



(11) **EP 1 227 753 B1**

(12) **EUROPEAN PATENT SPECIFICATION**

(45) Date of publication and mention
of the grant of the patent:
06.07.2011 Bulletin 2011/27

(51) Int Cl.:
A61B 5/00 (2006.01) G01N 33/00 (2006.01)

(21) Application number: **00978427.3**

(86) International application number:
PCT/US2000/030692

(22) Date of filing: **08.11.2000**

(87) International publication number:
WO 2001/034024 (17.05.2001 Gazette 2001/20)

(54) **MARKER DETECTION APPARATUS TO MONITOR DRUG COMPLIANCE**

VORRICHTUNG ZUR ERFASSUNG EINES MARKERS ZUR ÜBERWACHUNG DER BEFOLGUNG
EINER ARZNEIMITTEL THERAPIE

APPAREIL DE DETECTION DE MARQUEUR DE SURVEILLANCE DE L'OBSERVANCE
THERAPEUTIQUE DE MEDICAMENTS

(84) Designated Contracting States:
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE TR**

- **TALTON, James, D.**
Gainesville, FL 32604-1964 (US)
- **SILVERMAN, David, N.**
Gainesville, FL 32605-3419 (US)

(30) Priority: **08.11.1999 US 164250 P**

(43) Date of publication of application:
07.08.2002 Bulletin 2002/32

(74) Representative: **Perry, Robert Edward**
Gill Jennings & Every LLP
The Broadgate Tower
20 Primrose Street
London
EC2A 2ES (GB)

(73) Proprietor: **University of Florida Research
Foundation, Inc.**
Gainesville, FL 32611 (US)

(56) References cited:
WO-A-99/12471 DE-U- 29 902 593
US-A- 3 649 199 US-A- 5 918 257
US-A- 5 962 335

(72) Inventors:

- **LAMPOTANG, Samsun**
Gainesville, FL 32608-4666 (US)
- **MELKER, Richard**
Gainesville, FL 32605-3247 (US)

Note: Within nine months of the publication of the mention of the grant of the European patent in the European Patent Bulletin, any person may give notice to the European Patent Office of opposition to that patent, in accordance with the Implementing Regulations. Notice of opposition shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

Description

Field of Invention

[0001] The present invention relates to marker detection, in the form of odors or the like, to monitor drug compliance, and, more particularly, to an apparatus for the detection of markers wherein such markers are detectable either directly from the medication itself or from an additive combined with the medication and are detected upon exhalation after medication is taken by a patient.

Background Information

[0002] Non-compliance of patients to drug regimens prescribed by their physicians results in excessive healthcare costs estimated to be around \$100 billion per year through lost work days, increased cost of medical care, higher complication rates, as well as drug wastage. Non-compliance refers to the failure to take the prescribed dosage at the prescribed time which results in undermedication or overmedication. In a survey of 57 non-compliance studies, non-compliance ranged from 15% to as high as 95% in all study populations, regardless of medications, patient population characteristics, drug being delivered or study methodology [Greenberg RN: Overview of patient compliance with medication dosing: A literature review. Clinical Therapeutics, 6(5): 592-599, 1984].

[0003] The sub-optimal rates of compliance reported by various studies becomes of even greater concern as the American populace ages and becomes more dependent on drugs to fight the illnesses accompanying old age. By 2025, over 17% of the US population will be over 65 [Bell JA, May FE, Stewart RB: Clinical research in the elderly: Ethical and methodological considerations. Drug Intelligence and Clinical Pharmacy, 21: 1002-1007, 1987] and senior citizens take, on average, over three times as many drugs compared to the under 65 population [Cosgrove R: Understanding drug abuse in the elderly. Midwife. Health Visitor & Community Nursing 24(6): 222-223. 1988]. The forgetfulness that sometimes accompanies old age also makes it even more urgent to devise cost-effective methods of monitoring compliance on a large scale.

[0004] Further, non-compliance of patients with communicable diseases (e.g., tuberculosis and related opportunistic infections) costs the public health authorities millions of dollars annually and increases the likelihood of drug-resistance, with the potential for widespread dissemination of drug-resistant pathogens resulting in epidemics.

[0005] A cost-effective, but difficult to administer, program has been developed in seven locations around the nation to combat this serious threat to the American populace. It involves direct observation of all drug delivery by trained professionals (directly observed therapy: DOT) but is impractical for large scale implementation. Many

techniques are also invasive, e.g., blood sampling. A device according to the preamble of claim 1 is known from US 5,918,257.

[0006] Accordingly, there is a need in the art for a method to improve drug compliance which provides simple monitoring of medication dosing which is non-invasive, intuitive and sanitary.

Brief Summary of the Invention

[0007] The invention is defined in claim 1.

[0008] The present invention solves the needs in the art by providing an apparatus for monitoring drug compliance by detecting markers, such as odors, upon exhalation by a patient after medication is taken, wherein such markers result either directly from the medication itself or from an additive combined with the medication. In the case of olfactory markers, the invention preferably utilizes electronic sensor technology, such as the commercial devices referred to as "artificial noses" or "electronic noses," to non-invasively monitor compliance. The invention further includes a reporting system capable of tracking compliance (remote or proximate) and providing the necessary alerts.

[0009] Therefore, it is an object of the present invention to detect marker substances as a measure of patient compliance by methods including but not limited to, sensor technology (e.g., silicon chip technology) to non-invasively monitor compliance of patients to prescribed drug regimens.

[0010] It is a further object of the present invention to provide a reporting system capable of tracking compliance and alerting patients, healthcare personnel, and/or in some instances health officials of non-compliance.

[0011] The invention will now be described, by way of example and not by way of limitation, with reference to the accompanying sheets of drawings and other objects, features and advantages of the invention will be apparent from this detailed disclosure and from the appended claims.

Brief Description of the Drawings

[0012]

Figure 1 shows a gas sensor chip which may be utilized as the sensor for the present invention.

Figure 2 shows an overview of the preferred steps of the method of the present invention.

Figure 3 shows the patient taking medication with a marker which is released for detection.

Figure 4 shows the preferred marker detection system utilizing sensor technology which can communicate with a computer for proximate or remote monitoring.

Detailed Description of the Invention

[0013] The present invention provides an apparatus for monitoring drug compliance by detecting markers released for detection upon exhalation after medication is taken by a patient. The detected markers are derived from a novel additive combined with the medication (referred to herein as "markers"). Such markers include olfactory markers (odors). Throughout this disclosure the marker or marker substance is defined as a substance added to the medication or taken with the medication (i.e., as the coating on a pill) that is detected by means of its physical or chemical properties as an indication that the patient has taken the medication. This includes the use of the medication itself as its own marker. The marker substance is then detected by devices including but not limited to electronic noses, spectrophotometers to detect the marker's IR, UV, or visible absorbance or fluorescence, or mass spectrometers to detect the marker's characteristic mass display.

Gas Sensor Technology

[0014] The invention preferably utilizes gas sensor technology, such as the commercial devices referred to as "artificial noses" or "electronic noses," to non-invasively monitor compliance. Electronic noses have been used mostly in the food, wine and perfume industry where their sensitivity makes it possible to distinguish between grapefruit oil and orange oil and identify spoilage in perishable foods before the odor is evident to the human nose. There has been little medical-based research and application; however, recent examples demonstrate the power of this non-invasive technique. Electronic noses have determined the presence of bacterial infection in the lungs simply by analyzing the exhaled gases of patients for odors specific to particular bacteria [Hanson CW, Steinberger HA: The use of a novel electronic nose to diagnose the presence of intrapulmonary infection. *Anesthesiology*, V87, No. 3A, AbstractA269, Sep. 1997]. Also a genitourinary clinic has utilized an electronic nose to screen for, and detect bacterial vaginosis, with a 94% success rate after training [Chandiok S, et al.: Screening for bacterial vaginosis: a novel application of artificial nose technology. *Journal of Clinical Pathology*, 50(9): 790-1, 1997]. Specific bacterial species can also be identified with the electronic nose based on special odors produced by the organisms [Parry AD et al.: Leg ulcer odor detection identifies beta-haemolytic streptococcal infection. *Journal of Wound Care*, 4:404-406, 1995].

[0015] A number of patents which describe gas sensor technology include the following: US5945069 to Buchler, entitled "Gas sensor test chip"; US5918257 to Mifsud et al., entitled "Method and devices for the detection of odorous substances and applications"; US4938928 to Koda et al., entitled "Gas sensor"; US4992244 to Grate, entitled "Films of dithiolene complexes in gas-detecting micro-sensors"; US5034192 to Wrigton et al., entitled "Mol-

ecule-based microelectronic devices"; US5071770 to Kolesar, Jr., entitled "Method for gaseous component identification with #3 polymeric film"; US5145645 to Zakin et al., entitled "Conductive polymer selective species sensor"; US5252292 to Hirata et al., entitled "Ammonia sensor"; US5605612 to Park et al., entitled "Gas sensor and manufacturing method of the same"; US5756879 to Yamagishi et al., entitled "Volatile organic compound sensors"; US5783154 to Althainz et al., entitled "Sensor for reducing or oxidizing gases"; and US5830412 to Kimura et al., entitled "Sensor device, and disaster prevention system and electronic equipment each having sensor device incorporated therein".

[0016] Numerous methods for the detection of marker substances as known in the art may be utilized in the method of the present invention. For example, gas chromatography, which consists of a method of selective detection by separating the molecules of gas compositions, may be used as a way of monitoring markers. Another example of detection contemplated by the present invention includes transcutaneous/transdermal detection, such as that disclosed in U.S. Patent No. 5,771,890 to Tamada and U.S. Patent No. 5,954,685 to Tierney and the commercial device utilizing reverse iontophoresis sold by Cygnus, Inc. under the trademark "GlucoWatch®". Recent developments in the field of detection of marker substances include, but are not limited to, semi-conductive gas sensors, mass spectrometers, IR or UV or visible or fluorescence spectrophotometers. The marker substances change the electrical properties of the semiconductors by making their electrical resistance vary, and the measurement of these variations allows one to determine the concentration of marker substances. These methods and apparatus used for detecting marker substances use a relatively brief detection time, of around a few seconds, compared to those given by gas chromatography, which takes from several minutes to several hours. Other recent gas sensor technologies contemplated by the present invention include apparatus having conductive-polymergas-sensors ("polymeric") and apparatus having surface-acoustic-wave (SAW) gas-sensors.

[0017] The conductive-polymergas-sensors (also referred to as "chemoresistors") have a film made of a conductive polymer sensitive to the molecules of odorous substances. On contact with the molecules, the electric resistance of the sensors change and the measurement of the variation of this resistance enables the concentration of the odorous substances to be determined. An advantage of this type of sensor is that it functions at temperatures close to room temperature. One can also obtain, according to the chosen conductive polymer, different sensitivities for detecting different odorous substances.

[0018] Polymeric gas sensors can be built into an array of sensors, where each sensor is designed to respond differently to different gases and augment the selectivity of the odorous substances.

[0019] The surface-acoustic-wave (SAW) gas-sensors generally include a substrate with piezoelectric characteristics covered by a polymer coating which is able to selectively absorb the odorous substances. The variation of the resulting mass leads to a variation of its resonant frequency. This type of sensor allows for very good mass-volume measures of the odorous substances. In the SAW device, the substrate is used to propagate a surface acoustic wave between sets of interdigitated electrodes. The chemoselective material is coated on the surface of the transducer. When a chemical analyte interacts with a chemoselective material coated on the substrate, the interaction results in a change in the SAW properties such as the amplitude of velocity of the propagated wave. The detectable changes in the characteristics of the wave indicates the presence of the chemical analyte. SAW devices are described in numerous patents and publications, including U.S. Patent No. 4,312,228 to Wohltjen and U.S. Patent No. 4,895,017 to Pyke, and Groves WA, et al.: Analyzing organic vapors in exhaled breath using surface acoustic wave sensor array with preconcentration: Selection and characterization of the preconcentrator adsorbent, *Analytica Chimica Acta* 371 (1988) 131-143. Other types of chemical sensors known in the art that use chemoselective coatings applicable to the operation of the present invention include bulk acoustic wave (BAW) devices, plate acoustic wave devices, interdigitated microelectrode (IME) devices, and optical waveguide (OW) devices, electrochemical sensors, and electrically conducting sensors.

[0020] The operating performance of a chemical sensor that uses a chemoselective film coating is greatly affected by the thickness, uniformity and composition of the coating. For these biosensors, increasing the coating thickness, has a detrimental effect on the sensitivity. Only the portion of the coating immediately adjacent to the transducer substrate is sensed by the transducer. If the polymer coating is too thick, the sensitivity of the SAW device to record changes in frequency will be reduced. These outer layers of coating material compete for the analyte with the layers of coating being sensed and thus reduce the sensitivity of the biosensor. Uniformity of the coating is also a critical factor in the performance of a sensor that uses a chemoselective coating since changes in average surface area greatly effect the local vibrational signature of the SAW device. Therefore, films should be deposited that are flat to within 1 nm with a thickness of 15 - 25 nm. In this regard, it is important not only that the coating be uniform and reproducible from one device to another, so that a set of devices will all operate with the same sensitivity, but also that the coating on a single device be uniform across the active area of the substrate. If a coating is non-uniform, the response time to analyte exposure and the recovery time after analyte exposure are increased and the operating performance of the sensor is impaired. The thin areas of the coating respond more rapidly to an analyte than the thick areas. As a result, the sensor response signal takes longer to reach

an equilibrium value, and the results are less accurate than they would be with a uniform coating.

[0021] Most current technologies for creating large area films of polymers and biomaterials involve the spinning, spraying, or dipping of a substrate into a solution of the macromolecule and a volatile solvent. These methods coat the entire substrate without selectivity and sometimes lead to solvent contamination and morphological inhomogeneities in the film due to non-uniform solvent evaporation. There are also techniques such as microcontact printing and hydrogel stamping that enable small areas of biomolecular and polymer monolayers to be patterned, but separate techniques like photolithography or chemical vapor deposition are needed to transform these films into microdevices. Other techniques such as thermal evaporation and pulsed laser ablation are limited to polymers that are stable and not denatured by vigorous thermal processes. More precise and accurate control over the thickness and uniformity of a film coating may be achieved by using pulsed laser deposition (PLD), a physical vapor deposition technique that has been developed recently for forming ceramic coatings on substrates. By this method, a target comprising the stoichiometric chemical composition of the material to be used for the coating is ablated by means of a pulsed laser, forming a plume of ablated material that becomes deposited on the substrate.

[0022] Polymer thin films, using a new laser based technique developed by researchers at the Naval Research Laboratory called Matrix Assisted Pulsed Laser Evaporation (MAPLE), have recently been shown to increase sensitivity and specificity of chemoselective Surface Acoustic Wave vapor sensors. A variation of this technique, Pulsed Laser Assisted Surface Functionalization (PLASF) is preferably used to design compound specific biosensor coatings with increased sensitivity for the present invention. PLASF produces similar thin films for sensor applications with bound receptors or antibodies for biosensor applications. By providing improved SAW biosensor response by eliminating film imperfections induced by solvent evaporation and detecting molecular attachments to specific antibodies, high sensitivity and specificity is possible.

[0023] Certain extremely sensitive, commercial off-the-shelf (COTS) electronic noses 10, such as those provided by Cyrano Sciences, Inc. ("CSI") (e.g., CSI's Portable Electronic Nose and CSI's Nose-Chip™ integrated circuit for odor-sensing -- U.S. Patent No. 5,945,069 -- Figure 1), are preferred in the present invention to monitor the exhaled breath from a patient to detect medication dosing. These devices offer minimal cycle time, can detect multiple odors, can work in almost any environment without special sample preparation or isolation conditions, and do not require advanced sensor design or cleansing between tests.

[0024] Other technologies and methods are contemplated herein for detection of markers. For example, a patient's breath can be captured into a container (vessel)

for later analysis at a central instrument such as a mass spectrometer.

[0025] The present invention will determine if a patient has taken the prescribed drug at the appropriate time and at the prescribed dosage by monitoring and analyzing the exhaled gases with the electronic nose. The device of the present invention is designed so that patients can exhale via the mouth or nose directly into the device. The device is designed to detect the presence of medications and/or harmless olfactory markers added to medication (discussed hereinafter).

[0026] Another preferred electronic nose technology of the present invention comprises an array of polymers, for example, 32 different polymers, each exposed to a marker (e.g., odor). Each of the 32 individual polymers swells differently to the odor creating a change in the resistance of that membrane and generating an analog voltage in response to that specific odor ("signature"). The normalized change in resistance can then be transmitted to a processor to identify the type, quantity, and quality of the odor based on the pattern change in the sensor array. The unique response results in a distinct electrical fingerprint that is used to characterize the odor. The pattern of resistance changes of the array is diagnostic of the sample, while the amplitude of the pattern indicates the concentration of the sample.

[0027] The responses of the electronic nose to specific odors can be fully characterized using a combination of conventional gas sensor characterization techniques. For example, the sensor can be attached to a computer. Marker analysis results can be displayed on the computer screen, stored, transmitted, etc. A data analyzer can compare a pattern of response to previously measured and characterized responses from known markers. The matching of those patterns can be performed using a number of techniques, including neural networks. By comparing the analog output from each of the 32 polymers to a "blank" or control odor, for example, a neural network can establish a pattern which is unique to that marker and subsequently learns to recognize that marker. The particular resistor geometries are selected to optimize the desired response to the particular marker being sensed. The electronic nose of the present invention is preferably a self-calibrating polymer system suitable for liquid or gas phase biological solutions for a variety of medications simultaneously.

[0028] The electronic nose of the present invention might include integrated circuits (chips) manufactured in a modified vacuum chamber for Pulsed Laser Deposition of polymer coatings. It will operate the simultaneous thin-film deposition wave detection and obtain optimum conditions for high sensitivity of SAW sensors. The morphology and microstructure of biosensor coatings will be characterized as a function of process parameters.

[0029] The electronic nose used in the present invention is modified so that patients can exhale directly into the device. For example, a mouthpiece or nosepiece is provided for interfacing a patient with the device to readily

transmit the exhaled breath to the sensor (See, e.g., U.S. Patent No. 5,042,501). The output from the neural network of the modified electronic nose should be similar when the same patient exhales directly into the device and when the exhaled gases are allowed to dry before they are sampled by the electronic nose.

[0030] The humidity in the exhaled gases represents a problem for certain electronic nose devices (albeit not SAW sensors) which only work with "dry" gases. When using such humidity sensitive devices, the present invention will adapt such electronic nose technology so that a patient can exhale directly into the device with a means to dehumidify the samples. This will be accomplished by including a commercial dehumidifier or a heat moisture exchanger (HME), a device designed to prevent desiccation of the airway during ventilation with dry gases. Alternatively, the patient may exhale through their nose which is an anatomical, physiological dehumidifier to prevent dehydration during normal respiration.

Medication Markers

[0031] Upon ingestion of a drug with or without an olfactory coating or additive (see herein), detection can occur under three distinct circumstances. In one, the drug and/or the additive or coating can "coat" or persist in the mouth, esophagus and/or stomach upon ingestion and be detected upon exhalation (similar to the taste or flavor that remains in the mouth after eating a breath mint). In a second instance, the olfactory coating or additive (or the drug) may react in the mouth or stomach with acid or enzymes to produce or liberate the marker that can then be detected with a "burp" or upon exhalation. Thirdly, the drug and/or marker additive can be absorbed in the gastrointestinal tract and be excreted in the lungs (i.e. alcohol is rapidly absorbed and detected with a Breathalyzer). Generally, a non-toxic marker (that can be detected by its chemical or physical properties) added to the medication itself or to the pill or its coating or to the solution of suspension of the medication or taken separately in some form with the medication will provide a method to determine if the drug was taken as prescribed.

[0032] While detection is possible by all three mechanisms, drug excretion from the lungs after oral ingestion usually takes longer. Rapid detection after ingestion is preferable so that the patient does not have to wait to perform the test after taking the drug.

[0033] However, there may be instances where detection after excretion from the lungs is preferable. This may be the case when an marker or olfactory marker is added to a medication that is given by the intravenous route. Under these circumstances, excretion may occur rapidly since intravenously injected medications pass rapidly to the lungs and can be excreted.

[0034] Thus, when a drug is ingested by a patient, the preferred embodiment of the invention detects the presence of that drug almost immediately in the exhaled breath of the patient (or possibly by requesting the patient

to deliberately produce a burp) using the electronic nose. Certain drug compositions might not be detectable in the exhaled breath. Others might have a coating to prevent the medication from dissolving in the stomach. In both instances, as an alternate embodiment, a non-toxic olfactory marker (e.g., volatile organic vapors) added to the coating of the pill or in a separate fast dissolving compartment in the pill or the solution (if the medication is in liquid or suspension form) will provide a method to determine if the drug was taken as prescribed. Any number of benign compounds could be used as olfactory markers. Preferably the marker substance will coat the oral cavity or esophagus or stomach for a short while and be exhaled in the breath or in a burp. The electronic nose will determine their presence as well as their concentration. For pills, capsules, and fast-dissolving tablets the markers can be applied as coatings or physically combined or added to the medication. Markers can also be included with liquid medications and inhalers or other dosing means. In use, the electronic nose of the present invention will identify predetermined non-toxic olfactory markers as well as those drugs that can be directly detected without olfactory markers. The electronic noses will not only detect different drugs but also drug concentrations.

[0035] Preferably, in operation, the electronic nose will be used to identify a baseline marker spectrum for the patient prior to ingestion of the medication, if necessary. This will prove beneficial for the detection of more than one drug if the patient is required to ingest more than one drug at a time and possible interference from different foods and odors in the stomach, mouth, esophagus and lungs.

[0036] The substances referred to as "olfactory markers" herein are detected by their physical and/or chemical properties, which does not preclude using the medication itself as its own marker. Preferable markers include, but are not limited to, the following: trans-Anethole (1-methoxy-4-propenylbenzene)- anise; Benzaldehyde (benzoic aldehyde) - bitter almond; Butyl isobutyrate (n-butyl 2, methyl propanoate) - pineapple; Cinnamaldehyde (3-phenylpropenal) - cinnamon; Citral (2-trans-3, 7-dimethyl-2, 6-octadiene-1-al) - citrus; Menthol (1-methyl-4-isopropylcyclohexane-3-ol) - menthol; and alpha-Pinene (2, 6, 6-trimethylbicyclo-(3,1,1)-2-heptene) - pine. These markers are preferred since they are used in the food industry as flavor ingredients and are permitted by the Food and Drug Administration as indicated in the Code of Federal Regulations, Chapter 21, et. sec. Moreover, these markers are classified "generally recognized as safe" by the Flavor and Extract Manufacturer's Association. These markers are also all natural products and single individual compounds, not mixtures, to enhance detection and represent a variety of chemical structures to enhance differentiation in detection devices. They are generally poorly soluble in water which enhances their volatility and detection in the breath.

[0037] Obviously, the number of marker substances

that could be used is vast (Reference: Fenaroli's Handbook of Flavor Ingredients, 3rd edition, CRC Press, Boca Raton, 1995) and use of such other applicable markers is contemplated herein.

[0038] To effectively use the olfactory markers, preferably, the medication (e.g., capsules, tablets, gel-caps) is coated with a known marker substance along with rapidly dissolving glucose and/or sucrose (i.e., the pill is coated with the marker in air-flocculated sugar crystals). This would stimulate salivation and serve to spread the marker around the oral cavity, enhancing the lifetime in the cavity. Since the throat and esophagus are also coated with the marker as the medication is swallowed, detection is further enhanced.

[0039] Preferably the device will utilize predetermined signature profiles of specific drugs, classes of drugs, and/or selected markers. The markers could be used for specific drugs or for a class of drugs. For example, a patient may be taking an antibiotic, an antihypertensive agent, and an anti-reflux drug. One marker could be used for antibiotics as a class, or for subclasses of antibiotics, such as erythromycins. Another marker could be used for antihypertensives as a class, or for specific subclasses of antihypertensives, such as calcium channel blockers. The same would be true for the anti-reflux drug. Furthermore, combinations of marker substances could be used allowing a rather small number of markers to specifically identify a large number of medications.

[0040] When the drugs or drugs coated with selected markers are taken (Figure 2), the drugs are dissolved in the mouth (or digested in the stomach, transmitted to the lungs, etc.). The electronic nose can then detect the marker from the drugs or drugs coated with selected markers when the patient exhales (Figures 2 - 4) to confirm that the medication was taken on a dose by dose basis. The electronic nose can record and/or transmit the data sensed from the patient's breath for monitoring purposes.

[0041] While the primary goal of the invention is to improve and document medication compliance in motivated, responsible (albeit occasionally forgetful) individuals, there is a small minority of patients who intentionally do not take their medications, or whose failure to take their medication can result in a public health crisis (i.e. the spread of drug resistant tuberculosis). As a further guarantee that these individuals do not use deceptive practices to "fool" the sensors (i.e. dissolving the tablet or capsules in a small amount of water to release the marker), a pressure sensor can be incorporated into the detector to document that the patient is actually exhaling through the device. A flow restrictor can be incorporated which increases the resistance to exhalation. By the simple addition of a pressure transducer to the system, a pressure change from baseline can be measured during exhalation. Additionally, a number of detectors are available (i.e. end-tidal carbon dioxide monitors) that can be added to the device for use in environments where deception may be likely (i.e. institutions and prisons) and

the consequences severe.

[0042] Additional embodiments are also envisioned herein. Pulmonary delivery of medications is well known, especially for conditions such as asthma and chronic obstructive pulmonary disease. In these instances, medication (i.e. corticosteroids, bronchodilators, anticholinergics, etc.) is often nebulized or aerosolized and inhaled through the mouth directly into the lungs. This allows delivery directly to the affected organ (the lungs) and reduces side effects common with enteral (oral) delivery. Metered dose inhalers (MDIs) or nebulizers are commonly used to deliver medication by this route. Recently dry powder inhalers have become increasingly popular, as they do not require the use of propellants such as CFCs. Propellants have been implicated in worsening asthma attacks, as well as depleting the ozone layer. Dry power inhalers are also being used for drugs that were previously given only by other routes, such as insulin, peptides, and hormones.

[0043] Olfactory markers can be added to these delivery systems as well. Since the devices are designed to deliver medication by the pulmonary route, the sensor array can be incorporated into the device and the patient need only exhale back through the device for documentation to occur.

[0044] Lastly, devices are available to deliver medication by the intranasal route. This route is often used for patients with viral infections or allergic rhinitis, but is being increasingly used to deliver peptides and hormones as well. Again, it would be simple to incorporate a sensor array into these devices, or the patient can exhale through the nose for detection by a marker sensing system.

[0045] The electronic nose and/or computer communicating therewith (Figure 4) can also notify the medical staff and/or the patient to any irregularities in dosing, dangerous drug interactions, and the like. This system will enable determination as to whether a patient has taken the prescribed drug at the appropriate time and at the prescribed dosage. The device could also alert the patient that it is time to take their medications.

Remote Communication System

[0046] A further embodiment of the invention includes a communications device in the home (or other remote location) that will be interfaced to the electronic nose. The home communications device will be able to transmit immediately or at prescribed intervals directly or over a standard telephone line (or other communication means) the data collected by the compliance monitoring device. The communication of the data will allow the physician to be able to remotely verify if the patient took the prescribed drug at the prescribed time and dose. The data transmitted from the home can also be downloaded to a computer where the prescribed drug regimen is stored in a database, and any deviations within limits from the prescribed drug regimen would be automatically flagged

(e.g., alarm) so that a home care nurse could telephone the patient and inquire about the reasons for deviating from the prescribed drug regimen.

[0047] It should be understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the purview of this application.

Claims

1. A device for determining patient compliance in taking a medication, wherein said medication comprises a medicament in combination with an olfactory marker additive, comprising:

- (i) a sensor responsive to the marker additive in expired breath of a patient; and
- (ii) means for comparing the response of the sensor to the expired breath with its response to a previously measured sample of the marker additive, **characterised in that** said device comprises a mouthpiece or nosepiece for interfacing with the patient so that the patient can exhale directly into the device via the mouthpiece or nosepiece.

2. A device according to claim 1, wherein the sensor is a semiconductor gas sensor or a conductive polymer gas sensor.

3. A device according to claim 1 or claim 2, wherein the olfactory marker additive is an odorous compound.

4. A device according to claim 1 or claim 2, wherein the olfactory marker additive is a flavour ingredient.

5. A device according to claim 4, wherein the flavour ingredient is trans-anethole, benzaldehyde, butyl isobutyrate, cinnamaldehyde, citral, menthol or alpha-pinene.

6. A device according to any of claims 3 to 5, wherein upon ingestion of the medicament, the marker additive is reactable in the patient's mouth and is thereafter detectable by the sensor.

7. A device according to any of claims 3 to 5, wherein upon ingestion of the medicament, the marker additive is absorbable in the gastrointestinal tract, excretable in the lungs, and is thereafter detectable by the sensor.

Patentansprüche

1. Eine Vorrichtung zur Bestimmung der Compliance

von Patienten, was die Einnahme eines Arzneimittels betrifft, wobei beim besagten Arzneimittel ein Arzneimittel mit einem Geruchsmarkerzusatz kombiniert wird, das aus Folgenden besteht:

- (i) einem Sensor, der auf den Markerzusatz in der Ausatemungsluft eines Patienten reagiert, und
 - (ii) einer Möglichkeit zum Vergleich der Reaktion des Sensors auf die Ausatemungsluft mit einer zuvor gemessenen Probe des Markerzusatzes, **dadurch gekennzeichnet, dass** die genannte Vorrichtung aus einem Mundstück oder Nasenröhrchen zum Anschluss an den Patienten besteht, damit der Patient über das Mundstück oder Nasenröhrchen direkt in die Vorrichtung ausatmen kann.
2. Eine Vorrichtung entsprechend Anspruch 1, wobei der Sensor ein Halbleiter-Gassensor oder ein Gassensor bestehend aus einem leitfähigen Polymer ist.
 3. Eine Vorrichtung entsprechend Anspruch 1 oder 2, wobei der Geruchsmarkerzusatz eine Geruchsstoffverbindung ist.
 4. Eine Vorrichtung entsprechend Anspruch 1 oder 2, wobei der Geruchsmarkerzusatz ein Aromastoff ist.
 5. Eine Vorrichtung entsprechend Anspruch 4, wobei Aromastoff trans-Anetho, Benzaldehyd, Butylisobutyryl, Cinnamaldehyd, Citral, Menthol oder alpha-Pinen ist.
 6. Eine Vorrichtung entsprechend einem der Ansprüche 3 bis 5, wobei der Markerzusatz nach Ingestion des Arzneimittels im Mund des Patienten reagieren und danach vom Sensor festgestellt werden kann.
 7. Eine Vorrichtung entsprechend einem der Ansprüche 3 bis 5, wobei der Markerzusatz nach Ingestion im Verdauungstrakt absorbiert, in den Lungen ausgeschieden und danach vom Sensor festgestellt werden kann.

5

10

15

20

25

30

35

40

45

échantillon préalablement mesuré de l'additif marqueur, **caractérisé en ce que** ledit dispositif comprend un embout buccal ou une pièce de nez pour l'interfaçage avec le patient de manière à ce que le patient puisse exhaler directement dans le dispositif par l'intermédiaire de l'embout buccal ou de la pièce de nez.

2. Dispositif selon la revendication 1, dans lequel le capteur est un capteur de gaz à semi-conducteurs ou un capteur de gaz à polymères conducteurs.
3. Dispositif selon la revendication 1 ou la revendication 2, dans lequel l'additif marqueur olfactif est un composé odorant.
4. Dispositif selon la revendication 1 ou la revendication 2, dans lequel l'additif marqueur olfactif est un ingrédient aromatisé.
5. Dispositif selon la revendication 4, dans lequel l'ingrédient aromatisé est le trans-anéthole, le benzaldéhyde, l'isobutyrate de butyle, le cinnamaldéhyde, le citral, le menthol ou l'alpha-pinène.
6. Dispositif selon l'une quelconque des revendications 3 à 5, dans lequel lors de l'ingestion du médicament, l'additif marqueur peut réagir dans la bouche du patient et est ensuite détectable par le capteur.
7. Dispositif selon l'une quelconque des revendications 3 à 5, dans lequel lors de l'ingestion du médicament, l'additif marqueur est absorbable dans le tractus gastro-intestinal, excrétable dans les poumons et est ensuite détectable par le capteur.

Revendications

1. Dispositif destiné à la détermination de l'observance thérapeutique de patients lors de la prise d'un médicament, dans lequel ladite médication contient un médicament associé à un additif marqueur olfactif, comprenant :
 - (i) un capteur sensible à l'additif marqueur dans l'air expiré d'un patient ; et
 - (ii) des moyens de comparaison de la réponse du capteur à l'air expiré avec sa réponse à un

50

55

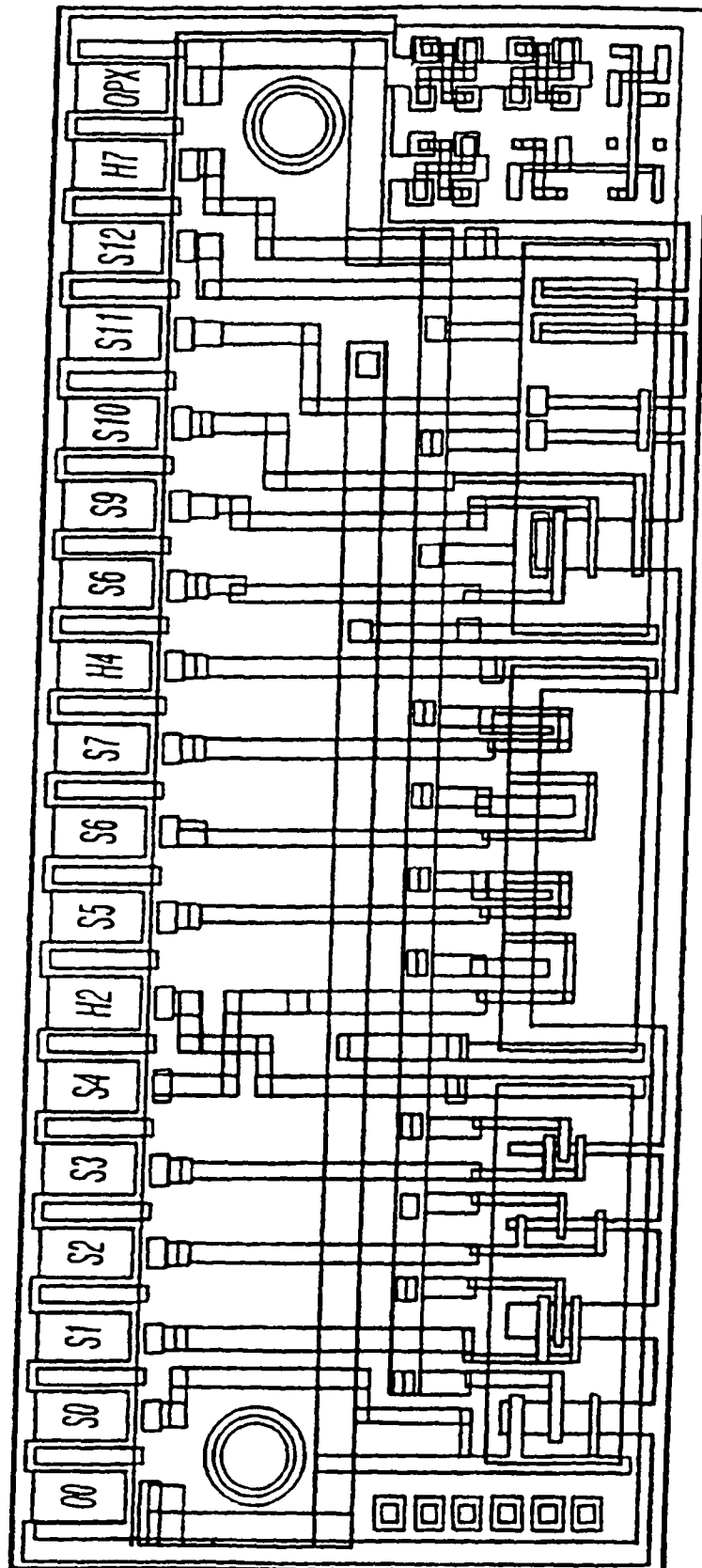
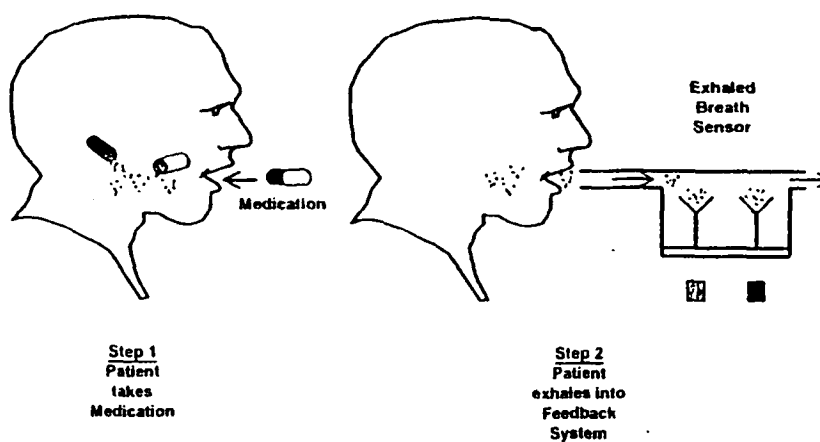


FIG. 1

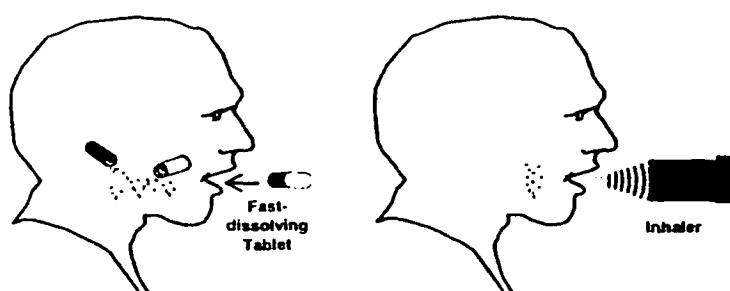
Patient Compliance Monitoring System Overview



PCMS includes marker compound included in medication that is exhaled into detection system for accurate and reliable monitoring off-site

FIG. 2

Patient Compliance Monitoring System Step 1 - Medication is taken, releasing Marker Compound

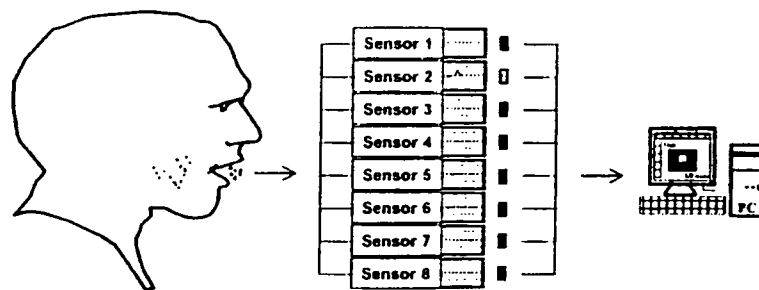


Marker compound is released by dosage form for detection

FIG. 3

Patient Compliance Monitoring System

Step 2 - Marker Detection System



PCMS includes marker compound included in medication that is exhaled into detection system for accurate and reliable monitoring off-site

FIG. 4

REFERENCES CITED IN THE DESCRIPTION

This list of references cited by the applicant is for the reader's convenience only. It does not form part of the European patent document. Even though great care has been taken in compiling the references, errors or omissions cannot be excluded and the EPO disclaims all liability in this regard.

Patent documents cited in the description

- US 5918257 B [0005]
- US 5945069 A, Buchler [0015] [0023]
- US 5918257 A, Mifsud [0015]
- US 4938928 A, Koda [0015]
- US 4992244 A, Grate [0015]
- US 5034192 A, Wrighton [0015]
- US 5071770 A, Kolesar, Jr. [0015]
- US 5145645 A, Zakin [0015]
- US 5252292 A, Hirata [0015]
- US 5605612 A, Park [0015]
- US 5756879 A, Yamagishi [0015]
- US 5783154 A, Althainz [0015]
- US 5830412 A, Kimura [0015]
- US 5771890 A, Tamada [0016]
- US 5954685 A, Tierney [0016]
- US 4312228 A, Wohltjen [0019]
- US 4895017 A, Pyke [0019]
- US 5042501 A [0029]

Non-patent literature cited in the description

- **Greenberg RN.** Overview of patient compliance with medication dosing: A literature review. *Clinical Therapeutics*, 1984, vol. 6 (5), 592-599 [0002]
- **Bell JA ; May FE ; Stewart RB.** Clinical research in the elderly: Ethical and methodological considerations. *Drug Intelligence and Clinical Pharmacy*, 1987, vol. 21, 1002-1007 [0003]
- **Cosgrove R.** Understanding drug abuse in the elderly. *Midwife. Health Visitor & Community Nursing*, 1988, vol. 24 (6), 222-223 [0003]
- **Hanson CW ; Steinberger HA.** The use of a novel electronic nose to diagnose the presence of intrapulmonary infection. *Anesthesiology*, September 1997, vol. 87 (3A), 269 [0014]
- **Chandiok S et al.** Screening for bacterial vaginosis: a novel application of artificial nose technology. *Journal of Clinical Pathology*, 1997, vol. 50 (9), 790-1 [0014]
- **Parry AD et al.** Leg ulcer odor detection identifies beta-haemolytic streptococcal infection. *Journal of Wound Care*, 1995, vol. 4, 404-406 [0014]
- **Groves WA et al.** Analyzing organic vapors in exhaled breath using surface acoustic wave sensor array with preconcentration: Selection and characterization of the preconcentrator adsorbent. *Analytica Chimica Acta*, 1988, vol. 371, 131-143 [0019]
- **Fenaroli's.** Handbook of Flavor Ingredients. CRC Press, 1995 [0037]

专利名称(译)	用于监测药物依从性的标记检测装置		
公开(公告)号	EP1227753B1	公开(公告)日	2011-07-06
申请号	EP2000978427	申请日	2000-11-08
[标]申请(专利权)人(译)	佛罗里达大学研究基金会有限公司		
申请(专利权)人(译)	佛罗里达州研究基金会，Inc.的大学.		
当前申请(专利权)人(译)	佛罗里达州研究基金会，Inc.的大学.		
[标]发明人	LAMPOTANG SAMSUN MELKER RICHARD TALTON JAMES D SILVERMAN DAVID N		
发明人	LAMPOTANG, SAMSUN MELKER, RICHARD TALTON, JAMES, D. SILVERMAN, DAVID, N.		
IPC分类号	A61B5/00 G01N33/00 G01N27/12 A61J7/00 G01N27/02 G01N33/15 G01N33/497		
CPC分类号	A61B5/411 A61B5/00 A61B5/082 A61B5/4833 G01N33/497 Y10T436/104165 Y10T436/13		
代理机构(译)	PERRY , ROBERT EDWARD		
优先权	60/164250 1999-11-08 US		
其他公开文献	EP1227753A1		
外部链接	Espacenet		

摘要(译)

本发明包括通过在服用药物后患者呼气时检测诸如气味的标记物来监测药物依从性的方法和装置，其中这些标记物直接来自药物本身或来自与药物组合的添加剂。在嗅觉标记的情况下，本发明优选地利用电子传感器技术，例如被称为“人造鼻子”或“电子鼻子”的商业设备，来非侵入地监测顺应性。本发明还包括能够跟踪合规性（远程或接近）并提供必要警报的报告系统。

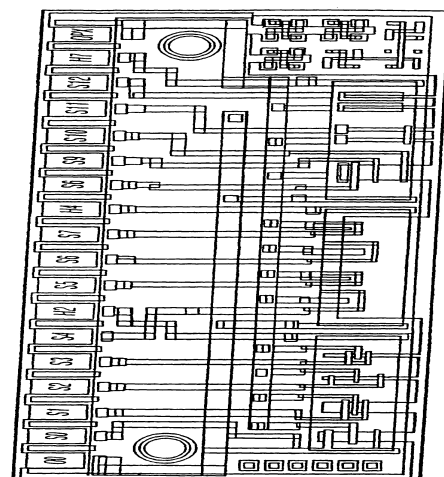


FIG. 1