



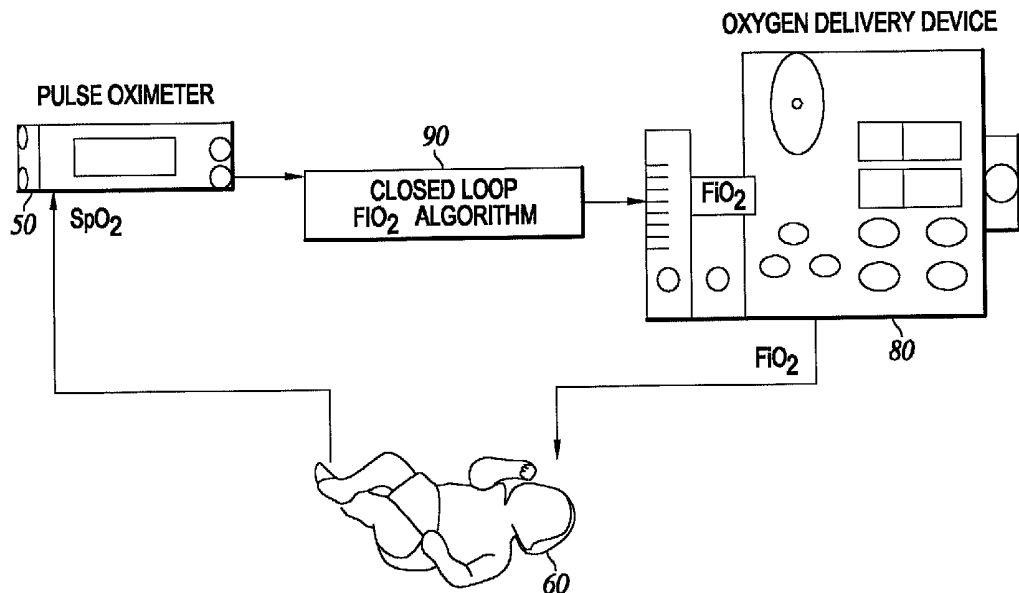
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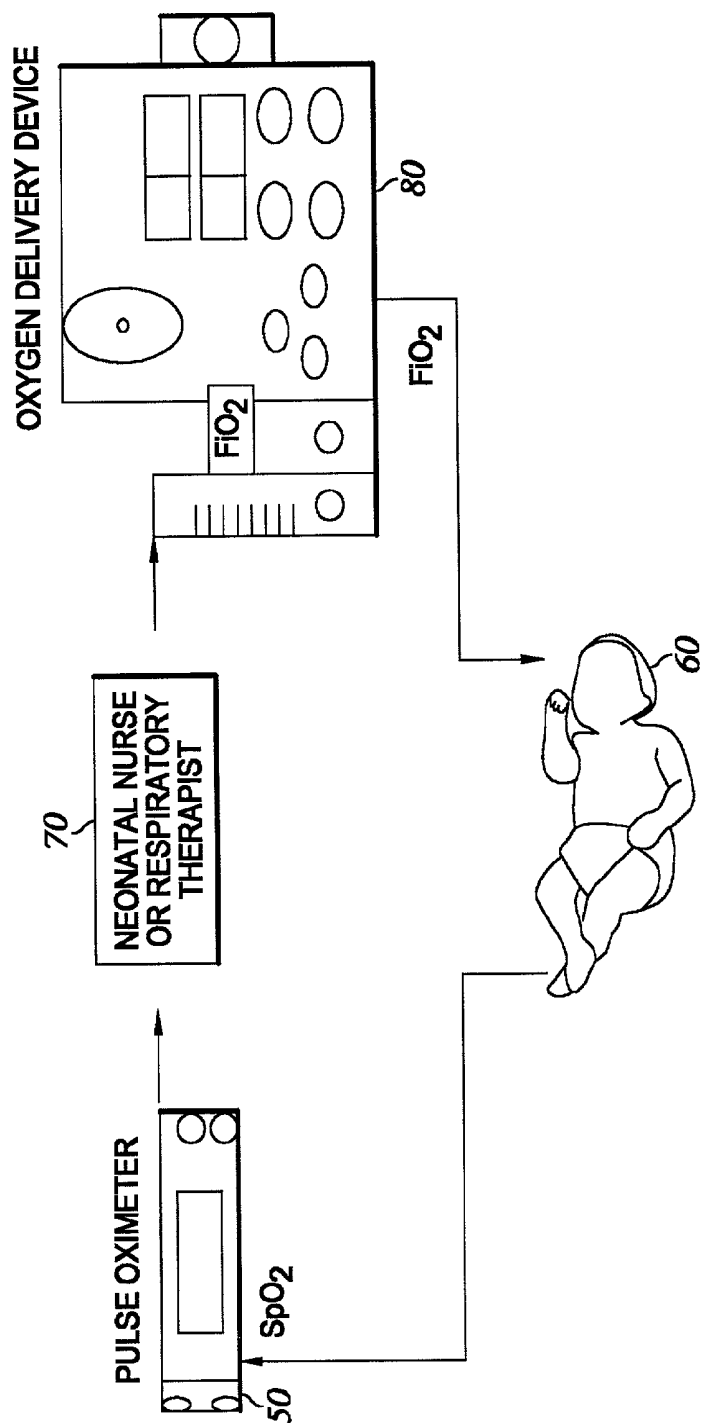
(19) **United States**(12) **Patent Application Publication** (10) **Pub. No.: US 2002/0072659 A1**  
Claire et al. (43) **Pub. Date: Jun. 13, 2002**(54) **SYSTEM AND METHOD FOR CLOSED  
LOOP CONTROLLED INSPIRED OXYGEN  
CONCENTRATION**(75) Inventors: **Nelson R. Claire**, Miami, FL (US);  
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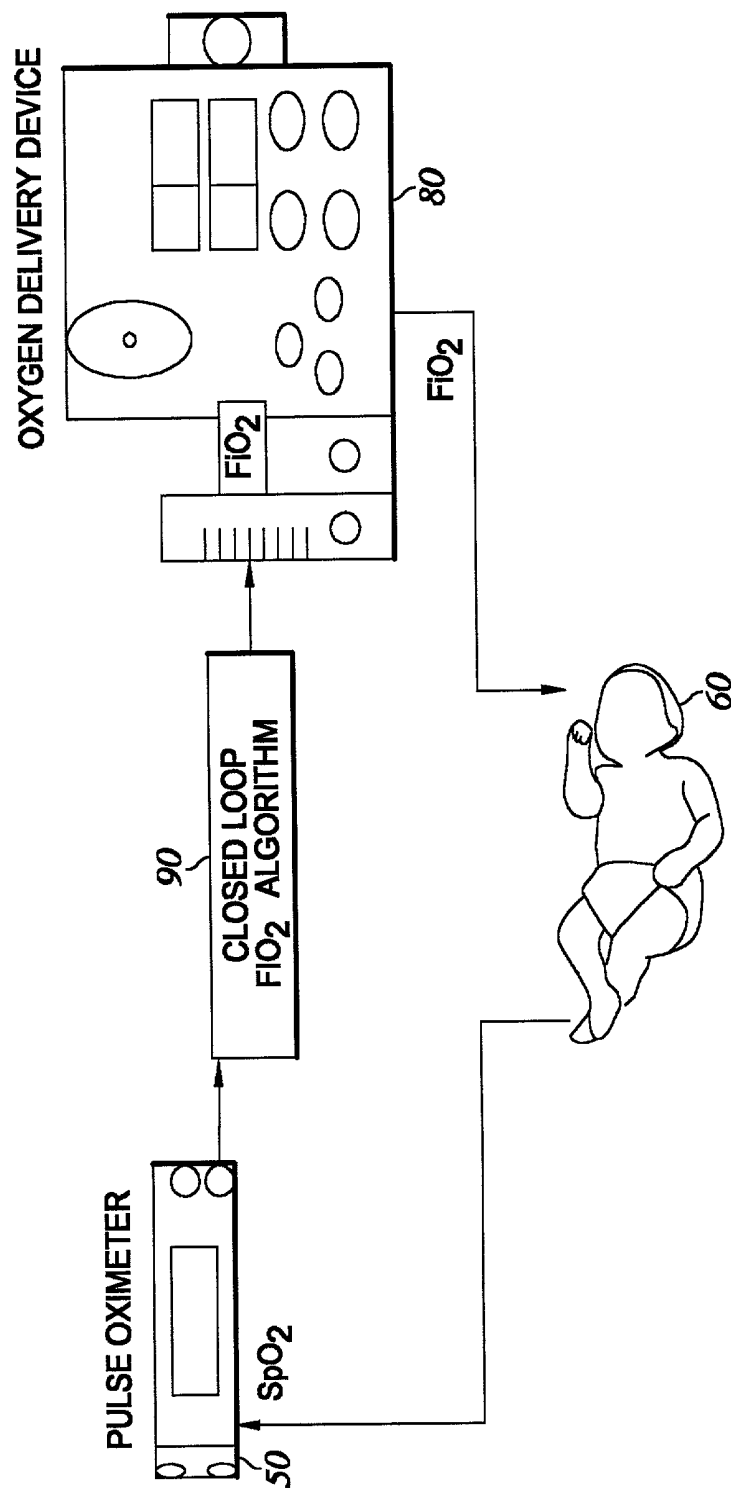
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**ALISO VIEJO, CA 92656 (US)**(73) Assignee: **UNIVERSITY OF MIAMI**(21) Appl. No.: **09/735,319**(22) Filed: **Dec. 12, 2000****Publication Classification**(51) **Int. Cl.<sup>7</sup>** ..... **A61B 5/00**(52) **U.S. Cl.** ..... **600/323; 128/204.22; 128/204.23**(57) **ABSTRACT**

A system and method for delivering fractionally inspired oxygen ( $\text{FiO}_2$ ) to a patient in response to receiving an arterial hemoglobin oxygen saturation signal ( $\text{SpO}_2$ ) are disclosed. The  $\text{SpO}_2$  is measured, for example, by using a pulse oximeter. An algorithm receives a signal indicating the  $\text{SpO}_2$ . The algorithm determines whether the  $\text{SpO}_2$  is in the normoxemia range, hypoxemia range or hyperoxemia range. The algorithm also determines trends by calculating a slope of second-to-second changes in the  $\text{SpO}_2$ . Based on the current  $\text{SpO}_2$  and the trend, the algorithm determines the appropriate  $\text{FiO}_2$  for the patient and instructs a device, such as a mechanical ventilator or an air oxygen mixer as to the appropriate  $\text{FiO}_2$  to be delivered to the patient. The system initializes various parameters with default values, but a user (e.g., a nurse) can also update the settings at any time. The system also provides alerts for various conditions, for example, standard pulse oximeter alarms, as well as notification when an episode of hyperoxemia or hypoxemia occurs, when it lasts for more than a specified period of time (e.g., two minutes) in spite of  $\text{FiO}_2$  adjustments and when the adjustments set the  $\text{FiO}_2$  at certain levels. The user is also alerted when  $\text{SpO}_2$  signal is lost.

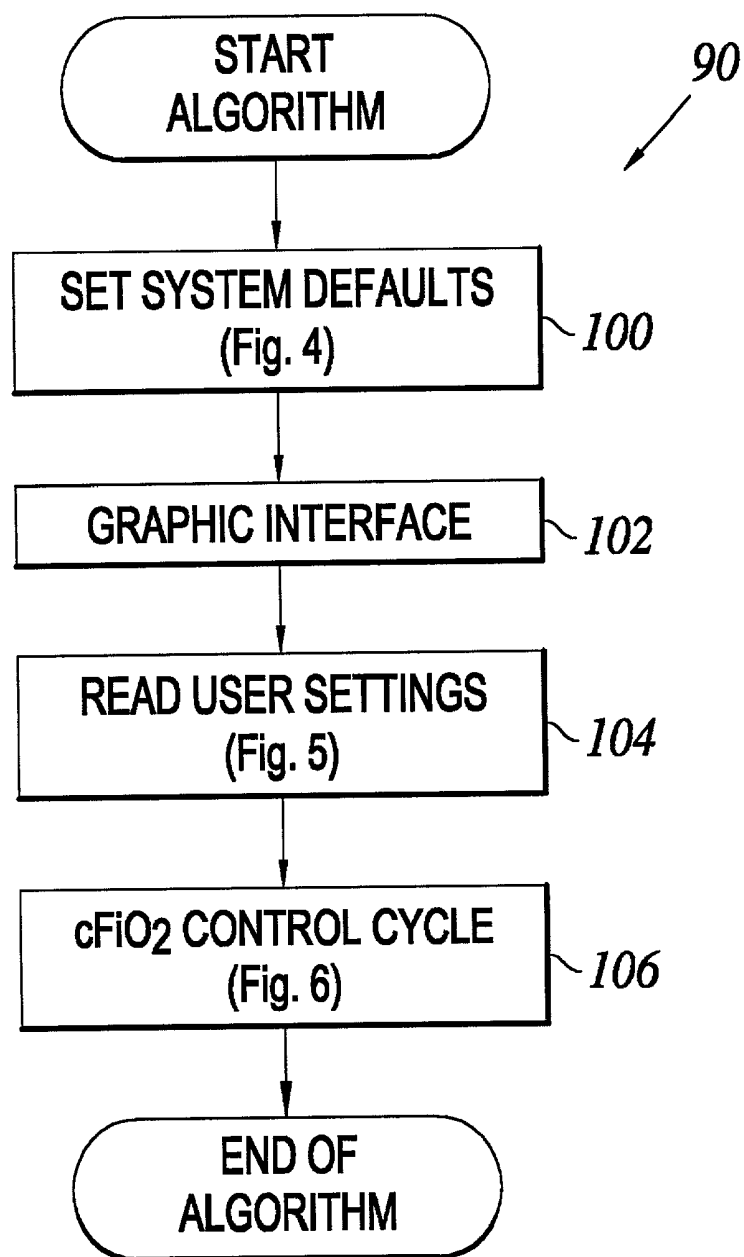




(PRIOR ART)  
*Fig. 1*



*Fig. 2*

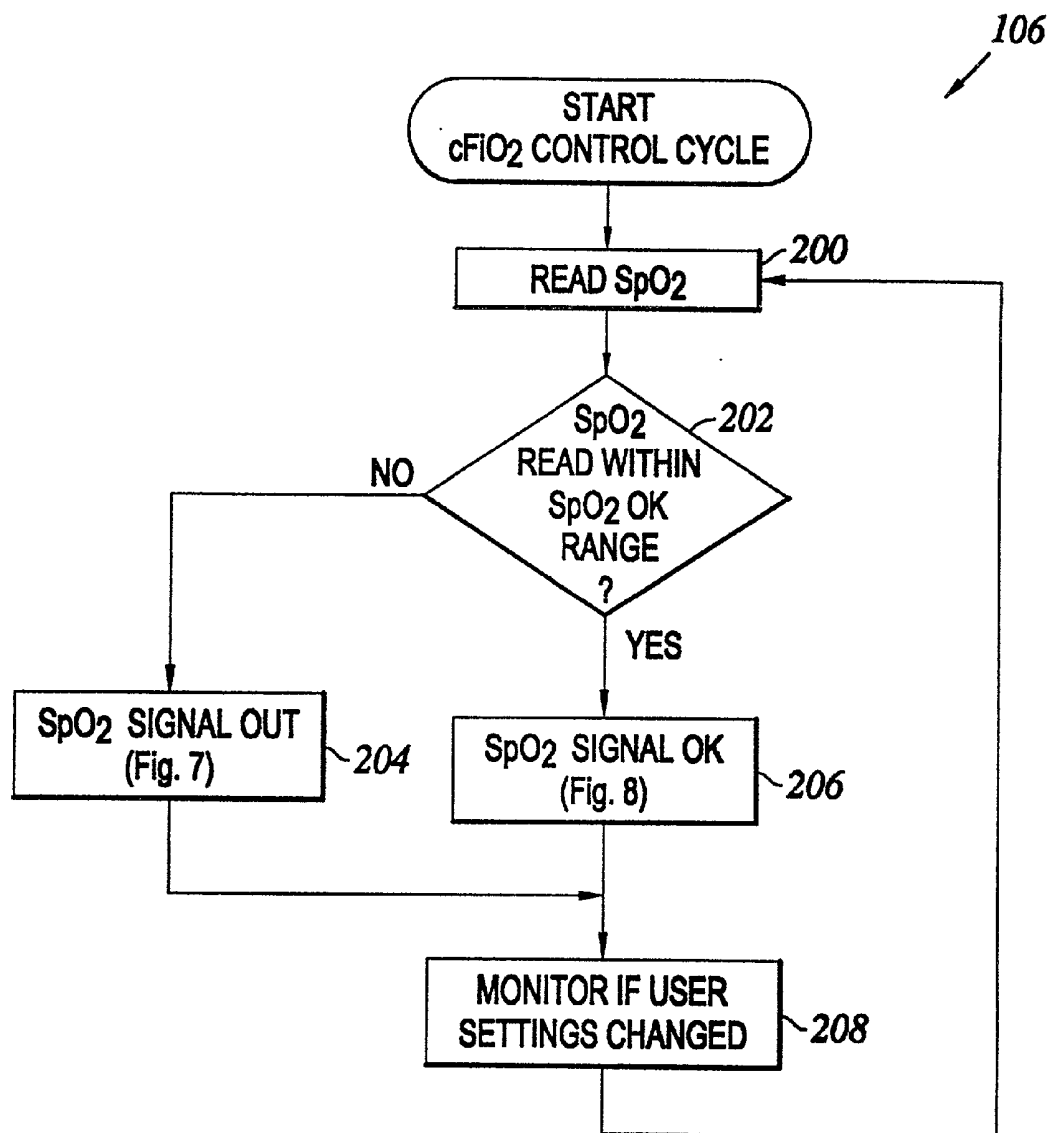
*Fig. 3*

| <u>Parameter</u>                                 | <u>Default Value</u>  |
|--------------------------------------------------|-----------------------|
| Sampling/Update Rate                             | 1 Hz                  |
| SpO <sub>2</sub> OK High Limit                   | 100%                  |
| SpO <sub>2</sub> OK Low Limit                    | 20%                   |
| SpO <sub>2</sub> OK Time Min                     | 5 sec.                |
| SpO <sub>2</sub> Out Time Min                    | 5 sec.                |
| SpO <sub>2</sub> Time in High Norm Low Range Min | 3 sec.                |
| SpO <sub>2</sub> Time to Zero Counters           | 10 sec.               |
| SpO <sub>2</sub> Norm Adjust Interval            | 40 sec., 20 - 60 sec. |
| SpO <sub>2</sub> Norm Wean Interval              | 45 sec.               |
| SpO <sub>2</sub> Norm Base Calc Min Interval     | 30 sec.               |
| SpO <sub>2</sub> Normal Base                     | 94%                   |
| SpO <sub>2</sub> Slope High Limit                | 5%/sec.               |
| SpO <sub>2</sub> Slope Low Limit                 | -5%/sec.              |
| SpO <sub>2</sub> High Wean Level                 | 30 sec.               |
| SpO <sub>2</sub> Hight Adjust Interval           | 30 sec., 20 - 60 sec. |
| SpO <sub>2</sub> Low Adjust Interval             | 20 sec., 5 - 40 sec.  |
| SpO <sub>2</sub> Low Alarm Limit                 | 60 sec.               |
| FiO <sub>2</sub> Base High Limit                 | 60%                   |
| FiO <sub>2</sub> Base Calc Interval              | 300 sec.              |
| FiO <sub>2</sub> at Min for Base Calc Interval   | 30 sec.               |
| FiO <sub>2</sub> at Max for Base Calc Interval   | 60 sec.               |
| FiO <sub>2</sub> Max                             | 100%                  |

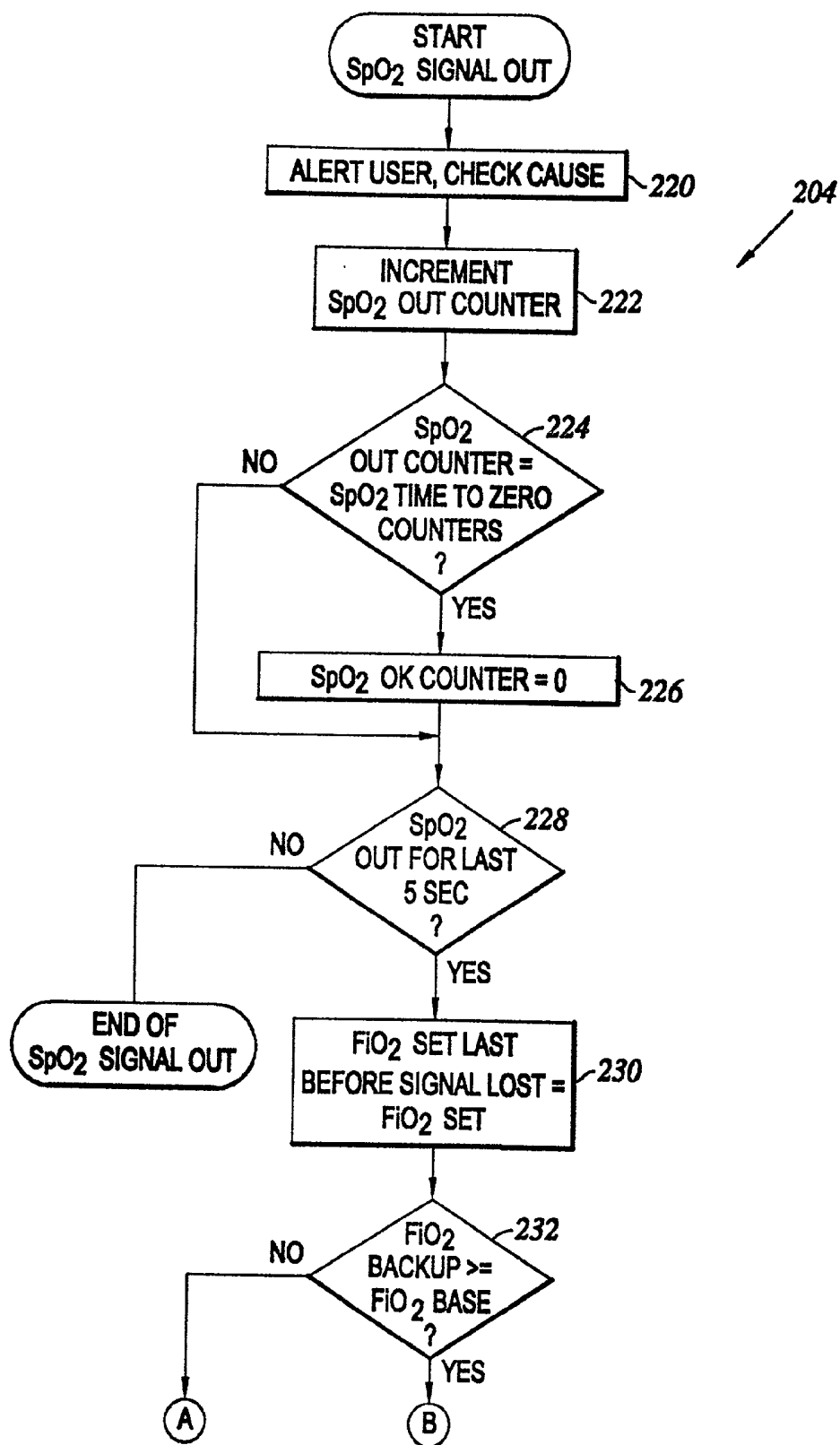
*Fig. 4*

| <u>User Setting</u>                      | <u>Valid Range</u>                               | <u>Default Value</u> |
|------------------------------------------|--------------------------------------------------|----------------------|
| SpO <sub>2</sub> Target Range High Limit | 94 - 100%                                        | 96%                  |
| SpO <sub>2</sub> Target Range Low Limit  | 85 - 94%                                         | 88%                  |
| FiO <sub>2</sub> Base                    | 21 - 60%                                         | 30%                  |
| FiO <sub>2</sub> Backup                  | 21 - 100%                                        | 40%                  |
| FiO <sub>2</sub> Min                     |                                                  | 21%                  |
| FiO <sub>2</sub> Set                     | (same as FiO <sub>2</sub> Base on control start) |                      |
| Control Switch                           | ON or OFF                                        | OFF                  |
| FiO <sub>2</sub> Base Calculation Switch | ON or OFF                                        | OFF                  |

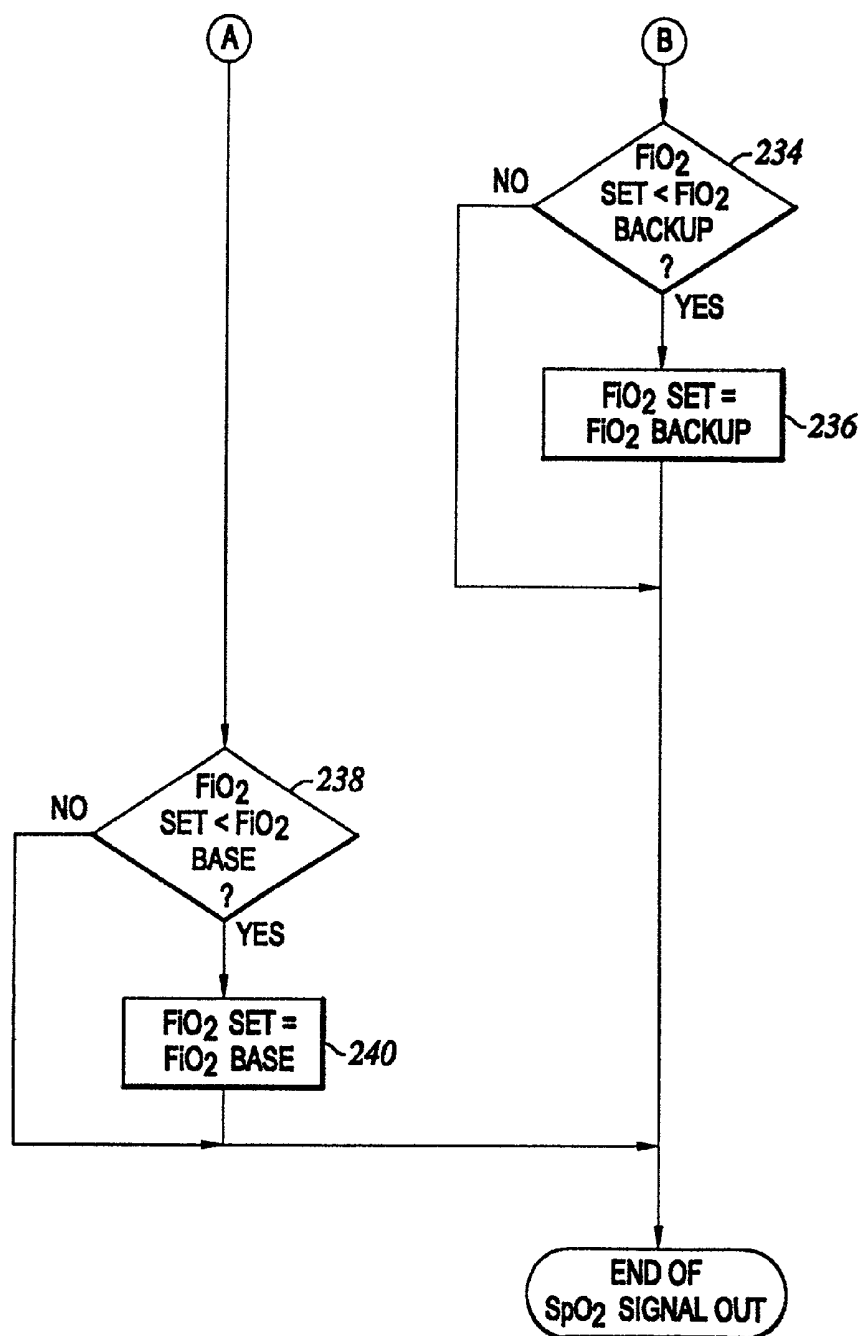
*Fig. 5*



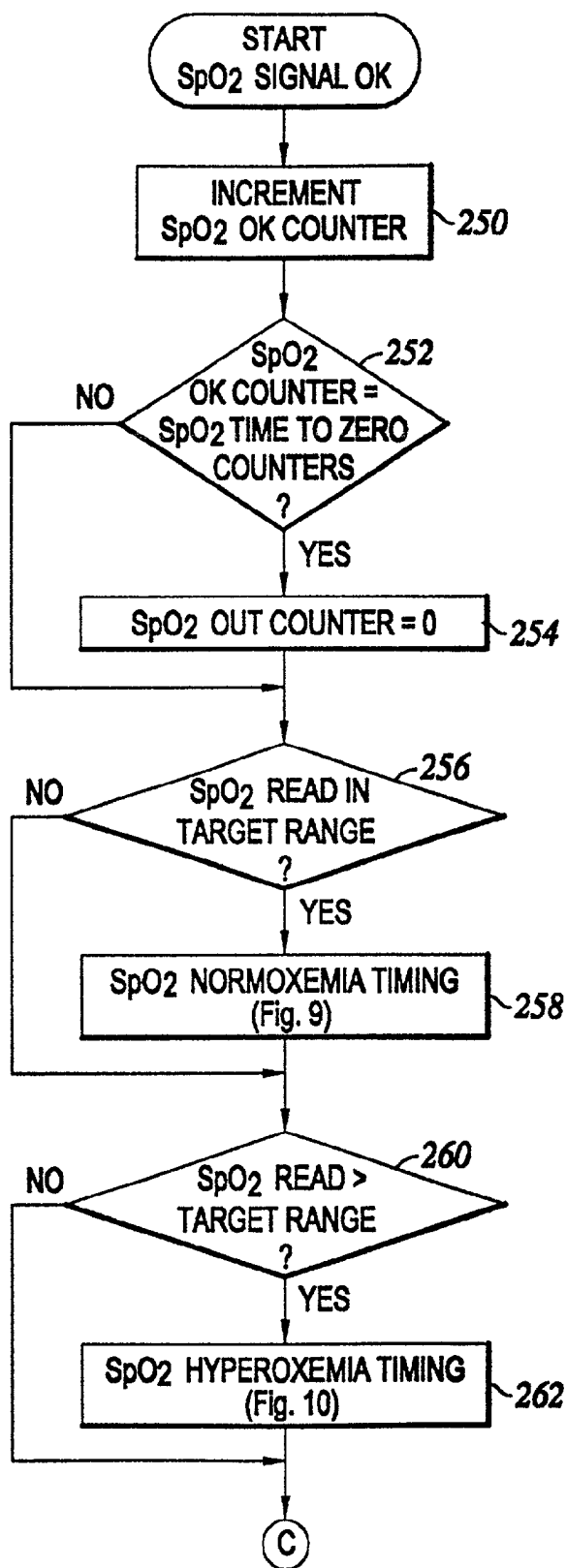
*Fig. 6*



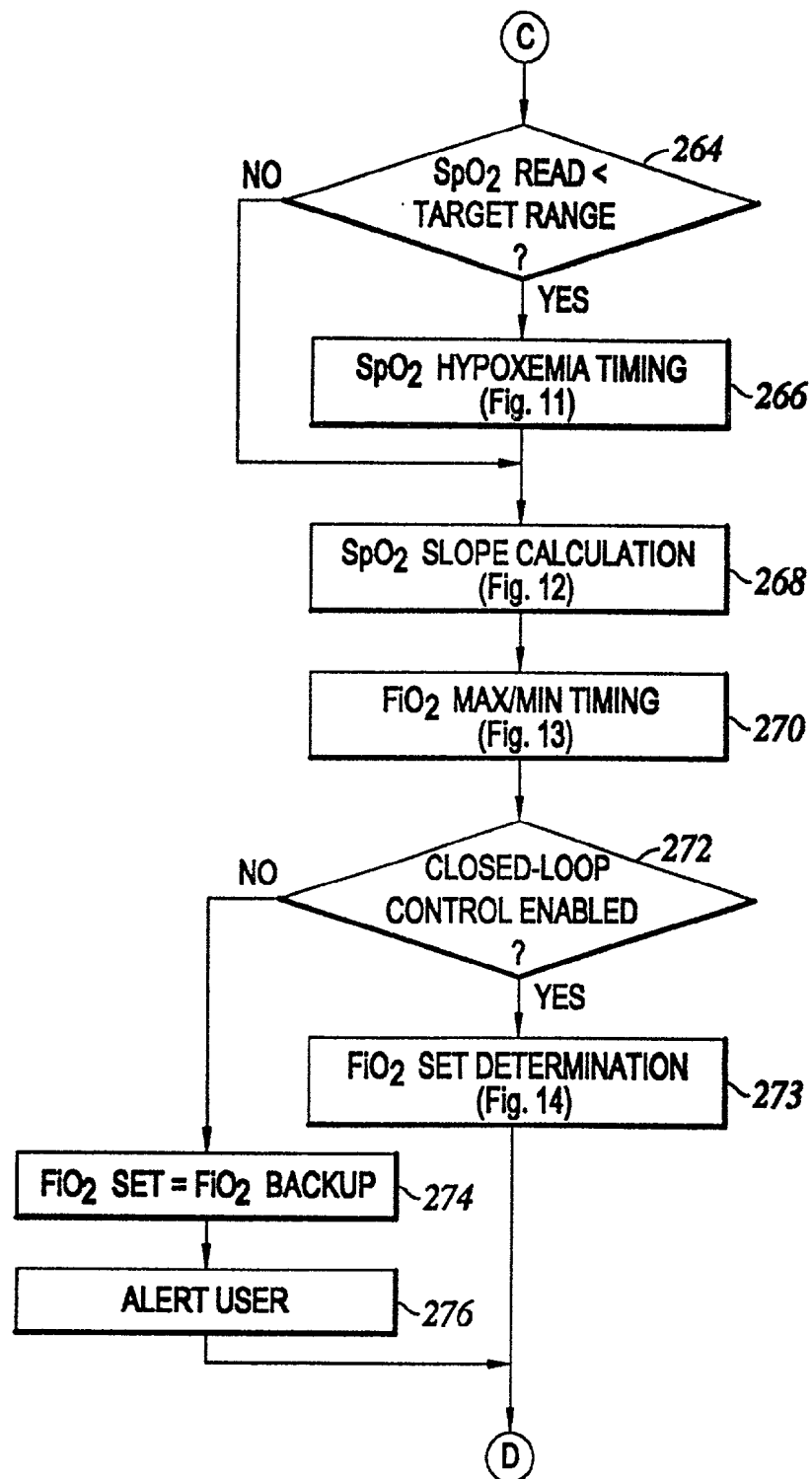
*Fig. 7A*



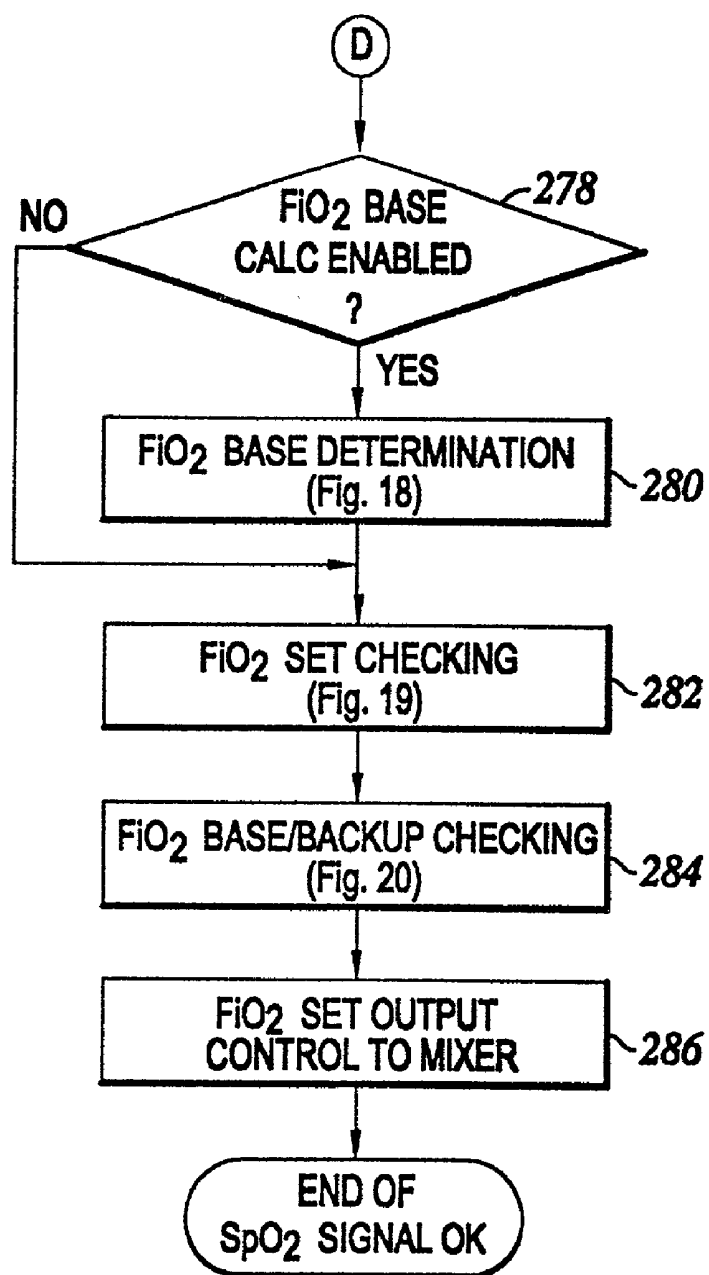
*Fig. 7B*



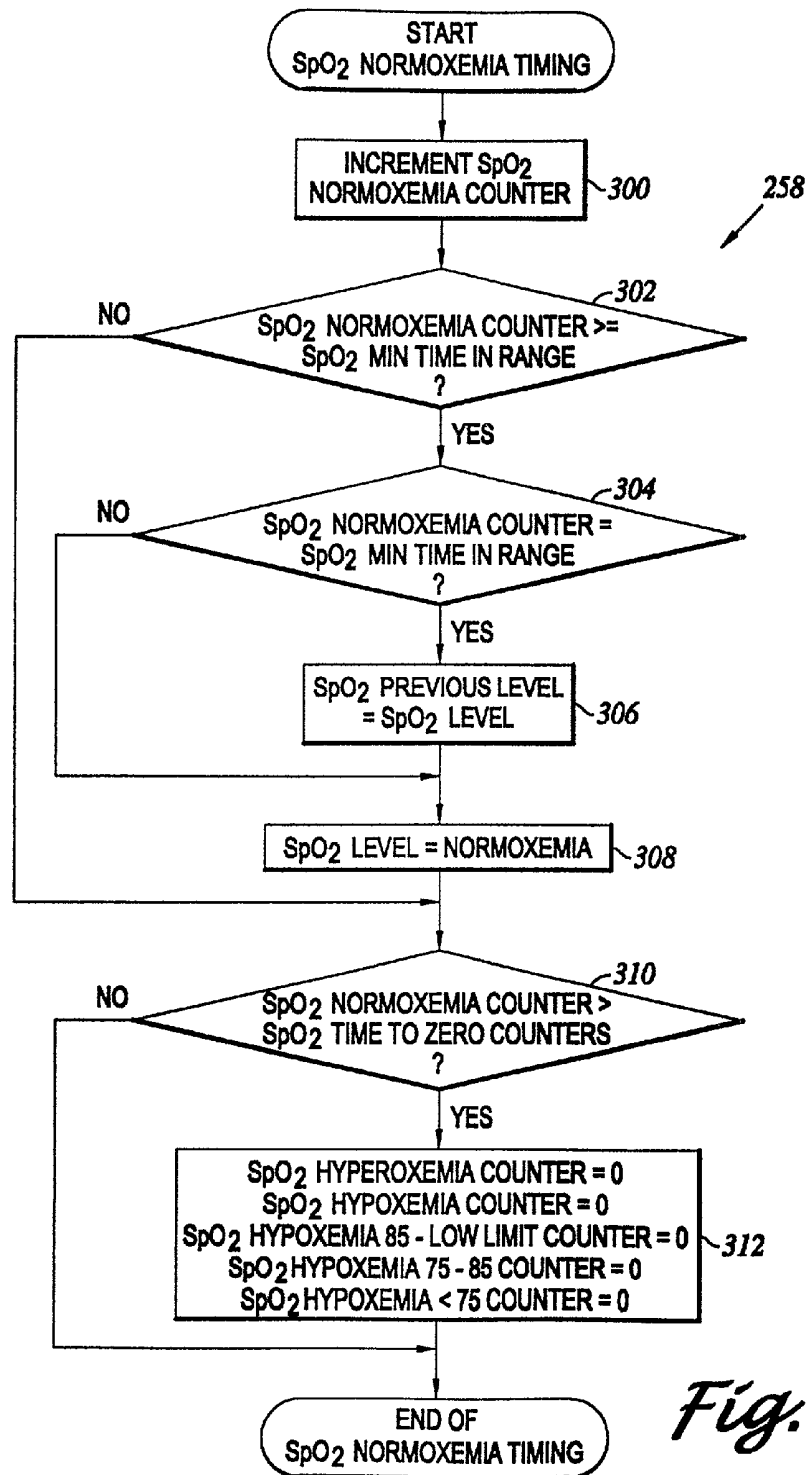
*Fig. 8A*

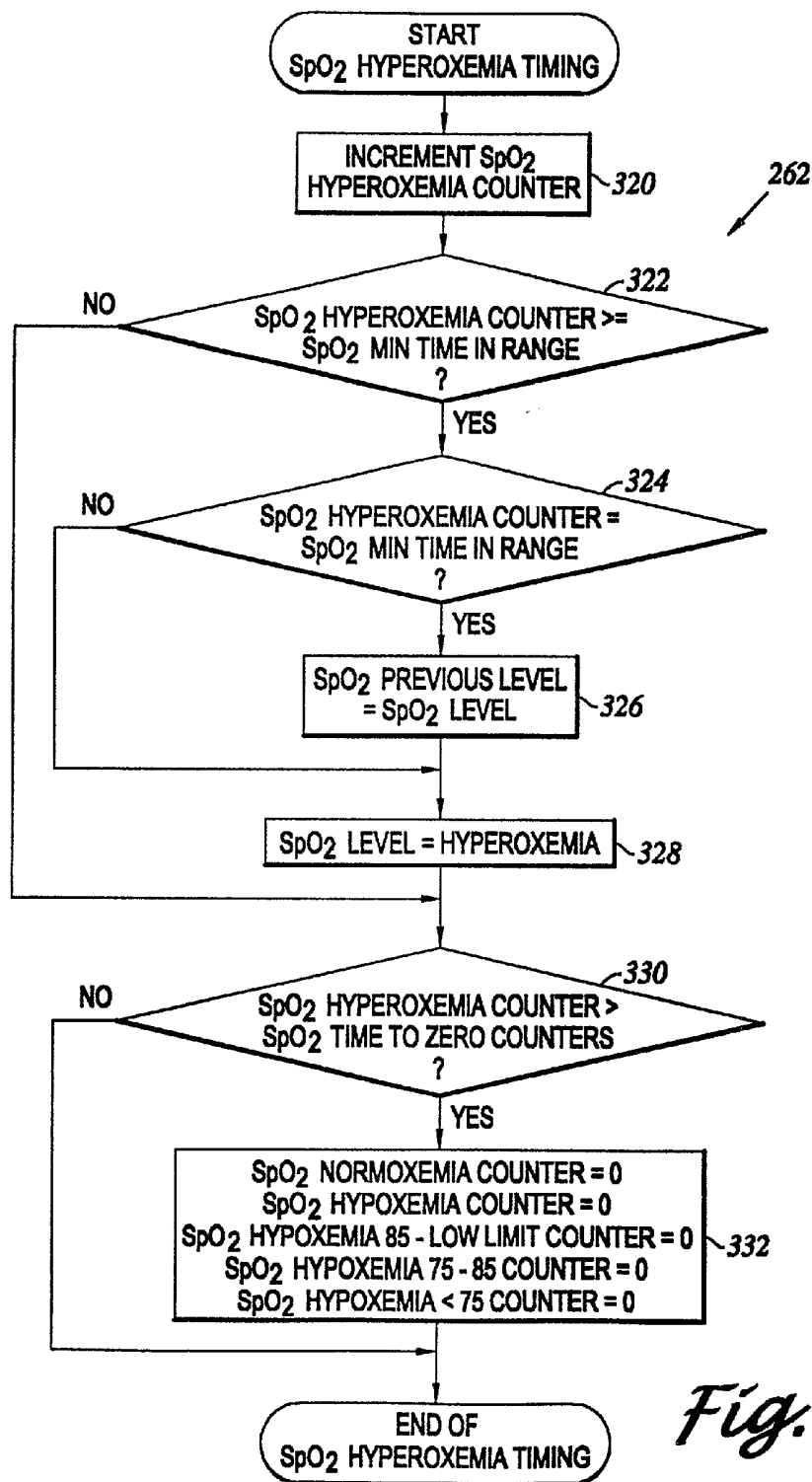


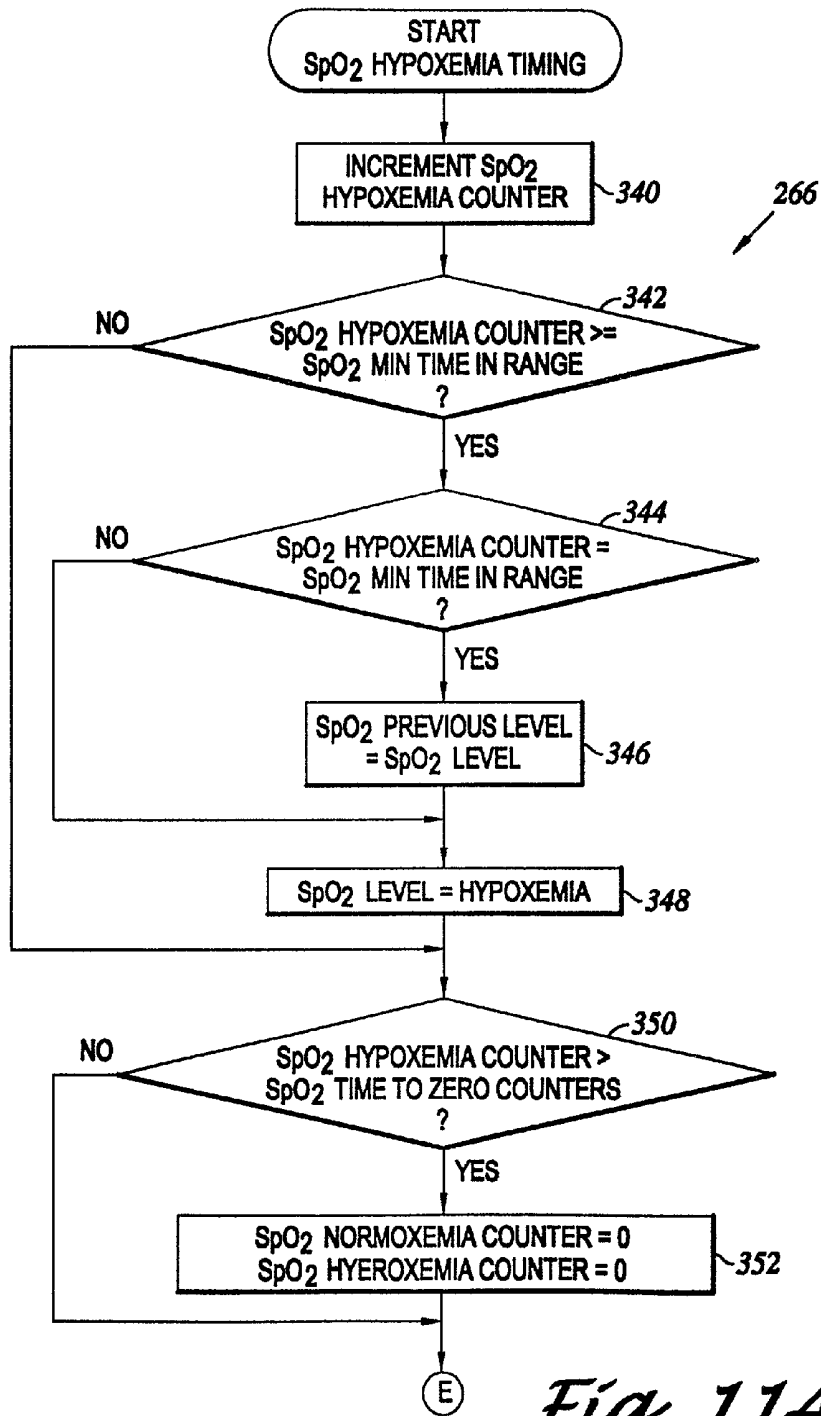
*Fig. 8B*

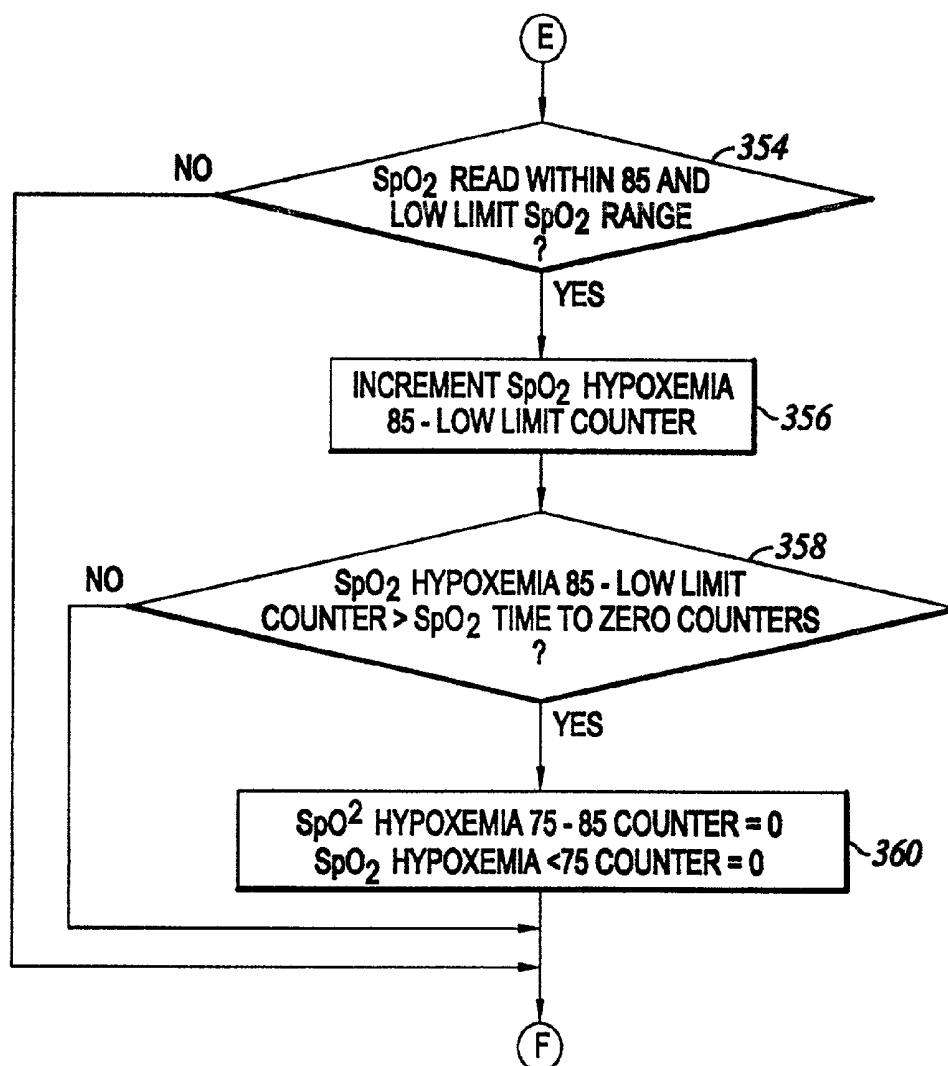


*Fig. 8C*

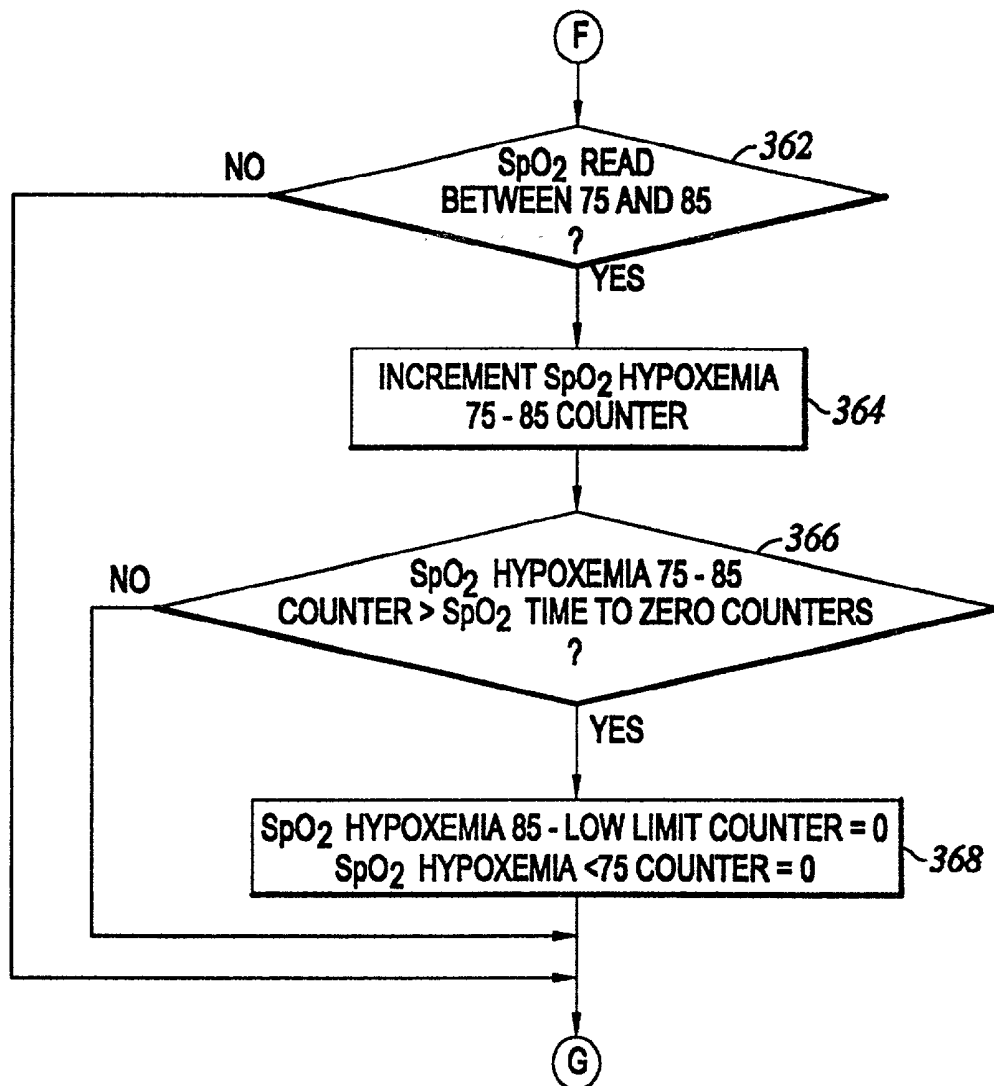




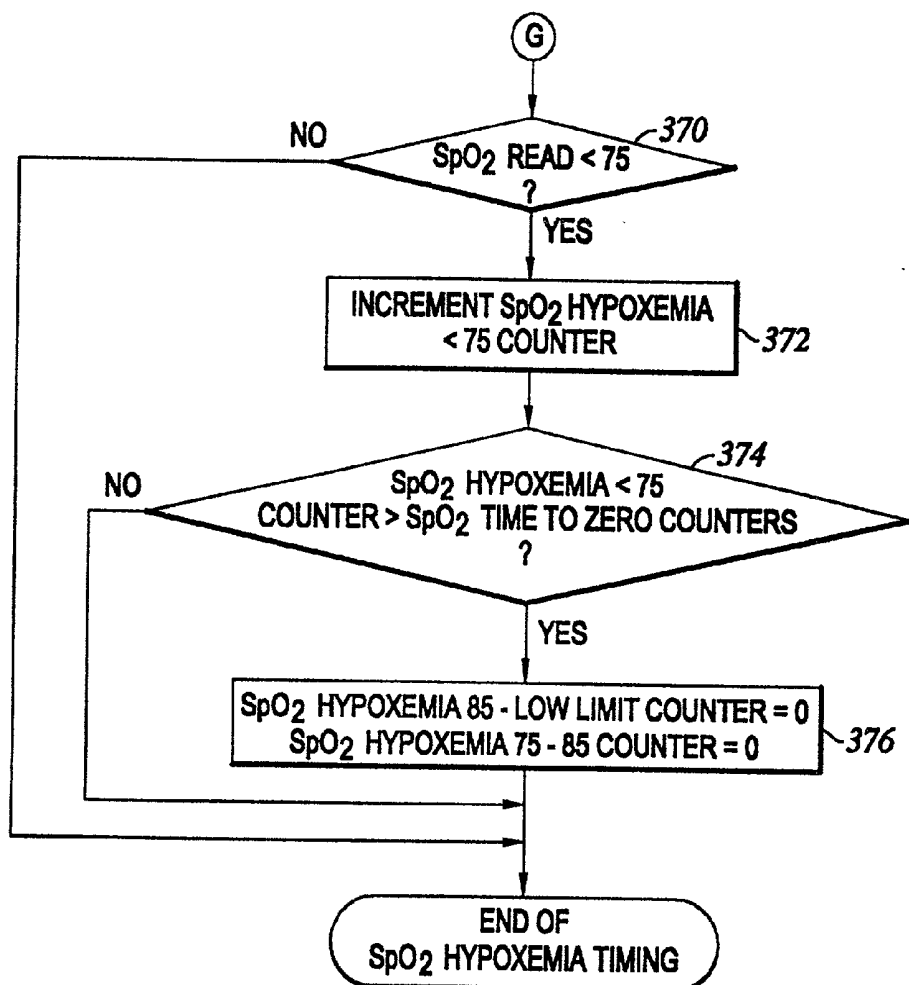




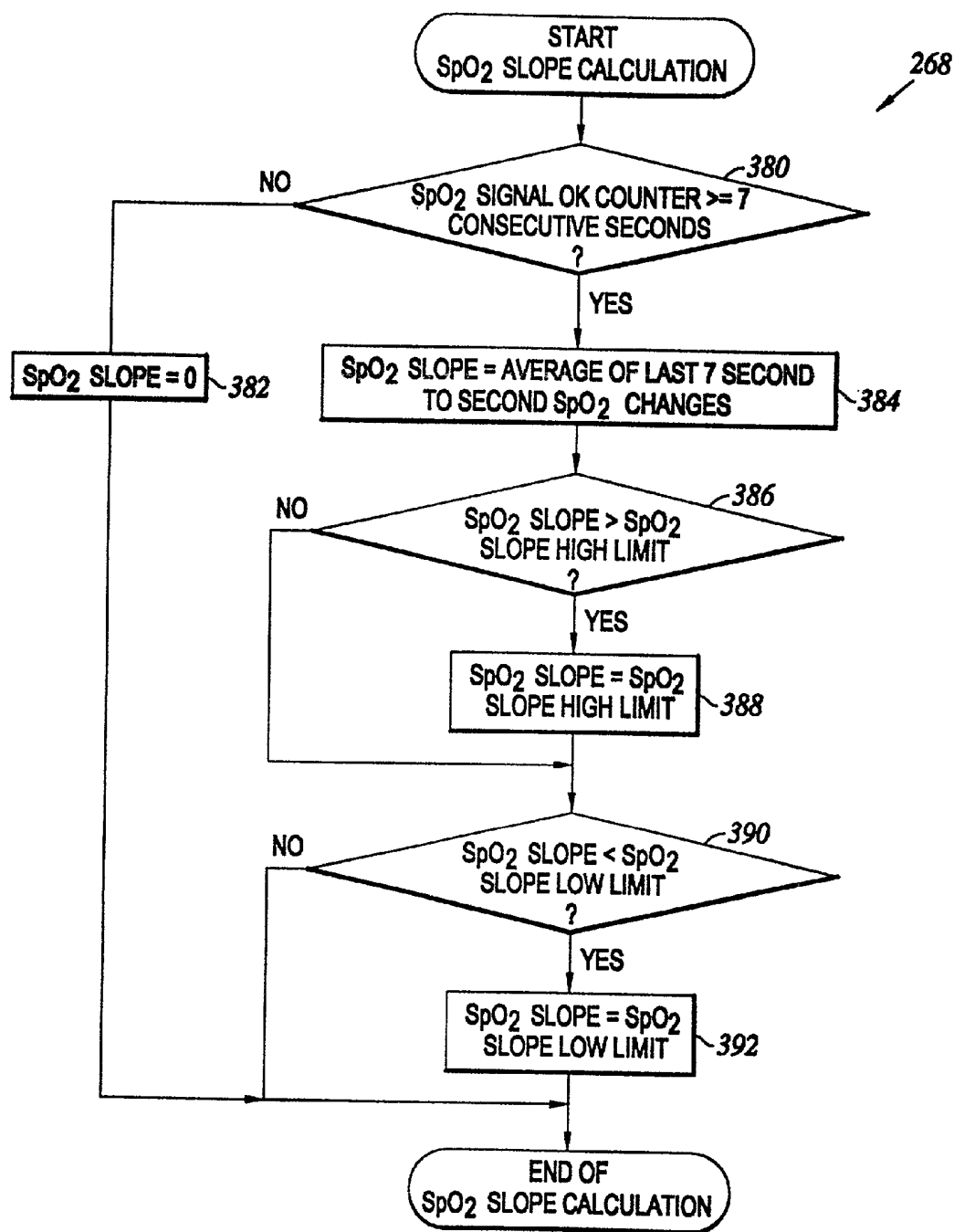
*Fig. 11B*



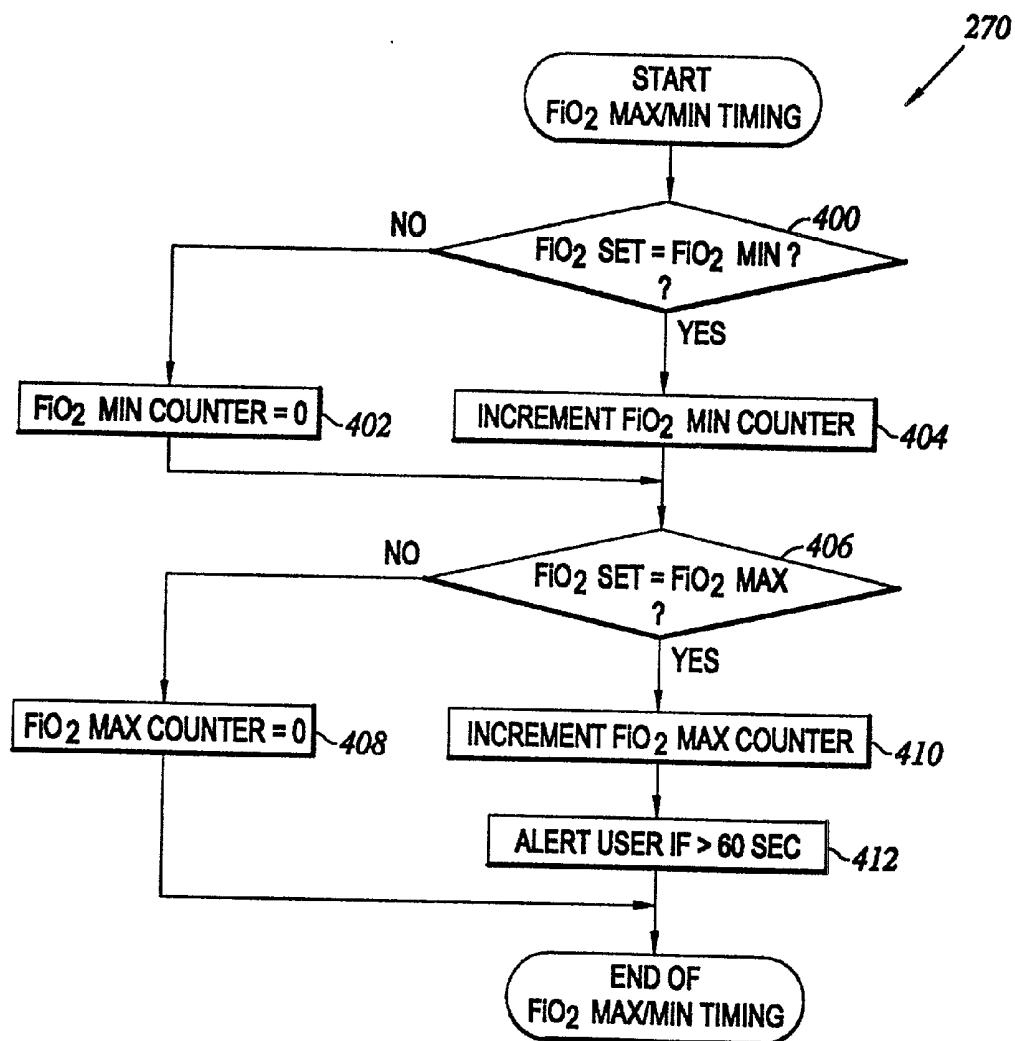
*Fig. 11C*



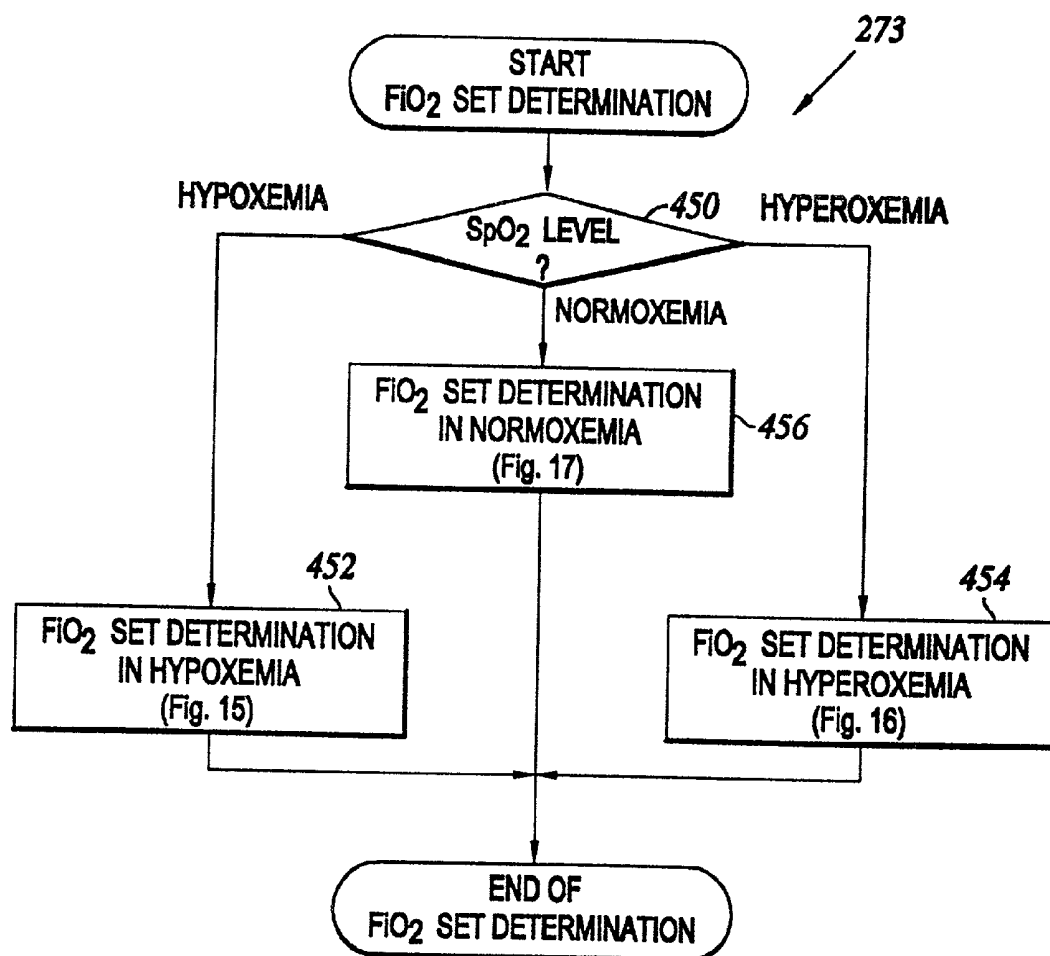
*Fig. 11D*



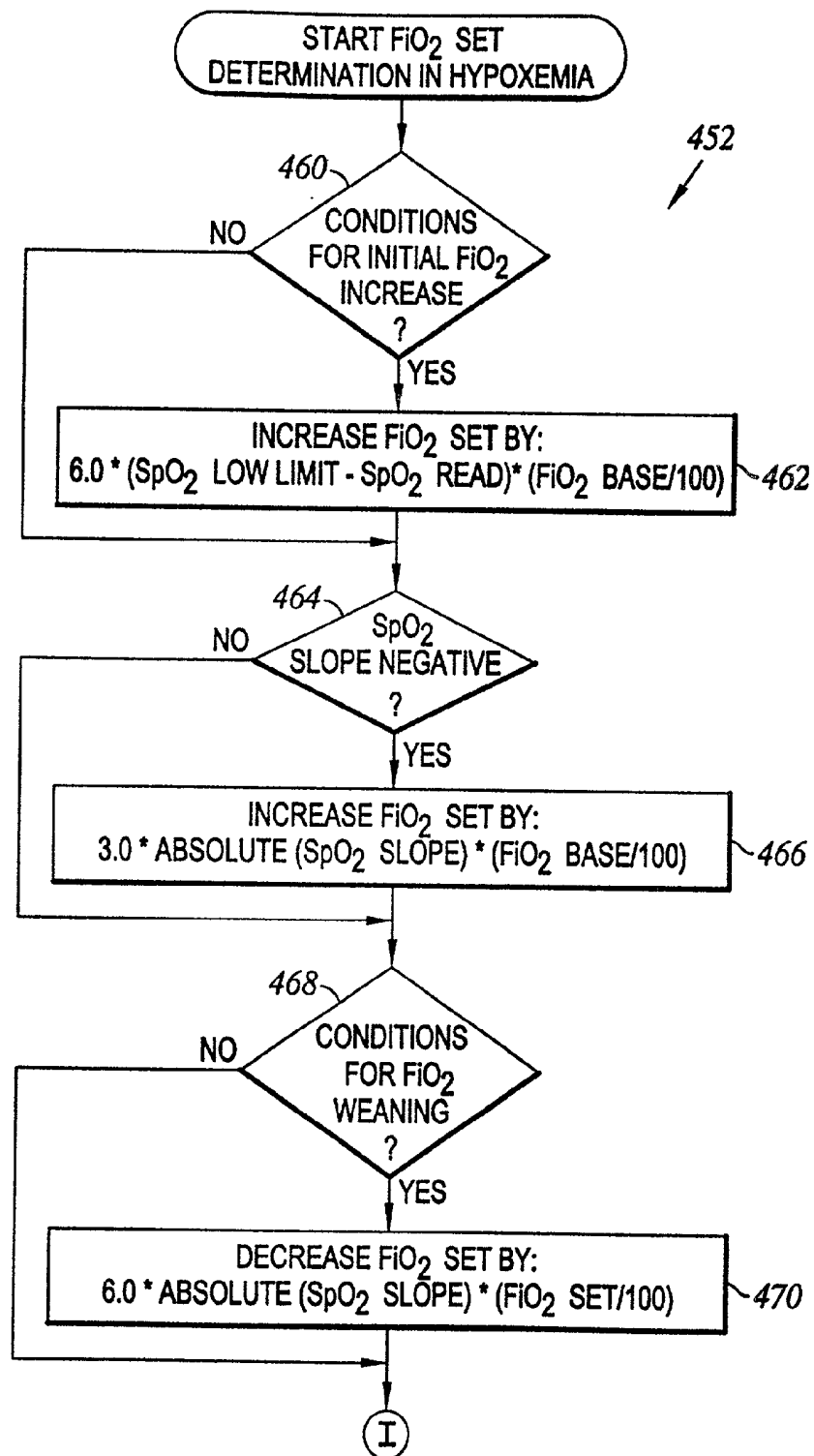
*Fig. 12*



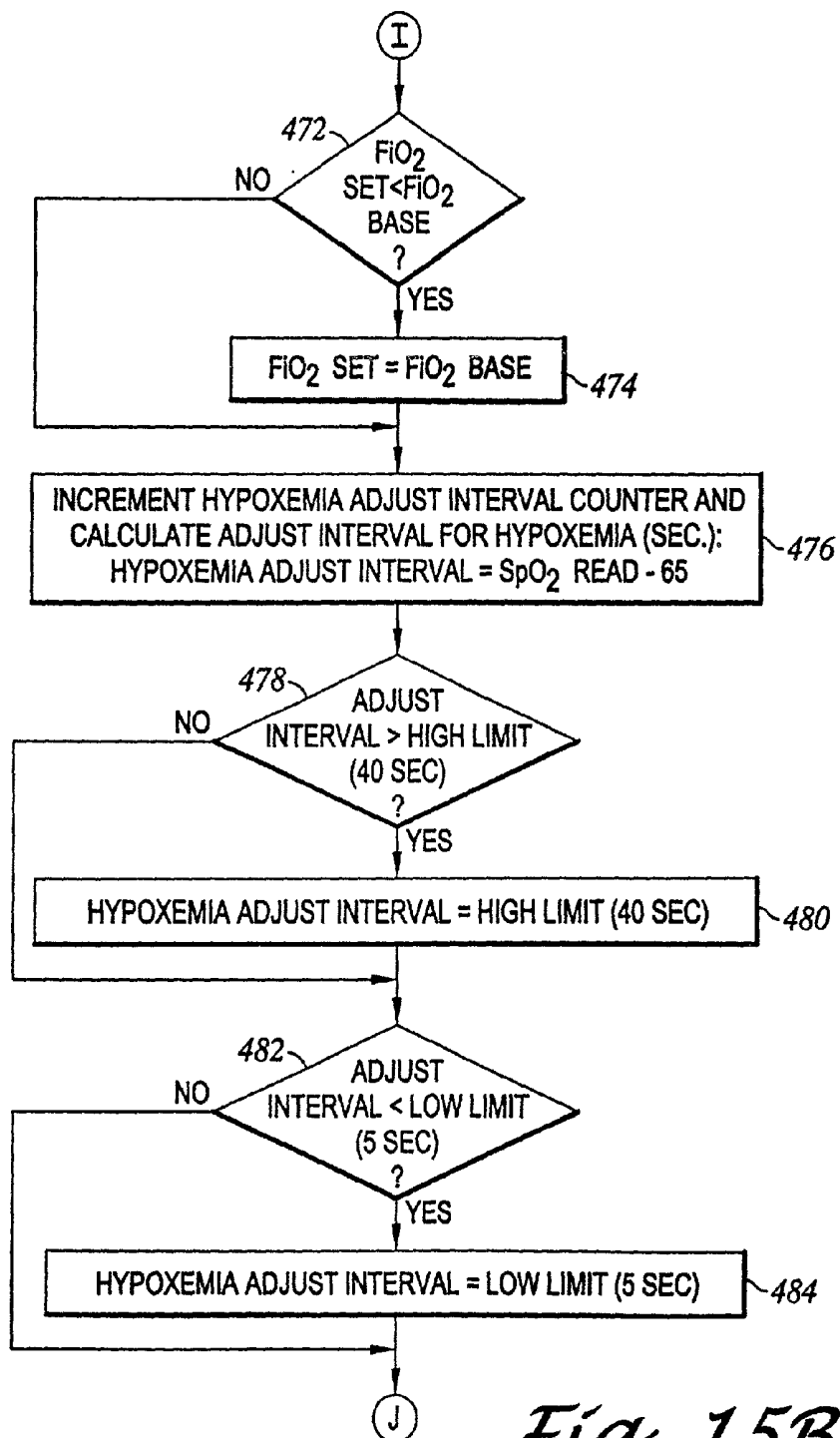
*Fig. 13*



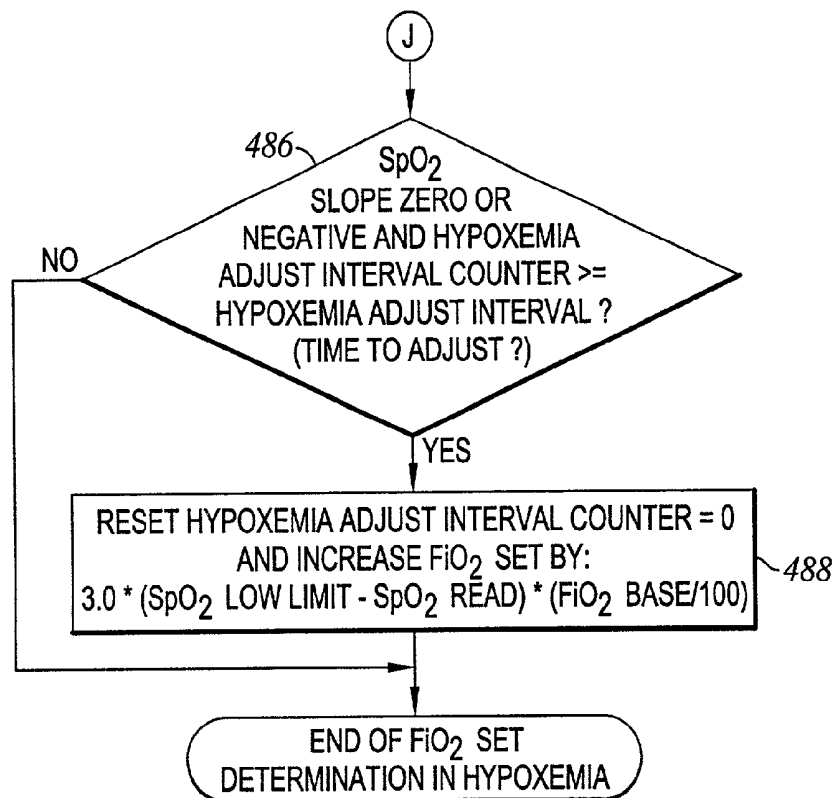
*Fig. 14*



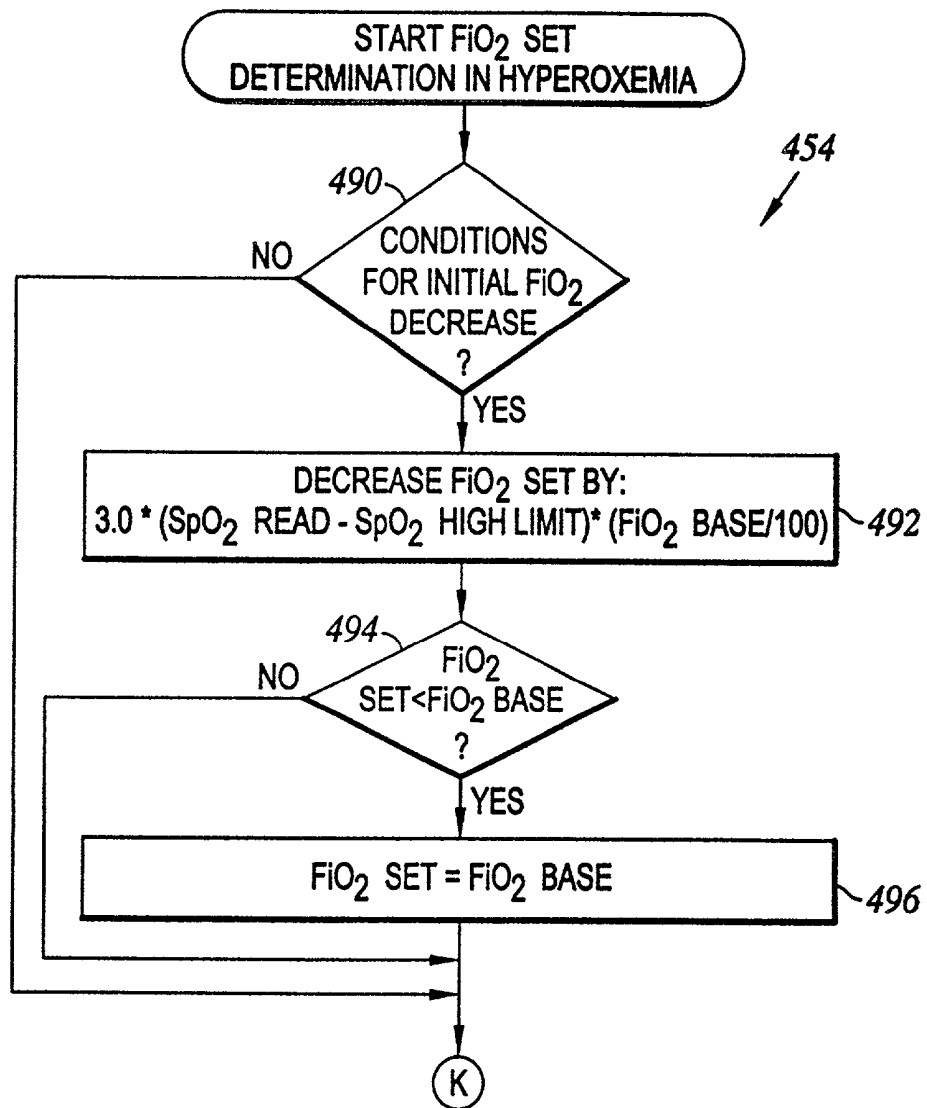
*Fig. 15A*



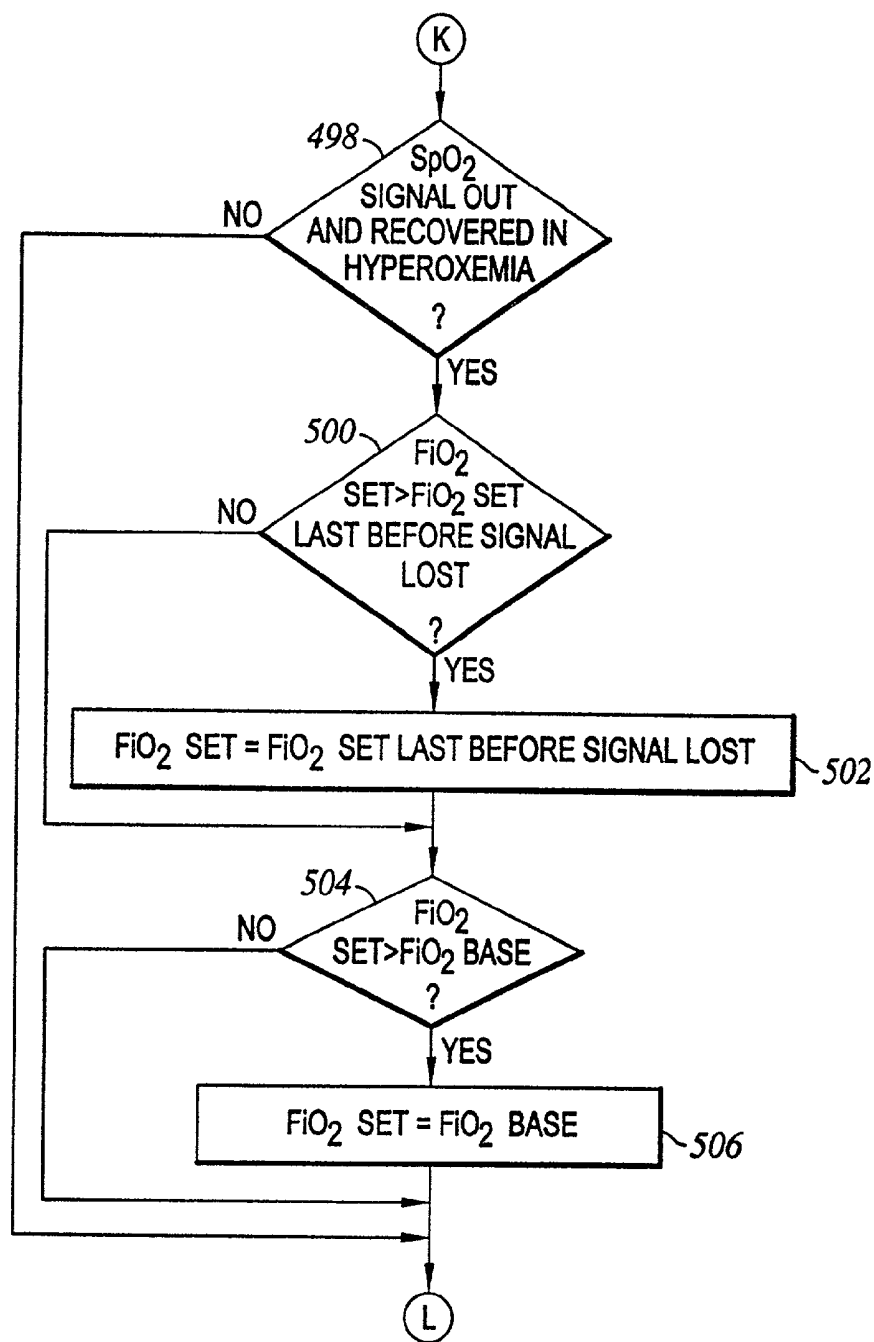
*Fig. 15B*



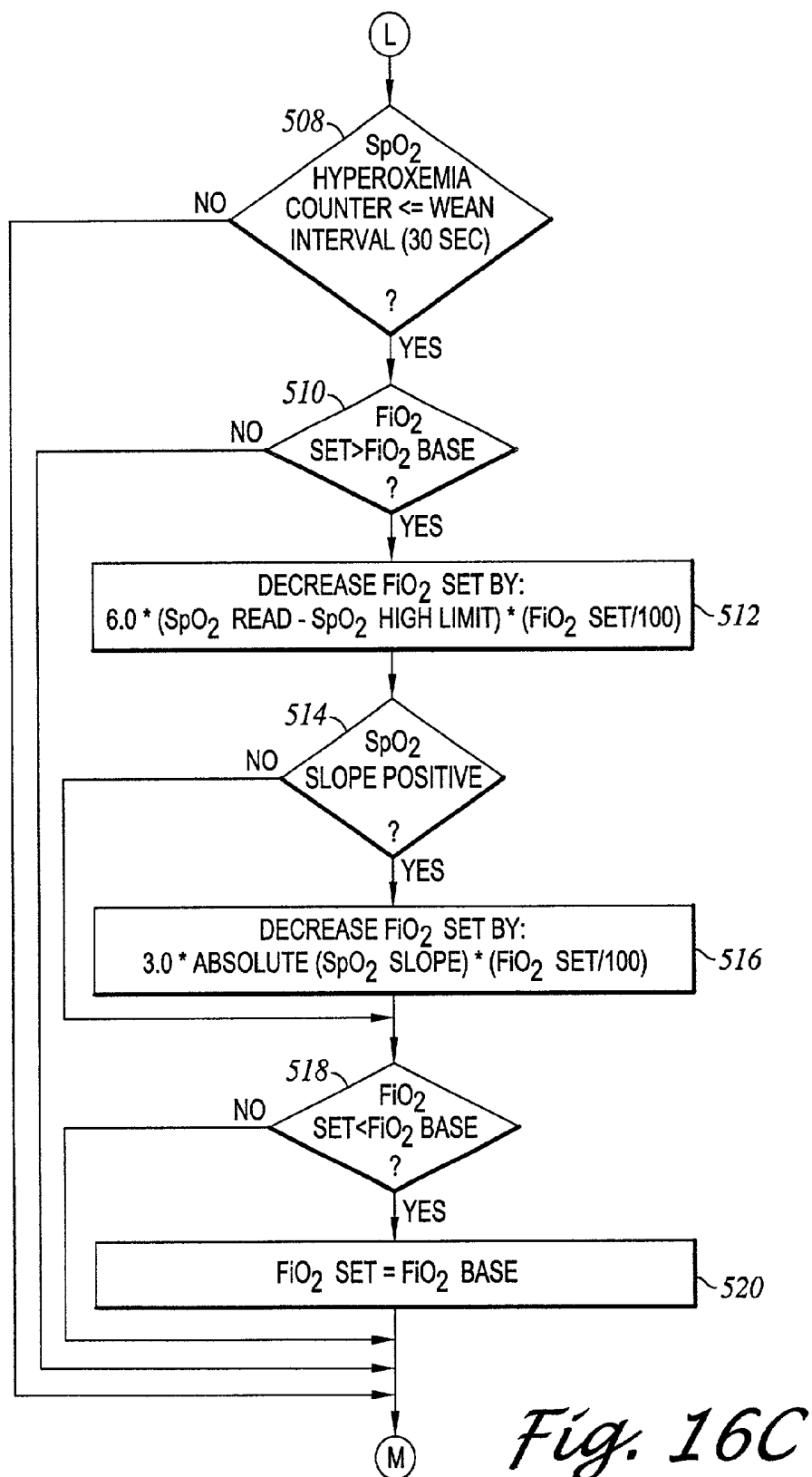
*Fig. 15C*

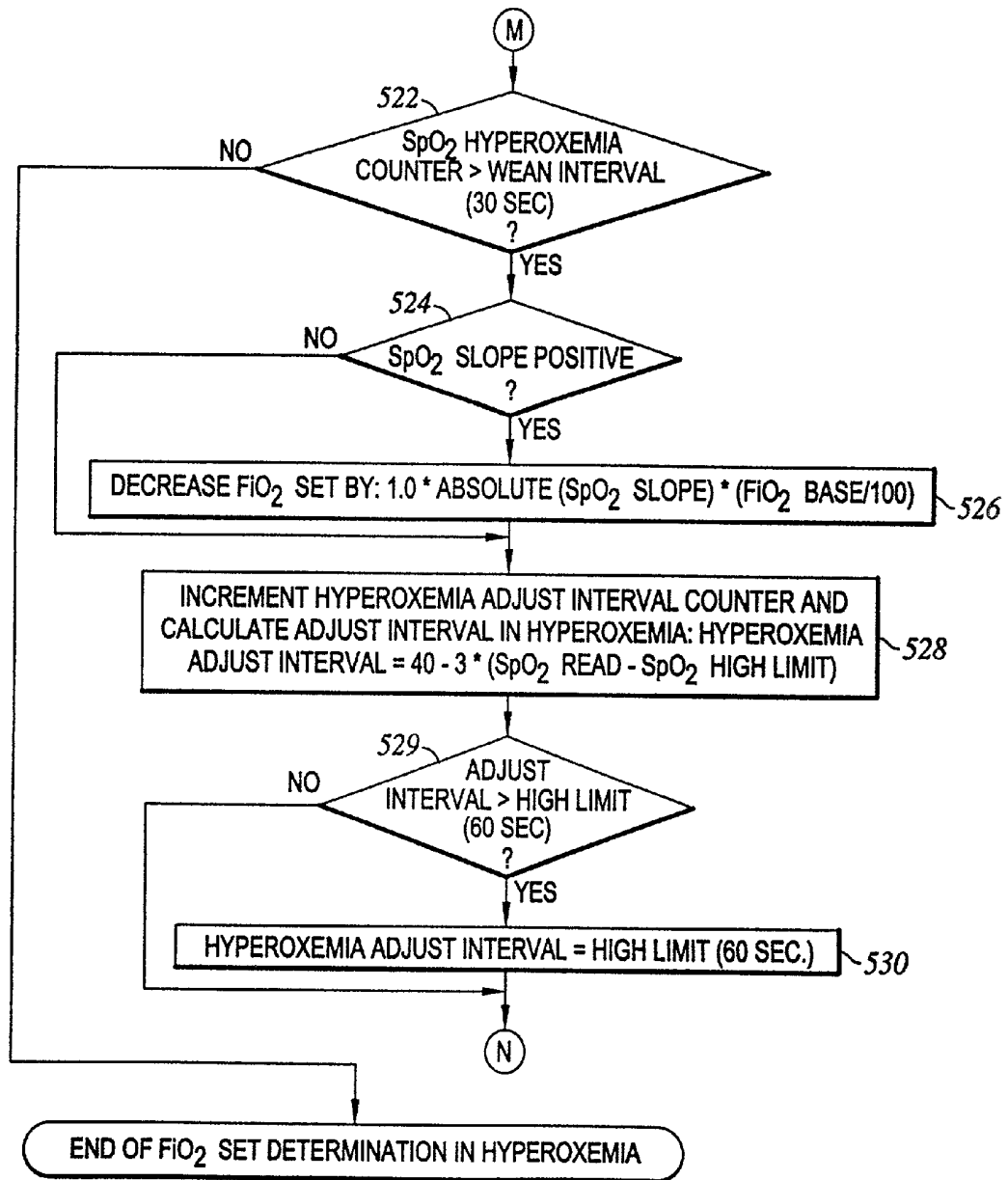


*Fig. 16A*

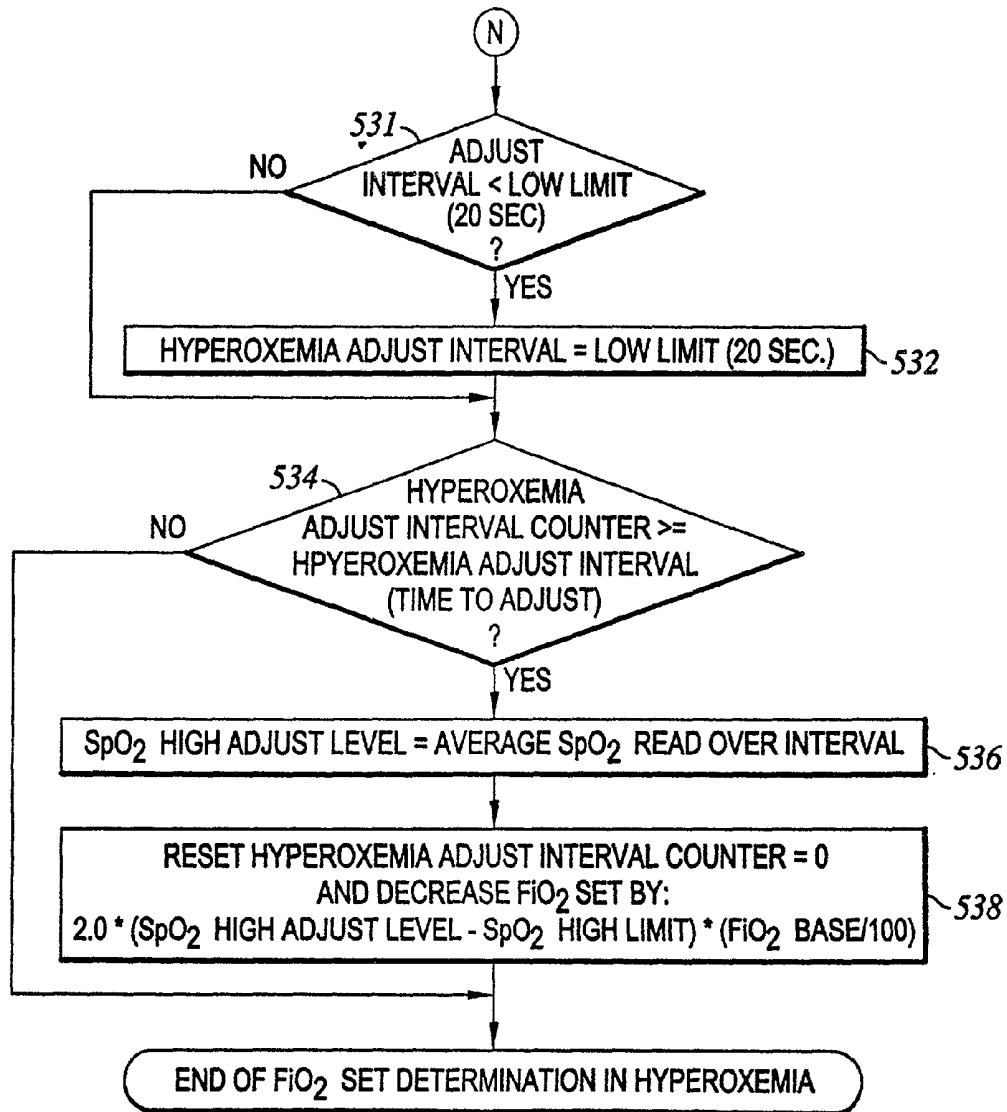


*Fig. 16B*

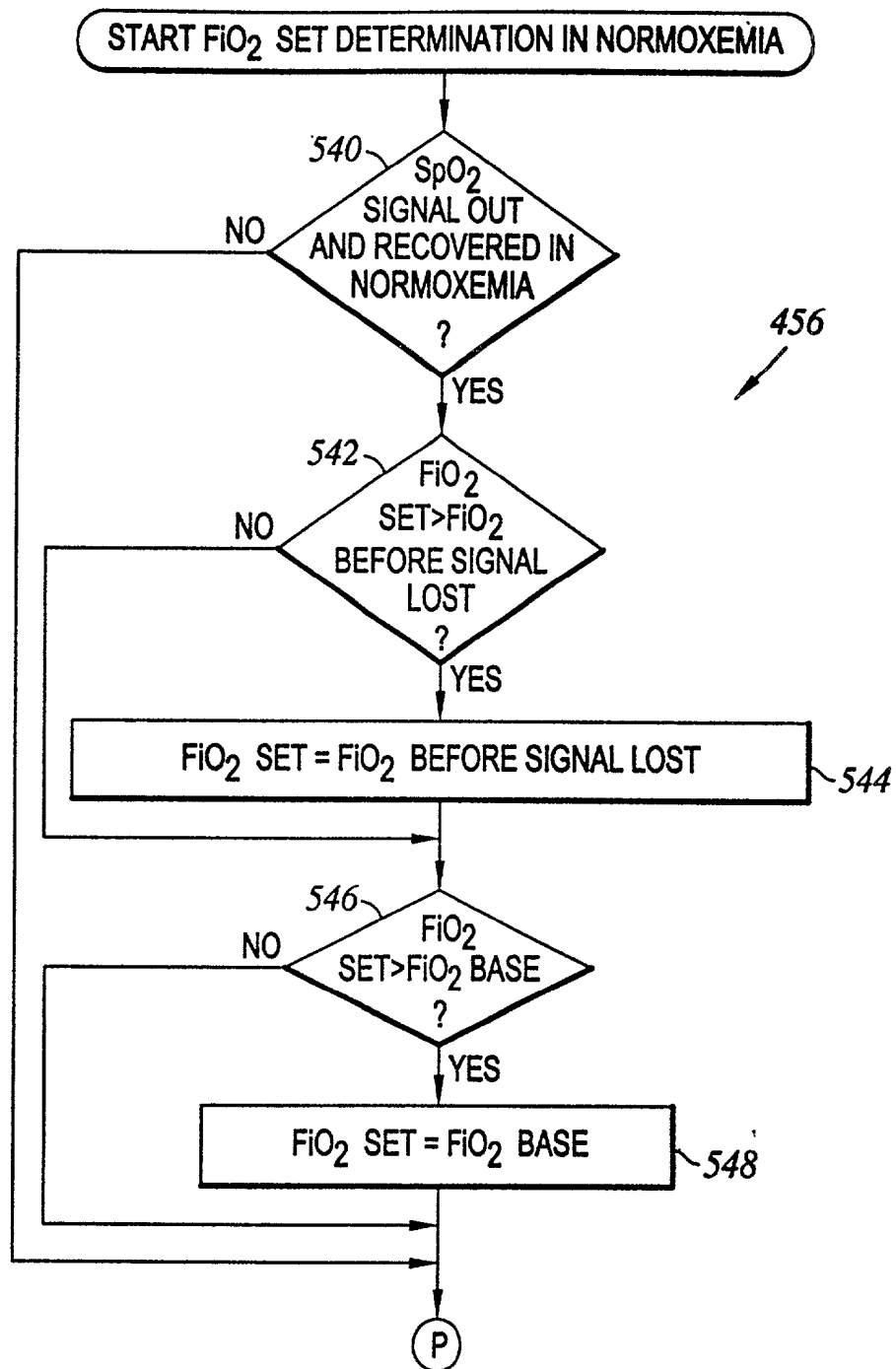




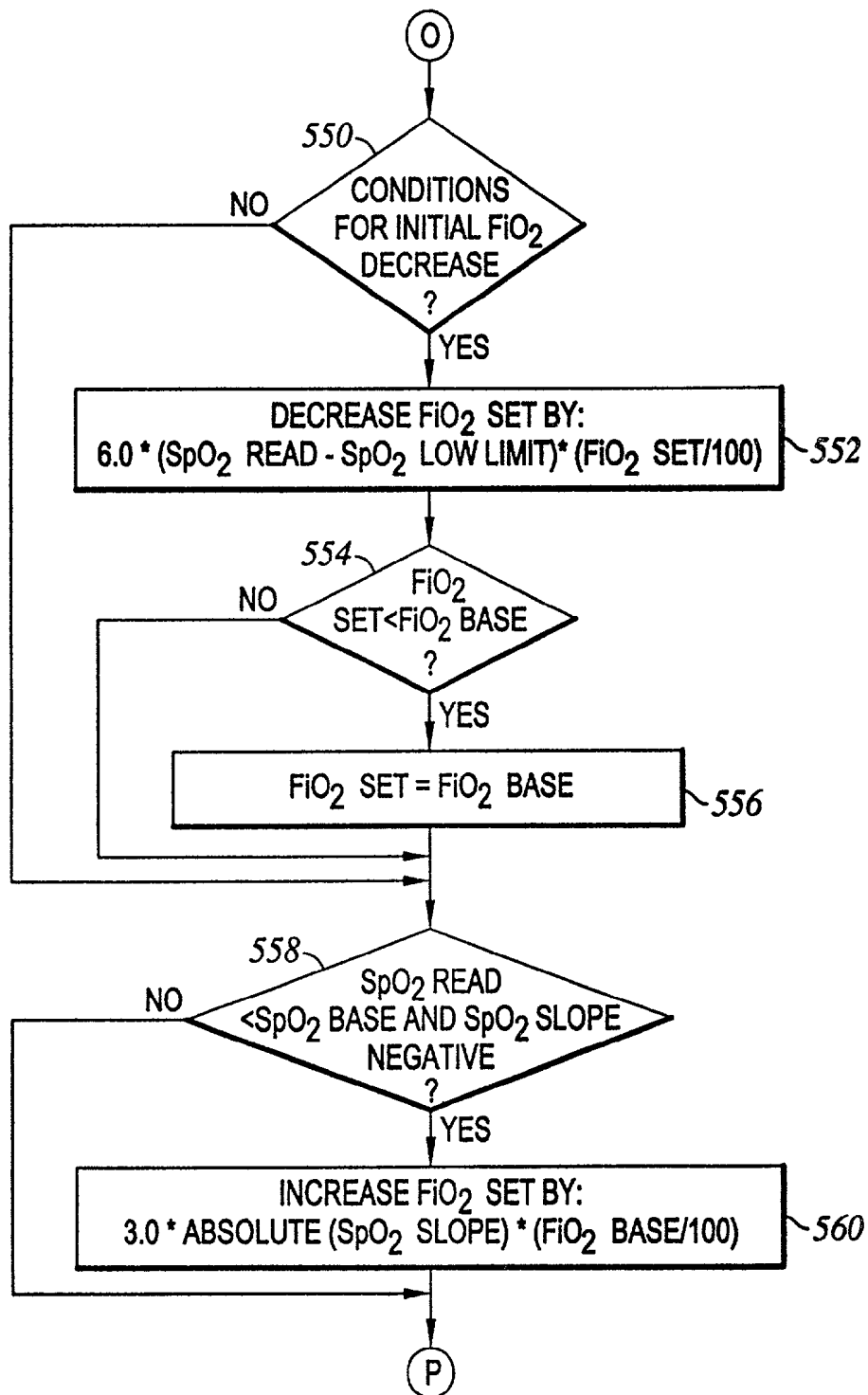
*Fig. 16D*



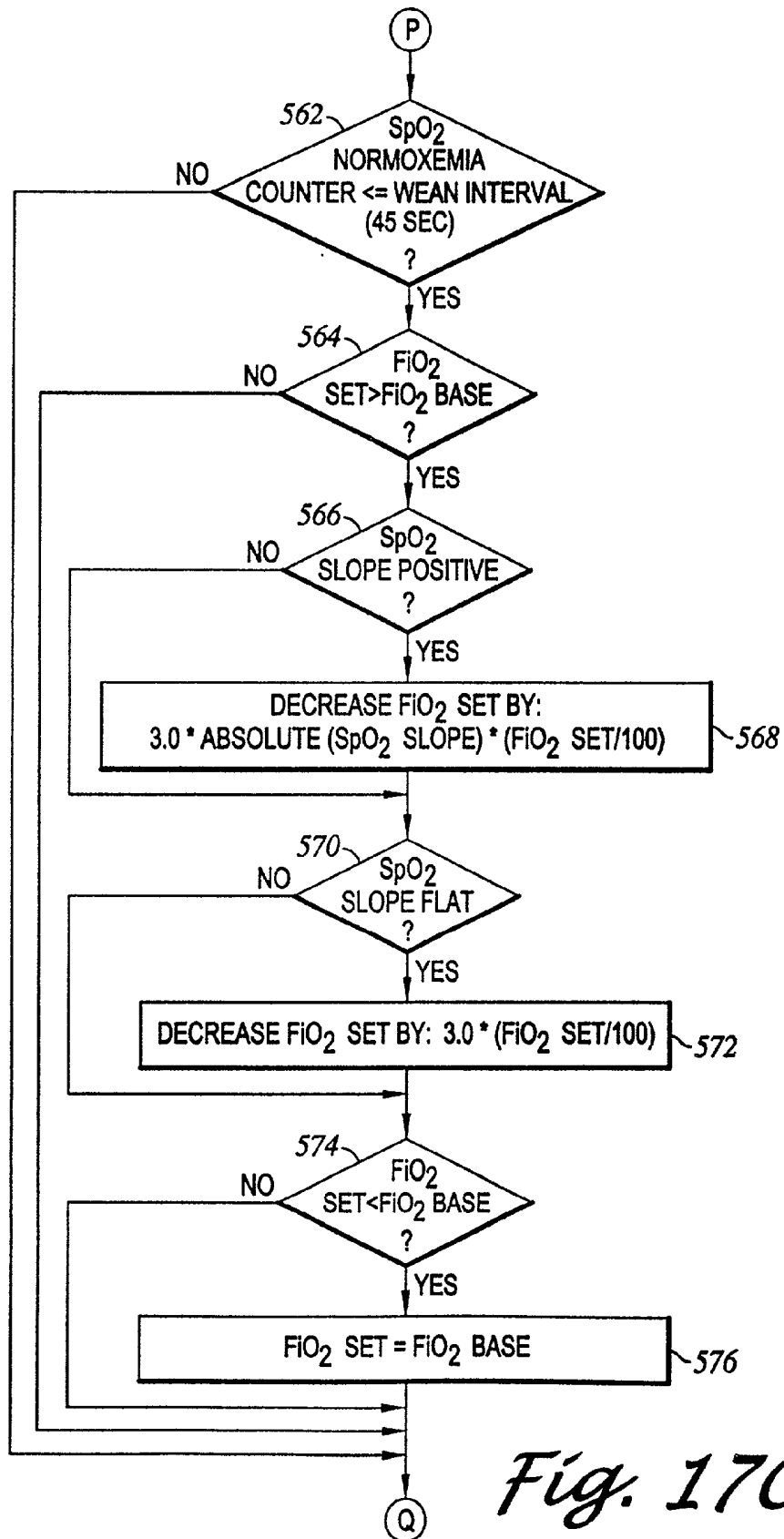
*Fig. 16E*



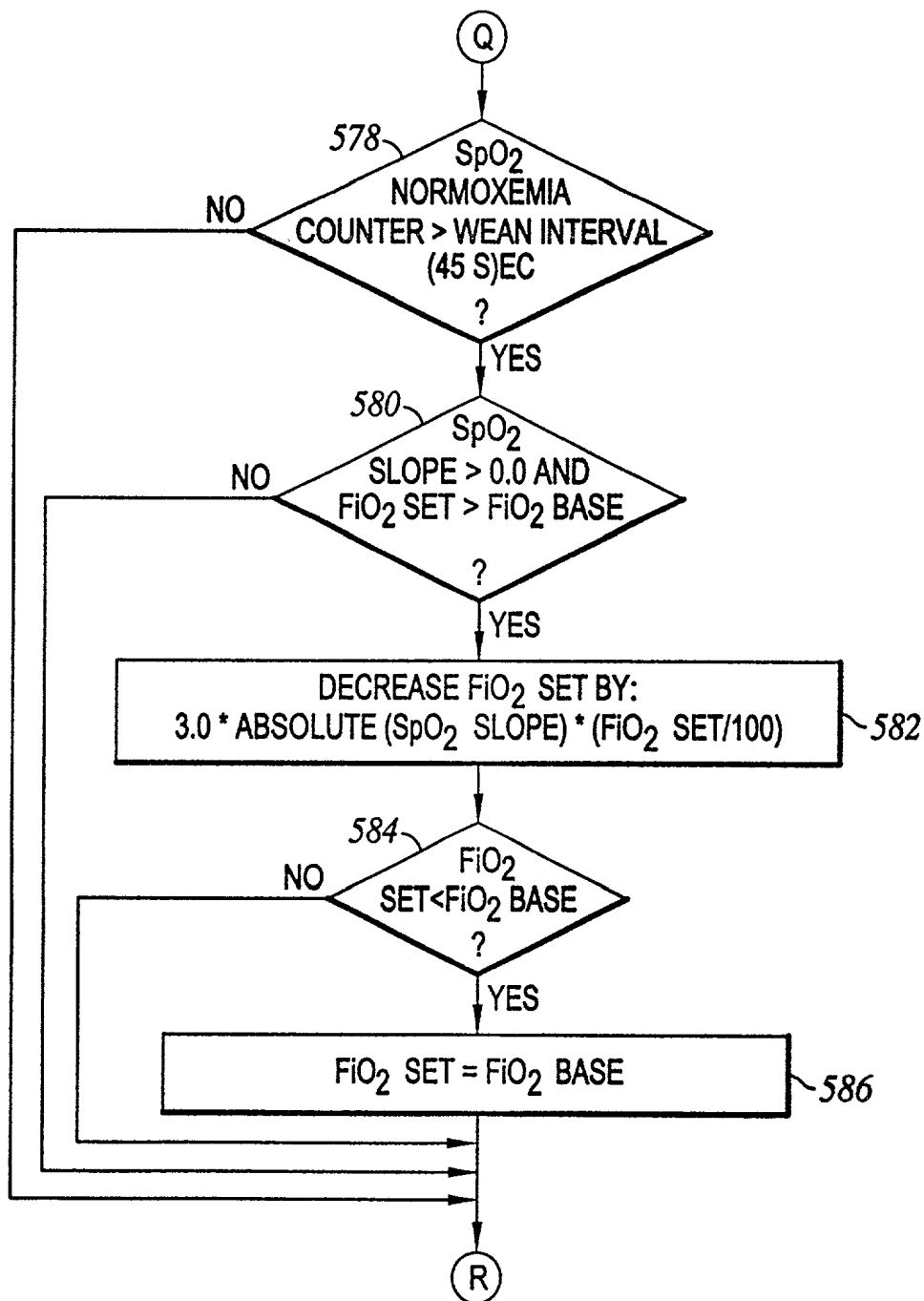
*Fig. 17A*



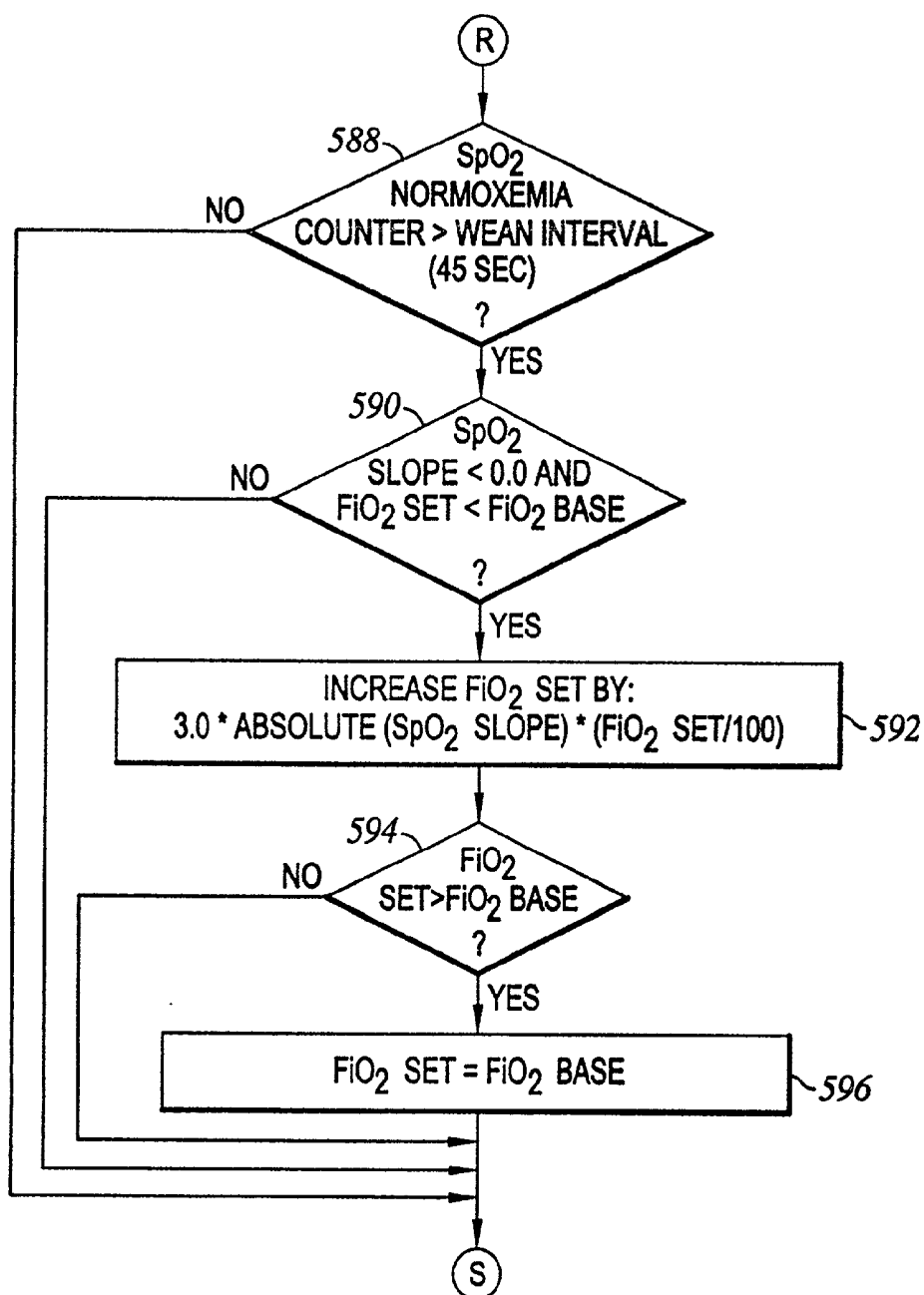
*Fig. 17B*



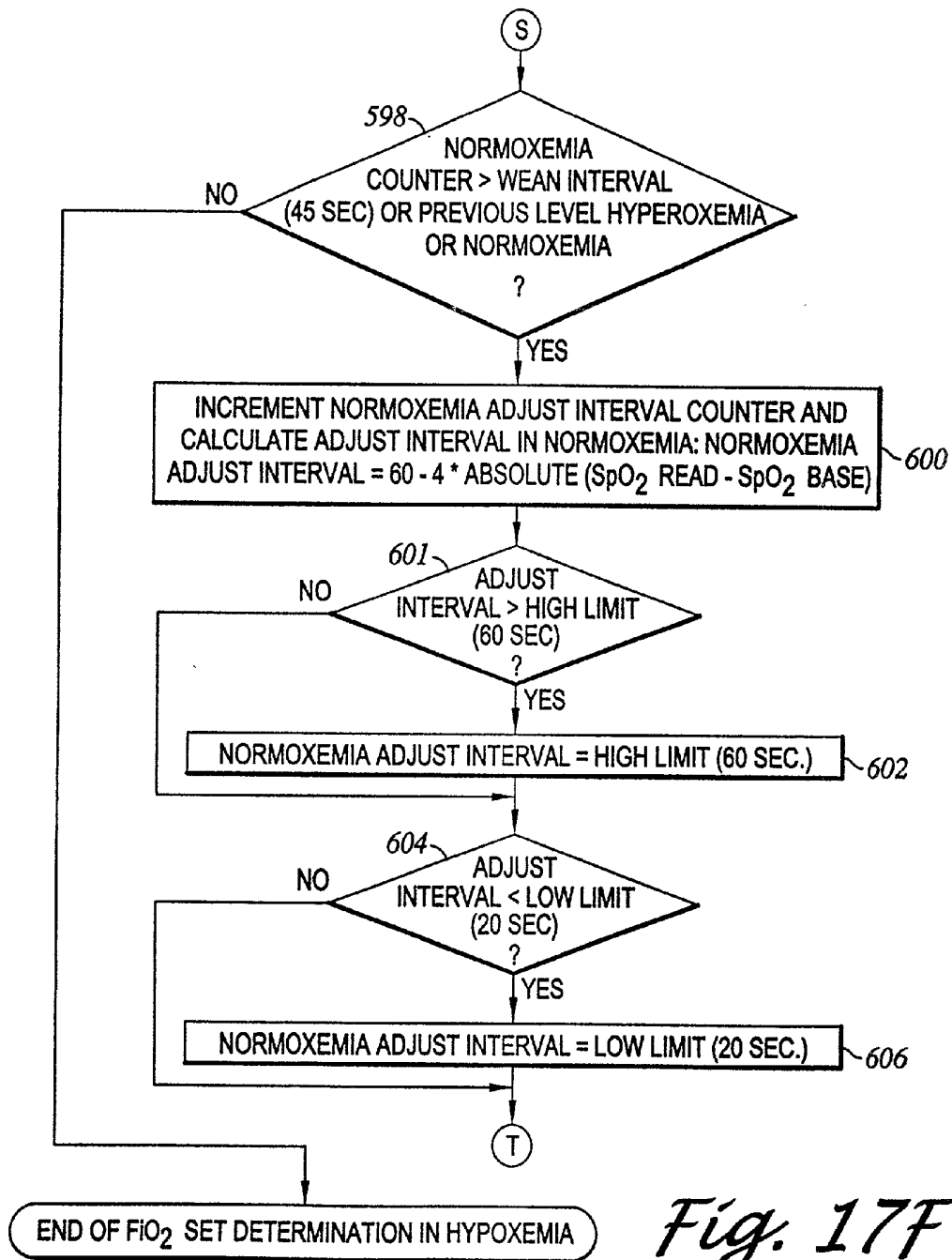
*Fig. 17C*



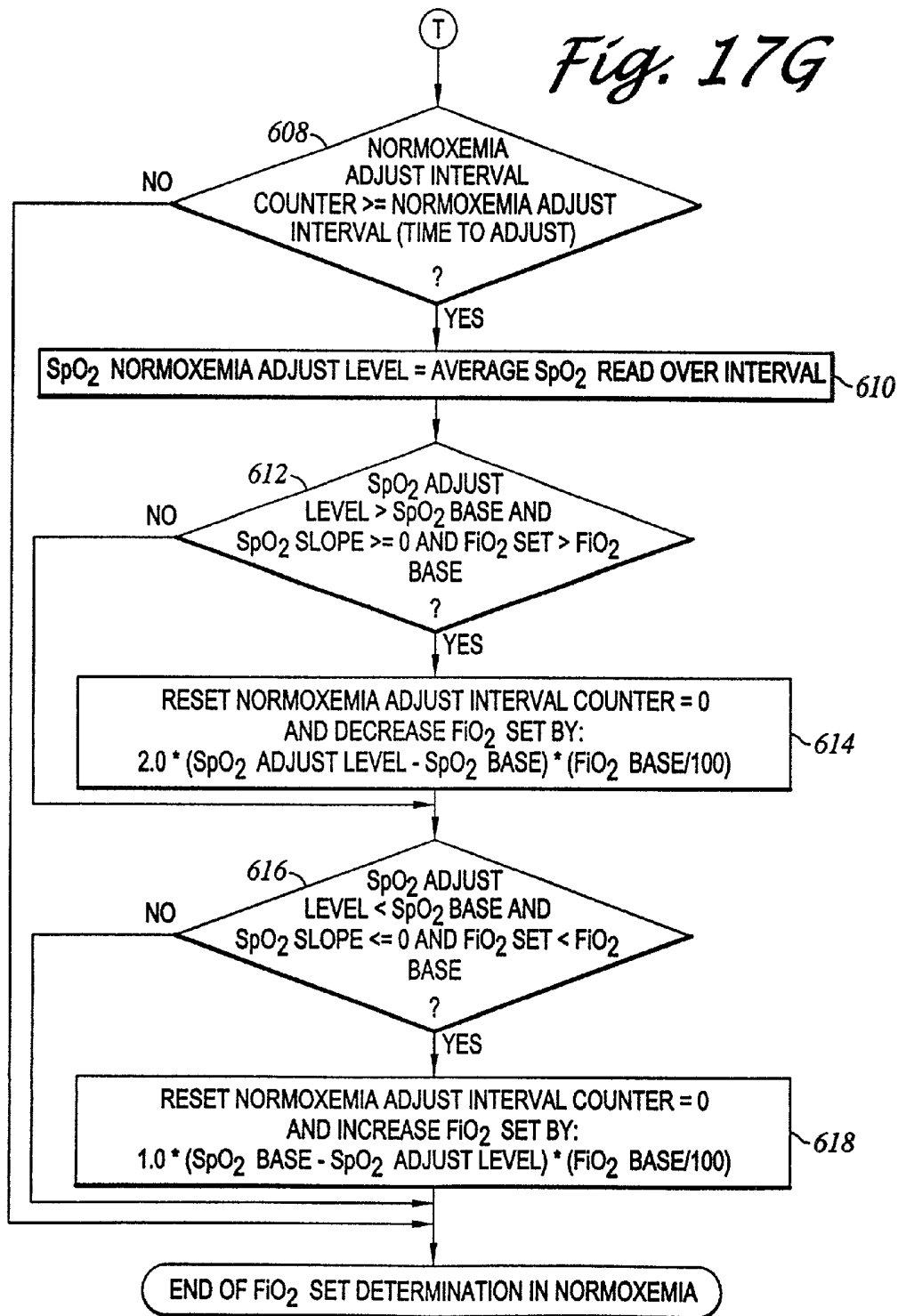
*Fig. 17D*

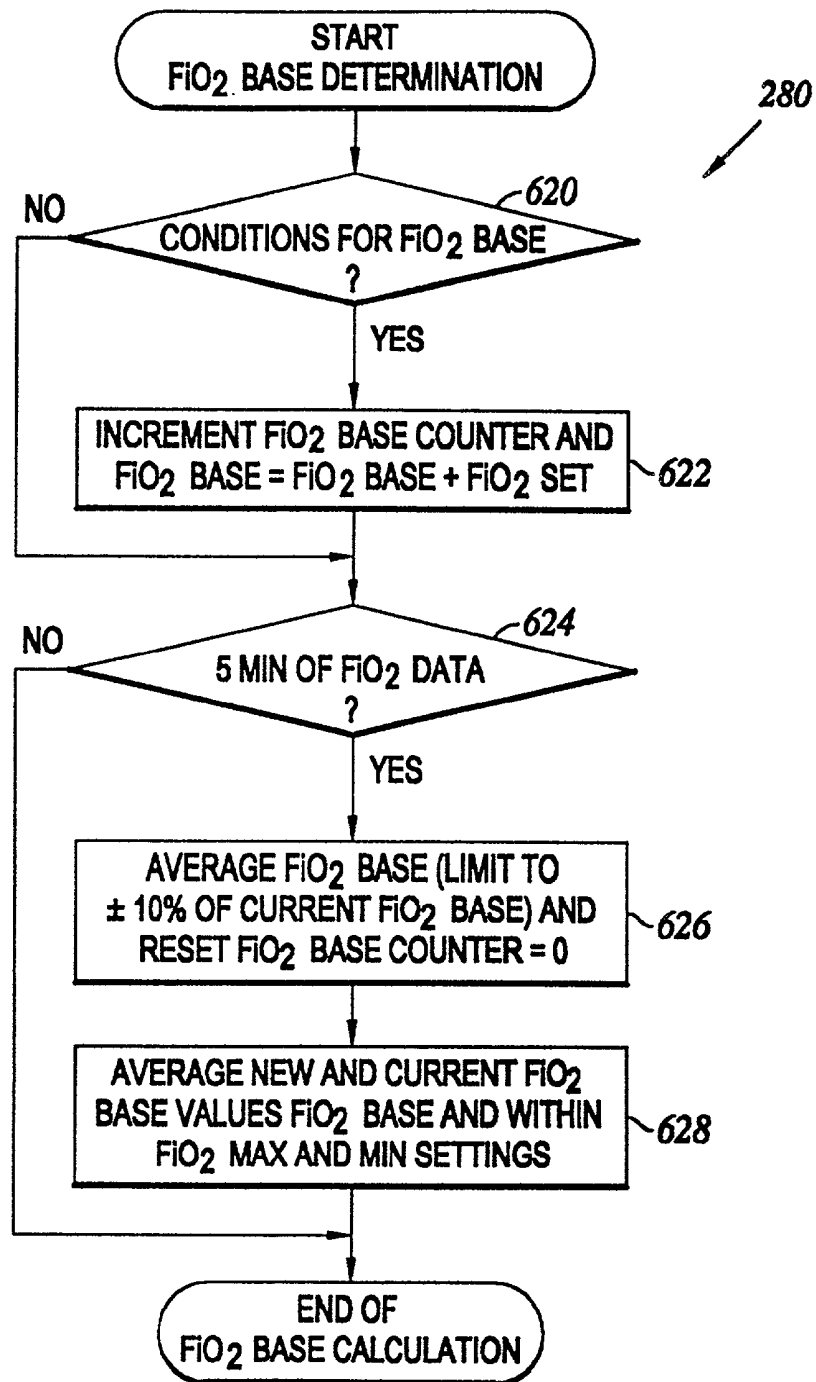


*Fig. 17E*

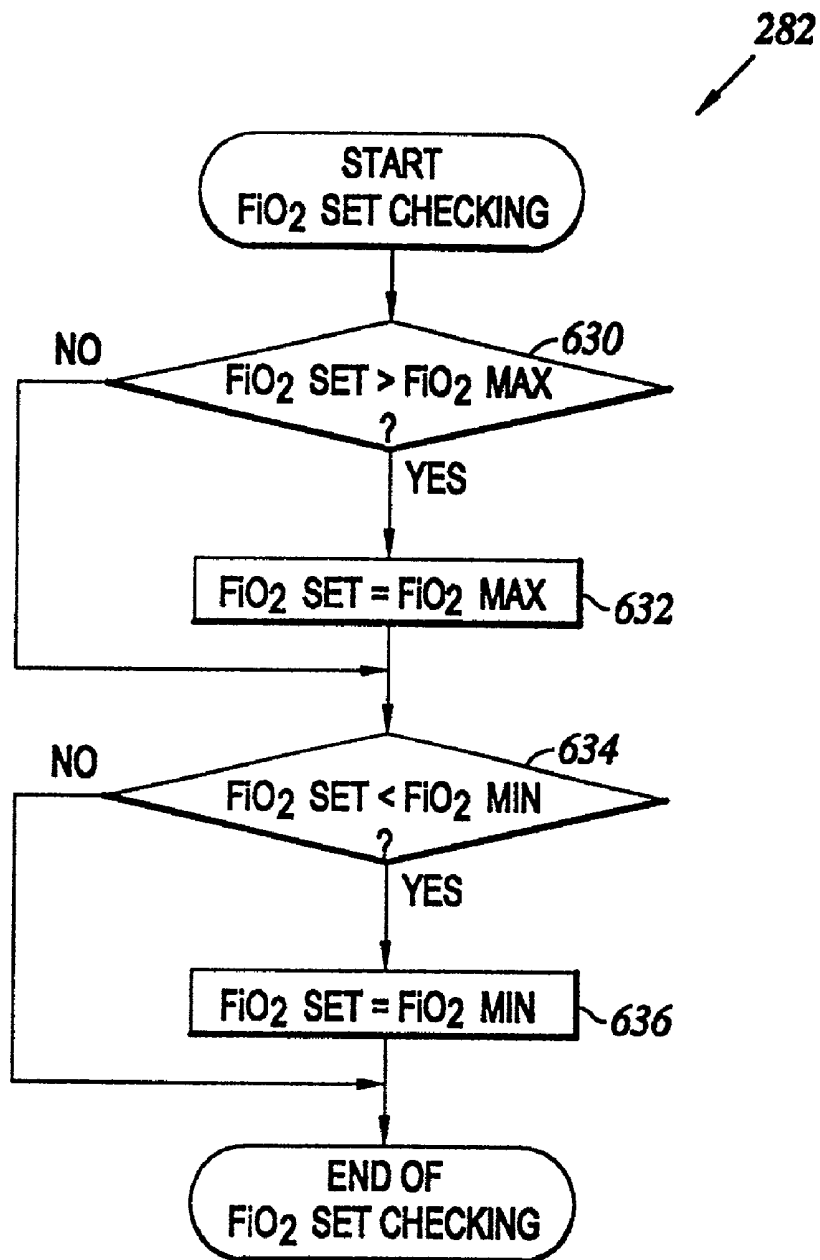


*Fig. 17G*

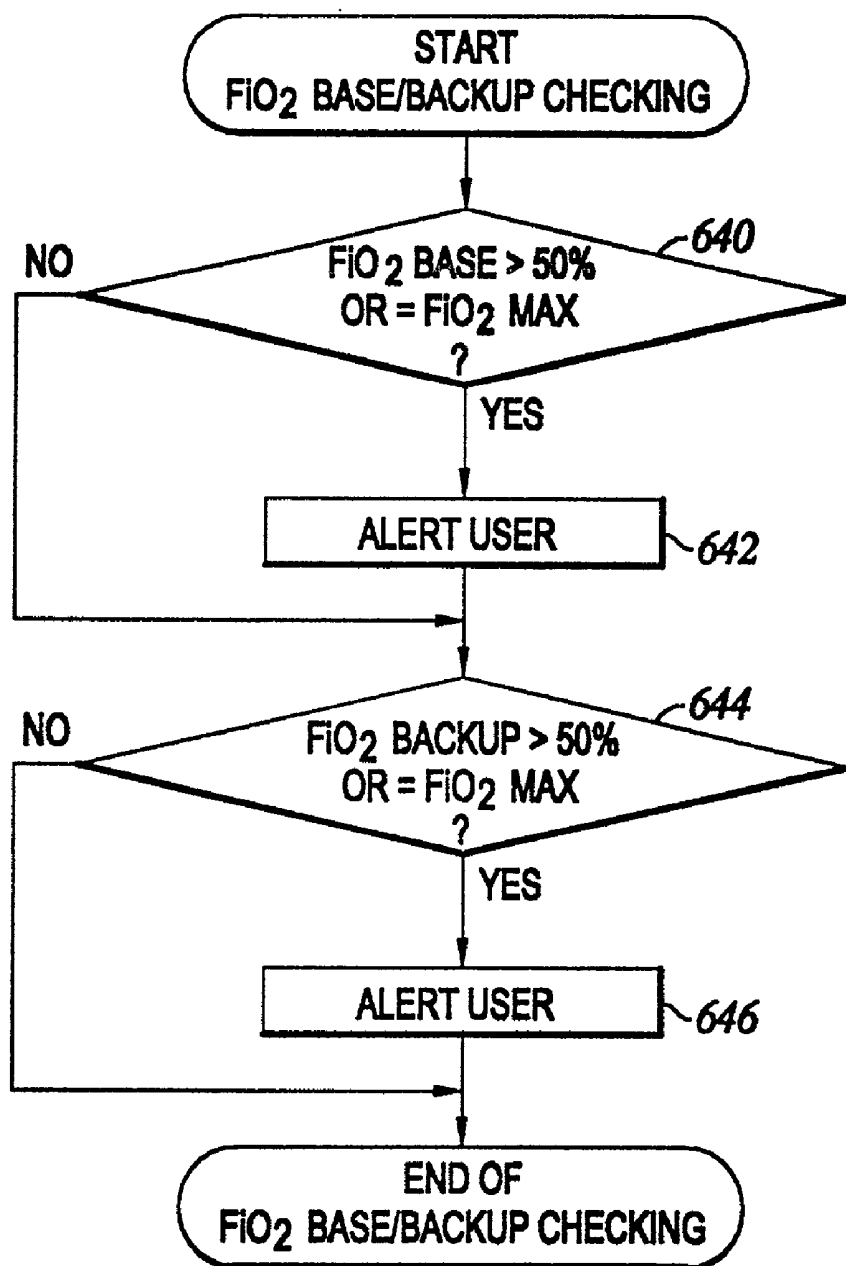




*Fig. 18*

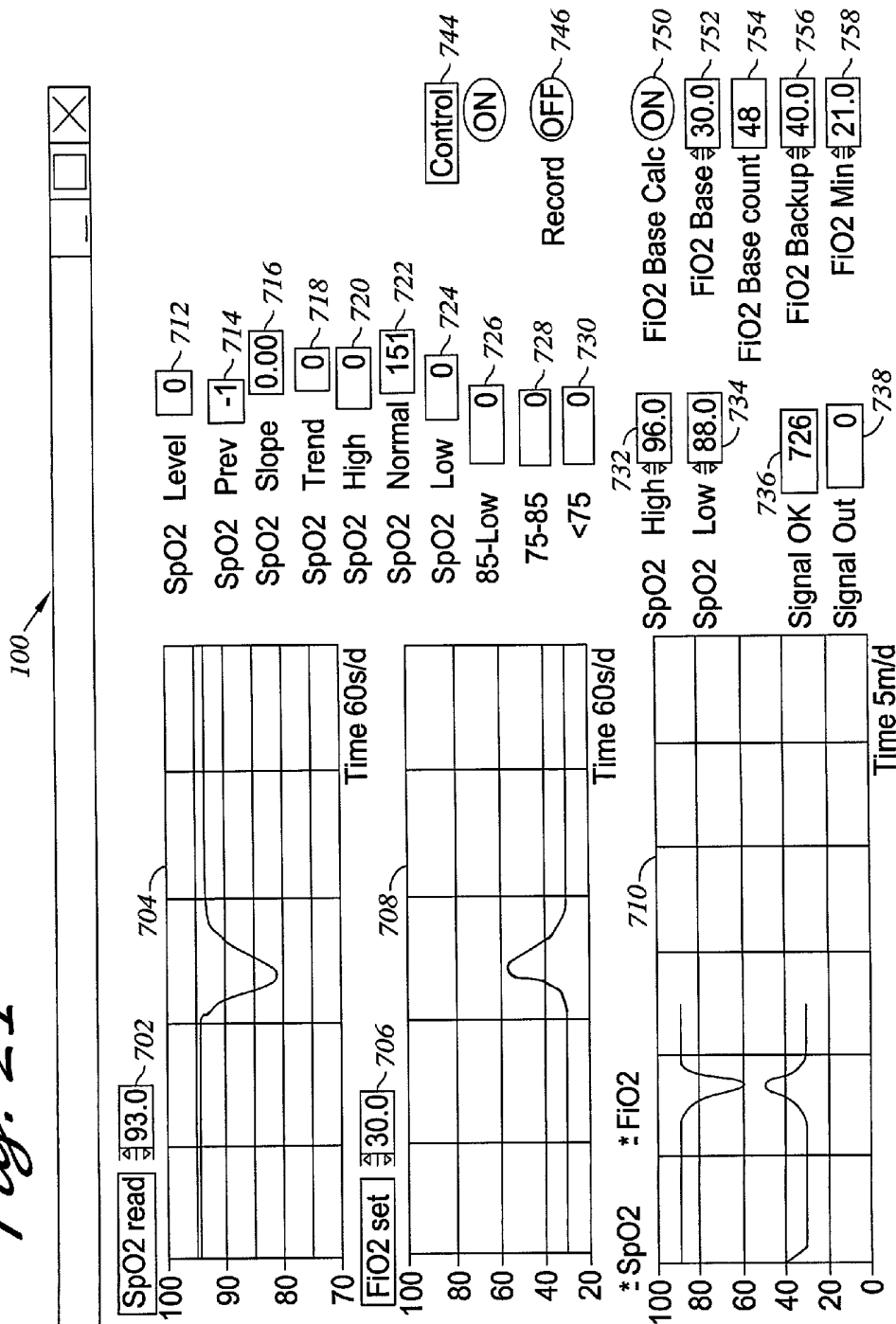


*Fig. 19*



*Fig. 20*

Fig. 21



## SYSTEM AND METHOD FOR CLOSED LOOP CONTROLLED INSPIRED OXYGEN CONCENTRATION

### CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] (Not Applicable)

### STATEMENT RE: FEDERALLY SPONSORED RESEARCH/DEVELOPMENT

[0002] (Not Applicable)

### BACKGROUND OF THE INVENTION

[0003] The present invention relates generally to oxygen delivery systems and more particularly to a closed loop system and method for automatically delivering fractionally inspired oxygen ( $\text{FiO}_2$ ).

[0004] Very low birth weight infants often present with episodes of hypoxemia. These episodes are detected by arterial oxygen saturation monitoring by pulse oximetry ( $\text{SpO}_2$ ) and are usually assisted with a transient increase in the fraction of inspired oxygen ( $\text{FiO}_2$ ).

[0005] Given the rapid onset and frequency at which most of these episodes of hypoxemia occur, maintaining  $\text{SpO}_2$  within a normal range by manual  $\text{FiO}_2$  adjustment during each episode is a difficult and time-consuming task. Nurses and respiratory therapists respond to high/low  $\text{SpO}_2$  alarms. Under routine clinical conditions, the response time is variable and the  $\text{FiO}_2$  adjustment is not well defined. This exposes the infants to periods of hypoxemia and hyperoxemia which may increase the risk of neonatal chronic lung disease and retinopathy of prematurity.

[0006] Thus, a need exists for a system that can automatically adjust  $\text{FiO}_2$ . Prior art systems exist which automatically adjust  $\text{FiO}_2$ . Such systems have had positive results. However, existing systems fail to respond to rapid  $\text{SpO}_2$  changes and require manual intervention. Thus, a need exists for an automated system for adjusting  $\text{FiO}_2$  which will respond to rapid  $\text{SpO}_2$  changes. The system should not require manual intervention, but should allow for manual intervention, if desired. The system should also allow for gradually weaning the  $\text{FiO}_2$  as soon as an episode begins to resolve.

### BRIEF SUMMARY OF THE INVENTION

[0007] In accordance with the present invention, a system is provided for delivering fractionally inspired oxygen ( $\text{FiO}_2$ ) to a patient. The system includes a device, such as a pulse oximeter, for obtaining an arterial hemoglobin oxygen saturation signal ( $\text{SpO}_2$ ). An algorithm uses the  $\text{SpO}_2$  to determine the appropriate  $\text{FiO}_2$  to deliver to the patient. The algorithm adjusts the  $\text{FiO}_2$  level of an air-oxygen mixer of an oxygen delivery device, such as a mechanical ventilator.

[0008] In accordance with other aspects of the invention,  $\text{SpO}_2$  levels, including a target (normoxemia) range, are defined.  $\text{SpO}_2$  values above the normoxemia range are considered to be hyperoxemic and values below the normoxemia range are considered to be hypoxemic.

[0009] In accordance with further aspects of the invention, a determination is made as to whether the  $\text{SpO}_2$  signal is a

valid signal. If the  $\text{SpO}_2$  signal is not a valid signal, the  $\text{FiO}_2$  to be delivered to the patient is determined based on a backup value. If the  $\text{SpO}_2$  signal is a valid signal and closed loop mode is not enabled, the  $\text{FiO}_2$  to be delivered to the patient is determined based on a backup value. If the signal is valid and closed loop mode is enabled, the  $\text{FiO}_2$  to be delivered to the patient is determined based on the current  $\text{SpO}_2$  and the trend. The trend is determined by calculating a slope using previous  $\text{SpO}_2$  values. The determined  $\text{FiO}_2$  is then delivered to the patient, for example, using a ventilator or an air-oxygen gas mixer.

[0010] In accordance with still further aspects of the invention, a user interface is provided. The user interface displays status information. The user interface also displays alerts. The user interface can also be used to view and modify user settings/parameters.

### BRIEF DESCRIPTION OF THE DRAWINGS

[0011] These, as well as other features of the present invention, will become more apparent upon reference to the drawings wherein:

[0012] FIG. 1 is a block diagram of a prior art system for manually adjusting the fraction of inspired oxygen ( $\text{FiO}_2$ );

[0013] FIG. 2 is a block diagram of a system for automatically adjusting  $\text{FiO}_2$  in accordance with the present invention;

[0014] FIG. 3 is a flow diagram illustrating exemplary logic for automatically adjusting  $\text{FiO}_2$  in accordance with the present invention;

[0015] FIG. 4 is a table of exemplary variables and defaults values used in the present invention;

[0016] FIG. 5 is a table of exemplary user settings and default values used in the present invention;

[0017] FIG. 6 is flow diagram illustrating exemplary logic for performing a control cycle as shown in FIG. 3;

[0018] FIG. 7 is a flow diagram illustrating exemplary logic for performing backup processing when a valid  $\text{SpO}_2$  signal is not received as shown in FIG. 6;

[0019] FIGS. 8-20 are a flow diagram illustrating exemplary logic for processing a valid  $\text{SpO}_2$  signal as shown in FIG. 6; and

[0020] FIG. 21 is an exemplary graphical user interface illustrating  $\text{SpO}_2$  and  $\text{FiO}_2$  values over a specified period of time.

### DETAILED DESCRIPTION OF THE INVENTION

[0021] Traditionally, as shown in FIG. 1, a device, such as a pulse oximeter 50, is used to determine arterial hemoglobin oxygen saturation of a patient 60. A nurse 70 monitors the pulse oximeter 50. The nurse 70 adjusts the fractionally inspired oxygen ( $\text{FiO}_2$ ) delivered to the patient 60 using a mechanical ventilator or air-oxygen mixer 80. Typically, ventilator device 80 mixes pure oxygen with air to give the patient a mixture of air having a percentage of oxygen. For example, a ventilator 80 may deliver a 90% oxygen/10% air mixture to the patient 60. The exact mixture of air required varies among patients and can vary for a given patient over

a period of time. When a patient receives too much oxygen, a condition known as hyperoxemia occurs and if a patient does not receive enough oxygen, a condition known as hypoxemia occurs. "Normoxemia" occurs if the proper amount of oxygen is delivered (i.e., neither hyperoxemia nor hypoxemia occurs). A traditional system, such as the one shown in FIG. 1, is an "open system" because it requires human intervention (e.g., by a nurse 70).

[0022] As shown in FIG. 2, the present invention is a "closed system" which uses an algorithm 90 (described in detail below) to deliver  $\text{FiO}_2$  in response to receiving an arterial hemoglobin oxygen saturation signal ( $\text{SpO}_2$ ). In exemplary embodiments of the invention, the algorithm acquires information on arterial oxygen-hemoglobin oxygen saturation ( $\text{SpO}_2$ ) measured by a pulse oximeter 50 and uses this measurement as input to determine the adjustment required, if any, to the fractionally inspired oxygen concentration ( $\text{FiO}_2$ ) delivered to a patient on a continuous basis via a ventilator 80. It will be appreciated that the algorithm described herein can be applied to various modes of oxygen delivery, for example, mechanical ventilators, oxy-hood, nasal cannulas, and/or Continuous Positive Airway Pressure (CPAP) systems or incubators. The delay between the new  $\text{FiO}_2$  setting and the actual oxygen concentration change is important. In most oxygen delivery modes the delay is relatively short (e.g., less than 15 seconds). However, there are significantly longer delays in large hoods or incubators. The closed-loop control system of the present invention is capable of changing the inspired gas concentration fast enough to follow rapid and frequent hypoxemic episodes. In exemplary embodiments of the present invention,  $\text{SpO}_2$  is read from the analog output of a pulse oximeter. However, alternative embodiments allow for reading from other outputs, e.g., from any serial output.

[0023] Even though the invention does not require human intervention, manual adjustments and overrides can be performed. The system described herein is ideally suited for patients who are very low birth weight infants. However, it will be appreciated that the present invention is not so limited. The invention can be used for patients of all ages.

[0024] The present invention includes an algorithm 90 that continuously acquires the patient's  $\text{SpO}_2$  information and adjusts the  $\text{FiO}_2$  delivered to the patient (e.g., via a mechanical ventilator 80) to maintain  $\text{SpO}_2$  within a specific range set by a user (e.g., a nurse). In exemplary embodiments, the algorithm 90 calculates and adjusts the  $\text{FiO}_2$  once per second on a "closed loop" basis using a direct electronic interface between the algorithm 90 and the ventilator's air-oxygen blender control.

[0025] The algorithm 90 defines  $\text{SpO}_2$  ranges based on a user-defined target range of normoxemia. Hyperoxemia is assumed to occur when  $\text{SpO}_2$  exceeds the normoxemia target range and hypoxemia is assumed to occur when  $\text{SpO}_2$  falls below the normoxemia target range. The differential control feedback functions are used to deal with the patient variability changes in  $\text{FiO}_2$  which in combination with the algorithm's rules modulate the magnitude and timing of  $\text{FiO}_2$  adjustments during periods of normoxemia or during a hypoxemic or hyperoxemic episode. The factors used to determine the adjustments are the current  $\text{SpO}_2$  level, direction and rate of  $\text{SpO}_2$  change, degree and duration of the

hypoxemic or hyperoxemic episode, current  $\text{FiO}_2$  setting, and the individual patient's basal  $\text{FiO}_2$  requirement during normoxemia.

[0026]  $\text{FiO}_2$  adjustments during hyperoxemia and normoxemia are of smaller magnitude and slower pace than those occurring during hypoxemia. However, the rules and control functions in the algorithm are designed to enable the algorithm to modify its responses to changing conditions, from slow and subtle  $\text{SpO}_2$  changes during periods of stability to rapidly falling  $\text{SpO}_2$  during an acute period of hypoxemia.

[0027] The algorithm 90 also has a backup function in the event that there is missing  $\text{SpO}_2$  information. The backup function locks the  $\text{FiO}_2$  after a short wait period at a backup level preset by the user or at the current  $\text{FiO}_2$  level, whichever is higher until  $\text{SpO}_2$  information is available again.

[0028] In addition to the standard pulse oximeter alarms, the algorithm alerts the user when an episode of hyperoxemia or hypoxemia occurs, when it lasts for more than a specified period of time (e.g., two minutes) in spite of  $\text{FiO}_2$  adjustments, and when the adjustments set the  $\text{FiO}_2$  at certain levels for example, a low level of 0.21 (room air) and a high level of 1.0 (pure oxygen). The user is also alerted when  $\text{SpO}_2$  signal is lost. These alerts are intended to notify the user (e.g., nurse) to verify proper function of the  $\text{SpO}_2$  measurement,  $\text{FiO}_2$  delivery and communication links.

[0029] FIG. 3 is a flow diagram illustrating exemplary logic performed by algorithm 90. The algorithm classifies  $\text{SpO}_2$  according to ranges set by the user. The user sets a target range for normoxemia (e.g., an exemplary default range is 88%-96%). An  $\text{SpO}_2$  above the range for normoxemia (e.g., greater than 96%) is considered hyperoxemic. An  $\text{SpO}_2$  below the range for normoxemia (e.g., less than 88%) is considered hypoxemic. Because of its importance, hypoxemia is further subdivided. In exemplary embodiments, hypoxemia is further subdivided into the following ranges: less than 75%; 75-85%; and 85% to the low limit of the target range, (for example, using the exemplary default range, 85%-88%).  $\text{FiO}_2$  is adjusted based on the current  $\text{SpO}_2$ , the  $\text{SpO}_2$  trend and the time that  $\text{SpO}_2$  has been within the range, as well as basal and current  $\text{FiO}_2$  settings.

[0030] The logic of FIG. 3 moves from a start block to block 100 where system defaults are set. Various system defaults or parameters, such as those shown in the table of FIG. 4 are preset. The parameters (variables) in Table 4 are described in further detail later. These variables can be modified by the application and/or by the user.

[0031] After system defaults are set, the logic of FIG. 3 moves to block 102 where a user interface is displayed. An exemplary user interface is illustrated in FIG. 21 and described later.

[0032] The logic of FIG. 3 then moves to block 104 where user settings are read. User settings, such as those shown in FIG. 5, should be set prior to commencement of the closed loop execution (i.e., prior to entering the control cycle). Preferably, the settings can also be set or modified during execution of the algorithm. Preferably, a suitable user interface (such as the one shown in FIG. 21) is provided to allow the user to set/modify these values. Although the user can set/modify these values, preferably system defaults (such as those shown in FIG. 5) are provided.

[0033] In exemplary embodiments of the invention SpO<sub>2</sub> Target Range High Limit and SpO<sub>2</sub> Target Range Low Limit define the patient's desired target range. In the exemplary embodiment shown in FIG. 5, SpO<sub>2</sub> Target Range Low Limit must be in the range between 85%-94% and has a default value of 88% and SpO<sub>2</sub> Target Range High Limit must be in the range between 94%-100% and has a default value of 96%. Thus, the default target range is 88%-96%.

[0034] FiO<sub>2</sub> Base is the patient's basal oxygen requirement to maintain normal SpO<sub>2</sub>. FiO<sub>2</sub> Base can be kept fixed at the user setting or automatically adjusted by the algorithm to changes in the basal oxygen needs. FiO<sub>2</sub> Base is also the initial level for FiO<sub>2</sub> Set when closed loop is switched ON.

[0035] The default setting for FiO<sub>2</sub> Base can alternatively be obtained from the user setting during manual (normal) mode used before closed loop is ON. In the exemplary embodiment shown in FIG. 5, FiO<sub>2</sub> Base must be in the range between 21%-60% and has a default value of 30%.

[0036] FiO<sub>2</sub> Backup is the default value for FiO<sub>2</sub> Set when the system is started, when SpO<sub>2</sub> Signal is OUT or when closed-loop switch is OFF. FiO<sub>2</sub> Backup should not be lower than the basal (FiO<sub>2</sub> Base). In the exemplary embodiment shown in FIG. 5, FiO<sub>2</sub> Backup must be in the range between 21%-100% and has a default value of 40%.

[0037] FiO<sub>2</sub> Min is the minimum level at which FiO<sub>2</sub> Set, FiO<sub>2</sub> Base and FiO<sub>2</sub> Backup can be set. In the exemplary embodiment shown in FIG. 5, FiO<sub>2</sub> Min has a default value of 21% (room air)

[0038] FiO<sub>2</sub> Max (not shown in FIG. 5) is a default parameter. For example, FiO<sub>2</sub> Max is initially set at a default of 100% (pure oxygen), but can be user selectable.

[0039] After the user settings are read, the logic of FIG. 3 proceeds to block 106 where a control cycle is performed as shown in FIG. 6 and described in detail next. The control cycle is initiated when the user switches closed-loop to ON. If closed-loop is set to OFF, the control cycle loop continues, but FiO<sub>2</sub> Set is returned to the FiO<sub>2</sub> Backup level. FiO<sub>2</sub> Set is the actual parameter set at the air-oxygen mixer.

[0040] FIG. 6 is a flow diagram illustrating exemplary logic for performing a control cycle in accordance with the present invention. The logic moves from a start block to block 200 where SpO<sub>2</sub> (e.g., SpO<sub>2</sub> output signal from pulse oximeter 50) is read. The SpO<sub>2</sub> that is read is stored as SpO<sub>2</sub> Read. Next, the logic moves to decision block 202 where a test is made to determine if SpO<sub>2</sub> Read is within the acceptable SpO<sub>2</sub> range. For example, as shown in FIG. 4, in exemplary embodiments, the default range is between 20 and 100%. If SpO<sub>2</sub> Read is not within the acceptable range (no in decision block 202), the logic moves to block 204 where SpO<sub>2</sub> signal OUT processing is performed as shown in FIG. 7 and described below. Most oximeters provide a 0% reading when signal is OUT when communication between the oximeter and algorithm is by means of the analog output of the pulse oximeter. Alternatively, if serial communication exists between the oximeter and the algorithm, SpO<sub>2</sub> information can be monitored by proper communication handshake. If SpO<sub>2</sub> is within the SpO<sub>2</sub> OK range (yes in decision block 202), the logic moves to block 206 where SpO<sub>2</sub> Signal OK processing is performed as shown in detail in FIG. 8 and described later. After SpO<sub>2</sub> signal OUT processing has been performed (block 204) or SpO<sub>2</sub> OK

processing has been performed (block 206), the logic moves to block 208 to monitor whether user settings have been changed. Preferably, the user can change various settings at any time. If user settings have been changed, variables are updated accordingly. The logic then returns to block 200 where SpO<sub>2</sub> is read and processed again. In exemplary embodiments, SpO<sub>2</sub> is read and processed (e.g., FiO<sub>2</sub> adjusted accordingly) every second. Thus, the SpO<sub>2</sub> is continuously monitored every second until the system is shut off.

[0041] FIG. 7 illustrates exemplary logic for performing SpO<sub>2</sub> Signal OUT (e.g., backup mode) processing in accordance with the present invention. In backup mode processing, FiO<sub>2</sub> Set (i.e., the actual parameter set at the air-oxygen mixer) is locked at the FiO<sub>2</sub> Backup level, at the FiO<sub>2</sub> Base level or at the current level (whichever is higher) until feedback information is available again.

[0042] The logic of FIG. 7 moves from a start block to block 220 where the user is alerted and the cause is checked. There are various reason why a pulse oximeter may fail to provide information, for example, poor signal quality during motion or low perfusion (or both), a loose probe or a probe no longer in place, or a break on the communication link between the oximeter and the algorithm 90. Next, the logic moves to block 222 where SpO<sub>2</sub> Out Counter is incremented. SpO<sub>2</sub> Out Counter is used to confirm that signal loss is not related to some type of temporary variability or error. Only after a minimum interval has passed is SpO<sub>2</sub> Signal OK Counter reset. This allows activities to resume normally if there was a short drop-out period. Next, the logic moves to decision block 224 where a test is made to determine if SpO<sub>2</sub> Out Counter is equal to SpO<sub>2</sub> Time to Zero Counters. If so, the logic moves to block 226 where SpO<sub>2</sub> OK Counter is set to zero. For example, in the exemplary embodiment shown in FIG. 4, the default value is ten seconds. Thus, if SpO<sub>2</sub> Out Counter is equal to ten, SpO<sub>2</sub> OK Counter will be reset to zero. The illustrated embodiment assumes that SpO<sub>2</sub> is read and processed once a second. However, it will be appreciated that the algorithm can be modified to accommodate reading and processing SpO<sub>2</sub> values at a different interval.

[0043] Next, the logic moves to decision block 228 where a test is made to determine if SpO<sub>2</sub> Out Counter has been set for the last five seconds (e.g., SpO<sub>2</sub> Out Counter is greater than or equal to five). While the logic illustrated is based on a lost SpO<sub>2</sub> signal for five consecutive seconds, it will be appreciated that other time periods can be used. Preferably, the default value can be modified by the user. A short wait will provide early additional oxygen if hypoxemia is accompanied by motion of the extremities (which is often observed), whereas a longer wait will generally apply to cases where hypoxemia is not frequent and signal loss is not accompanied by hypoxemia. If SpO<sub>2</sub> has not been set for the specified period of time (e.g., five seconds), the logic of FIG. 7 ends.

[0044] If, however, SpO<sub>2</sub> has been lost (OUT) for the last five seconds or other specified period of time (yes in decision block 228), the logic moves to block 230 where FiO<sub>2</sub> Set Last Before Signal Lost is set to FiO<sub>2</sub> Set. When FiO<sub>2</sub> is set to the backup level, the algorithm stores the last FiO<sub>2</sub> value in memory. This FiO<sub>2</sub> Set Last Before Signal Lost value is used under some conditions to set FiO<sub>2</sub> as soon as SpO<sub>2</sub> is available again. Next, the logic moves to decision

block **232** where a test is made to determine if  $\text{FiO}_2$  Backup is greater than or equal to  $\text{FiO}_2$  Base. If so, the logic moves to decision block **234** where a test is made to determine if  $\text{FiO}_2$  Set is less than  $\text{FiO}_2$  Backup. If so, the logic moves to block **236** where  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Backup. The logic of **FIG. 7** then ends and processing returns to **FIG. 6**.

[0045] If  $\text{FiO}_2$  Backup is less than  $\text{FiO}_2$  Base (no in decision block **232**), the logic moves to decision block **238** where a test is made to determine if  $\text{FiO}_2$  Set is less than  $\text{FiO}_2$  Base. If so, the logic moves to block **240** where  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Base. If not,  $\text{FiO}_2$  set does not get changed. The logic of **FIG. 7** then ends and processing returns to **FIG. 6**.

[0046] **FIG. 8** illustrates exemplary logic for performing  $\text{SpO}_2$  Signal OK processing in accordance with the present invention. The counters of time spent with  $\text{SpO}_2$  within each range are updated continuously. These counters are used to classify and confirm the actual  $\text{SpO}_2$  level (normoxemia, hyperoxemia or hypoxemia) and discriminate against short variability. Only after a minimum time has elapsed since  $\text{SpO}_2$  has reached any specific range is it considered to be a new  $\text{SpO}_2$  level. In the exemplary embodiment illustrated in **FIG. 4**, the default time period before being considered a new level defaults to three seconds ( $\text{SpO}_2$  Time in High Norm Low Range Min). This short interval can be affected by short variability, therefore, other counters for previous  $\text{SpO}_2$  ranges are reset only after a longer interval ( $\text{SpO}_2$  Time to Zero Counters, which defaults to ten seconds in the exemplary embodiment shown in **FIG. 4**) has elapsed. In this way,  $\text{SpO}_2$  Read is confirmed to be out of any specific range only after the longer time period (e.g., ten seconds).  $\text{SpO}_2$  Read is confirmed to be in the new range after three seconds (or whatever value  $\text{SpO}_2$  Time in High Norm Low Range Min is set to) but it is confirmed to be out of the previous range only after ten seconds (or whatever value Time to Zero Counters is set to). In this way, if  $\text{SpO}_2$  Read returns shortly after to the previous range, all activities in that range will resume immediately.

[0047] The logic of **FIG. 8** moves from a start block to block **250** where  $\text{SpO}_2$  OK Counter is incremented. Next, the logic moves to decision block **252** where a test is made to determine if  $\text{SpO}_2$  OK Counter is equal to  $\text{SpO}_2$  Time to Zero Counters. If so, the logic moves to block **254** where  $\text{SpO}_2$  Out Counter is set to zero. Next, appropriate timing processing is performed based on  $\text{SpO}_2$  Read. If  $\text{SpO}_2$  Read is in the target range for normoxemia, for example, 88%-96%, (yes in decision block **256**), the logic moves to block **258** where normoxemia timing is performed as shown in detail in **FIG. 9** and described next.

[0048] **FIG. 9** illustrates exemplary logic for performing normoxemia timing in accordance with the present invention. As shown in **FIG. 9**, and described below, normoxemia is considered the new  $\text{SpO}_2$  level only after a specified period of time (e.g., three seconds) has elapsed since  $\text{SpO}_2$  entered the target range, however, counters for other  $\text{SpO}_2$  ranges are reset only after a longer interval (e.g., ten seconds) has elapsed. The logic of **FIG. 9** moves from a start block to block **300** where  $\text{SpO}_2$  Normoxemia Counter is incremented. Next, the logic moves to decision block **302** where a test is made to determine if  $\text{SpO}_2$  Normoxemia Counter is greater than or equal to  $\text{SpO}_2$  Min Time in Range ( $\text{SpO}_2$  Time in High Norm Low Range, e.g., three seconds).

If so, the logic moves to decision block **304** where a test is made to determine if  $\text{SpO}_2$  Normoxemia Counter is equal to  $\text{SpO}_2$  Min Time in Range. If so, the logic moves to block **306** where  $\text{SpO}_2$  Previous Level is set to  $\text{SpO}_2$  Level. Regardless of the outcome of decision block **304**, the logic proceeds to block **308** where  $\text{SpO}_2$  Level is set to Normoxemia. Regardless of the outcome of decision block **302**, the logic moves to decision block **310** where a test is made to determine if  $\text{SpO}_2$  Normoxemia Counter is greater than  $\text{SpO}_2$  Time to Zero Counters. If so, the logic moves to block **312** where counters ( $\text{SpO}_2$  Hyperoxemia Counter,  $\text{SpO}_2$  Hypoxemia Counter,  $\text{SpO}_2$  Hypoxemia 85-Low Limit Counter,  $\text{SpO}_2$  Hypoxemia 75-85 Counter and  $\text{SpO}_2$  Hypoxemia less than 75 Counter) are set to zero. The logic of **FIG. 9** then ends and processing returns to **FIG. 8**.

[0049] Returning to **FIG. 8**, if  $\text{SpO}_2$  Read is greater than the target range (yes in decision block **260**), the logic moves to block **262** where hyperoxemia timing is performed as shown in detail in **FIG. 10** and described next.

[0050] **FIG. 10** illustrates exemplary logic for performing hyperoxemia timing in accordance with the present invention. As shown in **FIG. 10** and described below, hyperoxemia is considered the new  $\text{SpO}_2$  level only after a specified period of time (e.g., three seconds) has elapsed since  $\text{SpO}_2$  entered the hyperoxemia range, however, counters for other  $\text{SpO}_2$  ranges are reset only after a longer interval (e.g., ten seconds) has elapsed. The logic of **FIG. 10** moves from a start block to block **320** where  $\text{SpO}_2$  Hyperoxemia Counter is incremented. Next, the logic moves to decision block **322** where a test is made to determine if  $\text{SpO}_2$  Hyperoxemia Counter is greater than or equal to  $\text{SpO}_2$  Min Time in Range. If so, the logic moves to decision block **324** where a test is made to determine if  $\text{SpO}_2$  Hyperoxemia Counter is equal to the  $\text{SpO}_2$  Min Time in Range. If so, the logic moves to block **326** where  $\text{SpO}_2$  Previous Level is set to  $\text{SpO}_2$  Level. Regardless of the outcome of decision block **324**, the logic proceeds to block **328** where  $\text{SpO}_2$  Level is set to Hyperoxemia. Regardless of the outcome of decision block **322**, the logic moves to decision block **330** where a test is made to determine if  $\text{SpO}_2$  Hyperoxemia Counter is greater than  $\text{SpO}_2$  Time to Zero Counters. If so, the logic moves to block **332** where counters ( $\text{SpO}_2$  Normoxemia Counter,  $\text{SpO}_2$  Hypoxemia Counter,  $\text{SpO}_2$  Hypoxemia 85-Low Limit Counter,  $\text{SpO}_2$  Hypoxemia 75-85 Counter and  $\text{SpO}_2$  Hypoxemia less than 75 Counter) are set to zero. The logic of **FIG. 10** then ends and processing returns to **FIG. 8**.

[0051] Returning to **FIG. 8**, if  $\text{SpO}_2$  Read is less than the target range (yes in decision block **264**), the logic moves to block **266** where hypoxemia timing is performed as shown in detail in **FIG. 11** and described next.

[0052] **FIG. 11** illustrates exemplary logic for performing hypoxemia timing in accordance with the present invention. As shown in **FIG. 11**, and described below, hypoxemia is considered the new  $\text{SpO}_2$  level only after a specified period of time (e.g., three seconds) has elapsed since  $\text{SpO}_2$  entered the hypoxemia range, however, counters for other  $\text{SpO}_2$  ranges are reset only after a longer interval (e.g., ten seconds) has elapsed. The logic of **FIG. 11** moves from a start block to block **340** where  $\text{SpO}_2$  Hypoxemia Counter is incremented. Next, the logic moves to decision block **342** where a test is made to determine if  $\text{SpO}_2$  Hypoxemia Counter is greater than or equal to  $\text{SpO}_2$  Min Time in Range.

If so, the logic moves to decision block **344** where a test is made to determine if SpO<sub>2</sub> Hypoxemia Counter is equal to SpO<sub>2</sub> Min Time in Range. If so, the logic moves to block **346** where SpO<sub>2</sub> Previous Level is set to SpO<sub>2</sub> Level. Regardless of the outcome of decision block **344**, the logic proceeds to block **348** where SpO<sub>2</sub> Level is set to Hypoxemia. Regardless of the outcome of decision block **342**, the logic of **FIG. 11** proceeds to decision block **350** where a test is made to determine if SpO<sub>2</sub> Hypoxemia Counter is greater than SpO<sub>2</sub> Time to Zero Counters. If so, the logic moves to block **352** where counters (SpO<sub>2</sub> Normoxemia Counter and SpO<sub>2</sub> Hyperoxemia Counter) are set to zero.

[0053] As described above, hypoxemia is subdivided into ranges, for example, less than 75%, 75%-85% and 85% to the low limit for normoxemia. Hypoxemia counters for the various sub-ranges are set based on SpO<sub>2</sub> Read, as appropriate. If SpO<sub>2</sub> Read is between 85 and SpO<sub>2</sub> Target Range Low Limit, for example, using the exemplary default range, between 85%-88%, (yes in decision block **354**), the logic moves to block **356** where SpO<sub>2</sub> Hypoxemia 85-Low Limit Counter is incremented. The logic then moves to decision block **358** where a test is made to determine if SpO<sub>2</sub> Hypoxemia 85-Low Limit Counter is greater than Time to Zero Counters. If so, the logic moves to block **360** where counters (SpO<sub>2</sub> Hypoxemia 75-85 Counter and SpO<sub>2</sub> Hypoxemia less than 75 Counter) are set to zero. If SpO<sub>2</sub> Read is between 75 and 85 (yes in decision block **362**), the logic moves to block **364** where SpO<sub>2</sub> Hypoxemia 75-85 Counter is incremented. The logic then moves to decision block **366** where a test is made to determine if SpO<sub>2</sub> Hypoxemia 75-85 Counter is greater than SpO<sub>2</sub> Time to Zero Counters. If so, the logic moves to block **368** where counters (SpO<sub>2</sub> Hypoxemia 85-Low Limit Counter and SpO<sub>2</sub> Hypoxemia less than 75 Counter) are set to zero. If SpO<sub>2</sub> Read is less than 75 (yes in decision block **370**), the logic moves to block **372** where SpO<sub>2</sub> Hypoxemia less than 75 Counter is incremented. The logic then proceeds to decision block **374** where a test is made to determine if SpO<sub>2</sub> Hypoxemia less than 75 Counter is greater than SpO<sub>2</sub> Time to Zero Counters. If so, the logic moves to block **376** where counters (SpO<sub>2</sub> Hypoxemia 85-Low Limit Counter and SpO<sub>2</sub> Hypoxemia 75-85 Counter) are set to zero. The logic of **FIG. 11** then ends and processing returns to **FIG. 8**.

[0054] Returning to **FIG. 8**, after appropriate timing processing has been performed (e.g., normoxemia timing in block **258**, hyperoxemia timing in block **262** or hypoxemia in block **266**), the logic of **FIG. 8** moves to block **268** where the SpO<sub>2</sub> slope calculation is performed as illustrated in detail in **FIG. 12** and described next.

[0055] **FIG. 12** illustrates exemplary logic for performing the SpO<sub>2</sub> slope calculation in accordance with the present invention. Since SpO<sub>2</sub> is read and processed every second, the slope is calculated every second. When a slope is calculated, it is calculated based on the current SpO<sub>2</sub> reading and the previous seven consecutive SpO<sub>2</sub> readings. It will be appreciated that a value other than seven may be used for the number of previous values to use when calculating the slope. All of the readings used in calculating the slope should be within the range where SpO<sub>2</sub> signal is considered OK. The slope is the average of the second-to-second SpO<sub>2</sub> change. The calculated slope is limited to a specified range. For example, in the illustrated embodiment shown in **FIG. 4**, the range defaults to +/-5% per second (SpO<sub>2</sub> Slope High Limit

and SpO<sub>2</sub> Slope Low Limit). In various embodiments, multiple slopes can be calculated to track fast, medium, and slow changes simultaneously. The multiple slopes can then be used at different times within the FiO<sub>2</sub> Set Determination procedure (shown in **FIG. 14**).

[0056] The logic of **FIG. 12** moves from a start block to decision block **380** where a test is made to determine if SpO<sub>2</sub> Signal OK Counter is greater than or equal to seven consecutive seconds. If not, the logic moves to block **382** where SpO<sub>2</sub> Slope is set to zero and the logic of **FIG. 12** ends and processing returns to **FIG. 8**.

[0057] If however, SpO<sub>2</sub> Signal OK Counter is greater than or equal to seven consecutive seconds (yes in decision block **380**), the logic moves to block **384** where SpO<sub>2</sub> Slope is set to the average of the last seven second-to-second SpO<sub>2</sub> changes. Next, logic is performed to ensure that the slope is within the allowable limits. If in decision block **386** it is determined that SpO<sub>2</sub> Slope is greater than SpO<sub>2</sub> Slope High Limit (e.g., a change of more than 5%), the logic moves to block **388** where SpO<sub>2</sub> Slope is set to SpO<sub>2</sub> Slope High limit (e.g., SpO<sub>2</sub> Slope is set to +5%). If it is determined in decision block **390** that SpO<sub>2</sub> Slope is less than SpO<sub>2</sub> Slope Low Limit, the logic moves to block **392** where SpO<sub>2</sub> Slope is set to SpO<sub>2</sub> Slope Low Limit (e.g., SpO<sub>2</sub> Slope is set to 5%). The logic of **FIG. 12** then ends and processing returns to **FIG. 8**.

[0058] Returning to **FIG. 8**, after the slope has been calculated (block **268**), the logic moves to block **270** where FiO<sub>2</sub> Max/Min timing is performed as illustrated in detail in **FIG. 13** and described next.

[0059] The logic of **FIG. 13** illustrates exemplary logic for performing FiO<sub>2</sub> Max/Min timing in accordance with the present invention. The algorithm monitors the actual value for FiO<sub>2</sub> Set by counting the time at the maximum and minimum FiO<sub>2</sub> limits. If FiO<sub>2</sub> has been continuously at the maximum limit longer than FiO<sub>2</sub> Max Alarm Interval, the user is alerted. The time in FiO<sub>2</sub> max and min is also used later for calculation of FiO<sub>2</sub> Base (**FIG. 18**).

[0060] The logic of **FIG. 13** moves from a start block to decision block **400** where a test is made to determine if FiO<sub>2</sub> Set is equal to FiO<sub>2</sub> Min. If not, the logic moves to block **402** where FiO<sub>2</sub> Min Counter is set to zero. If so, the logic moves to block **404** where FiO<sub>2</sub> Min Counter is incremented. Next, the logic moves to decision block **406** where a test is made to determine if FiO<sub>2</sub> Set is equal to FiO<sub>2</sub> Max. If not, the logic moves to block **408** where FiO<sub>2</sub> Max Counter is set to zero and the logic of **FIG. 13** ends and processing returns to **FIG. 8**.

[0061] If, however, FiO<sub>2</sub> Set is not equal to FiO<sub>2</sub> Max, the logic moves from decision block **406** to block **410** where FiO<sub>2</sub> Max Counter is incremented. The logic then moves to block **412** where the user is alerted if it (FiO<sub>2</sub> Max Counter) is greater than 60 seconds. It will be appreciated that the time may be set to some value other than 60 seconds in various embodiments. The logic of **FIG. 13** then ends and processing returns to **FIG. 8**.

[0062] Returning to **FIG. 8**, after FiO<sub>2</sub> Max/Min timing has been performed, the logic moves to decision block **272** where a test is made to determine if closed-loop control is enabled. If so, the logic moves to block **273** where FiO<sub>2</sub> Set Determination is performed as illustrated in detail in **FIG. 14** and described next.

[0063] The logic of FIG. 14 illustrates exemplary logic for performing FiO<sub>2</sub> Set Determination in accordance with the present invention. SpO<sub>2</sub> Read values are classified into SpO<sub>2</sub> levels: normoxemia, hyperoxemia and hypoxemia. The updated FiO<sub>2</sub> Set value is calculated in different ways according to the oxygenation range (SpO<sub>2</sub> level) that SpO<sub>2</sub> Read is currently in. The logic of FIG. 14 moves from a start block to decision block 450 where a test is made to determine the SpO<sub>2</sub> level. Appropriate processing is then performed based on the SpO<sub>2</sub> level. If the SpO<sub>2</sub> level indicates hypoxemia, the logic moves to block 452 where FiO<sub>2</sub> Set Determination in Hypoxemia is performed as illustrated in detail in FIG. 15 and described below. If the SpO<sub>2</sub> level indicates hyperoxemia, the logic moves to block 454 where FiO<sub>2</sub> Set Determination in Hyperoxemia is performed as illustrated in detail in FIG. 16 and described below. If the SpO<sub>2</sub> level indicates normoxemia, the logic moves to block 456 where FiO<sub>2</sub> Set Determination in Normoxemia is performed as illustrated in detail in FIG. 17 and described below.

[0064] FIG. 15 illustrates exemplary logic for performing FiO<sub>2</sub> Set Determination in Hypoxemia in accordance with the present invention. When hypoxemia occurs, the algorithm of the present invention determines an initial increase in FiO<sub>2</sub> Set of significant magnitude sufficient to offset the initial cascade effect of hypoxia as well as any lag time in changing the inspired O<sub>2</sub> concentration by the delivery mode. As soon as the SpO<sub>2</sub> Read value drops below the low limit of the target range set by the user and remains for the minimum required time (e.g., three seconds), the algorithm increases FiO<sub>2</sub> Set (occurring once for every time it drops to the hypoxemic range). Simultaneously, if the calculated SpO<sub>2</sub> slope is negative (trend is a decrease in SpO<sub>2</sub>), FiO<sub>2</sub> Set is increased in direct proportion to the speed of change (e.g., every second). To prevent overshoot because of the system and intrinsic delays from the time inspired O<sub>2</sub> concentration changes until SpO<sub>2</sub> returns to normoxemia, FiO<sub>2</sub> Set is weaned down in steps proportional to the actual FiO<sub>2</sub> Set (e.g., every second) as soon as the SpO<sub>2</sub> shows signs of recovery (positive slope). Weaning (reduction) of the excess inspired oxygen concentration prevents arterial unnecessary supplemental oxygen exposure while oxygen saturation levels are in the normal range. FiO<sub>2</sub> is not weaned down below the basal level. Weaning is halted if the SpO<sub>2</sub> slope is flat or negative. If SpO<sub>2</sub> remains in the hypoxemia range and does not show signs of recovery (slope is flat or negative), successive increments of magnitude proportional to the difference between the target range and the SpO<sub>2</sub> Read are made. The intervals at which these steps occur vary in duration in inverse proportion to the degree of hypoxemia (a lower SpO<sub>2</sub> Read will cause larger increments at shorter intervals).

[0065] The logic of FIG. 15 moves from a start block to decision block 460 where a test is made to determine if conditions for initial FiO<sub>2</sub> increase are present. In exemplary embodiments, conditions for initial FiO<sub>2</sub> increase when SpO<sub>2</sub> has just dropped below range are:

[0066] SpO<sub>2</sub> signal lost and recovered in Hypoxemia

[0067] OR

[0068] SpO<sub>2</sub> in Hypoxemia 85-Low Limit and previously SpO<sub>2</sub> in Normoxemia

[0069] OR

[0070] SpO<sub>2</sub> in Hypoxemia 75-85% and previously SpO<sub>2</sub> in Normoxemia or SpO<sub>2</sub> in Hypoxemia 85-Low Limit

[0071] OR

[0072] SpO<sub>2</sub> in Hypoxemia less than 75% and previously SpO<sub>2</sub> in Normoxemia or SpO<sub>2</sub> in Hypoxemia 85-Low Limit or SpO<sub>2</sub> in Hypoxemia 75-85%.

[0073] If conditions for initial FiO<sub>2</sub> increase (such as those described above) are present, the logic moves to block 462 where FiO<sub>2</sub> Set is increased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} + 6.0 * (\text{SpO}_2 \text{ Low Limit} - \text{SpO}_2 \text{ Read}) * (\text{FiO}_2 \text{ Base} / 100) \quad (1)$$

[0074] Next, the logic moves to decision block 464 where a test is made to determine if the slope is negative. If so, the logic moves to block 466 where FiO<sub>2</sub> Set is increased in direct proportion to the speed of change using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} + 3.0 * \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Base} / 100) \quad (2)$$

[0075] The logic then moves to decision block 468 where a test is made to determine whether conditions for FiO<sub>2</sub> weaning are present. In exemplary embodiments, conditions for FiO<sub>2</sub> weaning when SpO<sub>2</sub> begins to recover include:

[0076] SpO<sub>2</sub> Read > 75

[0077] AND

[0078] SpO<sub>2</sub> Slope > 0

[0079] AND

[0080] FiO<sub>2</sub> Set > FiO<sub>2</sub> Base

[0081] AND

[0082] SpO<sub>2</sub> Signal OK Counter > SpO<sub>2</sub> OK Time Min (e.g., five seconds).

[0083] If conditions for weaning are present, the logic moves to block 470 where FiO<sub>2</sub> Set is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 6.0 * \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Set} / 100) \quad (3)$$

[0084] Next, the logic moves to decision block 472 where a test is made to determine if FiO<sub>2</sub> Set is less than FiO<sub>2</sub> Base. If so, the logic moves to block 474 where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Base. The logic then moves to block 476 where Hypoxemia Adjust Interval Counter (in seconds) is incremented and Hypoxemia Adjust Interval is calculated using the following equation:

$$\text{Hypoxemia Adjust Interval} = \text{SpO}_2 \text{ Read} - 65 \quad (4)$$

[0085] The Hypoxemia Adjust Interval is limited to a specific range. The logic moves to decision block 478 where a test is made to determine if the Hypoxemia Adjust Interval is greater than the High Limit (SpO<sub>2</sub> Low Adjust Interval High Limit), for example, 40 seconds. If so, the logic moves to block 480 where the Hypoxemia Adjust Interval is set to the High Limit, e.g., 40 seconds. The logic proceeds to decision block 482 where a test is made to determine if the Hypoxemia Adjust Interval is less than the Low Limit (SpO<sub>2</sub> Low Adjust Interval Low Limit), for example, 5 seconds. If so, the logic moves to block 484 where the Hypoxemia Adjust Interval is set to the Low Limit, e.g., five seconds.

[0086] Next, a determination must be made as to whether it is time to adjust. The logic moves to decision block 486 where a test is made to determine if SpO<sub>2</sub> Slope is negative or zero and Hypoxemia Adjust Interval Counter is greater than or equal to Hypoxemia Adjust Interval. If so, the logic moves to block 488 where Hypoxemia Adjust Interval Counter is reset to zero and FiO<sub>2</sub> Set is increased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} + 3.0 * (\text{SpO}_2 \text{ Low Limit} - \text{SpO}_2 \text{ Read}) * (\text{FiO}_2 \text{ Base} / 100) \quad (5)$$

[0087] The logic of FIG. 15 then ends and processing returns to FIG. 14.

[0088] FIG. 16 illustrates exemplary logic for performing FiO<sub>2</sub> Set Determination in Hyperoxemia in accordance with the present invention. When hyperoxemia occurs, the system determines an appropriate initial decrease of FiO<sub>2</sub> Set that is of significant magnitude. This reduction is smaller than that occurring initially with hypoxemia. As soon as SpO<sub>2</sub> Read exceeds the limit of the target range set by the user and remains for the minimum required time within each range (e.g., three seconds), the algorithm decreases FiO<sub>2</sub> Set (once each time it reaches the hyperoxemic range). If SpO<sub>2</sub> signal was lost (OUT) and when recovered shows values in hyperoxemia, the FiO<sub>2</sub> Set value is changed to the FiO<sub>2</sub> Set value that was last recorded when SpO<sub>2</sub> dropped out. The new FiO<sub>2</sub> Set value should not exceed the FiO<sub>2</sub> Base level. When SpO<sub>2</sub> Read values reach the hyperoxemic range, the algorithm allows for weaning of FiO<sub>2</sub> Set during a wean interval (e.g., 30 seconds) occurring every second only if the current FiO<sub>2</sub> Set value is above the FiO<sub>2</sub> Base level or the SpO<sub>2</sub> Slope is positive (more hyperoxemic). Under both circumstances the FiO<sub>2</sub> Set value is weaned down only to the FiO<sub>2</sub> Base level. Once SpO<sub>2</sub> Read values have been in the hyperoxemic range longer than the initial wean interval (e.g., 30 seconds), the current FiO<sub>2</sub> Set value is decreased in proportion to a positive SpO<sub>2</sub> Slope (every second, but smaller adjustments). FiO<sub>2</sub> Set value can be lowered below the FiO<sub>2</sub> Base level. After the initial wean interval (e.g., 30 seconds) has elapsed, FiO<sub>2</sub> Set value is decreased at steps of magnitude proportional to the difference between the hyperoxemic SpO<sub>2</sub> Read value and the target SpO<sub>2</sub> range and the FiO<sub>2</sub> Base level. These adjustments, however, are smaller than those observed during hypoxemia. The intervals at which these adjustments occur are in inverse proportion to the degree of hyperoxemia. Therefore, an SpO<sub>2</sub> reading average of 97% will result in a smaller reduction than a 99% reading and at longer intervals. These reductions can lower FiO<sub>2</sub> Set below FiO<sub>2</sub> Base level.

[0089] The logic of FIG. 16 moves from a start block to decision block 490 where a test is made to determine if conditions for initial FiO<sub>2</sub> decrease are present. In exemplary embodiments of the invention, conditions for initial FiO<sub>2</sub> decrease when SpO<sub>2</sub> has just crossed the high limit of the target range are:

[0090] SpO<sub>2</sub> Hyperoxemia Counter=Min Time in Range (e.g., three seconds)

[0091] AND

[0092] SpO<sub>2</sub> previously in Normoxemia OR SpO<sub>2</sub> Previously in Hypoxemia

[0093] AND

[0094] FiO<sub>2</sub> Set > FiO<sub>2</sub> Base.

[0095] If conditions for initial FiO<sub>2</sub> decrease are present, the logic moves to block 492 where FiO<sub>2</sub> Set is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 3.0 * (\text{SpO}_2 \text{ Read} - \text{SpO}_2 \text{ High Limit}) * (\text{FiO}_2 \text{ Base} / 100) \quad (6)$$

[0096] Next, the logic moves to decision block 494 where a test is made to determine if FiO<sub>2</sub> Set is less than FiO<sub>2</sub> Base. If so, the logic moves to block 496 where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Base. Next, the logic moves to decision block 498 where a test is made to determine if SpO<sub>2</sub> Signal was OUT and recovered in hyperoxemia. If so, the logic moves from decision block 498 to decision block 500 where a test is made to determine if FiO<sub>2</sub> Set is greater than FiO<sub>2</sub> Set Last Before Signal Lost. If the outcomes of decision blocks 498 and 500 are both true, the logic moves to block 502 where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Set Last Before Signal Lost. If the outcome of decision block 498 is true, the logic proceeds to decision block 504 where a test is made to determine if FiO<sub>2</sub> Set is greater than FiO<sub>2</sub> Base. If so, the logic moves to block 506 where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Base.

[0097] Regardless of the outcome of decision block 498, the logic proceeds to decision block 508 where a test is made to determine if SpO<sub>2</sub> Hyperoxemia Counter is less than or equal to Wean Interval (e.g., 30 seconds). If so, the logic moves to decision block 510 where a test is made to determine if FiO<sub>2</sub> Set is greater than FiO<sub>2</sub> Base. If so, the logic moves to block 512 where FiO<sub>2</sub> Set is decreased according to the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 6.0 * (\text{SpO}_2 \text{ Read} - \text{SpO}_2 \text{ High Limit}) * (\text{FiO}_2 \text{ Set} / 100) \quad (7)$$

[0098] The logic proceeds to decision block 514 where a test is made to determine if SpO<sub>2</sub> Slope is positive (e.g., greater than zero). If SpO<sub>2</sub> Slope is positive, the logic moves to block 516 where FiO<sub>2</sub> is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 3.0 * \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Set} / 100) \quad (8)$$

[0099] Regardless of the outcome of decision block 514, the logic proceeds to decision block 518 where a test is made to determine if FiO<sub>2</sub> Set is less than FiO<sub>2</sub> Base. If so, the logic moves to block 520 where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Base. Regardless of the outcome of decision blocks 508, 510, 514 and 518, the logic proceeds to decision block 522 where a test is made to determine if SpO<sub>2</sub> Hyperoxemia Counter is greater than Wean Interval (e.g., 30 seconds). If so, the logic moves to decision block 524 where a test is made to determine if SpO<sub>2</sub> Slope is positive. If so, the logic moves to block 526 where FiO<sub>2</sub> Set is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Base} / 100) \quad (9)$$

[0100] Regardless of the outcome of decision block 524, the logic proceeds to block 528 where Hyperoxemia Adjust Interval Counter is incremented and Hyperoxemia Adjust Interval is calculated using the following equation:

$$\text{Hyperoxemia Adjust Interval} = 40.0 - 3.0 * (\text{SpO}_2 \text{ Read} - \text{SpO}_2 \text{ High Limit}) \quad (10)$$

[0101] Hyperoxemia Adjust Interval is limited to a specific range. The logic proceeds to decision block 529 where a test is made to determine if Hyperoxemia Adjust Interval is

greater than SpO<sub>2</sub> High Adjust Interval High Limit (e.g., 60 seconds). If so, the logic moves to block **530** where Hyperoxemia Adjust Interval is set to SpO<sub>2</sub> High Adjust Interval High Limit, (e.g., 60 seconds). Next, the logic moves to decision block **531** where a test is made to determine if Hyperoxemia Adjust Interval is less than SpO<sub>2</sub> High Adjust Interval Low Limit (e.g., 20 seconds). If so, the logic moves to block **532** where Hyperoxemia Adjust Interval is set to SpO<sub>2</sub> High Adjust Interval Low Limit (e.g., 20 seconds). The logic then moves to decision block **534** where a test is made to determine whether it is time to adjust (i.e., whether the Hyperoxemia Adjust Interval Counter is greater than or equal to Hyperoxemia Adjust Interval). If it is time to adjust, the logic moves to block **536** where SpO<sub>2</sub> High Adjust Level is calculated as the average of the SpO<sub>2</sub> over the Hyperoxemia Adjust Interval. Next, the logic moves to block **538** where Hyperoxemia Adjust Interval Counter is reset to zero and FiO<sub>2</sub> is decreased based on the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 2.0 * (\text{SpO}_2 \text{ High Adjust Level} - \text{SpO}_2 \text{ High Limit}) * (\text{FiO}_2 \text{ Base} / 100) \quad (11)$$

[0102] The logic of **FIG. 16** then ends and processing returns to **FIG. 14**.

[0103] **FIG. 17** illustrates exemplary logic for performing FiO<sub>2</sub> Set Determination in Normoxemia in accordance with the present invention. If the SpO<sub>2</sub> signal was lost (OUT) and when recovered it shows values in normoxemia and FiO<sub>2</sub> Set is greater than the FiO<sub>2</sub> Set value that was last recorded when SpO<sub>2</sub> dropped out, the FiO<sub>2</sub> Set value is changed to that recorded value. This new FiO<sub>2</sub> Set value should not exceed the FiO<sub>2</sub> Base level. When SpO<sub>2</sub> Read values reach the normoxemic range after recovering from hypoxemia while the current FiO<sub>2</sub> Set value is above the FiO<sub>2</sub> Set Base level and the SpO<sub>2</sub> Slope does not show a decrease (is not negative), the algorithm decreases the FiO<sub>2</sub> Set value (one time). The FiO<sub>2</sub> Set value is not weaned down below the FiO<sub>2</sub> Base level. When SpO<sub>2</sub> Read values fall in the lower half of the normoxemic range (between the low limit of the target range of normoxemia and the default mid-value SpO<sub>2</sub> Base) and it shows signs of worsening (negative SpO<sub>2</sub> Slope), the FiO<sub>2</sub> Set value is increased in proportion to SpO<sub>2</sub> slope and the FiO<sub>2</sub> Base level. This is done to avert any onset of hypoxemia. When SpO<sub>2</sub> Read values reach the normoxemic range, the algorithm allows for weaning of FiO<sub>2</sub> Set every second during a wean interval (e.g., 45 seconds). This weaning occurs if the current FiO<sub>2</sub> Set value is above the FiO<sub>2</sub> Base level and the SpO<sub>2</sub> Slope is positive (towards hyperoxemia). The reduction is proportional to the slope. If the current FiO<sub>2</sub> Set value is above the FiO<sub>2</sub> Base level but the SpO<sub>2</sub> Slope is flat, the reduction is proportional only to the actual FiO<sub>2</sub> Set value. Under both conditions, the FiO<sub>2</sub> Set value is not weaned down below the FiO<sub>2</sub> Base level.

[0104] Once SpO<sub>2</sub> read values have been in the normoxemic range longer than initial wean interval (e.g., 45 seconds) and the current FiO<sub>2</sub> Set value is greater than the FiO<sub>2</sub> Base level and there is a positive SpO<sub>2</sub> Slope, the FiO<sub>2</sub> Set value is decreased (every second) in proportion to the slope and actual FiO<sub>2</sub> Set value. FiO<sub>2</sub> Set value is not weaned down below the FiO<sub>2</sub> Base level. After the initial wean interval (e.g., 45 seconds) has elapsed and the FiO<sub>2</sub> Set value is less than the FiO<sub>2</sub> Base level and there is a negative SpO<sub>2</sub> Slope and the FiO<sub>2</sub> Set value is increased in proportion to the SpO<sub>2</sub> Slope and the current FiO<sub>2</sub> Set level. This increase cannot cause the FiO<sub>2</sub> Set level to be above the FiO<sub>2</sub> Base level.

[0105] Once SpO<sub>2</sub> Read values have been in the normoxemic range longer than the initial wean interval (e.g., 45 seconds) or previous SpO<sub>2</sub> level was hyperoxemia or normoxemia and SpO<sub>2</sub> was lost and recovered (even before the initial wean interval of 45 seconds in both cases) the algorithm averages SpO<sub>2</sub> Read values. The duration of these averaging intervals is in proportion to the departure of SpO<sub>2</sub> Read from the mid-point of normoxemia (e.g., SpO<sub>2</sub> Base=94%). If the average SpO<sub>2</sub> adjust value exceeds the SpO<sub>2</sub> Base level (e.g., 94%) and FiO<sub>2</sub> Set value is greater than the FiO<sub>2</sub> Base level, FiO<sub>2</sub> Set value is decreased in proportion to the difference of averaged to base SpO<sub>2</sub> and FiO<sub>2</sub> Base level. If the averaged SpO<sub>2</sub> adjust value is below the SpO<sub>2</sub> Base level (e.g., 94%) and FiO<sub>2</sub> Set value is less than the FiO<sub>2</sub> Base level, FiO<sub>2</sub> Set value is increased in proportion to the difference of averaged to base SpO<sub>2</sub> and FiO<sub>2</sub> Base level. The magnitude of the FiO<sub>2</sub> Set change is larger when the average SpO<sub>2</sub> is above the mid SpO<sub>2</sub> Base and FiO<sub>2</sub> Set is above FiO<sub>2</sub> Base than when the average SpO<sub>2</sub> is below the mid SpO<sub>2</sub> Base and FiO<sub>2</sub> Set is below FiO<sub>2</sub> Base. The purpose of this difference is to allow lower O<sub>2</sub>, provided that SpO<sub>2</sub> is within normoxemia.

[0106] The logic of **FIG. 17** moves from a start block to decision block **540** where a test is made to determine if SpO<sub>2</sub> Signal was OUT and recovered in Normoxemia. If so, the logic moves to decision block **542** where a test is made to determine if FiO<sub>2</sub> Set is greater than FiO<sub>2</sub> Before Signal Lost. If so, the logic moves to block **544** where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Before Signal Lost. Regardless of the outcome of decision block **542**, the logic proceeds to decision block **546** where a test is made to determine if FiO<sub>2</sub> Set is greater than FiO<sub>2</sub> Base. If so, the logic proceeds to block **548** where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Base. Regardless of the outcome of decision block **540**, the logic proceeds to decision block **550** where a test is made to determine if conditions for initial FiO<sub>2</sub> decrease are present. In exemplary embodiments of the invention, conditions for initial FiO<sub>2</sub> decrease when SpO<sub>2</sub> just crossed the low limit of the target range recovering from hypoxemia are:

[0107] SpO<sub>2</sub> Normoxemia Counter=Min Time in Range (e.g., 3 seconds)

[0108] AND

[0109] SpO<sub>2</sub> was previously in Hypoxemia

[0110] AND

[0111] FiO<sub>2</sub> Set > FiO<sub>2</sub> Base

[0112] AND

[0113] SpO<sub>2</sub> Slope is flat (zero) or positive.

[0114] If conditions for initial FiO<sub>2</sub> decrease are present, the logic moves from decision block **550** to block **552** where FiO<sub>2</sub> Set is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 6.0 * (\text{SpO}_2 \text{ Read} - \text{SpO}_2 \text{ Low Limit}) * (\text{FiO}_2 \text{ Set} / 100) \quad (12)$$

[0115] The logic then moves to decision block **554** where a test is made to determine if FiO<sub>2</sub> Set is less than FiO<sub>2</sub> Base. If so, the logic moves to block **556** where FiO<sub>2</sub> Set is set to FiO<sub>2</sub> Base. Regardless of the outcome of decision block **550**, the logic proceeds to decision block **558** where a test is made to determine if SpO<sub>2</sub> Read is less than SpO<sub>2</sub> Base and SpO<sub>2</sub>

Slope is negative. If so, the logic moves to block **560** where  $\text{FiO}_2$  Set is increased according to the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} + 3.0 * \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Base} / 100) \quad (13)$$

[0116] Regardless of the outcome of decision block **558**, the logic proceeds to decision block **562** where a test is made to determine if  $\text{SpO}_2$  Normoxemia Counter is less than or equal to Wean interval (e.g., 45 seconds). If so, the logic moves to decision block **564** where a test is made to determine if  $\text{FiO}_2$  Set is greater than  $\text{FiO}_2$  Base. If so,  $\text{FiO}_2$  may be decreased based on the slope. If  $\text{SpO}_2$  Slope is positive (yes in decision block **566**), the logic moves to block **568** where  $\text{FiO}_2$  Set is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 3.0 * \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Set} / 100) \quad (14)$$

[0117] If  $\text{SpO}_2$  Slope is flat, i.e., zero (yes in decision block **570**), the logic moves to block **572** where  $\text{FiO}_2$  is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 3.0 * (\text{FiO}_2 \text{ Set} / 100) \quad (15)$$

[0118] The logic then moves to decision block **574** where a test is made to determine if  $\text{FiO}_2$  Set is less than  $\text{FiO}_2$  Base. If so, the logic moves to block **576** where  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Base.

[0119] Regardless of the outcome of decision block **562**, the logic proceeds to decision block **578** where a test is made to determine if  $\text{SpO}_2$  Normoxemia Counter is greater than Wean Interval (e.g., 45 seconds). If so, the logic moves to decision block **580** where a test is made to determine if  $\text{SpO}_2$  Slope is greater than zero and  $\text{FiO}_2$  Set is greater than  $\text{FiO}_2$  Base. If so, the logic moves to block **582** where  $\text{FiO}_2$  Set is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 3.0 * \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Set} / 100) \quad (16)$$

[0120] The logic then moves to decision block **584** where a test is made to determine if  $\text{FiO}_2$  Set is less than  $\text{FiO}_2$  Base. If so, the logic moves to block **586** where  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Base.

[0121] Regardless of the outcome of decision block **578**, the logic proceeds to decision block **588** where a test is made to determine if  $\text{SpO}_2$  Normoxemia Counter is greater than Wean Interval (e.g., 45 seconds). If so, the logic moves to decision block **590** where a test is made to determine if  $\text{SpO}_2$  Slope is greater than zero and  $\text{FiO}_2$  Set is less than  $\text{FiO}_2$  Base. If so, the logic moves to block **592** where  $\text{FiO}_2$  Set is increased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} + 3.0 * \text{absolute} (\text{SpO}_2 \text{ Slope}) * (\text{FiO}_2 \text{ Set} / 100) \quad (17)$$

[0122] The logic then moves to decision block **594** where a test is made to determine if  $\text{FiO}_2$  Set is greater than  $\text{FiO}_2$  Base. If so, the logic moves to block **596** where  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Base.

[0123] Regardless of the outcome of decision block **588**, the logic proceeds to decision block **598** where a test is made to determine if  $\text{SpO}_2$  Counter is greater than Wean interval (e.g. 45 seconds) or if the previous level is Hyperoxemia or Normoxemia. If so, the logic moves to block **600** where Normoxemia Adjust Interval Counter is incremented and Normoxemia Adjust Level is calculated using the following equation:

$$\text{Normoxemia Adjust Interval} = 60.0 - 4.0 * \text{absolute} (\text{SpO}_2 \text{ Read} - \text{SpO}_2 \text{ Base}) \quad (18)$$

[0124] Normoxemia Adjust Interval is limited to a specific range. The logic moves to decision block **601** where a test is made to determine if Normoxemia Adjust Interval is greater than  $\text{SpO}_2$  Normal Adjust Interval High Limit (e.g., 60 seconds). If so, the logic moves to block **602** where Normoxemia Adjust Interval is set to  $\text{SpO}_2$  Normal Adjust Interval High Limit (e.g., 60 seconds). Next, the logic moves to decision block **604** where a test is made to determine if Normoxemia Adjust Interval is less than  $\text{SpO}_2$  Normal Adjust Interval Low Limit (e.g., 20 seconds). If so, the logic moves to block **606** where Normoxemia Adjust Interval is set to  $\text{SpO}_2$  Normal Adjust Interval Low Limit (e.g., 20 seconds). The logic then moves to decision block **608** where a test is made to determine if it is time to adjust (i.e., Normoxemia Adjust Interval Counter is greater than or equal to Normoxemia Adjust Interval). If so, the logic moves to block **610** where  $\text{SpO}_2$  Normoxemia Adjust Level is calculated as the average of the  $\text{SpO}_2$  over the Normoxemia Interval. Next, the logic moves to decision block **612** where a test is made to determine if  $\text{SpO}_2$  Adjust Level is greater than  $\text{SpO}_2$  Base AND  $\text{SpO}_2$  Slope is greater than or equal to zero AND  $\text{FiO}_2$  Set is greater than  $\text{FiO}_2$  Base. If so, the logic moves to block **614** where Normoxemia Adjust Interval Counter is reset to zero and  $\text{FiO}_2$  Set is decreased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} - 2.0 * (\text{SpO}_2 \text{ Adjust Level} - \text{SpO}_2 \text{ Base}) * (\text{FiO}_2 \text{ Base} / 100) \quad (19)$$

[0125] The logic then moves to decision block **616** where a test is made to determine if  $\text{SpO}_2$  Adjust Level is less than  $\text{SpO}_2$  Base AND  $\text{SpO}_2$  Slope is less than or equal to zero AND  $\text{FiO}_2$  Set is less than  $\text{FiO}_2$  Base. If so, the logic moves to block **618** where  $\text{FiO}_2$  Set is increased using the following equation:

$$\text{FiO}_2 \text{ Set} = \text{FiO}_2 \text{ Set} + (\text{SpO}_2 \text{ Base} - \text{SpO}_2 \text{ Adjust Level}) * (\text{FiO}_2 \text{ Base} / 100) \quad (20)$$

[0126] The logic of FIG. 17 then ends and processing returns to FIG. 14.

[0127] Returning to FIG. 14, after the appropriate processing has been performed based on the  $\text{SpO}_2$  level (hypoxemia in block **452**, hyperoxemia in block **454** or normoxemia in block **456**), the logic of FIG. 14 ends and processing returns to FIG. 8.

[0128] Returning to FIG. 8, if closed-loop control is not enabled (no in decision block **272**), the logic moves to block **274** where  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Backup. Next, the logic moves to block **276** where the user is alerted. Regardless of whether closed-loop control is enabled (decision block **272**), the logic proceeds to decision block **278** where a test is made to determine if  $\text{FiO}_2$  Base Calc is enabled. If so, the logic moves to block **280** where  $\text{FiO}_2$  Base Determination is performed as shown in detail in FIG. 18 and described next.

[0129] FIG. 18 illustrates in detail exemplary logic for performing  $\text{FiO}_2$  Base Determination in accordance with the present invention. When  $\text{FiO}_2$  Base Calc is enabled, the algorithm automatically updates the basal oxygen when specific conditions are met as shown in FIG. 18. In exemplary embodiments, when  $\text{FiO}_2$  Base Calc is enabled by the user, the algorithm averages five minutes (not necessarily continuous) worth of  $\text{FiO}_2$  Set values occurring during specific conditions. The calculated average for  $\text{FiO}_2$  Base is

limited to  $\pm 10\%$  of the current  $\text{FiO}_2$  Base value. The newly calculated  $\text{FiO}_2$  Base value is averaged with the current  $\text{FiO}_2$  Base value. The resulting value is the new  $\text{FiO}_2$  Base value. The average interval duration is five minutes. This parameter can be modified according to the patient condition, either as a system default, by the user or automatically.

[0130] The logic of FIG. 18 moves from a start block to decision block 620 where a test is made to determine if there are conditions for  $\text{FiO}_2$  Base. Exemplary conditions for inclusion of current  $\text{FiO}_2$  Set value in  $\text{FiO}_2$  base determination are:

[0131]  $\text{SPO}_2$  in Normoxemia AND  $\text{SpO}_2$  Normoxemia Counter  $>$   $\text{SpO}_2$  Normoxemia Base Min (e.g., 30 sec)

[0132] OR

[0133]  $\text{SpO}_2$  in Hyperoxemia AND  $\text{FiO}_2$  Set  $=$   $\text{FiO}_2$  Min AND  $\text{FiO}_2$  Min Counter  $>$   $\text{FiO}_2$  Base Min (e.g., 30 sec)

[0134] OR

[0135]  $\text{SPO}_2$  in Hypoxemia AND  $\text{FiO}_2$  Set  $=$   $\text{FiO}_2$  Max AND  $\text{FiO}_2$  Max Counter  $>$   $\text{FiO}_2$  Base Max (e.g., 60 sec)

[0136] OR

[0137]  $\text{SpO}_2$  in Hyperoxemia AND  $\text{FiO}_2$  Set  $<$   $\text{FiO}_2$  Base AND  $\text{SpO}_2$  Hyperoxemia Counter  $>$   $\text{SpO}_2$  High wean interval (e.g., 30 sec)

[0138] OR

[0139]  $\text{SPO}_2$  in Hypoxemia AND  $\text{FiO}_2$  Set  $>$   $\text{FiO}_2$  Base AND  $\text{SpO}_2$  Hypoxemia Counter  $>$   $\text{SpO}_2$  Low Alarm Limit (e.g., 60 sec).

[0140] In exemplary embodiments, at least one of the following conditions must be met to include a specific  $\text{FiO}_2$  value in the calculation of  $\text{FiO}_2$  base:

[0141] (1) Current  $\text{SpO}_2$  should be in normoxemia and  $\text{SpO}_2$  has been in normoxemia for at least 30 seconds (base min);

[0142] (2) Current  $\text{SpO}_2$  should be in hyperoxemia and  $\text{FiO}_2$  is at the  $\text{FiO}_2$  minimum level and  $\text{FiO}_2$  has been at the minimum  $\text{FiO}_2$  level for at least 30 seconds (base min);

[0143] (3) Current  $\text{SpO}_2$  should be in hypoxemia and  $\text{FiO}_2$  is at the  $\text{FiO}_2$  max level and  $\text{FiO}_2$  has been at the max  $\text{FiO}_2$  level for at least 60 seconds (base max);

[0144] (4) Current  $\text{SpO}_2$  in Hyperoxemia and current  $\text{FiO}_2$  is below  $\text{FiO}_2$  base and  $\text{SpO}_2$  has been in hyperoxemia longer than 30 seconds; or

[0145] (5) Current  $\text{SpO}_2$  in Hypoxemia and current  $\text{FiO}_2$  is above  $\text{FiO}_2$  Base and  $\text{SpO}_2$  has been in hypoxemia longer than 60 seconds.

[0146] If conditions for  $\text{FiO}_2$  base exist, the logic moves to block 622 where  $\text{FiO}_2$  Base Counter is incremented using the following equation:

$$\text{FiO}_2 \text{ Base} = \text{FiO}_2 \text{ Base} + \text{FiO}_2 \text{ Set} \quad (21)$$

[0147] Regardless of the outcome of decision block 620, the logic proceeds to decision block 624 where a test is made

to determine if there are 5 minutes (or whatever value is specified) of  $\text{FiO}_2$  data. If so, the logic moves to block 626 where  $\text{FiO}_2$  Base is averaged and set to be within the specified limit (e.g.,  $\pm 10\%$ ) of the current  $\text{FiO}_2$  Base.  $\text{FiO}_2$  Base Counter is reset to zero. The logic then moves to block 628 where the new and current  $\text{FiO}_2$  Base values are averaged and set to be within the Max and Min settings. The logic of FIG. 18 then ends and processing returns to FIG. 8.

[0148] Returning to FIG. 8, regardless of whether  $\text{FiO}_2$  Base Calc is enabled (decision block 278), the logic proceeds to block 282 where  $\text{FiO}_2$  Set checking is performed as shown in detail in FIG. 19 and described next.

[0149] FIG. 19 illustrates exemplary logic for performing  $\text{FiO}_2$  Set checking in accordance with the present invention. The logic of FIG. 19 ensures that  $\text{FiO}_2$  Set is within the allowable range. If it is determined in decision block 630 that  $\text{FiO}_2$  Set is greater than  $\text{FiO}_2$  Max,  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Max in block 632. If it is determined in decision block 634 that  $\text{FiO}_2$  Set is less than  $\text{FiO}_2$  Min,  $\text{FiO}_2$  Set is set to  $\text{FiO}_2$  Min in block 636. The logic of FIG. 19 then ends and processing returns to FIG. 8.

[0150] Returning to FIG. 8, the logic proceeds to block 284 where  $\text{FiO}_2$  Base/Backup checking is performed as shown in detail in FIG. 20 and described next.

[0151] FIG. 20 illustrates exemplary logic for performing  $\text{FiO}_2$  Base/Backup checking in accordance with the present invention. New  $\text{FiO}_2$  Base and Backup values determined by the algorithm or set by the user are checked to ensure that they fall within the minimum and maximum ranges. If they don't, the user is alerted. In exemplary embodiments, if the value is not within acceptable limits, the value is set to an appropriate value. The logic of FIG. 20 alerts the user (block 642) if it is determined that  $\text{FiO}_2$  Base is greater than 50% or if  $\text{FiO}_2$  Base is equal to  $\text{FiO}_2$  Max as determined in decision block 640.

[0152] Similarly, if it is determined in decision block 644 that  $\text{FiO}_2$  Backup is greater than 50% or  $\text{FiO}_2$  Backup is equal to  $\text{FiO}_2$  Max, the user is alerted in block 646. The logic of FIG. 20 then ends and processing returns to FIG. 8.

[0153] Returning to FIG. 8, the logic then proceeds to block 286 where  $\text{FiO}_2$  Set Output Control to Mixer. Once the new  $\text{FiO}_2$  value is confirmed, the updated  $\text{FiO}_2$  Set value should be passed to the output routine that controls the air-oxygen blender. In exemplary embodiments, the output routine outputs a specific voltage to drive an external blender. In various embodiments, additional monitoring is provided to ensure correct mixing by monitoring data from a built-in  $\text{FiO}_2$  analyzer. The logic of FIG. 8 then ends and processing returns to FIG. 6.

[0154] FIG. 21 illustrates an exemplary graphical user interface 700. The exemplary user interface 700 shown in FIG. 21 displays  $\text{SpO}_2$  and  $\text{FiO}_2$  parameters over a period of time. In an exemplary embodiment, the last five minutes and thirty minutes of data are displayed simultaneously. It will be appreciated that various other user displays are possible, for example in alternate embodiments, the user can select the time interval(s) for display data. The user interface also allows the user to interactively change various parameters. More specifically, the exemplary user interface 700 shown in FIG. 21 displays:

[0155] the current SpO<sub>2</sub> value read by the oximeter **702**;

[0156] five minutes of tracing of SpO<sub>2</sub> at 60 second divisions **704**;

[0157] the current FiO<sub>2</sub> set at the blender **706**;

[0158] five minutes of tracing of FiO<sub>2</sub> Set values at 60 second divisions **708**;

[0159] 30 minutes of tracing of SpO<sub>2</sub> Read and FiO<sub>2</sub> Set values at five minute divisions **710**;

[0160] the SpO<sub>2</sub> level (e.g., 0=normoxemia, 1=hypoxemia and 2=hyperoxemia) **712**;

[0161] the previous SpO<sub>2</sub> level **714**;

[0162] the calculated SpO<sub>2</sub> Slope **716**;

[0163] the calculated SpO<sub>2</sub> trend based on SpO<sub>2</sub> slope magnitude **718**;

[0164] an SpO<sub>2</sub> high counter (hyperoxemia) **720**;

[0165] an SpO<sub>2</sub> normal counter (normoxemia) **722**;

[0166] an SpO<sub>2</sub> low counter (hypoxemia) **724**;

[0167] an SpO<sub>2</sub> low counter for the range of 85%–the low SpO<sub>2</sub> limit **726**;

[0168] an SpO<sub>2</sub> low counter for the range of 75%–85% **728**;

[0169] an SpO<sub>2</sub> low counter for the range of less than 75% **730**;

[0170] an SpO<sub>2</sub> High Limit of the target range **732**;

[0171] an SpO<sub>2</sub> Low Limit of the target range **734**;

[0172] an SpO<sub>2</sub> signal OK counter **736**;

[0173] an SpO<sub>2</sub> signal OUT counter **738**;

[0174] a control button **744** which is the main switch to start closed loop adjustments (i.e., when OFF, FiO<sub>2</sub> is at backup level);

[0175] a record button **746** which is used to record certain parameters (e.g., write to a file);

[0176] an FiO<sub>2</sub> Base Cal switch **750** which is switched on and off to calculate the basal oxygen requirement;

[0177] the FiO<sub>2</sub> Base value **752**;

[0178] a FiO<sub>2</sub> Base counter **754** which is used when FiO<sub>2</sub> Base Calc is enabled;

[0179] an FiO<sub>2</sub> backup value **756**; and

[0180] an FiO<sub>2</sub> Minimum level **758**.

[0181] As discussed earlier, the user can modify various parameters at any time. For example, in the exemplary embodiment shown in **FIG. 21**, the user can use the arrows to modify the values for the associated parameters.

[0182] Additional modifications and improvements of the present invention may also be apparent to those of ordinary skill in the art. Thus, the particular parts described and illustrated herein is intended to represent only one embodi-

ment of the present invention, and is not intended to serve as limitations of alternative devices within the spirit and scope of the invention.

What is claimed is:

1. A method for adjusting fractionally inspired oxygen delivery to a patient in response to receiving an arterial hemoglobin oxygen saturation signal, said method comprising:

- a. specifying a plurality of hemoglobin oxygen saturation levels;
- b. reading the arterial hemoglobin oxygen saturation signal;
- c. determining if the arterial hemoglobin oxygen saturation signal is a valid signal;
- d. if the hemoglobin oxygen saturation signal is a not a valid signal, determining a value for the fractionally inspired oxygen delivery to the patient based on backup value;
- e. if the hemoglobin oxygen saturation signal is a valid signal,
  - i. determining the hemoglobin oxygen saturation level based on the arterial hemoglobin oxygen saturation signal;
  - ii. determining a trend by calculating a slope using a plurality of recent hemoglobin oxygen saturation signals;
  - iii. if a closed loop mode is enabled, determining the fractionally inspired oxygen to deliver to the patient based on the hemoglobin oxygen saturation level and the trend;
  - iv. if the closed loop mode is not enabled, determining the fractionally inspired oxygen to deliver to the patient based on the backup value; and
- f. delivering the fractionally inspired oxygen to the patient.

2. The method of claim 1, wherein the fractionally inspired oxygen is continuously delivered to the patient by repeating b-f.

3. The method of claim 1, further comprising providing a user interface.

4. The method of claim 3, wherein the user interface provides a status display.

5. The method of claim 3, wherein the user interface allows the user to update a plurality of settings.

6. The method according to claim 3, wherein the user interface displays a user alert.

7. The method of claim 1, wherein specifying the plurality of hemoglobin oxygen saturation levels further comprises:

- a. specifying a normoxemic target range;
- b. specifying a hyperoxemic range; and
- c. specifying a hypoxemic range.

8. The method of claim 7, wherein determining the fractionally inspired oxygen to deliver to the patient comprises increasing the amount of fractionally inspired oxygen delivered to the patient in response to receiving an arterial oxygen-hemoglobin oxygen saturation signal value less than the normoxemic target range for a specified amount of time.

9. The method of claim 7, wherein determining the fractionally inspired oxygen to deliver to the patient comprises decreasing the amount of fractionally inspired oxygen delivered to the patient in response to receiving an arterial oxygen-hemoglobin oxygen saturation signal value greater than the normoxemic target range for a specified amount of time.

10. The method of claim 1, wherein determining the backup value for the fractionally inspired oxygen delivery to the patient comprises maintaining the amount of fractionally inspired oxygen delivered to the patient until the signal is restored.

11. The method according to claim 1, further comprising calculating a plurality of slopes simultaneously.

12. The method according to claim 1, further comprising weaning the fractionally inspired oxygen delivered to the patient.

13. The method according to claim 1, wherein the closed loop mode is user selectable.

14. A system for automatically adjusting fractionally inspired oxygen delivery to a patient, said system comprising:

- a. a device for determining arterial hemoglobin oxygen saturation for the patient, wherein said device outputs a signal specifying said arterial hemoglobin oxygen saturation;
- b. a device for delivering the fractionally inspired oxygen to the patient; and
- c. a computer algorithm, wherein said computer algorithm, determines an appropriate fractionally inspired oxygen value and communicates the appropriate fractionally inspired oxygen value to the device for delivering the fractionally inspired oxygen to the patient.

15. The system according to claim 14, wherein the device for determining arterial hemoglobin oxygen saturation for the patient is a pulse oximeter.

16. The system according to claim 14, wherein the device for delivering the fractionally inspired oxygen to the patient is a mechanical ventilator.

17. A computer readable medium having an executable component for adjusting fractionally inspired oxygen delivery for a patient in response to receiving an arterial hemoglobin oxygen saturation signal, wherein the executable component contains computer-executable instruction for:

- a. specifying a plurality of hemoglobin oxygen saturation levels;
- b. reading the arterial hemoglobin oxygen saturation signal;
- c. determining if the arterial hemoglobin oxygen saturation signal is a valid signal;
- d. if the hemoglobin oxygen saturation signal is a not a valid signal, determining a value for the fractionally inspired oxygen delivery to the patient based on backup value;
- e. if the hemoglobin oxygen saturation signal is a valid signal,
  - i. determining the hemoglobin oxygen saturation level based on the arterial hemoglobin oxygen saturation signal;
  - ii. determining a trend by calculating a slope using a plurality of recent hemoglobin oxygen saturation signals;
  - iii. if a closed loop mode is enabled, determining the fractionally inspired oxygen to deliver to the patient based on the hemoglobin oxygen saturation level and the trend;
  - iv. if the closed loop mode is not enabled, determining the fractionally inspired oxygen to deliver to the patient based on the backup value; and
- f. delivering the fractionally inspired oxygen to the patient.

\* \* \* \* \*

|                |                                                 |         |            |
|----------------|-------------------------------------------------|---------|------------|
| 专利名称(译)        | 用于闭环控制的吸入氧浓度的系统和方法                              |         |            |
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| [标]申请(专利权)人(译) | 迈阿密大学                                           |         |            |
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| 其他公开文献         | US6512938                                       |         |            |
| 外部链接           | <a href="#">Espacenet</a> <a href="#">USPTO</a> |         |            |

#### 摘要(译)

公开了一种用于响应于接收动脉血红蛋白氧饱和度信号 (  $SpO_2$  ) 而向患者输送分数吸入氧 (  $FiO_2$  ) 的系统和方法。例如, 通过使用脉搏血氧计测量  $SpO_2$ 。算法接收指示  $SpO_2$  的信号。该算法确定  $SpO_2$  处于正常血氧范围, 低氧血症范围或高氧血症范围。该算法还通过计算  $SpO_2$  中第二到第二变化的斜率来确定趋势。基于当前的  $SpO_2$  和趋势, 该算法确定患者的适当  $FiO_2$ , 并指示诸如机械呼吸机或空气氧气混合器的设备将适当的  $FiO_2$  输送给患者。系统使用默认值初始化各种参数, 但是用户 ( 例如, 护士 ) 也可以在任何时间更新设置。该系统还提供各种条件的警报, 例如标准脉搏血氧仪警报, 以及当发生高氧血症或低氧血症发作时的通知, 当它持续超过指定的时间段 ( 例如, 两分钟 ) 时, 尽管  $FiO_2$  调整以及调整时将  $FiO_2$  设置为特定水平。当  $SpO_2$  信号丢失时, 用户也会收到警报。

