.

[0051] 7. Red and NIR embodiment

[0052] In another embodiment of the present invention, tissue containing both interstitial fluid and vascular fluid is probed. Use of red visible and NIR wavelengths for the incident excitation light may allow the total extracellular fluid in the sampled volume of the sterile matrix to be increased, thereby improving the Raman signal intensity. In this embodiment, a finger (or toe) holder such as that shown schematically in FIG-6 may be used to encourage blood pooling under the nail. FIG-6 depicts a finger 12 having a nail plate 14, fingertip bone 16, blood vessels 20, skin 22, arterial vessels 36 lying between the subcutaneous end 40 of the nail plate 14 and the fingertip bone 16, and the sterile matrix 42. The finger holder 60 according to this embodiment also comprises a sensor 24 to measure finger temperature through contact with the finger. According to this

embodiment, the finger 12 is pressed down in the direction of the arrow 62 against the base plate 64 by a pressure arm 66 that may advantageously include a touch pad 68. The touch pad 68 may advantageously be formed of a resilient material that does not discomfort the finger but still applies sufficient pressure to hold it stationary. This arrangement can be adjusted to provide a level of force on the fingertip that provides the maximal amount of blood pooling in the sterile matrix. Pressure may suitably be applied in the range of approximately 1 to 4 Newtons. The pressure from both top and bottom will temporarily suppress the digital vascular blood flow, thereby causing the sterile matrix to be in the blood replete state.

[0053] During the blood pooling, pulse-caused fluctuations can also be minimized. Although a patient may simply press his/her finger down on a flat surface to cause the sterile matrix to become blood replete, use of suitable clamp means such as pressure arm 66 is advantageous to provide consistent and uniform downward pressure and maintain the finger stationary. The holder of FIG-6 provides enhanced and steadier blood pooling than simply pressing the finger down. Such a finger holder not only holds the finger in place, but also creates an ideal situation for blood pooling. After clamping down, the finger holder may, if desired, be traversed to optimize the alignment of the fingernail sterile matrix with the focus of the laser beam and the focus of the parabolic mirror. Alternatively, the illumination and collection optical system may be translated instead of moving the finger holder, which remains stationary.

[0054] As noted above, pressing of a digit 12 downward onto a fixed surface has the effect of causing blood to pool in the sterile matrix 42 beneath nail 14. As noted above, red and/or NIR excitation wavelengths do not coincide with the strong absorbance regions of the hemoglobin spectrum as shown in FIG-1. Thus, an increase in the amount of blood in the sample volume within the sterile matrix 42 increases the concentration of glucose and/or other analytes of potential interest in the sample volume without negatively impacting the intensity of incident light entering the sample or the scattered radiation leaving the sample. The intensity of the scattered Raman radiation to be measured by the analysis system is thereby increased. The illustration of a finger shown in FIG-5(c) illustrates the effect of downward pressure on the blood supply in the sterile matrix. As shown, nail 56 is more uniformly dark compared to the finger at rest as shown in FIG-5(b).

[0055] The laser or other excitation light source for anti-Stokes Raman analysis according to this embodiment advantageously has a wavelength in the range of approximately 600 nm to 980 nm (red to near IR). This wavelength regime results in a very good spectral window in the tissue even when the tissue is largely perfused with blood containing hemoglobin. The lower end of the advantageous wavelength range is at a slightly higher wavelength than the second strong absorption peak of hemoglobin, and the upper end of the range approaches the detection limit for currently available CCDs. However, further developments in CCD technology should allow use of even longer wavelengths above the 980 nm recited upper end of the wavelength range.

[0056] 8. Probe and analysis systems and methods

[0057] In general, a system for Raman analysis according to the present invention may be represented functionally as

shown in FIG-7. A laser source **70** illuminates a sample volume containing interstitial fluid and/or interstitial fluid and blood **72**. A tissue temperature controller system **74** monitors and optionally provides heat to a finger or toe in response to the difference between the digit and a preferred temperature which will normally be body temperature (37 degrees Celsius). Light waves scattered within the sample volume are collected by an optics system and transmitted to a spectrograph **76** wherein intensity response is quantified as a function of wavelength. Data from the spectrograph are provided to a spectral analysis system **78** that processes the data using partial least squares and a Boltzmann exponential factor correction to account for the temperature of the sample volume during data collection.

[0058] In more detailed exemplary embodiments of the present invention, systems and methods are provided for probing tissue containing predominantly interstitial fluid. The optical probe projects a laser beam onto the tissue under a nail and collects anti-Stokes Raman light from the tissue. As illustrated in FIG-8 and FIG-9, systems according to the present invention generally comprise a laser or comparable collimated, single wavelength excitation light source **80**, optical components to deliver the excitation light to, and collect light scattered from, the sampled tissue, a spectrograph **82**, a tissue temperature monitor and stabilizer **84**, and a computer **86** to perform a PLS type multi-variate regression analysis procedure including the Boltzmann factor. The analytical results can simply be displayed or be stored in the memory of computer **86**, but may also be transmitted to a central data storage point which retains the results of the analytical results obtained over a period of time and for one or a plurality of patients.

[0059] Referring more specifically to FIG-8, one embodiment of a sampling system is shown for use with the red/NIR embodiments described above. In this example, a finger is placed in a finger holder **60** such as is illustrated in greater detail in FIG-6. A beam of light from a diode laser or other suitable source of collimated, single wavelength excitation light **80** is passed through a bandpass filter **90** and then passed through a parabolic mirror **94** by means of a small hole **96** in the mirror, and is focused onto a nail **14**, optionally adapted with a gel window **100**. Under the nail **14**, a blood sample from the blood rich capillaries in the sterile matrix **42** is pooled under pressure. A sample volume **102** within the sterile matrix **42** is thus illuminated with excitation light. The excitation light source **80** may provide light with a wavelength in the range of approximately 600 to 980 nm, preferably at approximately 830 nm. Examples of embodiments of the gel-adapted window **100** are described in greater detail in co-pending U.S. patent application Ser. No. 10/723,042, the disclosure of which has been incorporated herein in its entirety.

[0060] Raman-scattered light emitted from blood in the sample volume **102**, which may have a cross sectional area of approximately 1 mm², is collected by mirror **94**, passed through a notch filter **104** which is configured to reject light at the excitation light wavelength, and then focused by lens **106** into an optical fiber bundle **110**. The optical fiber bundle **110** may optionally be fitted with an input orifice **112** that converts the circular shape of the collected light to a rectangular shape to match the entrance slit of a spectrograph **82**. The spectra are collected by a cooled charge coupled device (CCD) array detector **111**, in this example a

CCD array detector having 1024x256 pixels, and binned along the vertical direction, resulting in a 1024 pixel spectrum.

[0061] Additional examples of alternative probes that may be used in conjunction with this embodiment of the present invention are described in greater detail and illustrated in FIG-12 and FIG-13 of co-pending U.S. patent application Ser. No. 10/723,042. For use with the red/IR embodiment as described above, these probes may advantageously include a finger holder **60** comprising a base surface **64** against which a finger **12** (or toe) is pressed downward to encourage blood pooling in the sterile matrix **42** beneath the nail **14**. The finger holder **60** further comprises a temperature sensor **24** and temperature stabilization means **84**. The temperature stabilization means may involve a feedback loop to a data processor that records the current temperature of the finger (or toe) in the holder **60** and reactively powers one or more heating elements to raise and/or stabilize the finger (or toe) temperature as needed to maintain a constant, known temperature in the sample volume **102**. One of ordinary skill in the art may also readily understand that any of the probes described above may be modified for use with the blue/UV embodiment as described in greater detail below through the substitution of a finger holder such as that shown in FIG-4 and substitution of an excitation light source of the appropriate blue/UV wavelength.

[0062] FIG-9 illustrates one possible probe system in accordance with the present invention for use with the blue/UV embodiment described above. In general, the probe in FIG-9 comprises a finger holder **12** similar to that shown in FIG-4, a laser beam or other collimated, single-wavelength excitation light source **80** that is focused onto the sterile matrix **42** beneath the nail **14** of a finger **12** (or toe) and collection optics for the resulting Raman scattered radiation. The excitation laser has a wavelength that may advantageously be in the blue or UV spectral region generally and advantageously approximately 480 nm or approximately 370 nm. As noted above, one of ordinary skill in the art will understand that other wavelengths may be used based on routine experimentation using the teachings provided herein. The finger holder **10** optionally further comprises a temperature monitor **24** and a means **84** for stabilizing the finger temperature.

[0063] Referring more specifically to FIG-9, the excitation light beam from the light source **80** passes through a dichroic beam splitter **120** having high transmission. Raman light collected from the sterile matrix is reflected by the beam splitter because it is at a different wavelength from the incident laser light. The reflected Raman scattered light is then coupled into a spectrometer **82** to record the Raman spectrum. In this, as well as the above-described probe embodiments, the spectrograph **82** may further comprise a linear array of fibers forming a fiber bundle from the probe at the entrance, a grating for dispersing the spectrum (not shown), a CCD detector (shown as **111** in FIG-8) for collecting and processing the spectrographic image, and a connection (shown as **114** in FIG-8) between the CCD **112** and a computer **86** for data acquisition and processing and optionally storage and/or transmission.

[0064] As noted above for the red/IR probe, a tissue temperature monitor **24** and temperature stabilizer means **84** may be implemented in the finger holder (**10** in FIG-8) to

monitor the temperature of the finger **12** (or toe) and provide a higher thermal mass to stabilize the temperature. If the finger is too cold, the system may be configured with a feedback loop and warning signal to indicate that the patient should warm the finger before a measurement is taken. Alternatively, the finger holder (**10** in FIG-8) may be implemented with a heating element (not shown) coupled via a feedback loop to a temperature controller receiving input from the temperature monitor **24** to warm and stabilize the finger at a known, constant temperature that is at or at least near normal human body temperature. Anti-Stokes Raman measurements are advantageously not made until the sample volume reaches the stable target temperature.

[**0065**] The anti-Stokes Raman spectrum may be collected from the tissue and analytes contained within the tissue using a probe according to the present invention. Analytes which can be detected using the methods and apparatus of the present invention include, but are not limited to, glucose, urea, cholesterol, triglycerides, total protein, albumin, hemoglobin, hematocrit, and bilirubin and other analytes in interstitial fluid and blood as well as those present in the cell. Use of a PLS type multi-variate regression analysis procedure including a Boltzmann calibration function may advantageously disentangle the spectra to yield glucose and/or other relevant analyte concentrations.

[**0066**] The red/NIR embodiment offers substantial benefits for blood rich tissues. It also permits a longer path length in the tissue. As a result, it increases the total Raman signal and helps overcome the low cross section. In addition, use of the anti-Stokes Raman spectrum with red and/or IR wavelength excitation light eliminates the fluorescence background that interferes with Stokes Raman signals. The overall signal to noise ratio is improved quite significantly. The blue/UV embodiment of the present invention offers substantial advantages in improved anti-Stokes Raman response due to the higher energy of the incident photons. Although the fluorescence response from the sample tissue may also be increased by the use of higher energy photons, as noted above, anti-Stokes Raman emissions generally occur in a different spectral region than fluorescence emissions.

[**0067**] One embodiment of a method of Raman anti-Stokes analysis of blood analytes according to the present invention is summarized in the flow chart shown in FIG-10. Referring to FIG-10, the concentration of an analyte in blood or another body fluid may be determined in vivo without the need to draw blood. A beam of excitation light is projected by an optics system or alternatively directly from the light source onto a finger or toe nail **150**. As described above, the digit may be positioned within a holder **152** that measures and/or stabilizes the temperature **154** of the digit prior to analysis. The beam of excitation light shines through the nail to the sterile matrix beneath the nail and elicits a Raman spectrum having Stokes and anti-Stokes regions. Raman scattered light emitted within the sample volume of the sterile matrix is collected by an optics system **156**. The collected light may be transmitted to a spectrometer optionally including a charge coupled detector (CCD) or some other detector that processes the incoming Raman spectrum to quantify the peak metrics of anti-Stokes radiation emitted from the sample volume **160**. These peak metrics may include peak height, peak area, or other measures of the light intensity at a given wavelength. The measured peak metrics

are then corrected using a Boltzmann factor **162** that is based on the measured and/or stabilized temperature of the digit to account for variations in the population of molecules in the excited energy states necessary to emit anti-Stokes radiation. Finally, analyte concentrations are calculated based on a partial least squares analysis of the peak metrics using the Boltzmann-adjusted peak metrics **164**. The calculated concentrations may be displayed, recorded and/or transmitted to a central data base.

[**0068**] The foregoing description of specific embodiments and examples of the invention has been presented for the purpose of illustration and description, and although the invention has been illustrated by certain of the preceding examples, it is not to be construed as being limited thereby. They are not intended to be exhaustive or to limit the invention to the precise forms disclosed, and obviously many modifications, embodiments, and variations are possible in light of the above teaching. It is intended that the scope of the invention encompass the generic area as herein disclosed, and by the claims appended hereto and their equivalents.

What is claimed is:

1. A method for in vivo measurement of glucose concentration, comprising the steps of:
 - i) illuminating a sample volume within the sterile matrix beneath a finger nail or toe nail with a beam of incident optical radiation which passes through the nail into the sterile matrix beneath the nail, said incident radiation having a wavelength in the near UV to visible blue spectral range or in the visible red to near IR spectral range;
 - ii) collecting scattered anti-Stokes Raman radiation emitted from within said sample volume;
 - iii) analyzing the collected, scattered anti-Stokes Raman radiation to determine an intensity response as a function of the wavelength of the scattered anti-Stokes Raman radiation; and
 - iv) calculating and recording the glucose concentration based on said intensity response.
2. The method of claim 1, wherein the wavelength of the incident optical radiation is in the range of approximately 600 nm to 980 nm.
3. The method of claim 1, wherein the wavelength of the incident optical radiation is in the range of approximately 365 nm to 488 nm.
4. The method of claim 1, wherein the glucose concentration is calculated using a partial least squares method.
5. The method of claim 1, further comprising the step of:
 - measuring and/or stabilizing the temperature of the sample volume prior to collecting and analyzing the scattered anti-Stokes Raman radiation.
 6. The method of claim 5, further comprising the step of applying a Boltzmann correction factor to adjust the intensity response as a function of wavelength, wherein the Boltzmann correction factor is a function of the measured and/or stabilized temperature of the sample volume.
 7. The method of claim 1, further comprising the step of: pressing said finger nail or toe nail downward onto a fixed surface such that blood pools in the sterile matrix beneath the nail.

8. Apparatus for implementing the method of claim 7, comprising:

- i) a digit holder that comprises a fixed surface onto which the finger or toe may be downwardly pressed;
- ii) a source of incident optical radiation;
- iii) a spectrometer for collecting scattered anti-Stokes Raman radiation; and
- iv) a data processing system that executes a software routine that calculates and optionally records glucose concentration based on the intensity response of the scattered anti-Stokes Raman radiation as a function of its wavelength.

9. The method of claim 1, further comprising the step of: pressing the nail of a finger or toe forward into a fixed surface such that the nail is compressed back into the finger or toe, thereby restricting the flow of blood into the sterile matrix beneath the nail prior to illuminating a sample volume in said sterile matrix.

10. The method of claim 9, wherein the incident wavelength is approximately 370 nm or 480 nm.

11. Apparatus for implementing the method of claim 9, comprising:

- i) a digit holder that comprises a fixed surface into which the digit may be pressed forward to compress the nail of the digit back into the digit;
- ii) a source of incident optical radiation;
- iii) a spectrometer for collecting scattered anti-Stokes Raman radiation; and
- iv) a data processing system that executes a software routine that calculates and optionally records glucose concentration based on the intensity response of the scattered anti-Stokes Raman radiation as a function of its wavelength.

12. Apparatus for using anti-Stokes Raman spectroscopy to detect glucose in vivo, comprising:

- i) a digit holder for positioning a digit comprising skin, a sterile matrix and a nail plate having a first end situated under the skin of the digit and a second opposite end disposed proximate to and over the tip of the digit, the digit holder comprising a substantially flat base plate attached to a back wall, said back wall being disposed approximately perpendicularly to the base plate, such that a digit may be placed in the holder with the side of the digit opposite to the nail plate resting on the base plate and said second end of the nail plate may be disposed proximate to the back wall;
- ii) a sensor for measuring the temperature of the digit, said sensor being attached to the digit holder;
- iii) a light source for providing excitation radiation at an excitation wavelength, the excitation radiation adapted to be directed through the nail plate into the sterile matrix situated beneath the nail plate, said incident radiation having a wavelength in the near UV to visible blue spectral range or the visible red to near IR spectral range;
- iv) a collection subsystem, adapted for receiving scattered, anti-Stokes Raman radiation emitted from within said sterile matrix as a result of said incident radiation.

13. The apparatus of claim 12, further comprising an optics system for:

- i) focusing the excitation radiation onto the nail plate; and
- ii) directing scattered radiation emitted from within the sterile matrix in response to the excitation radiation to said collection subsystem.

14. The apparatus of claim 12, wherein:

- i) a surface of the back wall is formed of a firm, padded material such that the digit may be comfortably pressed toward said back wall to compress the nail plate back into the finger to thereby suppress blood flow into the sterile matrix; and
- ii) the wavelength of the excitation radiation is in the blue visible or near UV region of the spectrum.

15. The apparatus of claim 14, wherein the excitation radiation wavelength is approximately 370 nm or 480 nm.

16. The apparatus of claim 12, wherein:

- i) the digit holder further comprises a pressure arm for pressing and holding the digit against the base plate; and
- ii) the excitation radiation wavelength is in the range of approximately 600 nm to 980 nm.

17. The apparatus of claim 12, further comprising:

- i) a heating element attached to the digit holder; and
- ii) a data processor, said data processor receiving temperature data from said sensor and reactively powering the heating element to raise and/or stabilize the temperature of the digit.

18. The apparatus of claim 12, further comprising:

- a gel-adapted window, said window being configured to be placed on the nail plate to provide a uniform optical interface through which both the excitation radiation and the scattered radiation pass.

19. A method for in vivo detection of glucose, comprising the steps of:

- i) projecting excitation light onto the nail of a digit to thereby illuminate a sample volume in the sterile matrix under the nail;
- ii) measuring the temperature of the digit;
- iii) collecting anti-Stokes Raman scattered light emitted from the sample volume;
- iv) processing the Raman spectrum of the scattered light to quantify at least one peak metric for the anti-Stokes scattered light;
- v) correcting the peak metric based on a Boltzmann correction factor, the Boltzmann correction factor being calculated using the measured temperature of the digit; and
- vi) calculating and optionally recording the concentration of glucose in the sample volume based on a partial least squares analysis using the Boltzmann-adjusted peak metrics.

20. The method of claim 19, further comprising the step of stabilizing the temperature of the digit.

专利名称(译)	反斯托克斯拉曼体内通过人体指甲探测葡萄糖浓度		
公开(公告)号	US20070027373A1	公开(公告)日	2007-02-01
申请号	US11/527295	申请日	2006-09-25
[标]申请(专利权)人(译)	SKYMOON RES & DEV		
申请(专利权)人(译)	SKYMOON研发, LLC		
当前申请(专利权)人(译)	SKYMOON研究开发有限责任公司		
[标]发明人	XIE JINCHUN		
发明人	XIE, JINCHUN		
IPC分类号	A61B5/00 G01N21/65		
CPC分类号	A61B5/14532 A61B5/14546 A61B5/6826 A61B5/1455 A61B2560/0252 G01N21/65 G01N2021/651 A61B5/6838		
外部链接	Espacenet USPTO		

摘要(译)

提供了一种用于检测和定量体内分析物的系统和方法。在入射辐射激发下从样品发射的反斯托克斯拉曼散射辐射被收集和分析。使用基于样品温度的玻尔兹曼校正因子校正温度响应的强度响应。采样的组织有利地是脚趾或手指的指甲下方的无菌基质。入射的激发辐射通过钉子投射到无菌基质上，钉子用作窗口。本发明可以应用于光谱的蓝色/紫外和红色/红外区域。

