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(54) Title: METHOD AND APPARATUS FOR BREATH ANALYSIS

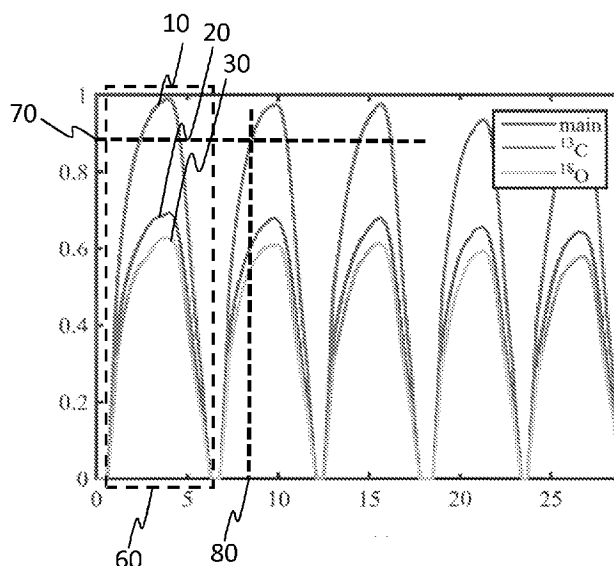


Fig. 3

(57) Abstract: A method and an apparatus for breath analysis, the method comprising determining an isotopic composition profile, or a concentration profile of a species, of a first breath cycle (60); determining a threshold (70); determining a sampling time (80); and measuring the isotopic composition, or concentration of the species, during a second breath cycle at the sampling time (80) triggered by reaching the threshold (70).



METHOD AND APPARATUS FOR BREATH ANALYSIS

TECHNICAL FIELD

5 **[0001]** The present application generally relates determining composition of gas. In particular, but not exclusively, the present application relates to determining isotopic composition of a gas. In particular, but not exclusively, the present application relates determining isotopic composition of breath or a concentration of a measured species in breath.

10

BACKGROUND

[0002] This section illustrates useful background information without admission of any technique described herein being representative of the state of the art.

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[0003] Breath diagnostics is a fast growing trend in the medical field. Especially isotope-selective breath analysis has been applied for diagnostics and monitoring of numerous diseases and conditions, including diabetes, liver function, gastric infections and sepsis. The selectivity and sensitivity of the methods rely on precise knowledge of the isotopic composition of the exhaled gas and accordingly the determination of isotopic composition is of great interest.

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[0004] Most of the currently used technologies for determining isotopic composition are based on sampling bags, wherein only a part of the breath cycle is captured, leading to decreased reliability of determination, especially as it was recently shown by the inventors that the isotopic composition changes during the exhalation cycle and, therefore, affects the determination of isotopic composition. The results on the isotope composition changing during a breath cycle have been shown in a conference paper titled "Compact, Real-Time Analyzer for C-13 and O-18 Isotope Ratios of Carbon Dioxide in Breath Air" by T. Kääriäinen et. al. at the Conference on Lasers and Electro-Optics, CLEO 2016 in San Jose, California, United States on 5–10 June 2016.

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[0005] Real-time breath analysis according to the current invention, for example using an optical detector with a low volume multipass cell for example as described in an unpublished patent application of the inventors FI20155833, makes it

possible to sample a correct portion of the exhaled breath and thus to tackle possible errors arising from the sampling. It is the aim of the current invention to provide a method and apparatus that mitigates for example the above problems of the state of the art and/or provides a reliable determination of composition of a gas, in particular isotopic composition of breath, or a concentration of a measured species, such as CO₂, in breath,.

SUMMARY

10 **[0006]** Various aspects of examples of the invention are set out in the claims.

[0007] According to a first example aspect of the present invention, there is provided a method for breath analysis, comprising
 determining an isotopic composition profile, or a concentration profile of a species, of a first breath cycle;
15 determining a threshold;
 determining a sampling time; and
 measuring the isotopic composition, or concentration of the species during a second breath cycle at the sampling time triggered by reaching the threshold.

20 **[0008]** The method may further comprise showing as a result the measured isotopic composition or concentration.

[0009] Determining an isotopic composition profile of a first breath cycle may comprise measuring the absorption of various isotopologues of carbon dioxide.

25 **[0010]** Determining a threshold may comprise determining a predetermined absorption of a selected isotopologue.

[0011] Determining a sampling time may comprise determining a time or a time frame on or during which the measurement is carried out during the second breath cycle.

30 **[0012]** According to a second example aspect of the present invention, there is provided an apparatus for breath analysis, comprising

 a measurement unit configured to measure an absorption of various isotopologues of carbon dioxide during a breath cycle;

 a sampling unit configured to enable the exhaled breath to be conducted to the measurement unit; and

a control unit; wherein

the control unit is configured to cause the apparatus to determine an isotopic composition, or a concentration profile of a species, of a first breath cycle, to determine a threshold and a sampling time based thereon, and to measure the isotopic composition, or concentration of the species, during a second breath cycle at the sampling time triggered by reaching the threshold.

[0013] The measurement unit may comprise a multipass cell, an optical unit comprising an optical source and a detector and a gas handling unit.

[0014] The sampling unit may comprise an inlet into which the breath to be measured is conducted to.

[0015] The control unit may comprise a processor configured to control the apparatus.

[0016] The control unit may comprises a user interface unit configured to show the result of the determination of isotopic composition or concentration.

[0017] The apparatus may be configured to show whether the result of the determination of the isotopic composition of breath, or concentration of the species, is indicative of a disease or a condition.

[0018] According to a third example aspect of the present invention, there is provided a computer program comprising computer code for causing performing the method of the first example aspect of the present invention, when executed by an apparatus.

[0019] According to a fourth example aspect of the present invention, there is provided a non-transitory memory medium comprising the computer program of the third example aspect of the present invention.

[0020] Different non-binding example aspects and embodiments of the present invention have been illustrated in the foregoing. The embodiments in the foregoing are used merely to explain selected aspects or steps that may be utilized in implementations of the present invention. Some embodiments may be presented only with reference to certain example aspects of the invention. It should be appreciated that corresponding embodiments may apply to other example aspects as well.

BRIEF DESCRIPTION OF THE DRAWINGS

5 [0021] For a more complete understanding of example embodiments of the present invention, reference is now made to the following descriptions taken in connection with the accompanying drawings in which:

[0022] Fig. 1 shows an example of breath cycles measured with an apparatus according to an embodiment of the invention;

10 [0023] Fig. 2 shows example isotope ratios at different portions of a single example breath cycle measured with an apparatus according to an embodiment of the invention;

[0024] Fig. 3 shows a principle of breath analysis according to a method according to an embodiment of the invention;

[0025] Fig. 4 shows a flow chart of a method according to an embodiment of the invention; and

15 [0026] Fig. 5 shows a schematic block view of an apparatus according to an embodiment of the invention.

DETAILED DESCRIPTON OF THE DRAWINGS

20 [0027] The present invention and its potential advantages are understood by referring to Figs. 1 through 5 of the drawings. In this document, like reference signs denote like parts or steps.

[0028] Fig. 1 shows an example of breath cycles measured with an apparatus according to an embodiment of the invention. The graph shows a measured absorption of light in the analyzer at the vertical axis and time in seconds at the horizontal axis. The measured absorption is shown at a relative scale in which the highest absorption has the value of 1. The measured absorption is shown for different isotopologues of CO₂ of the exhaled air, i.e. for ¹⁶O¹²C¹⁶O, ¹⁶O¹³C¹⁶O and ¹⁶O¹²C¹⁸O, or hereinafter referred to as main, 13C and 18O isotopes or isotopologues. The measured absorption of the main isotope is shown at 10, the measured absorption of the 13C isotope is shown at 20 and the measured absorption of the 18O isotope is shown at 30.

[0029] Fig. 2 shows example isotope ratios at different portions of breath cycle measured with an apparatus according to an embodiment of the invention. Fig.

2 shows at the vertical axis the ratio of ^{13}C and ^{12}C isotopologues 40 and the ratio of ^{18}O and ^{16}O isotopologues 50 with respect to the portion of the breath cycle expressed as the measured absorption at the horizontal axis. As can be seen from Fig. 2, the ratios of the isotopologues change during the breath cycle significantly and accordingly the determination of isotopologue content of breath is dependent on the sampling and/or sampling time of the ratios during the cycle. The ratios of the isotopologues change during the cycle for example because the exhaled air originates from different portions of the respiratory system, i.e. from mouth, throat, main lung volume and deep parts of the lungs.

10 **[0030]** Fig. 3 shows a principle of breath analysis according to a method according to an embodiment of the invention. The graph shows a measured absorption of light in the analyzer at the vertical axis and time in seconds at the horizontal axis as in Fig. 1. Fig. 3 shows a first respiratory cycle 60 of a person, for example a patient, during which the absorption is measured with an apparatus according to the embodiment of the invention. From the first determined cycle a threshold 70 is determined. The threshold is in an embodiment a predetermined measured the absorption of a selected isotopologue or a concentration of a measured species, such as CO_2 . Based on the threshold 70, a sampling or a measurement time 80, i.e. a time or a time frame at which the measurement of isotope ratios or a concentration of a measured species, such as CO_2 is carried out, is determined for the second, i.e. following, breath cycle. The sampling 80 time is determined based on the threshold 70, i.e. the start of the measurement is triggered at the threshold. In an embodiment, the objective is to choose the threshold 70 and accordingly the sampling time in such a way that it is at a point well along the breath cycle at a point in which the isotope ratios differ significantly, but not too far along the breath cycle when the difference starts to diminish as seen from Fig. 2. The sampling time 80 is shown as a single point of time in Fig. 2, but it is to be noted that the sampling time or the measurement time 80 refers to the point of time or a time frame during which the sampling of the case and the measurement from the sampled gas is carried out. The point of time, or the start of the time frame, is triggered by reaching the threshold.

25 **[0031]** The chosen sampling time 80, the start of which is triggered by the threshold 70, ascertains that the isotope ratios, or a concentration of a measured species, such as CO_2 , in breath, measured are representative of the exhaled breath

not only from the upper parts of the respiratory system and that the isotope ratios have as large a difference as possible in order to achieve an improved sensitivity of measurement. As the sampling time 80 is chosen based on the actual determined first breath cycle, the uncertainty related to sampling at a random time or gathering a sample during the whole or part of the breath cycle is removed. Furthermore, as the threshold 70 and the sampling time 80 is determined based on the first breath cycle, possible errors due to person-to-person variability of the isotope ratios is removed.

[0032] Fig. 4 shows a flow chart of a method according to an embodiment of the invention. The person whose breath is being measured breathes in to an apparatus according to the invention. The breathing is carried out normally, i.e. the person need not breathe in any particular predetermined manner. At 410 a first breath cycle 60 is determined, i.e. the absorptions caused by the different isotopologues are measured during the first breath cycle. The breath cycle herein refers to a single exhalation of the person, the isotopic composition profile, or concentration profile of a measured species, such as CO₂, of which is measured. It is to be noted the first breath cycle need not be the first breath cycle that is breathed in to the apparatus, but the first cycle after commencing the determination method.

[0033] At 420 a threshold 70 is determined based on the first breath cycle that was measured as hereinbefore described with reference to Fig. 3. At 430 a sampling time 80, or a time frame, is determined based on the threshold 70. At 440 the measurement of isotopic composition, or a concentration of a measured species, such as CO₂, in breath, is carried out at or during the previously determined sampling time 80 for a second breath cycle. It is to be noted the second breath cycle need not be the second breath cycle, i.e. the breath cycle immediately following the first breath cycle, but can be any breath cycle after the first breath cycle. Ideally, the breath cycles are substantially identical, i.e. the person breathing into the apparatus breathes substantially steadily.

[0034] At 450 the result of the determination of the isotopic composition, or a concentration of a measured species, such as CO₂, in breath, is shown. In an embodiment, the isotopic composition, or concentration, is shown as value of a ratio of the determined isotopologues, i.e. as a ¹²C/¹³C and/or ¹⁸O/¹⁶O ratio. In a further example embodiment, it is shown whether the ratio, or concentration, is below or above a certain predetermined value, for example a value representing a threshold value indicative of a certain condition that can be diagnosed from the ratio or

concentration. In a further embodiment, the result of the measurement is compared to a previously, for example a certain predetermined time, dependent on the purpose, before the current measurement, measured value.

[0035] Fig. 5 shows a schematic block view of an apparatus according to an embodiment of the invention. The apparatus comprises a measurement unit 100 comprising, in an embodiment, a multipass cell 110 configured to provide a volume in which the breath sample is held or conducted through for measurement. The apparatus further comprises an optical unit 120 comprising an optical source, in an embodiment a laser source, configured to send light, in an embodiment laser light, through the multipass cell 110. The optical unit further comprises a detector configured to receive the light having traversed the multipass cell and the sample of exhaled gas contained therein. In an embodiment, the measurement unit 100 further comprises a gas handling unit 130 configured to conduct the gas, i.e. the exhaled air to the multipass cell 110, in an embodiment to hold the gas in the multipass cell 110 for a certain time and configured to enable the sample to flow away from the multipass cell 110.

[0036] The apparatus further comprises a sampling unit 200 configured to enable the gas, in an embodiment the exhaled air to be conducted to the measurement unit. In an embodiment, the sampling unit comprises a nozzle or inlet in which the person breathes or in which the gas is conducted from or through a further source. In a further embodiment, the sampling unit is a part of a further apparatus, such as a breathing test apparatus used for medical purposes or for study of athletes.

[0037] The apparatus further comprises a control unit 300. In an embodiment, the control unit 300 comprises, or is comprised in, a separate device or comprises a separate element integrated with the apparatus. The control unit 300 comprises electronics configured to control the operations of the apparatus, to carry out calculations and to cause carrying out the steps of the method according to the invention. In an embodiment, if separate, the control unit 300 is connected to the apparatus in a conventional manner, for example with wires or wirelessly with e.g. wireless local area network or near field communication such as Bluetooth or Near Field Communication, NFC, in which case the required communication components are provided on the measurement unit 100 and the control unit 300.

[0038] The control unit 300 comprises a memory 340 and a processor 320.

The processor 320 is configured to retrieve data from the detector element in the optical unit 120 of the measurement unit and to cause storing the data into the memory 340. The processor 320 is further configured to cause controlling of the operation of the measurement unit 100, the sampling unit 200 and the control unit 300 itself using a non-transitory computer program code stored in the memory 340.

[0039] In a further embodiment, the control unit 300 comprises a communication unit 310 comprising, for example, a local area network (LAN) port; a wireless local area network (WLAN) unit; Bluetooth unit; cellular data communication unit; near field communication unit or satellite data communication unit. The control unit further comprises a power source, such as a battery 350 or a connection to external power.

[0040] In a further embodiment the control unit 300 comprises a user interface unit 330 comprising for example a display or a touch display for showing the measurement result. In further embodiment the user interface unit 330 comprises a simplified display, such as led array or lights of different colors, for example light emitting diodes, for indicating the result of the measurement. In a still further embodiment, the apparatus 100 is a diagnostic apparatus and is configured to indicate, e.g. show on a display, whether the determined isotopic composition is indicative of a condition or a disease.

[0041] In a still further embodiment, the control element 300 comprises, or is comprised in, a personal electronic device such as a wristwatch, a smart watch, an activity bracelet, a mobile phone, a smartphone, a tablet, a computer or a television, configured to co-operate with the measurement unit 100.

[0042] Some use cases relating to given embodiments of determining the isotopic composition of breath, or a concentration of a measured species, such as CO₂, in breath, are presented in the following. In a first use case, the apparatus according to an embodiment is used as a diagnostic apparatus in order to diagnose a condition or disease of a human or an animal. Examples of such conditions and diseases include but are not limited to sepsis using ¹³C/¹²C and or ¹⁸O/¹⁶O isotope ratio, helicobacter pylori using ¹³C/¹²C and or ¹⁸O/¹⁶O isotope ratio and type 2 diabetes using ¹⁸O/¹⁶O isotope ratio.

[0043] In a second use case, the apparatus and method according to an embodiment is used to determine isotopic composition of breath in order to monitor energy usage of an athlete, for example during training and/or competition.

[0044] In a third use case, the apparatus and method according to an embodiment is used to determine isotopic composition of breath in order to monitor energy usage as an individual weight loss aid.

5 **[0045]** It is to be noted that although the embodiments of the invention have been described with reference to determining the isotopic composition of breath, i.e. exhaled air, the hereinbefore described embodiments of the invention are applicable in an analogous manner to further gas analysis, in which the gas to be measured has a cycle during which the isotopic ratios, or further ratios of interest, change in such a way that the sampling time affects the result and/or sensitivity of the
10 measurement.

[0046] Without in any way limiting the scope, interpretation, or application of the claims appearing below, a technical effect of one or more of the example embodiments disclosed herein is an increased sensitivity and reliability of determination of isotopic composition, or a concentration of a measured species,
15 such as CO₂, in breath. Another technical effect of one or more of the example embodiments disclosed herein is a possibility of real-time isotopic composition analysis. Another technical effect of one or more of the example embodiments disclosed herein is the provision of a reliable and compact apparatus for determination of isotopic composition.

20 **[0047]** Although various aspects of the invention are set out in the independent claims, other aspects of the invention comprise other combinations of features from the described embodiments and/or the dependent claims with the features of the independent claims, and not solely the combinations explicitly set out in the claims.

25 **[0048]** It is also noted herein that while the foregoing describes example embodiments of the invention, these descriptions should not be viewed in a limiting sense. Rather, there are several variations and modifications which may be made without departing from the scope of the present invention as defined in the appended claims.

CLAIMS

1. A method for breath analysis, comprising
determining an isotopic composition profile, or a concentration profile of
5 a species, of a first breath cycle (60);
determining a threshold (70);
determining a sampling time (80); and
measuring the isotopic composition, or concentration of the species,
during a second breath cycle at the sampling time (80) triggered by reaching the
10 threshold (70).
2. The method of claim 1, further comprising showing as a result the measured
isotopic composition or concentration.
- 15 3. The method of claim 1 or 2, wherein determining an isotopic composition profile
of a first breath cycle comprises measuring the absorption of various
isotopologues of carbon dioxide.
4. The method of any preceding claim, wherein determining a threshold comprises
20 determining a predetermined absorption of a selected isotopologue.
5. The method of any preceding claim, wherein determining a sampling time
comprises determining a time or a time frame on or during which the
measurement is carried out during the second breath cycle.
25
6. An apparatus for breath analysis, comprising
a measurement unit (100) configured to measure an absorption of
various isotopologues of carbon dioxide during a breath cycle;
a sampling unit (200) configured to enable the exhaled breath to be
30 conducted to the measurement unit (100); and
a control unit (300); **characterized** in that
the control unit (300) is configured to cause the apparatus to determine
an isotopic composition, or a concentration profile of a species, of a first breath
cycle (60), to determine a threshold (70) and a sampling time (80) based thereon,

and to measure the isotopic composition, or concentration of the species, during a second breath cycle at the sampling time (80) triggered by reaching the threshold (70).

- 5 7. The apparatus of claim 6, wherein the measurement unit comprises a multipass cell (110), an optical unit (120) comprising an optical source and a detector and a gas handling unit (130).
8. The apparatus of claim 6 or 7, wherein the sampling unit (200) comprises an inlet
10 into which the breath to be measured is conducted to.
9. The apparatus of any preceding claim, wherein the control unit (300) comprises a processor (320) configured to control the apparatus.
- 15 10. The apparatus of any preceding claim, wherein the control unit (300) comprises a user interface unit (330) configured to show the result of the determination of isotopic composition or concentration.
11. The apparatus of any preceding claim, wherein the apparatus is configured to
20 show whether the result of the determination of the isotopic composition of breath, or concentration of the species, is indicative of a disease or a condition.
12. A computer program comprising computer code for causing performing the method of any of the claims 1-5, when executed by an apparatus.
25
13. A non-transitory memory medium comprising the computer program of claim 12.

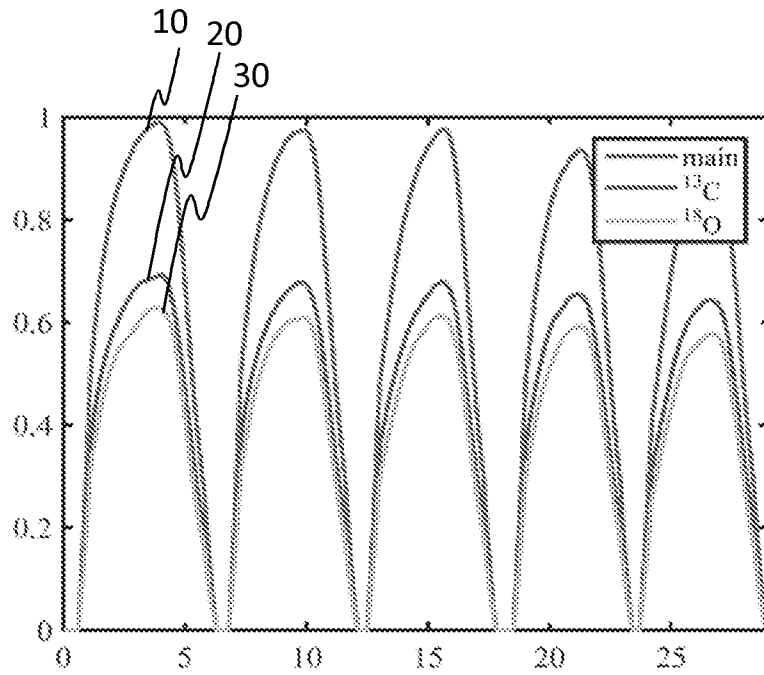


Fig. 1

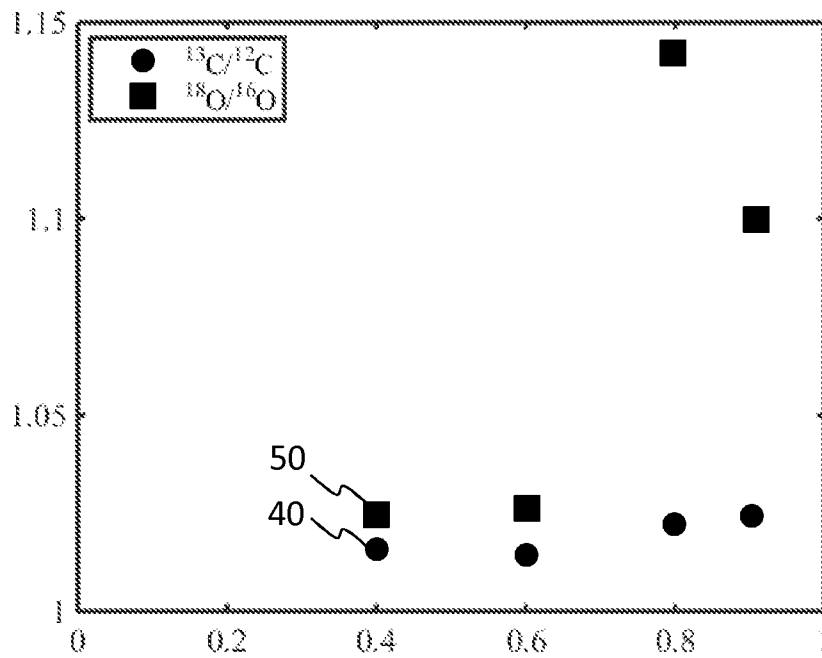


Fig. 2

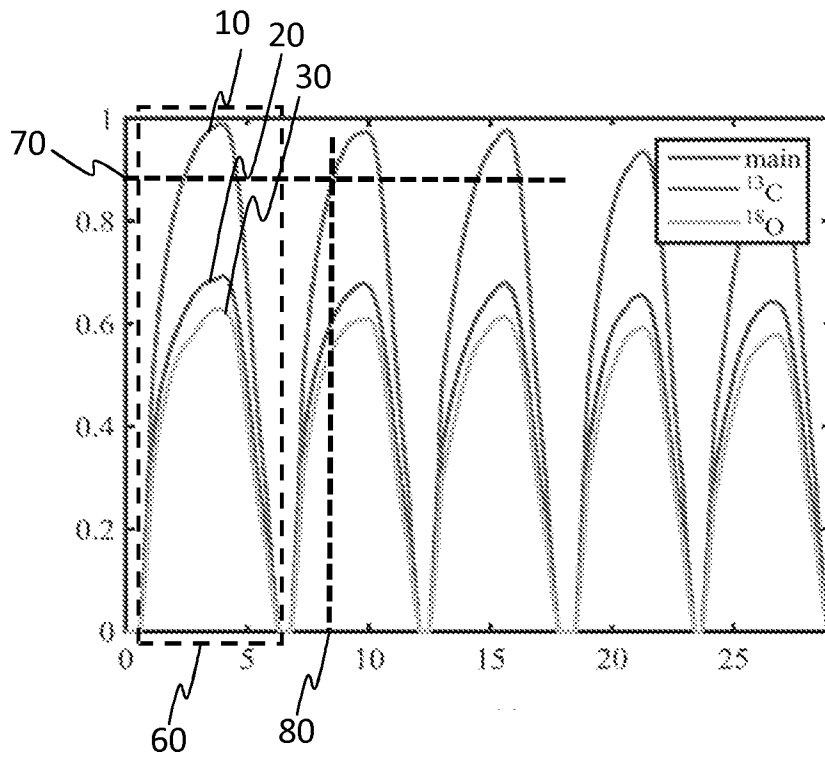


Fig. 3

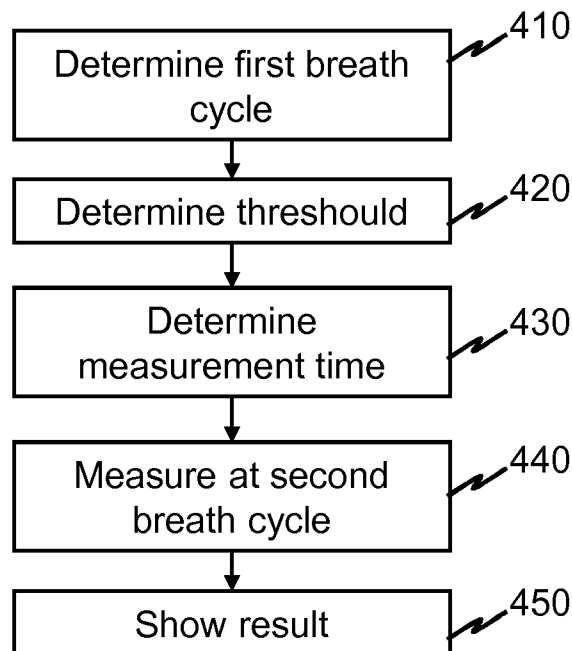


Fig. 4

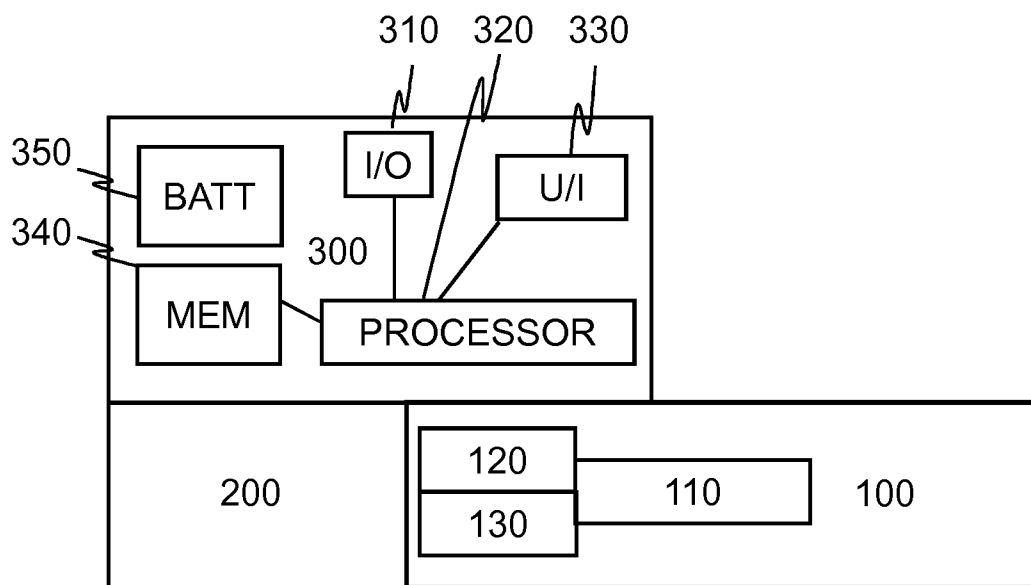


Fig. 5

INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI2018/050073

A. CLASSIFICATION OF SUBJECT MATTER

See extra sheet

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC: A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

FI, SE, NO, DK

Electronic data base consulted during the international search (name of data base, and, where practicable, search terms used)

EPODOC, WPIAP, EPO-Internal full-text databases

C. DOCUMENTS CONSIDERED TO BE RELEVANT

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X	WO 2016200948 A1 (UNIV LELAND STANFORD JUNIOR [US]) 15 December 2016 (15.12.2016) page 2 lines 6-15, page 4 line 22 – page 5 line 2, page 5 line 9 – page 6 line 7, page 8 lines 6-26, page 17 line 29 – page 18 line 8, page 21 line 28 – page 22 line 16, and page 22 lines 2-16; figure 2 and 4	1-13
A	US 2011295140 A1 (ZAIDI SOHAIL H [US] et al.) 01 December 2011 (01.12.2011) abstract	1-13
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 Further documents are listed in the continuation of Box C.
 See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

11 April 2018 (11.04.2018)

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INTERNATIONAL SEARCH REPORT

International application No.

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C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

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CLASSIFICATION OF SUBJECT MATTER

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专利名称(译)	测试分析的程序和装置		
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摘要(译)

一种用于呼吸分析的方法和装置，该方法包括确定第一呼吸循环的同位素组成分布或物种的浓度分布（60）；确定阈值（70）；确定采样时间（80）；并且在通过达到阈值（70）触发的采样时间（80）的第二次呼吸循环期间测量同位素组成或物质的浓度。