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Kück et al.

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(54) **ALGORITHMS, SYSTEMS, AND METHODS FOR ESTIMATING CARBON DIOXIDE STORES, TRANSFORMING RESPIRATORY GAS MEASUREMENTS, AND OBTAINING ACCURATE NONINVASIVE PULMONARY CAPILLARY BLOOD FLOW AND CARDIAC OUTPUT MEASUREMENTS**

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(51) **Int. Cl.⁷** **A61B 5/00**

(52) **U.S. Cl.** **600/532; 73/23.3; 422/84**

(58) **Field of Search** **600/532; 73/23.3; 422/84**

(Continued)

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(57) **ABSTRACT**

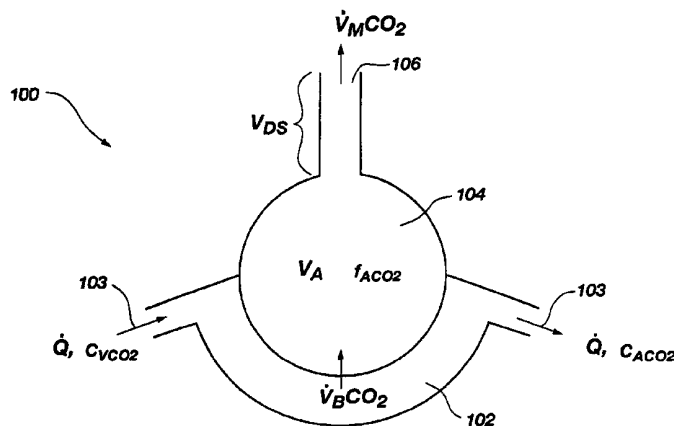
Methods for estimating the volume of the carbon dioxide stores of an individual's respiratory tract include determining a carbon dioxide store volume at which a correlation between corresponding signals of carbon dioxide elimination and an indicator of the content of carbon dioxide in blood of the individual is optimized. The estimate of the volume of carbon dioxide stores, which comprises a model of the respiratory tract, or lungs, of the individual, may be used as a transformation to improve the accuracy of one or both of the carbon dioxide elimination and carbon dioxide content signals. Transformation, or filtering, algorithms are also disclosed, as are systems in which the methods and algorithms may be used. The methods, algorithms, and systems may be used to accurately and noninvasively determine one or both of the pulmonary capillary blood flow and cardiac output of the individual.

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28 Claims, 8 Drawing Sheets



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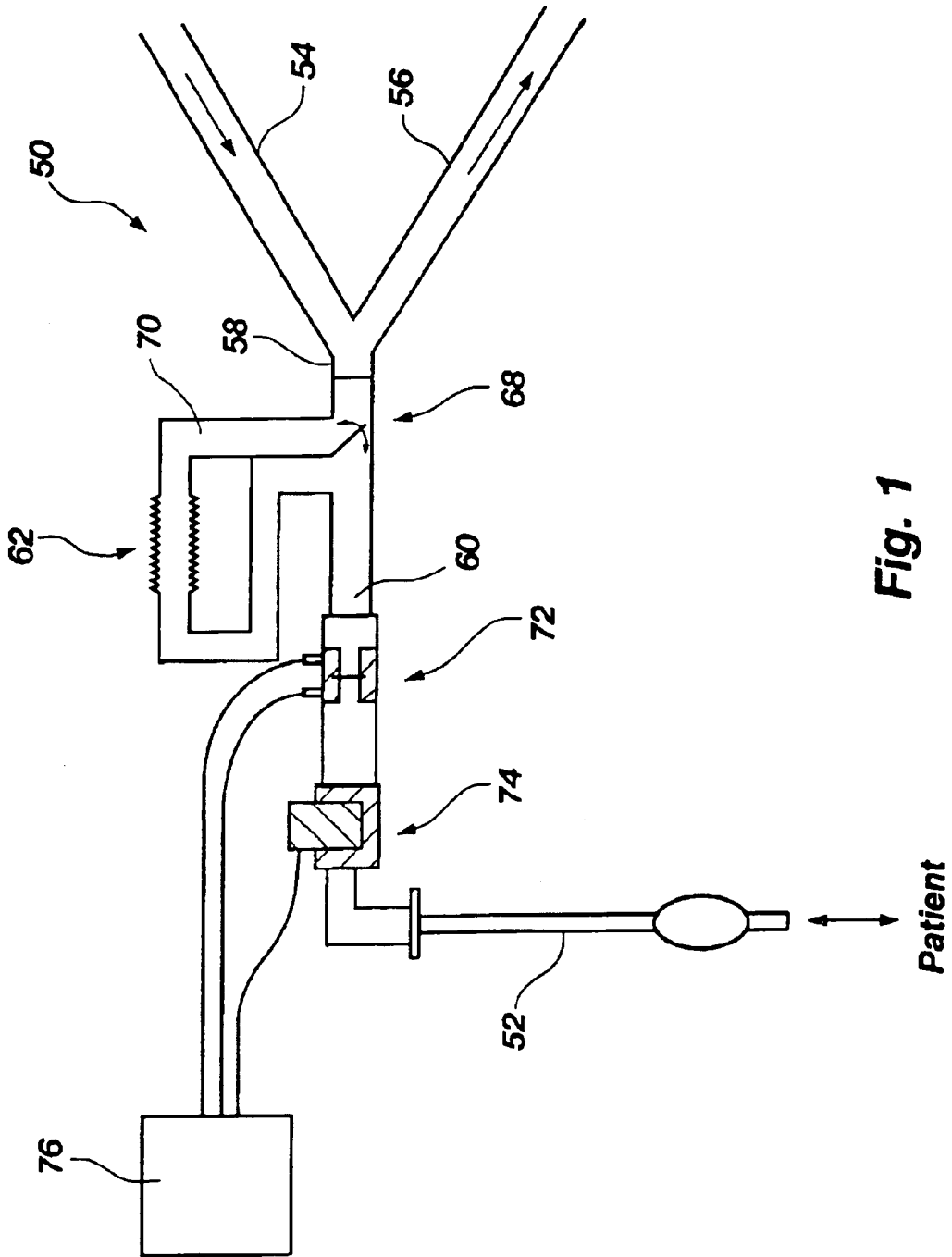


Fig. 1

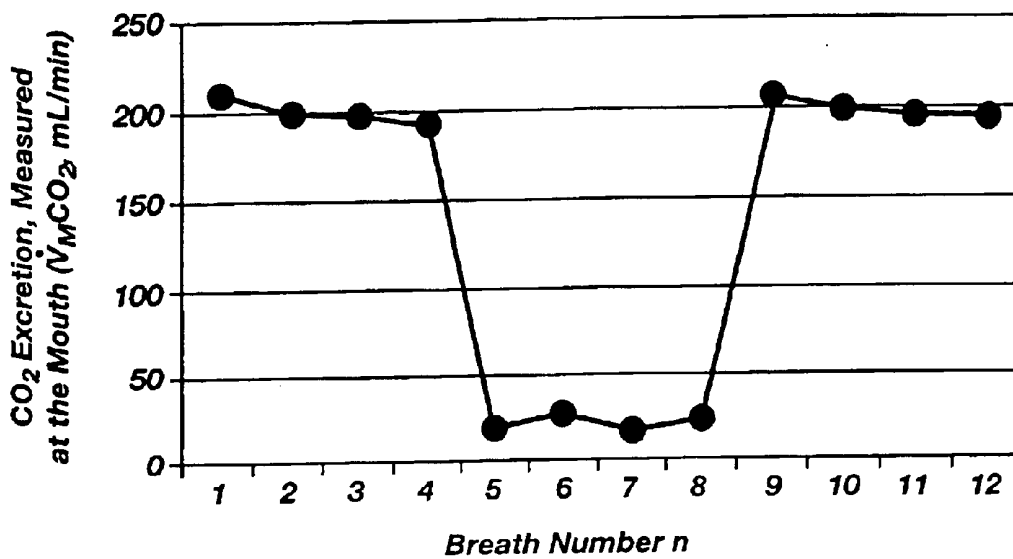


Fig. 2A

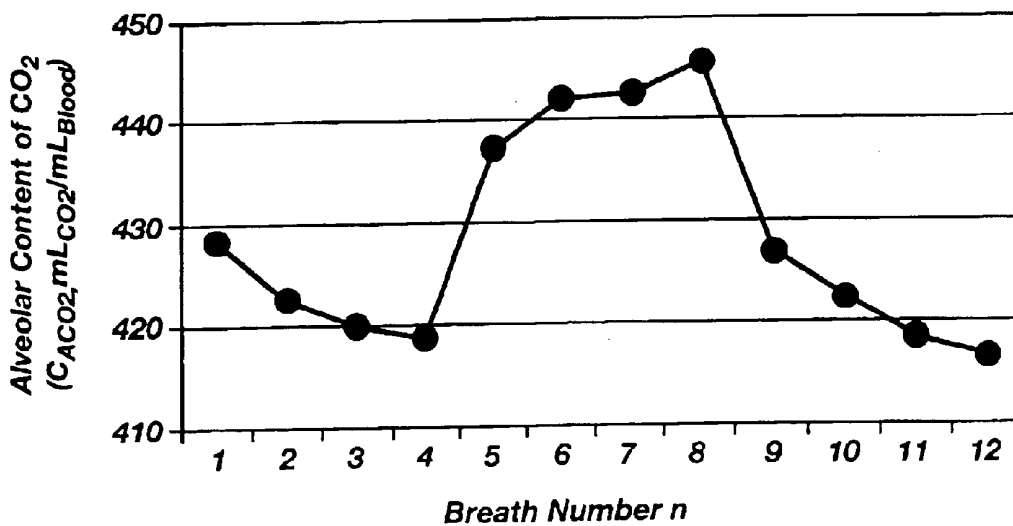


Fig. 2B

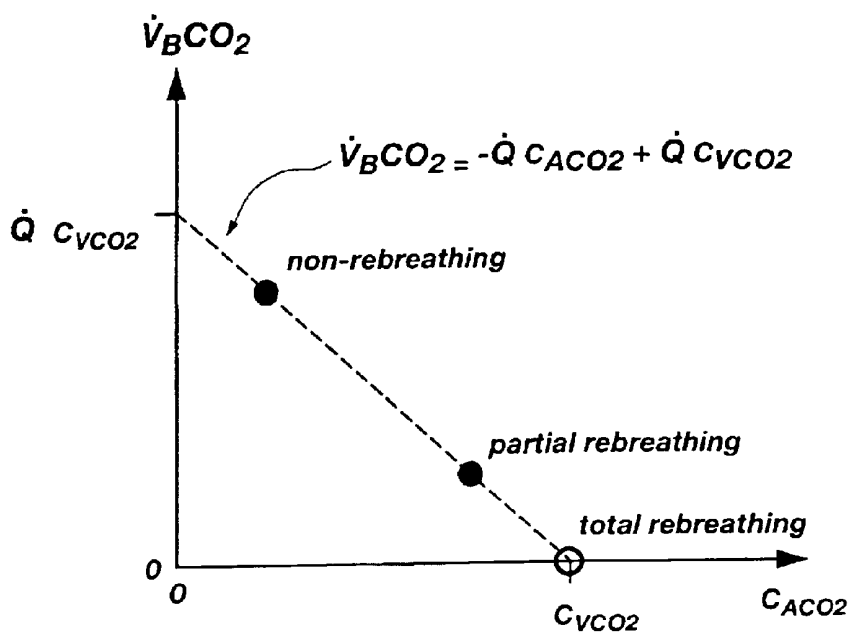


Fig. 3

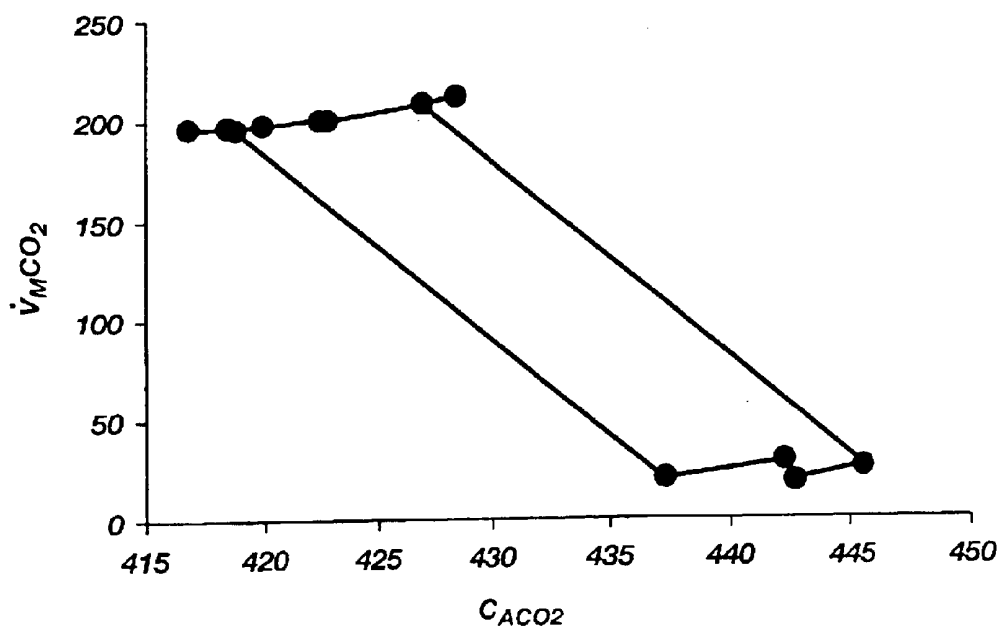


Fig. 4

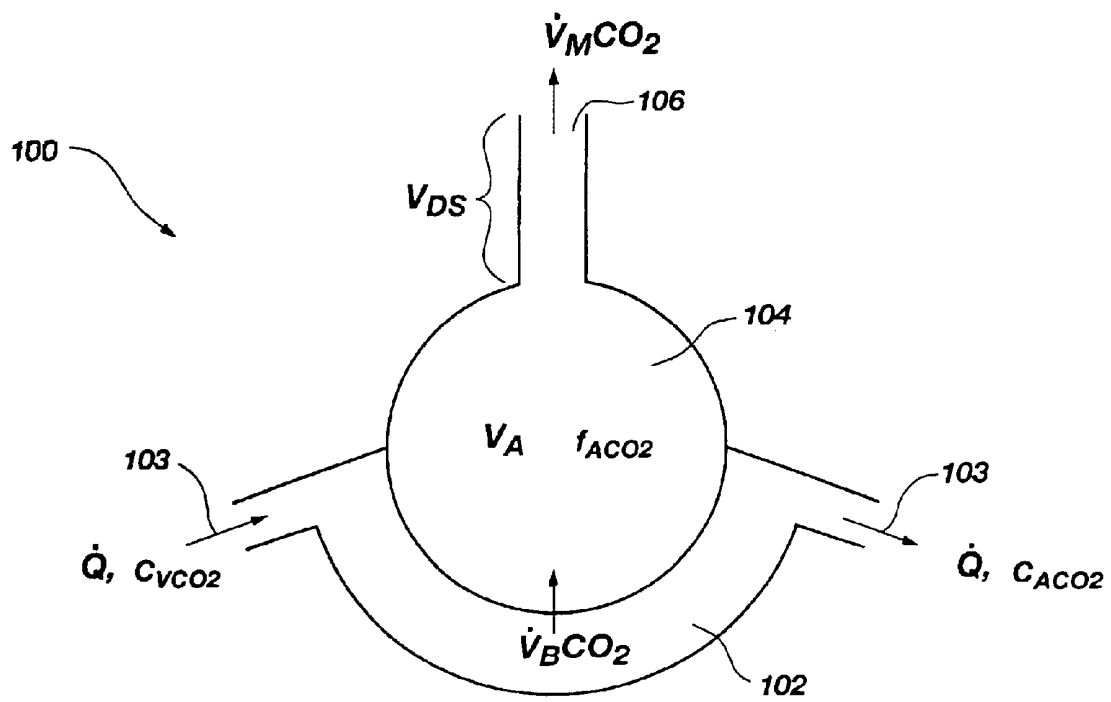


Fig. 5

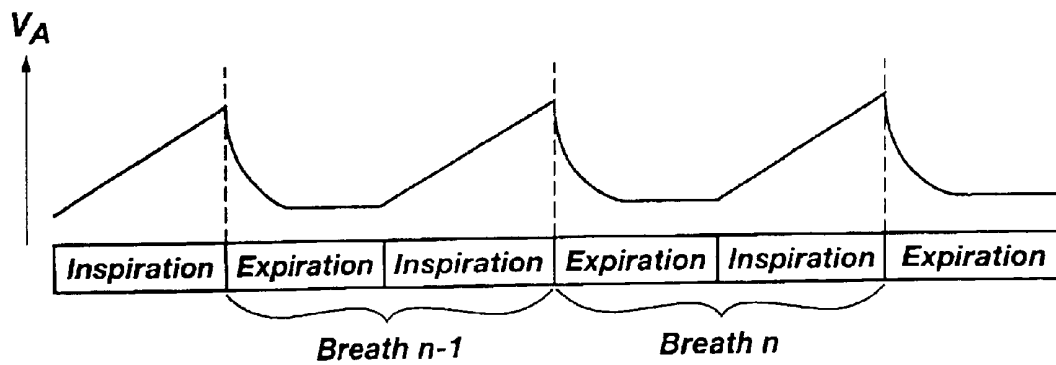


Fig. 6

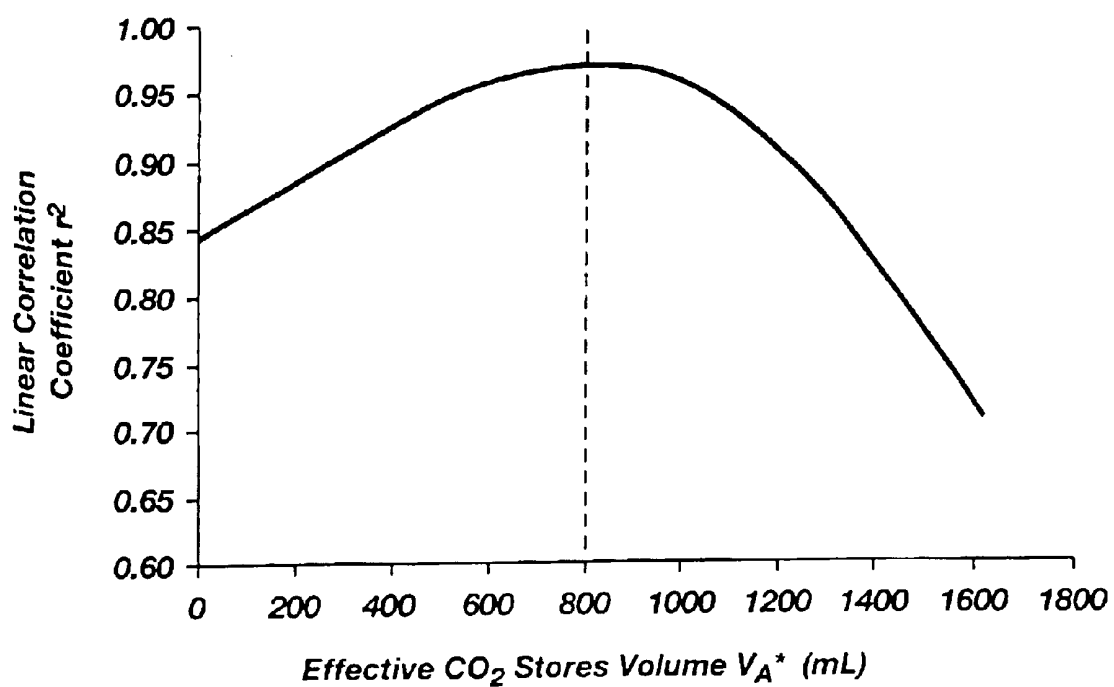


Fig. 7

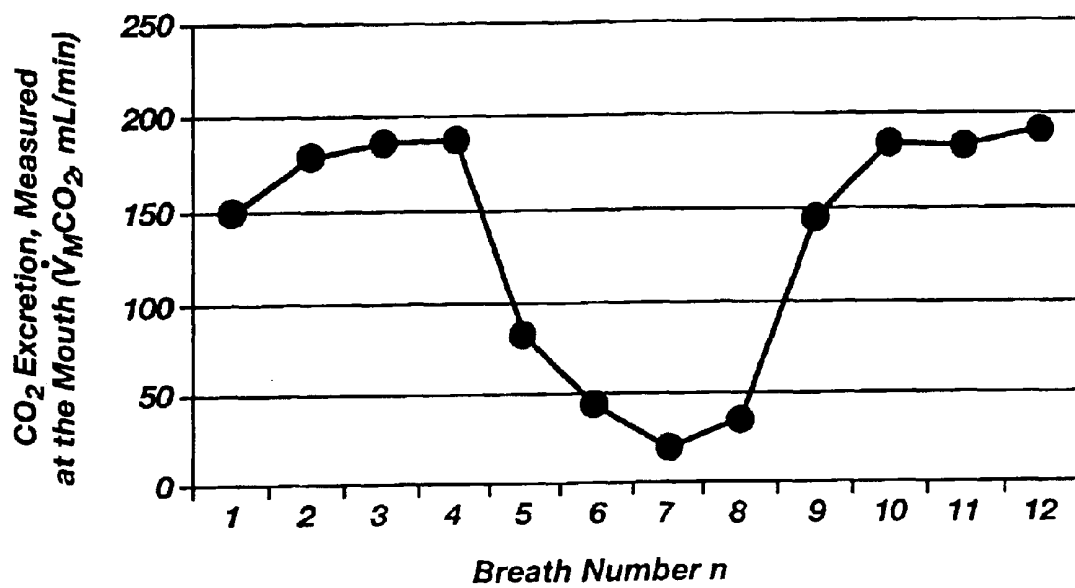


Fig. 8A

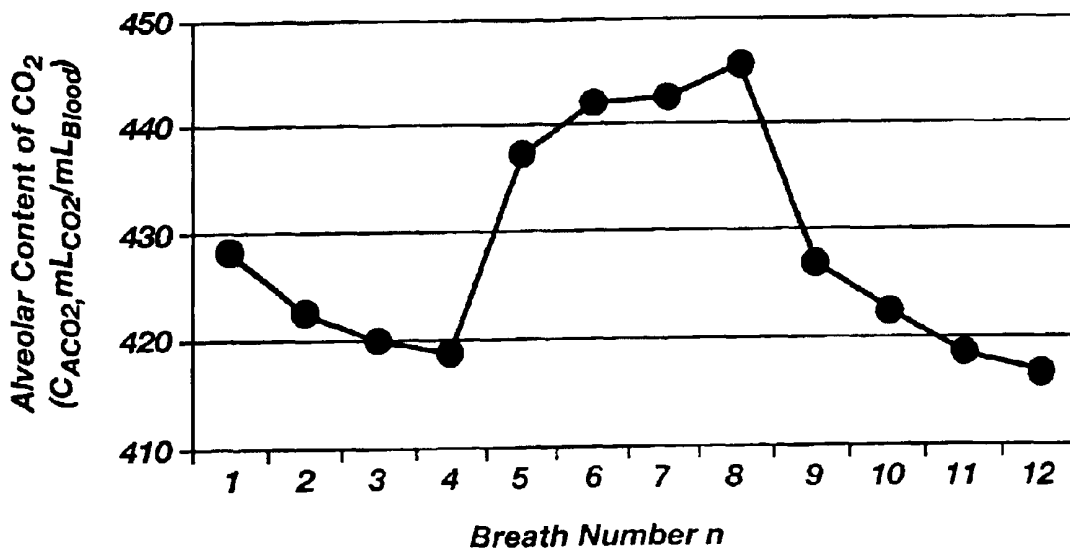


Fig. 8B

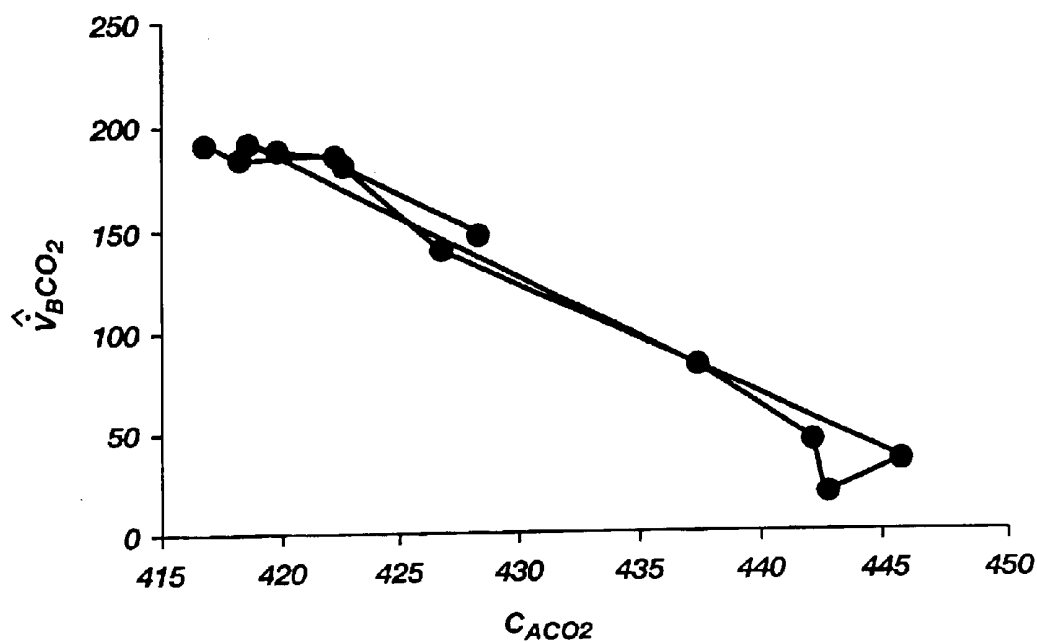


Fig. 9

Comparison of Modified NICO₂ and TD in an Animal Model

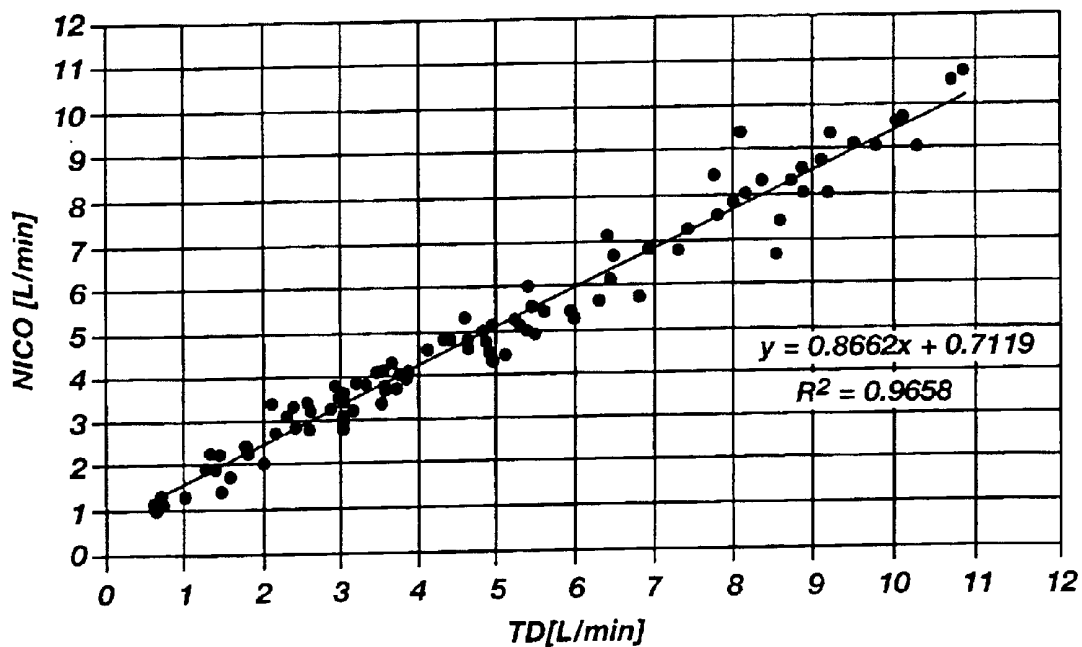


Fig. 10

Measurements from Modified NICO₂ and TD in an Animal Model

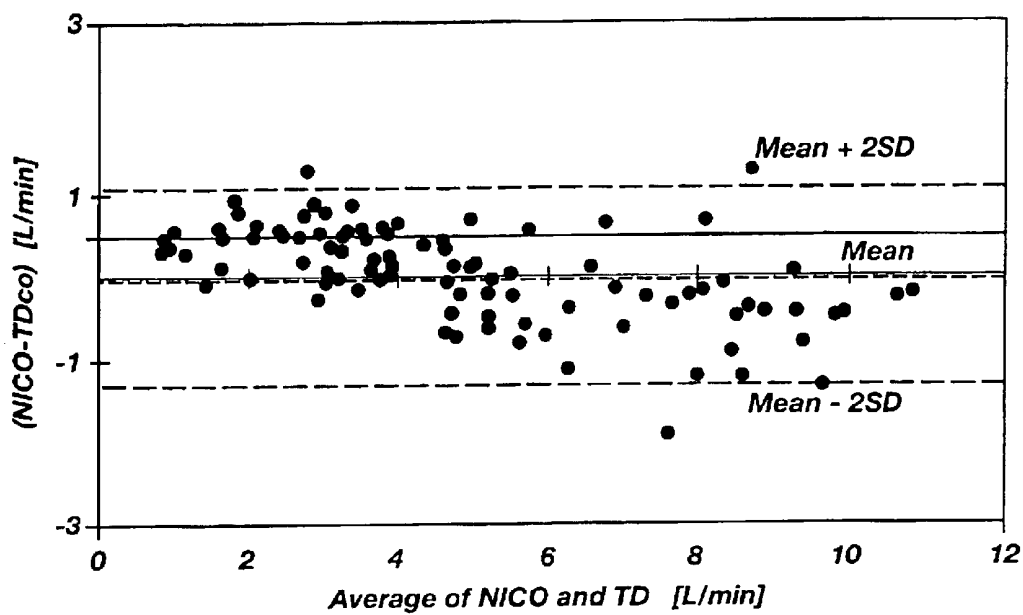


Fig. 11

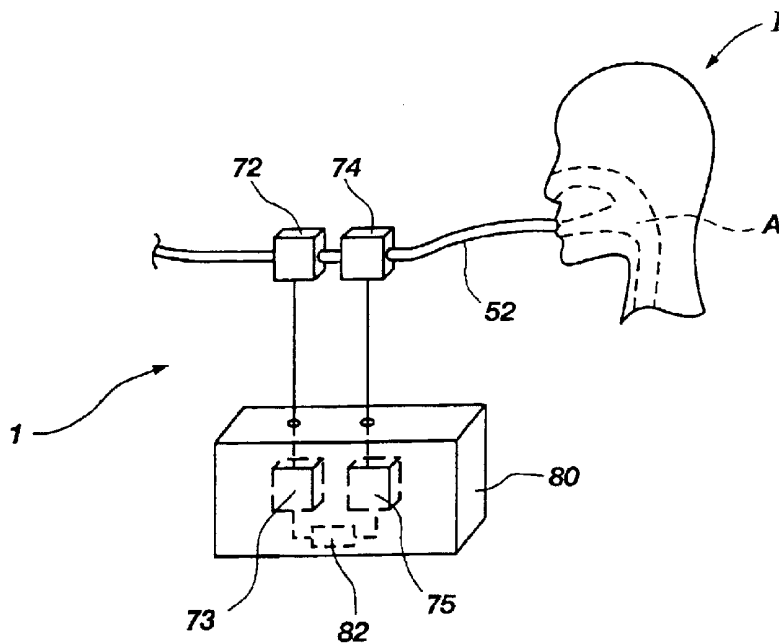


Fig. 12

**ALGORITHMS, SYSTEMS, AND METHODS
FOR ESTIMATING CARBON DIOXIDE
STORES, TRANSFORMING RESPIRATORY
GAS MEASUREMENTS, AND OBTAINING
ACCURATE NONINVASIVE PULMONARY
CAPILLARY BLOOD FLOW AND CARDIAC
OUTPUT MEASUREMENTS**

**CROSS-REFERENCE TO RELATED
APPLICATIONS**

This application is a continuation-in-part of U.S. application Ser. No. 09/510,702, filed on Feb. 22, 2000, now U.S. Pat. No. 6,540,689, issued Apr. 1, 2003.

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates generally to methods for noninvasively determining the pulmonary capillary blood flow or cardiac output of an individual. More specifically, the present invention relates to noninvasive methods for determining pulmonary capillary blood flow or cardiac output which account for correlation between parameters that have been measured during the same breath. In particular, the present invention includes methods for improving the correlation between carbon dioxide elimination and partial pressure of end-tidal carbon-dioxide measurements.

2. Background of Related Art

So-called "rebreathing" techniques have long been used to make noninvasive determinations of both pulmonary capillary blood flow and cardiac output. In rebreathing, the respiration of an individual is monitored during both "normal" breathing, which may be either spontaneous or ventilator-induced, and when a change in the effective ventilation of the individual has occurred or been induced. In particular, in conventional rebreathing techniques, the change in effective ventilation has been induced by causing a monitored individual to breathe air or a gas mixture with an increased level of carbon dioxide relative to the amount of carbon dioxide that was inhaled by the individual during "normal" breathing.

The carbon dioxide Fick equation has long been used to determine both pulmonary capillary blood flow and cardiac output. One form of the carbon dioxide Fick equation follows:

$$\dot{Q} = VCO_2 / (c_{vCO_2} - c_{aCO_2}), \quad (1)$$

where \dot{Q} represents blood flow (e.g., cardiac output or pulmonary capillary blood flow), VCO_2 is carbon dioxide elimination, c_{vCO_2} is carbon dioxide content of the venous blood of the monitored individual, and c_{aCO_2} is the carbon dioxide content of the arterial blood of the monitored individual.

When rebreathing processes are employed, the various parameters of the carbon dioxide Fick equation are typically derived from two measured signals, a measurement of the volume or flow of carbon dioxide eliminated by the body (VCO_2 and $\dot{V}CO_2$, respectively), which represents gases that are present in the mouth, and a measurement of the partial pressure of end-tidal carbon dioxide (p_{etCO_2} or p_{etCO_2}), which represents gases inside the lungs, at the alveoli. The p_{etCO_2} measurement correlates directly with a concentration of carbon dioxide in blood flowing past the alveoli of an individual (c_{ACO_2}) and, therefore, is useful for determining c_{aCO_2} and c_{vCO_2} .

Rebreathing is often conducted with a rebreathing circuit, through which a patient may inhale a gas mixture that includes carbon dioxide. FIG. 1 schematically illustrates an exemplary rebreathing circuit 50 that includes a tubular airway 52 that communicates air flow to and from the lungs of a patient. Tubular airway 52 may be placed in communication with the trachea of the patient by known intubation processes, or by connection to a breathing mask positioned over the nose and/or mouth of the patient. A flow meter 72, which is typically referred to as a pneumotachometer, and a carbon dioxide sensor 74, which is typically referred to as a capnometer, are disposed between tubular airway 52 and a length of hose 60, and are exposed to any air that flows through rebreathing circuit 50. Flow meter 72 and carbon dioxide sensor 74 communicate with one or more monitors 76, which are configured to monitor signals from flow meter 72 and carbon dioxide sensor 74, as known in the art. Both ends of another length of hose, which is referred to as deadspace 70, communicate with hose 60. The two ends of deadspace 70 are separated from one another by a two-way valve 68, which may be positioned to direct the flow of air through deadspace 70. Deadspace 70 may also include an expandable section 62. A Y-piece 58, disposed on hose 60 opposite flow meter 72 and carbon dioxide sensor 74, facilitates the connection of an inspiratory hose 54 and an expiratory hose 56 to rebreathing circuit 50 and the flow communication of the inspiratory hose 54 and expiratory hose 56 with hose 60. During inhalation, gas flows into inspiratory hose 54 from the atmosphere or a ventilator (not shown). During normal breathing, valve 68 is positioned to prevent inhaled and exhaled air from flowing through deadspace 70. During rebreathing, valve 68 is positioned to direct the flow of exhaled and inhaled gases through deadspace 70.

The rebreathed air, which is inhaled from deadspace 70 during rebreathing, includes air that has been exhaled by the patient (i.e., carbon dioxide-rich air).

During total rebreathing, substantially all of the gas inhaled by the patient was expired during the previous breath. Thus, during total rebreathing, the partial pressure of end-tidal carbon dioxide (p_{etCO_2} or p_{etCO_2}) is typically assumed to be equal to or closely related to the content of carbon dioxide in the arterial (c_{aCO_2}), venous (c_{vCO_2}), or alveolar (c_{ACO_2}) blood of the patient. Total rebreathing processes are based on the assumption that neither pulmonary capillary blood flow nor the content of carbon dioxide in the venous blood of the patient (c_{vCO_2}) changes substantially during the rebreathing process. In total rebreathing, the carbon dioxide elimination (VCO_2) of the patient decreases to about zero. The partial pressure of carbon dioxide in blood may be converted to the content of carbon dioxide in blood by means of a carbon dioxide dissociation curve, where the change in the carbon dioxide content of the blood ($c_{vCO_2} - c_{aCO_2}$) is equal to the slope(s) of the carbon dioxide dissociation curve multiplied by the measured change in end-tidal carbon dioxide (p_{etCO_2}) as effected by a change in effective ventilation, such as rebreathing.

In partial rebreathing, the patient inhales gases that include elevated carbon dioxide levels (e.g., a mixture of "fresh" gases and gases that were exhaled during the previous breath). Thus, the patient does not inhale a volume of carbon dioxide as large as the volume of carbon dioxide that would be inhaled during a total rebreathing process. As carbon dioxide elimination (VCO_2) is not decreased to zero during partial rebreathing and since the carbon dioxide content of the mixed venous blood is not known during partial rebreathing, partial rebreathing processes typically employ a differential form of the carbon dioxide Fick

equation to determine the pulmonary capillary blood flow or cardiac output of the patient. This differential form of the carbon dioxide Fick equation considers measurements of carbon dioxide elimination, c_{vCO_2} , and the content of carbon dioxide in the alveolar blood of the patient (c_{ACO_2}) during both normal breathing and the rebreathing process as follows:

$$\dot{Q}_{peb\ BD} = \frac{VCO_{2B} - VCO_{2D}}{(c_{vCO_{2B}} - c_{vCO_{2D}}) - (c_{aCO_{2B}} - c_{aCO_{2D}})}, \quad (2)$$

where VCO_{2B} and VCO_{2D} are the carbon dioxide production of the patient before rebreathing and during the rebreathing process, respectively, $c_{vCO_{2B}}$ and $c_{vCO_{2D}}$ are the content of CO_2 of the venous blood of the patient before rebreathing and during the rebreathing process, respectively, and $c_{aCO_{2B}}$ and $c_{aCO_{2D}}$ are the content of CO_2 in the arterial blood of the patient before rebreathing and during rebreathing, respectively.

Again, with a carbon dioxide dissociation curve, the measured p_{etCO_2} can be used to determine the change in content of carbon dioxide in the blood before and during the rebreathing process. Accordingly, the following equation can be used to determine pulmonary capillary blood flow or cardiac output when partial rebreathing is conducted:

$$\dot{Q} = \Delta VCO_2 / s \Delta p_{etCO_2}. \quad (3)$$

Accordingly, a plot of VCO_2 against p_{etCO_2} during both "normal" respiration and rebreathing is known to provide an indicator of the pulmonary capillary blood flow of an individual. The individual's pulmonary capillary blood flow is about equal to the negative slope (i.e., negative one multiplied by the slope) of the resulting line or curve.

Alternative differential Fick methods of measuring pulmonary capillary blood flow or cardiac output have also been employed. Such differential Fick methods typically include a brief change of p_{etCO_2} and VCO_2 in response to a change in effective ventilation. This brief change can be accomplished by adjusting the respiratory rate, inspiratory and/or expiratory times, or tidal volume. A brief change in effective ventilation may also be effected by adding CO_2 , either directly or by rebreathing. An exemplary differential Fick method that has been employed, which is disclosed in Gedeon, A. et al. in 18 MED. & BIOL. ENG. & COMPUT. 411-418 (1980), includes a period of increased ventilation followed immediately by a period of decreased ventilation.

Carbon dioxide elimination (VCO_2) is typically measured as the difference between the amount of carbon dioxide inhaled and the amount of carbon dioxide exhaled, with the amount of carbon dioxide exhaled usually being greater than that inhaled. The carbon dioxide elimination of a patient is typically measured over the course of a breath by the following, or an equivalent, equation:

$$VCO_2 = \int_{breath} V \times f_{CO_2} dt, \quad (4)$$

where V is the measured respiratory flow and f_{CO_2} is the substantially simultaneously detected carbon dioxide signal, or fraction of the respiratory gases that comprises carbon dioxide or "carbon dioxide fraction".

Prior to rebreathing, the amount of carbon dioxide eliminated (VCO_2) by the patient, through his or her lungs, is much greater than the amount of CO_2 inhaled by the patient. In rebreathing, although the amount of carbon dioxide inhaled by the individual and the amount of carbon dioxide exhaled by the individual both typically increase, the VCO_2 measurement typically decreases. The difference between

the amounts of carbon dioxide inhaled and eliminated is reduced by an amount that corresponds to the increased amount of carbon dioxide inhaled by the patient. Detection of the change in VCO_2 that may occur with changes in the effective ventilation of an individual may be somewhat delayed due to the dampening effect of the carbon dioxide stores of the individual's lungs. For example, at the beginning of rebreathing, a significant portion of the increased amount of carbon dioxide inhaled by the individual is absorbed by the carbon dioxide stores. If the amount of carbon dioxide inhaled during rebreathing is significantly increased, then a significant decrease will be seen in the difference between the amounts of carbon dioxide inhaled and eliminated, while this difference will be much less if the amount of carbon dioxide inhaled during rebreathing is only slightly greater than that inhaled during the patient's normal respiration.

VCO_2 is the first of the two signals (i.e., VCO_2 and p_{etCO_2}) to accurately reflect rebreathing-induced changes. When rebreathing is initiated, the amount of carbon dioxide that is inhaled is increased. Prior to rebreathing, the lungs of the patient have been exposed to typical amounts of carbon dioxide, such as those experienced during normal respiration. Initially, some of the increased carbon dioxide that is inhaled during rebreathing is absorbed by the carbon dioxide stores of the lungs, including the functional residual capacity (FRC), which comprises stored gases, and lung tissues. Thus, only a portion of the increased amount of inhaled carbon dioxide initially makes its way to the alveoli, or air sacs, of the lungs, where gases exit and are absorbed by the blood. It only takes a short amount of time for the carbon dioxide stores of the lungs to equilibrate to the increased amount of carbon dioxide being inhaled. When such equilibration occurs, substantially all of the increase in the amount of carbon dioxide inhaled is realized in the alveoli. At that point in time, the full reduction in the difference between the amount of carbon dioxide inhaled by the patient and the amount of carbon dioxide eliminated by the patient may be noninvasively measured.

Assuming the increased amount of carbon dioxide inhaled by the individual is sufficient to quickly maximize the concentration of carbon dioxide in the carbon dioxide stores, the amount of carbon dioxide exhaled by the individual in the same breath may be used to accurately determine the VCO_2 of the patient.

The partial pressure of end-tidal carbon dioxide (p_{etCO_2} or p_{etCO_2}), after correcting for any deadspace, is typically assumed to be approximately equal to the partial pressure of carbon dioxide in the alveoli ($PACO_2$) of the patient or, if there is no intrapulmonary shunt, the content of CO_2 in the blood flowing past the alveoli (c_{ACO_2}), as well as the CO_2 content of oxygenated blood downstream from the alveoli (C_{aCO_2}).

The p_{etCO_2} measurement, which represents a measurement of carbon dioxide in the lungs of an individual is typically not representative of the true gases that are present in the lungs at the time the measurement is taken. This is because, in rebreathing, the increased amount of carbon dioxide inhaled does not go directly to the alveoli. Rather, the carbon dioxide stores of the lungs, including the functional residual capacity (deadspace) and lung tissues, which do not participate directly in respiration, act as a buffer or filter. This filtering action includes the absorption and release of carbon dioxide in a manner that depends upon the amount of carbon dioxide in gases that are directly involved in respiration. Accordingly, when rebreathing first begins, a significant portion of the increased amount of carbon dioxide

ide in the inhaled gases is initially absorbed into the carbon dioxide stores. Once the amount of carbon dioxide in the carbon dioxide stores and the amount of carbon dioxide in the “rebreathed” gases (including inspiratory and expiratory gases) equilibrate with one another, the amount of carbon dioxide within the lungs, including p_{etCO_2} , may be accurately detected. The converse is also true: when “normal” respiration is recommended, the reduced amount of carbon dioxide in the expired gases is not immediately realized in an externally obtained, noninvasive respiratory measurement. Rather, carbon dioxide is released from the carbon dioxide stores of the lungs until the amount of carbon dioxide in the carbon dioxide stores equilibrates with the amounts of carbon dioxide in the inspiratory and expiratory gases. Only after such equilibration has taken place may accurate measurements of gases within the lungs, such as p_{etCO_2} , be noninvasively obtained. Accordingly, at the start of both a rebreathing phase and “normal” breathing following a rebreathing phase, an immediate change in p_{etCO_2} is typically not seen.

Once the increase in the amount of inhaled carbon dioxide is realized at the level of the alveoli, the content of CO_2 in the blood must increase correspondingly for carbon dioxide to be released from the blood as the blood flows past the alveoli. Thus, an additional period of time is required before the amount of carbon dioxide in the blood increases to a level which will facilitate release of the increased amount of carbon dioxide from the blood and an increase in the amount of carbon dioxide in the blood, which may be determined from a p_{etCO_2} measurement, may be detected. Thus, the accuracy of the p_{etCO_2} relative to the point in time at which the measurement is obtained relative to the initiation of rebreathing, lags behind the time-accuracy of the VCO_2 measurement. This lag typically amounts to a period of time that corresponds to one or two breaths.

Following rebreathing, the amount of carbon dioxide inhaled by a patient is decreased. The carbon dioxide stores in the lungs equilibrate to the new amount of carbon dioxide being inhaled by releasing carbon dioxide. Consequently, while the carbon dioxide levels of the carbon dioxide stores of the lungs are equilibrating, the amount of carbon dioxide exhaled by the patient remains at an elevated level for a period of time following even a significant decrease in the amount of carbon dioxide inhaled by the patient.

Likewise, during equilibration of the carbon dioxide stores of a patient’s lungs, the amount of carbon dioxide within the alveoli remains greater than that in the air or other gas mixture inhaled by the patient. Thus, carbon dioxide levels in the blood remain elevated. Once the carbon dioxide stores in the lungs of the patient begin to decrease and the amount of carbon dioxide within the alveoli begins to resemble the amount of carbon dioxide in the air or gases that have been inhaled by the patient, the high levels of carbon dioxide that have accumulated in the blood may be more readily released therefrom. Accordingly, following rebreathing, the amount of carbon dioxide in the blood flowing past the alveoli of the patient will initially remain high, as may be evidenced by relatively high p_{etCO_2} measurements. As the excess carbon dioxide that is trapped in the blood during rebreathing is gradually released therefrom, the amount of carbon dioxide in the alveolar blood of the patient decreases to a “normal” level.

It may be said that the carbon dioxide stores of a patient’s lungs filter the p_{etCO_2} signal to a much greater extent than the VCO_2 signal is filtered by the carbon dioxide stores. Because VCO_2 signals typically respond to changes in the effective ventilation of a patient, such as rebreathing and

nonbreathing states, about one or two breaths before the p_{etCO_2} signal(s) for the same breath(s) will respond to such changes, VCO_2 and p_{etCO_2} signals that are obtained during the same breath do not correlate well with one another.

Accordingly, a VCO_2 signal may lead a p_{etCO_2} signal by a time differential equal to the duration of about one or two breaths. Thus, at a particular point in time, the VCO_2 and p_{etCO_2} signals do not correspond to one another. Stated another way, the accuracy of the p_{etCO_2} measurement lags that of the VCO_2 measurement by a time duration equal to the length of a breath or two. As these values are often used to noninvasively determine pulmonary capillary blood flow or cardiac output, the lack of correspondence between these values may lead to inaccuracies in the pulmonary capillary blood flow or cardiac output determination.

The correlation between the p_{etCO_2} and VCO_2 signals may be quantified by a so-called “correlation coefficient” (r^2), where a value of 1 indicates complete correlation between the two signals and lesser values represent correspondingly lesser degrees of correlation. This is evidenced when VCO_2 signals are plotted against c_{aCO_2} signals, such as the data shown in FIGS. 2A and 2B respectively, with the result appearing as an open loop, as depicted in the plot of FIG. 4, rather than the ideal straight line depicted in FIG. 3. As it is difficult to accurately assign a slope to a loop, it is difficult to accurately determine pulmonary capillary blood flow from a plot of noninvasively obtained p_{etCO_2} -based c_{aCO_2} signals against VCO_2 signals.

Upon the start of rebreathing, the flow of carbon dioxide eliminated at the mouth ($\dot{V}_M CO_2$) almost instantaneously drops to a lower level, while the plot of p_{etCO_2} goes through a transitional period before reaching the steady-state plateau at which it stays until the end of rebreathing. The trend plots of alveolar CO_2 content (c_{ACO_2}) and the volume of CO_2 excreted from the blood into the alveoli ($\dot{V}_B CO_2$) from the carbon dioxide Fick equation (equation (1)) should follow the same shape (albeit inverted, due to the negative slope) as that of FIG. 3. However, VCO_2 is not measured at the alveolar level ($\dot{V}_B CO_2$), but at the mouth ($\dot{V}_M CO_2$).

In addition, measurements that are taken during spurious breaths, or breaths which do not provide information relevant to pulmonary capillary blood flow or cardiac output, may act as noise that introduces inaccuracy into the noninvasive pulmonary capillary blood flow or cardiac output determination.

When equation (4) is employed to calculate the VCO_2 of a patient from the respiratory flow and carbon dioxide fraction measurements over an entire breath, such miscorrelation or noise-induced inaccuracies in either the expiratory flow, the inspiratory flow, or both may cause inaccuracies in the determination of VCO_2 or inconsistencies between VCO_2 determinations.

The inventors are not aware of a method for using a model of the lung which includes estimation, evaluation, or use of the carbon dioxide stores of the lung to transform, modify, or filter one or more noninvasively obtained respiratory signals to increase the correlation of each filtered or modified respiratory signal with at least one other noninvasively obtained respiratory signal.

SUMMARY OF THE INVENTION

The present invention includes correlating an indicator of a change in VCO_2 , which, for simplicity, is hereinafter referred to as a “change in VCO_2 ”, to a corresponding change in an indicator of the content of carbon dioxide in the blood. Examples of changes in VCO_2 that may be obtained

and transformed in accordance with teachings of the present invention include, without limitation, a change in the net volume of CO₂ (between expiratory and inspiratory CO₂), a change in the inspiratory volume of CO₂, and a change in another component of inspired or expired air (e.g., a change in oxygen). Examples of changes in an indicator of the content of CO₂ in the blood of an individual include, but are not limited to, C_{VCO₂}, C_{ACO₂}, C_{aCO₂}, and p_{etCO₂}, as well as surrogates and equivalents of any of the foregoing. Correlation in accordance with teachings of the present invention may be used in accurately and noninvasively measuring the pulmonary capillary blood flow or cardiac output of an individual.

In an exemplary aspect of the present invention, one or both of the VCO₂ and p_{etCO₂} signals that have been taken at different respiratory or ventilatory states may be transformed or filtered, such as over the course of a change in the effective ventilation of an individual (e.g., during a rebreathing process). Transformation or filtering in accordance with teachings of the present invention effectively counteracts any dampening by the carbon dioxide stores in the lungs of an individual over the course of a change in the effective ventilation of the individual and substantially correlates VCO₂ and p_{etCO₂} signals that correspond, in time, to one another. Stated another way, the shapes of corresponding VCO₂ and p_{etCO₂} or c_{CO₂} signals may be compared with one another to estimate the size or effect of the lung stores on the accuracies of these measurements and, thus, to determine an appropriate transformation coefficient, or filter coefficient, for increasing the accuracy of one or more of the VCO₂, p_{etCO₂}, and c_{CO₂} signals. Methods of the present invention may, therefore, substantially eliminate any lag time that may be caused by carbon dioxide stores of an individual's lungs and that may exist between changes in the amounts of respiratory gases at the mouth and those in the lungs.

By way of example only and not to limit the scope of the present invention, the p_{etCO₂} signal, which tends to be dampened, or filtered, by the carbon dioxide stores of an individual's lungs to a greater degree than a VCO₂ signal obtained from respiration of the individual, may be "sped up" to match a corresponding VCO₂ signal. The p_{etCO₂} signal may be "sped up" by amplifying the signal.

As another example, the VCO₂ or $\dot{V}CO_2$ signal may be "slowed down". Slowing down of the VCO₂ signal may be accomplished by use of a signal from at least one previous or subsequent breath, such as the breath that immediately preceded that from which a "corresponding" p_{etCO₂} signal has been obtained. Use of this technique may facilitate the effective elimination of any noise that may be present in a VCO₂ or $\dot{V}CO_2$ signal.

As the difference in the rates at which the carbon dioxide stores of an individual's lungs dampen, or filter, the p_{etCO₂} and VCO₂ signals is taken into consideration in the method of the present invention, it may be said that the method of the present invention employs a model of the lung of an individual to measure the individual's p_{etCO₂}.

The volume of carbon dioxide stores in the lungs of the individual, including the so-called functional residual capacity, or gas volume of the lungs that does not directly participate in respiration, and the volume of carbon dioxide absorbed by the tissues of the lung are estimated to provide a correlation coefficient (referring to the correlation between simultaneously obtained VCO₂ and p_{etCO₂} signals), or r² value, in which the measured VCO₂ and p_{etCO₂} values may be plotted in a substantially linear fashion. Initially, by way of example only, this volume may comprise an estimate

based on a size of the patient or, simply, a prespecified starting point. The estimated volume of carbon dioxide stores may be adjusted. If the adjustments improve the linearity of the VCO₂ vs. p_{etCO₂} plot, the adjustments are being made in the proper manner. If the linearity of the plotted values decreases, it can readily be determined that different, opposite adjustments may improve the linearity with which VCO₂ and p_{etCO₂} are plotted against one another.

It may be assumed that the state of the lungs during a particular breathing cycle (e.g., during an nth breath during the course of causing a change in the effective ventilation of an individual) closely resembles the state of the lungs during the immediately preceding breathing cycle (e.g., during the n-1th breath during the course of causing a change in the effective ventilation of the individual). Accordingly, when a subsequent breathing cycle begins, the initial estimate of the carbon dioxide stores may be the same or substantially the same as the final estimate of the volume of carbon dioxide stores used during the previous breathing cycle.

It is possible, however, that the volume of the carbon dioxide stores of the lungs of an individual may change over even relatively short periods of time. For example, movement by the individual from one position to another may cause the pressure within the lungs to change, which may also result in a change in the volume of the carbon dioxide stores of the lungs. The effect of such a change may be estimated and accounted for in the initial estimate of the carbon dioxide stores for a subsequent breathing cycle, or the initial estimate of the carbon dioxide stores may remain the same as that for the previous breathing cycle and be quickly adjusted to compensate for such a change in the volume of the carbon dioxide stores.

It is also within the scope of the present invention to adjust previously obtained measurements when a more accurate estimate of the volume of carbon dioxide stores in the lungs, or lung model, is obtained.

Although the methods described herein are in reference to two measurements and two corresponding compartments of the respiratory tract of an individual, like methods which involve measurements that correspond to more than two parts of an individual's respiratory tract and that match measured signals to particular, common points in time are also within the scope of the present invention.

As a result of transforming or filtering one or both of the VCO₂ and p_{etCO₂} signals obtained over a change in the effective ventilation of an individual in accordance with teachings of the present invention, it may be possible to determine the location and, thus, the slope of a best-fit line for a plot of the VCO₂/p_{etCO₂} signals, or data points, with greater precision and accuracy, leading to a more accurate estimation of one or both of the pulmonary capillary blood flow and cardiac output of the individual.

While the foregoing type of transformation is conducted in the time domain, corresponding types of transformation, such as a Fourier transform, may be conducted in the frequency domain.

Additionally, the present invention includes methods, systems, and algorithms for estimating the volume of the carbon dioxide stores of an individual's lungs, as well as methods, systems, and algorithms for determining the amount of carbon dioxide flowing into and out of the carbon dioxide stores and for evaluation of the volume of the FRC of the individual's lungs.

Other features and advantages of the present invention will become apparent to those of ordinary skill in the art through a consideration of the ensuing description, the accompanying drawings, and the appended claims.

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings, which depict exemplary embodiments of various aspects of the present invention:

FIG. 1 is a schematic representation of an exemplary rebreathing circuit that may be employed with the methods of the present invention;

FIG. 2A is a graph depicting CO₂ excretion ($\dot{V}_M\text{CO}_2$) measurements taken at various breaths by an individual;

FIG. 2B is a graph depicting measurements of the content of CO₂ in the alveolar blood of the individual (c_{ACO_2}), taken at breaths that correspond to those of the graph of FIG. 2A;

FIG. 3 is a graph in which corresponding $\dot{V}_B\text{CO}_2$ and c_{ACO_2} measurements are plotted against one another, illustrating all of the plotted points being located in an ideal, substantially in-line relation to one another;

FIG. 4 is a graph in which corresponding $\dot{V}_M\text{CO}_2$ and c_{ACO_2} data from FIGS. 2A and 2B are plotted against one another and are arranged in a so-called "loop";

FIG. 5 is a schematic representation of an alveolus of an individual, illustrating the locations at which various respiratory and blood gas parameters may be determined;

FIG. 6 is a graph that illustrates the volume of gases in the carbon dioxide stores of a respiratory tract of an individual (V_A) during a series of respiratory cycles, or breaths;

FIG. 7 is a graph representing an exemplary relationship between an estimate of the volume of gases in the carbon dioxide stores in a respiratory tract of an individual (V_A^*) and a correlation coefficient (r^2) between corresponding $\dot{V}_B\text{CO}_2$ and c_{ACO_2} data;

FIG. 8A is a graph depicting CO₂ excretion ($\dot{V}_M\text{CO}_2$) measurements taken at various breaths by an individual that correspond to the data points depicted in FIG. 2A and which have been transformed, filtered, or otherwise corrected in accordance with teachings of the present invention;

FIG. 8B is a graph depicting measurements of the content of CO₂ in the alveolar blood of the individual (c_{ACO_2}), which correspond to the data points depicted in FIG. 2B and which have been taken at breaths that correspond to those of the graphs of FIGS. 2A and 8A;

FIG. 9 is a plot of the transformed $\hat{V}_M\text{CO}_2$ data points of FIG. 8A against the c_{ACO_2} data points of FIG. 8B, in which the plotted points are substantially in-line with one another;

FIG. 10 is a graph in which noninvasively obtained flow data that were determined in accordance with teachings of the present invention are compared with corresponding flow data obtained by highly accurate, invasive thermodilution techniques, which graph illustrates the high correlation between pulmonary capillary blood flow or cardiac output measurements obtained by methods that incorporate teachings of the present invention and those obtained by use of thermodilution techniques;

FIG. 11 illustrates the differences between corresponding flow measurements obtained by methods of the present invention and those obtained by thermodilution techniques; and

FIG. 12 is a schematic representation of an example of a monitoring system incorporating teachings of the present invention.

DETAILED DESCRIPTION

The rate at which blood flows past a particular alveolus of an individual's lungs may be calculated as the ratio of the flow of CO₂ leaving the blood ($\dot{V}_B\text{CO}_2$), or carbon dioxide

excretion from the blood, to the CO₂ content difference between the unoxygenated, upstream blood approaching the alveolus (c_{vCO_2}) and oxygenated, downstream blood leaving the alveolus (c_{aCO_2}). This is the basis for the carbon dioxide form of the Fick equation that follows:

$$\dot{Q} = \frac{\dot{V}_B\text{CO}_2}{c_{vCO_2} - c_{aCO_2}} \quad (5)$$

As gas exchange occurs at the alveolus, the content of CO₂ in blood at the alveolus (c_{ACO_2}) is assumed to be substantially the same as the content of CO₂ in blood leaving the alveolus (c_{aCO_2}), assuming that none of the blood is shunted away from the alveolus. Thus, c_{ACO_2} may be substituted for c_{aCO_2} in equation (5).

Substituting c_{ACO_2} for c_{aCO_2} and rearranging equation (5) for a calculation of $\dot{V}_B\text{CO}_2$ results in the following:

$$\dot{V}_B\text{CO}_2 = -\dot{Q}c_{ACO_2} + \dot{Q}c_{vCO_2} \quad (6)$$

In a plot of $\dot{V}_B\text{CO}_2$ signals (y-axis) against c_{ACO_2} signals (x-axis) taken at various points during and before or after a change in the effective ventilation of an individual, it can be seen from the standard equation for a line, $y=mx+b$, that the slope (y) of a line taken through the various plotted data points will be $-\dot{Q}$, while $\dot{Q}c_{vCO_2}$ is the intercept (b).

Equations (5) and (6) are based on the rate at which carbon dioxide leaves, or is eliminated from, the blood at the alveoli ($\dot{V}_B\text{CO}_2$). If the flow of CO₂ from the blood into the alveoli, or carbon dioxide excretion ($\dot{V}_B\text{CO}_2$), could be measured and plotted against c_{ACO_2} during rebreathing, data from every breath, including transitional data points, would fall on the line defined by equation (6). Carbon dioxide excretion ($\dot{V}_B\text{CO}_2$) is not measured at the alveolar level, however. Rather, it is measured as carbon dioxide elimination ($\dot{V}_M\text{CO}_2$) at the mouth. The carbon dioxide elimination measured at the mouth ($\dot{V}_M\text{CO}_2$) is the sum of the flow of CO₂ excreted from the blood ($\dot{V}_B\text{CO}_2$) and the flow of CO₂ into or out of the CO₂ stores ($\dot{V}_{STORES}\text{CO}_2$). Thus,

$$\dot{V}_M\text{CO}_2 = \dot{V}_B\text{CO}_2 + \dot{V}_{STORES}\text{CO}_2 \quad (7)$$

At the beginning of rebreathing, the CO₂ stores of an individual's lungs absorb some of the increased CO₂, causing $\dot{V}_B\text{CO}_2$ to change more gradually than $\dot{V}_M\text{CO}_2$ changes. The CO₂ stores of an individual's lungs may be evaluated by use of a model of the lung, such as the simple model of the lung depicted in FIG. 5, in which a single alveolus **100** and a corresponding pulmonary capillary **102** represent the lung. The direction in which blood flows through pulmonary capillary **102** is represented by arrows **103**. The mouth of an individual is represented at reference **106**. In the model of FIG. 5, the carbon dioxide stores of the lung are depicted, for the purpose of simplicity, as comprising the physical gas volume **104** of the alveolus (V_A). As known in the art, V_A is related to the functional residual capacity (V_{FRC}) of the lung and to tidal volume (V_T). In addition to the illustrated contributors to the CO₂ stores of the lung, CO₂ may be distributed within other stores, such as the alveolar tissues and other tissues of the lung. In addition, the lung model shown in FIG. 5 omits V_T/V_0 mismatch and shunting of blood. For modeling purposes, the mixing of air within the alveolus (including inspired gases, CO₂ escaping from the blood, flow of CO₂ into and out of the CO₂ stores, and gases within the alveolus) is assumed to occur instantaneously. The effective volume of the CO₂ stores of an individual's lungs are denoted herein as " V_A^* ".

In the method of the present invention, a model of the lung, such as that depicted in FIG. 5, may be evaluated on a breath-by-breath basis. By way of example only, a breath may be delineated as the period from the end of one inspiration to the end of the next inspiration, as illustrated in FIG. 6. In addition, FIG. 6 depicts an example of the effective volume of CO₂ stores in the individual's respiratory tract (e.g., lungs) during the course of respiration.

If the effective volume of CO₂ stores (V_A^*) does not change from breath to breath, the flow into and out of the CO₂ stores from one breath to the next may be expressed as a change in alveolar CO₂ fraction ($f_A \text{CO}_2$) (i.e., the fraction of gases in the alveolus that comprise CO₂), or the difference between $f_A \text{CO}_2$ for a particular breath ($f_A \text{CO}_2(n)$) and $f_A \text{CO}_2$ for the previous breath $f_A \text{CO}_2(n-1)$. Substituting the change in alveolar CO₂ fraction, along with a consideration of the volume of the CO₂ stores of the individual's lungs and the individual's respiratory rate (RR), for the flow of CO₂ into and out of the carbon dioxide stores ($\dot{V}_{\text{STORES}} \text{CO}_2$) in equation (7) results in the following:

$$\dot{V}_B \text{CO}_2(n) = \dot{V}_M \text{CO}_2(n) + V_A^*(n) [f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)] \text{RR}, \quad (8)$$

where "n" denotes the current or most recent breath and "n-1" denotes the previous breath. Equation (8) is particularly useful for estimating $\dot{V}_B \text{CO}_2$ from $\dot{V}_M \text{CO}_2$ measurements that are obtained during the transition from nonbreathing, or "normal" breathing, to rebreathing. An estimate of $\dot{V}_B \text{CO}_2$ is denoted herein as $\hat{V}_B \text{CO}_2$ and may be substituted for $\dot{V}_B \text{CO}_2$ in equation (8).

As it may be assumed that the alveolar CO₂ fraction ($f_{A \text{CO}_2}$) is proportional to p_{etCO_2} , which may be measured by use of a capnometer, the p_{etCO_2} measurement may be used, as known in the art, to obtain an $f_{A \text{CO}_2}$ value for each breath.

The effective volume of the CO₂ stores (V_A^*) may be adaptively estimated, such as by using the linear correlation between $\hat{V}_B \text{CO}_2$ from equation (8), substituting \hat{V}_A^* for V_A^* , and $c_{A \text{CO}_2}$ as a guide (see equation (6)). The more accurately the estimated effective alveolus volume \hat{V}_A^* reflects the actual effective alveolus volume V_A^* , the closer the data points of a plot of $\hat{V}_B \text{CO}_2$ against $c_{A \text{CO}_2}$ over the course of a change in the effective ventilation of an individual will be to a line representative of the actual pulmonary capillary blood flow or cardiac output of the individual. The ideal value for \hat{V}_A^* may, therefore, be determined as the value that results in the best linear fit between the plotted data ($c_{A \text{CO}_2}$ against $\hat{V}_B \text{CO}_2$) and, thus, a maximized correlation coefficient, or r^2 value. By way of example only, an adaptive, iterative, or search algorithm of a type known in the art may be used to determine \hat{V}_A^* for which the correlation coefficient, or r^2 , is maximized. The graph of FIG. 7 shows an example of a \hat{V}_A^* value at which r^2 is maximized.

Once an accurate \hat{V}_A^* value has been obtained, V_{FRC} may also be estimated or determined, as known in the art.

Equation (8) may be rewritten, as follows, to reflect the use of \hat{V}_A^* as an estimate for V_A^* :

$$\hat{V}_B \text{CO}_2(n) = \dot{V}_M \text{CO}_2(n) + \hat{V}_A^*(n) [f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)] \text{RR}. \quad (9)$$

The foregoing approach (particularly, the use of equation (9)) works well when an individual is mechanically ventilated (i.e., on a respirator), in which case the respiratory rate and tidal volume of the individual's respiration are typically substantially stable, which provides for a "clean" $f_A \text{CO}_2$ signal.

During mixed or spontaneous ventilation, it may be desirable to eliminate any noise that may occur in the $f_A \text{CO}_2$ signal when equation (9) is used, as such noise may result in an inaccurate estimation of $\hat{V}_B \text{CO}_2$. An algorithm that is less sensitive to noise than equation (9) may, therefore, also be useful for estimating $\hat{V}_B \text{CO}_2$.

Assuming that pulmonary capillary blood flow and cardiac output do not change from one breath to the next, the carbon dioxide Fick equation (equation (5)) may be rewritten for two successive breaths:

$$\dot{Q} = \frac{\dot{V}_B \text{CO}_2(n-1)}{c_{v \text{CO}_2}(n-1) - c_{A \text{CO}_2}(n-1)} = \frac{\dot{V}_B \text{CO}_2(n)}{c_{v \text{CO}_2}(n) - c_{A \text{CO}_2}(n)} \quad (10)$$

Further, assuming that $c_{v \text{CO}_2}$ does not change from one breath to the next, equation (10) may be simplified to:

$$\dot{Q} = \frac{\dot{V}_B \text{CO}_2(n-1) - \dot{V}_B \text{CO}_2(n)}{c_{A \text{CO}_2}(n) - c_{A \text{CO}_2}(n-1)} \quad (7)$$

Measurements of the CO₂ fraction of gases in an individual's alveoli ($f_A \text{CO}_2$) may be used in place of the $c_{A \text{CO}_2}$ measurements of equation (11) when the slope of the CO₂ dissociation curve (s_{CO_2}), a standard curve which illustrates the rate at which CO₂ molecules dissociate from the hemoglobin molecules of red blood cells, and barometric pressure (P_{baro}) are also taken into consideration, as known in the art. Accordingly, equation (11) may be rewritten as follows:

$$\dot{Q} = \frac{\dot{V}_B \text{CO}_2(n-1) - \dot{V}_B \text{CO}_2(n)}{s_{\text{CO}_2} P_{\text{baro}} [f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)]} \quad (12)$$

Solving this expression for the difference in CO₂ fractions ($f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)$) yields:

$$f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1) = \frac{\dot{V}_B \text{CO}_2(n-1) - \dot{V}_B \text{CO}_2(n)}{s_{\text{CO}_2} P_{\text{baro}} \dot{Q}} \quad (13)$$

Substitution of equation (13) into equation (9) results in:

$$\hat{V}_B \text{CO}_2(n) = \dot{V}_M \text{CO}_2(n) + \frac{\text{RR} \hat{V}_A^*(n)}{s_{\text{CO}_2} P_{\text{baro}} \dot{Q}} [\hat{V}_B \text{CO}_2(n-1) - \hat{V}_B \text{CO}_2(n)] \quad (14)$$

This expression can now be solved for $\hat{V}_B \text{CO}_2(n)$:

$$\hat{V}_B \text{CO}_2(n) = \frac{1}{1 + \frac{\text{RR} \hat{V}_A^*(n)}{s_{\text{CO}_2} P_{\text{baro}} \dot{Q}}} \dot{V}_M \text{CO}_2(n) + \frac{\frac{\text{RR} \hat{V}_A^*(n)}{s_{\text{CO}_2} P_{\text{baro}} \dot{Q}}}{1 + \frac{\text{RR} \hat{V}_A^*(n)}{s_{\text{CO}_2} P_{\text{baro}} \dot{Q}}} \hat{V}_B \text{CO}_2(n-1) \quad (15)$$

Structurally, this result represents a first order single-pole low pass filter of the form

$$\hat{V}_B \text{CO}_2(n) = (1-\alpha) \hat{V}_M \text{CO}_2(n) + \alpha \hat{V}_B \text{CO}_2(n-1), \quad (16)$$

where α , the transformation coefficient, may be represented as

$$\frac{RR\dot{V}_A^*(n)}{s_{CO_2}P_{baro}\dot{Q}} \div \left(1 + \frac{RR\dot{V}_A^*(n)}{s_{CO_2}P_{baro}\dot{Q}}\right)$$

Of course, \dot{Q} is an unknown variable, which is not actually necessary for determining either α or \dot{V}_A^* . Equation (15) merely proves that equation (16) is equivalent to a physiologic model of the lungs of an individual by which the relationship between V_{CO_2} , c_{CO_2} , and $\dot{V}_{STORES}CO_2$ may be evaluated to accurately determine pulmonary capillary blood flow or cardiac output.

The transformation coefficient (α) in equation (16) may be determined iteratively, by using an initial α value, then progressively increasing and/or decreasing the α value to determine the α value that provides an optimal correlation coefficient (r^2), or provides for a plot of V_{CO_2} values against P_{etCO_2} or c_{CO_2} values with the greatest linearity (as opposed to an open loop). Other alternative methods for determining an optimal α value include, without limitation, rote searching, global searching, gradient searching (e.g., use of a gradient descent search algorithm), use of a least mean squares algorithm, use of other predetermined equations or sets of predetermined equations, use of a truly adaptive filtering technique, and use of other techniques to determine the optimal α value, as known in the art. Once an optimal α value has been determined, equation (16) may be used in a determination of the pulmonary capillary blood flow or cardiac output of an individual.

The algorithm of equation (16) comprises a simple model of the lung that may be used to calculate the amount of CO_2 that flows into and out of the carbon dioxide stores of the lungs on a "breath-to-breath" basis. A determination of $\dot{V}_B CO_2(n)$ in accordance with either of these models may be used in equation (9) and, of course, when a change in the effective ventilation of an individual has occurred, to determine the pulmonary capillary blood flow or cardiac output of the individual.

An example of the result of applying the algorithms of equations (15), (16), and (9) to the data shown in FIGS. 2A and 2B is depicted in FIGS. 8A, 8B, and 9. In the $\dot{V}_B CO_2$ and c_{ACO_2} trend plots of FIGS. 8A and 8B, respectively, the $\dot{V}_B CO_2$ signal appears to have been "slowed down" to match the corresponding, inverted c_{ACO_2} signal. As a result, plotting the $\dot{V}_B CO_2$ signal against the c_{ACO_2} signal, the data points fall more closely to the line predicted by the carbon dioxide Fick equation (equation (5) and FIG. 3), as shown in FIG. 9.

Turning now to FIG. 12, a schematic representation of a diagnostic system 1 incorporating teachings of the present invention is illustrated. Diagnostic system 1 includes, among other things, a tubular airway 52 in communication with the airway A of an individual I, as well as a flow meter 72 and a carbon dioxide sensor 74 positioned along tubular airway 52. Flow meter 72 and carbon dioxide sensor 74 communicate signals to corresponding monitors 73 and 75, which communicate electronically with a processor 82 of a respiratory monitor 80. Processor 82 is programmed to determine at least V_{CO_2} and P_{etCO_2} based on signals communicated thereto from flow meter 72 and carbon dioxide sensor 74. In addition, processor 82 may be programmed to use signals from one or both of flow meter 72 and carbon

dioxide sensor 74 or calculated parameters (e.g., V_{CO_2} and P_{etCO_2}) in the above-described algorithms to facilitate the substantially noninvasive and accurate determination of pulmonary capillary blood flow or cardiac output of the individual. Alternatively, such calculations may be made manually.

EXAMPLE

Using a common protocol, anesthesia was induced in five mongrel dogs (25.8 kg to 42.4 kg) using tiletamine and zolazepam. Each animal was intubated and mechanically ventilated throughout the experiment. Anesthesia was maintained with halothane and isoflurane. Cardiac output was increased during the experiment using dobutamine and decreased using halothane, xylazine, or a combination thereof.

A DUALTHERM (B. Braun Medical Inc., Bethlehem, Pa.) pulmonary artery catheter was placed and used for periodic thermodilution cardiac output measurements. The DUALTHERM catheter uses a dual thermister that directly measured injectate temperature, thereby eliminating errors caused by faulty injectate temperature measurement. Thermodilution cardiac output measurements, using about 10 ml of iced saline, were taken in triplicate every 10 minutes at random times during the respiratory cycle.

$\dot{V}_M CO_2$ and P_{etCO_2} were recorded using a commercially available partial rebreathing system (NICO₂®, Novamatrix Medical Systems Inc., Wallingford, Conn.). The flow and CO_2 sensors used by the rebreathing system were placed in the breathing circuit between the endotracheal tube and the wye piece of the breathing circuit. Partial rebreathing cycles were comprised of a 30 second rebreathing and a 30 second recovery period. Rebreathing cycles were run continuously every 60 seconds throughout the experiments.

Respiratory data from the NICO₂® monitor were automatically recorded on a personal computer for later analysis. The stored respiratory data was processed using the model-based lung stores compensation method described above, using equations (12) and (5). The resulting partial rebreathing cardiac output measurements were compared against simultaneously collected thermodilution cardiac output measurements.

A total of 96 thermodilution cardiac output measurements, ranging from 0.64 to 10.88 L/min, were taken. Regression analysis of the paired partial rebreathing and thermodilution measurements, shown in the graph of FIG. 10, gave a correlation coefficient (r^2) of 0.966. As depicted in FIG. 11, Bland-Altman analysis showed a bias of -0.059 L/min and a standard deviation of 0.58 L/min ($\pm 24\%$ according to Critchley, LAH, et al., A meta-analysis of studies using bias and precision statistics to compare cardiac output measurement techniques, J. CLIN. MONITORING 15:85-91 (1999)). The 95% confidence interval of the difference between rebreathing cardiac output and thermodilution cardiac output was between -1.19 and 1.08 L/min.

These comparisons evidence the accuracy with which pulmonary capillary blood flow and cardiac output measurements may be obtained when teachings of the present invention are employed.

Although the foregoing description contains many specifics, these should not be construed as limiting the scope of the present invention, but merely as providing illustrations of some of the presently preferred embodiments. Similarly, other embodiments of the invention may be devised which do not depart from the spirit or scope of the present invention. Features from different embodiments may

be employed in combination. The scope of the invention is, therefore, indicated and limited only by the appended claims and their legal equivalents, rather than by the foregoing description. All additions, deletions and modifications to the invention as disclosed herein which fall within the meaning and scope of the claims are to be embraced thereby.

What is claimed:

1. A method for optimizing the accuracy of at least one of a carbon dioxide elimination measurement and a carbon dioxide excretion signal obtained from an individual based on carbon dioxide stores of a respiratory tract of the individual, comprising use of the formula:

$$\hat{V}_B\text{CO}_2(n)=(1-\alpha)\dot{V}_M\text{CO}_2(n)+\alpha\hat{V}_B\text{CO}_2(n-1),$$

where $\dot{V}_M\text{CO}_2(n)$ is the carbon dioxide elimination measurement, $\hat{V}_B\text{CO}_2(n-1)$ is an estimate of carbon dioxide excretion of at least one preceding or subsequent breath, $\hat{V}_B\text{CO}_2(n)$ is an estimate of carbon dioxide excretion of the same breath as that for which the carbon dioxide elimination measurement was obtained, and α is a transformation coefficient based on an estimate of the carbon dioxide stores of the respiratory tract of the individual.

2. The method of claim 1, comprising estimating carbon dioxide excretion of the at least one preceding or subsequent breath ($\hat{V}_B\text{CO}_2(n)$) as follows:

$$\hat{V}_B\text{CO}_2(n)=\dot{V}_M\text{CO}_2(n)+\hat{V}_A^*(n)[f_A\text{CO}_2(n)-f_A\text{CO}_2(n-1)]RR,$$

where $\dot{V}_M\text{CO}_2(n)$ is the carbon dioxide elimination measurement for the at least one preceding or subsequent breath, $\hat{V}_A^*(n)$ is an estimate of a volume of the carbon dioxide stores of the respiratory tract of the individual during the at least one preceding or subsequent breath, $[f_A\text{CO}_2(n)-f_A\text{CO}_2(n-1)]$ is a difference between a fraction of carbon dioxide in alveoli of the respiratory tract of the individual for the at least one preceding or subsequent breath and a breath that immediately preceded the at least one preceding or subsequent breath, and RR is a respiratory rate of the individual.

3. The method of claim 1, comprising determining an optimal α value by at least one of iterative searching, rote searching, gradient searching, use of a set of predetermined equations, and adaptive filtering.

4. The method of claim 1, comprising adjusting the estimate of carbon dioxide excretion of the at least one preceding or subsequent breath to improve a correlation between the carbon dioxide elimination measurement and an indicator of the content of carbon dioxide in blood of the individual.

5. A method for improving an accuracy of a respiratory signal, comprising:

obtaining the respiratory signal from respiration of an individual during at least two breaths; and

applying a transformation based on carbon dioxide stores of a respiratory tract of the individual including carbon dioxide in lung tissues, to the respiratory signal;

wherein applying the transformation comprises employing a carbon dioxide elimination signal in the following algorithm:

$$\hat{V}_B\text{CO}_2(n)=(1-\alpha)\dot{V}_M\text{CO}_2(n)+\alpha\hat{V}_B\text{CO}_2(n-1),$$

where $\dot{V}_M\text{CO}_2(n)$ is a carbon dioxide elimination measurement, $\hat{V}_B\text{CO}_2(n-1)$ is an estimate of carbon dioxide excretion of at least one preceding or subsequent breath, $\hat{V}_B\text{CO}_2(n)$ is an estimate of carbon dioxide excretion of the same breath as that for which the carbon dioxide elimination

measurement was obtained, and α is a transformation coefficient based on an estimate of the carbon dioxide stores of the respiratory tract of the individual.

6. The method of claim 5, wherein obtaining the respiratory signal comprises obtaining a carbon dioxide elimination signal from respiration of the individual.

7. The method of claim 6, wherein applying the transformation comprises employing an estimate of at least one of a volume of the carbon dioxide stores of the respiratory tract of the individual and a flow of carbon dioxide into or out of the carbon dioxide stores.

8. The method of claim 5, comprising estimating the carbon dioxide excretion of the at least one preceding or subsequent breath ($\hat{V}_B\text{CO}_2(n)$) as follows:

$$\hat{V}_B\text{CO}_2(n)=\dot{V}_M\text{CO}_2(n)+\hat{V}_A^*(n)[f_A\text{CO}_2(n)-f_A\text{CO}_2(n-1)]RR,$$

where $\dot{V}_M\text{CO}_2(n)$ is the carbon dioxide elimination measurement for the at least one preceding or subsequent breath, $\hat{V}_A^*(n)$ is an estimate of a volume of the carbon dioxide stores of the respiratory tract of the individual during the at least one preceding or subsequent breath, $[f_A\text{CO}_2(n)-f_A\text{CO}_2(n-1)]$ is the difference between the fraction of carbon dioxide in alveoli of the respiratory tract of the individual for the at least one preceding or subsequent breath and a breath that immediately preceded the at least one preceding or subsequent breath, and RR is a respiratory rate of the individual.

9. The method of claim 5, further comprising determining an optimal α value.

10. The method of claim 9, wherein determining the optimal α value comprises at least one of iterative searching, rote searching, gradient searching, use of a set of predetermined equations, and adaptive filtering.

11. A method for improving an accuracy of a respiratory signal, comprising:

obtaining a carbon dioxide elimination signal from respiration of an individual during at least two breaths; and

applying a transformation based on carbon dioxide stores of a respiratory tract of the individual to the respiratory signal, the transformation employing the following algorithm:

$$\hat{V}_B\text{CO}_2(n)=(1-\alpha)\dot{V}_M\text{CO}_2(n)+\alpha\hat{V}_B\text{CO}_2(n-1),$$

where $\dot{V}_M\text{CO}_2(n)$ is a carbon dioxide elimination measurement, $\hat{V}_B\text{CO}_2(n-1)$ is an estimate of carbon dioxide excretion of at least one preceding or subsequent breath, $\hat{V}_B\text{CO}_2(n)$ is an estimate of carbon dioxide excretion of the same breath as that for which the carbon dioxide elimination measurement was obtained, and α is a transformation coefficient based on an estimate of the carbon dioxide stores of the respiratory tract of the individual.

12. The method of claim 11, comprising estimating the carbon dioxide excretion of the at least one preceding or subsequent breath ($\hat{V}_B\text{CO}_2(n)$) as follows:

$$\hat{V}_B\text{CO}_2(n)=\dot{V}_M\text{CO}_2(n)+\hat{V}_A^*(n)[f_A\text{CO}_2(n)-f_A\text{CO}_2(n-1)]RR,$$

where $\dot{V}_M\text{CO}_2(n)$ is the carbon dioxide elimination measurement for the at least one preceding or subsequent breath, $\hat{V}_A^*(n)$ is an estimate of a volume of the carbon dioxide stores of the respiratory tract of the individual during the at least one preceding or subsequent breath, $[f_A\text{CO}_2(n)-f_A\text{CO}_2(n-1)]$ is the difference between the fraction of carbon

dioxide in alveoli of the respiratory tract of the individual for the at least one preceding or subsequent breath and a breath that immediately preceded the at least one preceding or subsequent breath, and RR is a respiratory rate of the individual.

13. The method of claim 11, further comprising determining an optimal α value.

14. The method of claim 13, wherein determining the optimal α value comprises at least one of iterative searching, rote searching, gradient searching, use of a set of predetermined equations, and adaptive filtering.

15. A respiratory monitoring device configured to optimize the accuracy of at least one of a carbon dioxide elimination measurement and a carbon dioxide excretion signal obtained from an individual based on carbon dioxide stores of a respiratory tract of the individual, comprising at least one processing element programmed to employ the formula:

$$\hat{V}_B \text{CO}_2(n) = (1-\alpha)\hat{V}_M \text{CO}_2(n) + \alpha \hat{V}_B \text{CO}_2(n-1),$$

where $\hat{V}_M \text{CO}_2(n)$ is the carbon dioxide elimination measurement, $\hat{V}_B \text{CO}_2(n-1)$ is an estimate of carbon dioxide excretion of at least one preceding or subsequent breath, $\hat{V}_B \text{CO}_2(n)$ is an estimate of carbon dioxide excretion of the same breath as that for which the carbon dioxide elimination measurement was obtained, and α is a transformation coefficient based on an estimate of the carbon dioxide stores of the respiratory tract of the individual.

16. The respiratory monitoring device of claim 15, wherein the at least one processing element is configured to estimate carbon dioxide excretion of the at least one preceding or subsequent breath ($\hat{V}_B \text{CO}_2(n)$) as follows:

$$\hat{V}_B \text{CO}_2(n) = \hat{V}_M \text{CO}_2(n) + \hat{V}_A^*(n)[f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)]RR,$$

where $\hat{V}_M \text{CO}_2(n)$ is the carbon dioxide elimination measurement for the at least one preceding or subsequent breath, $\hat{V}_A^*(n)$ is an estimate of a volume of the carbon dioxide stores of the respiratory tract of the individual during the at least one preceding or subsequent breath, $[f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)]$ is a difference between a fraction of carbon dioxide in alveoli of the respiratory tract of the individual for the at least one preceding or subsequent breath and a breath that immediately preceded the at least one preceding or subsequent breath, and RR is a respiratory rate of the individual.

17. The respiratory monitoring device of claim 15, wherein the at least one processing element is configured to determine an optimal α value by at least one of iterative searching, rote searching, gradient searching, use of a set of predetermined equations, and adaptive filtering.

18. The respiratory monitoring device of claim 15, wherein the at least one processing element is configured to adjust the estimate of carbon dioxide excretion of the at least one preceding or subsequent breath to improve a correlation between the carbon dioxide elimination measurement and an indicator of the content of carbon dioxide in blood of the individual.

19. A respiratory monitoring device configured to improve an accuracy of a respiratory signal, comprising:

at least one sensor for obtaining the respiratory signal from respiration of an individual during at least two breaths; and

at least one processing element programmed to apply a transformation based on carbon dioxide stores of a respiratory tract of the individual, including carbon dioxide in tissues of the lungs, to the respiratory signal;

wherein the at least one processing element is configured to apply the following transformation to the carbon dioxide elimination signal:

$$\hat{V}_B \text{CO}_2(n) = (1-\alpha)\hat{V}_M \text{CO}_2(n) + \alpha \hat{V}_B \text{CO}_2(n-1),$$

where $\hat{V}_M \text{CO}_2(n)$ is a carbon dioxide elimination measurement, $\hat{V}_B \text{CO}_2(n-1)$ is an estimate of carbon dioxide excretion of at least one preceding or subsequent breath, $\hat{V}_B \text{CO}_2(n)$ is an estimate of carbon dioxide excretion of the same breath as that for which the carbon dioxide elimination measurement was obtained, and α is a transformation coefficient based on an estimate of the carbon dioxide stores of the respiratory tract of the individual.

20. The respiratory monitoring device of claim 19, wherein the at least one sensor is configured to obtain a carbon dioxide elimination signal from respiration of the individual.

21. The respiratory monitoring device of claim 20, wherein the transformation that the at least one processing element is programmed to apply employs an estimate of at least one of a volume of the carbon dioxide stores of the respiratory tract of the individual and a flow of carbon dioxide into or out of the carbon dioxide stores.

22. The respiratory monitoring device of claim 5, wherein the at least one processing element is programmed to estimate carbon dioxide excretion of the at least one preceding or subsequent breath ($\hat{V}_B \text{CO}_2(n)$) as follows:

$$\hat{V}_B \text{CO}_2(n) = \hat{V}_M \text{CO}_2(n) + \hat{V}_A^*(n)[f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)]RR,$$

where $\hat{V}_M \text{CO}_2(n)$ is the carbon dioxide elimination measurement for the at least one preceding or subsequent breath, $\hat{V}_A^*(n)$ is an estimate of a volume of the carbon dioxide stores of the respiratory tract of the individual during the at least one preceding or subsequent breath, $[f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)]$ is the difference between the fraction of carbon dioxide in alveoli of the respiratory tract of the individual for the at least one preceding or subsequent breath and a breath that immediately preceded the at least one preceding or subsequent breath, and RR is a respiratory rate of the individual.

23. The respiratory monitoring device of claim 5, wherein the at least one processing element is programmed to determine an optimal α value.

24. The respiratory monitoring device of claim 23, wherein the at least one processing element is programmed to determine the optimal α value by at least one of iterative searching, rote searching, gradient searching, use of a set of predetermined equations, and adaptive filtering.

25. A respiratory monitoring device configured to improve an accuracy of a respiratory signal, comprising:

at least one sensor for obtaining a carbon dioxide elimination signal from respiration of an individual during at least two breaths; and

at least one processing element for applying a transformation based on carbon dioxide stores of a respiratory tract of the individual to the respiratory signal, the transformation including the following algorithm:

$$\hat{V}_B \text{CO}_2(n) = (1-\alpha)\hat{V}_M \text{CO}_2(n) + \alpha \hat{V}_B \text{CO}_2(n-1),$$

where $\hat{V}_M \text{CO}_2(n)$ is a carbon dioxide elimination measurement, $\hat{V}_B \text{CO}_2(n-1)$ is an estimate of carbon dioxide excretion of at least one preceding or subsequent breath, $\hat{V}_B \text{CO}_2(n)$ is an estimate of carbon dioxide excretion of the same breath as that for

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which the carbon dioxide elimination measurement was obtained, and α is a transformation coefficient based on an estimate of the carbon dioxide stores of the respiratory tract of the individual.

26. The respiratory monitoring device of claim 25, wherein the transformation with which the at least one processing element is programmed estimates carbon dioxide excretion of the at least one preceding or subsequent breath ($\hat{V}_B \text{CO}_2(n)$) as follows:

$$\hat{V}_B \text{CO}_2(n) = \hat{V}_M \text{CO}_2(n) + \hat{V}_A^*(n) [f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)] RR,$$

where $\hat{V}_M \text{CO}_2(n)$ is the carbon dioxide elimination measurement for the at least one preceding or subsequent breath, $\hat{V}_A^*(n)$ is an estimate of a volume of the carbon dioxide stores of the respiratory tract of the individual during the at least one preceding or subsequent breath, $[f_A \text{CO}_2(n) - f_A \text{CO}_2(n-1)]$

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($n-1$) is the difference between the fraction of carbon dioxide in alveoli of the respiratory tract of the individual for the at least one preceding or subsequent breath and a breath that immediately preceded the at least one preceding or subsequent breath, and RR is a respiratory rate of the individual.

27. The respiratory monitoring device of claim 25, wherein the at least one processing element is programmed to determine an optimal α value.

28. The respiratory monitoring device of claim 27, wherein the at least one processing element is programmed to determine the optimal α value by at least one of iterative searching, rote searching, gradient searching, use of a set of predetermined equations, and adaptive filtering.

* * * * *

专利名称(译)	用于估算二氧化碳储存，转换呼吸气体测量以及获得准确的无创肺毛细血管血流和心输出量测量的算法，系统和方法		
公开(公告)号	US6955651	公开(公告)日	2005-10-18
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[标]申请(专利权)人(译)	库克KAI ORR约瑟夫 BREWER LARA		
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摘要(译)

用于估计个体呼吸道的二氧化碳储存量的方法包括确定二氧化碳储存量，在该二氧化碳储存量下，优化二氧化碳消除的相应信号与个体血液中二氧化碳含量指标之间的相关性。包含个体呼吸道或肺的模型的二氧化碳储存量的估计可用作改进以改善二氧化碳消除和二氧化碳含量信号中的一者或两者的准确度。还公开了变换或过滤算法，以及可以使用方法和算法的系统。所述方法，算法和系统可用于准确且非侵入地确定个体的肺毛细血管血流量和心输出量中的一者或两者。

