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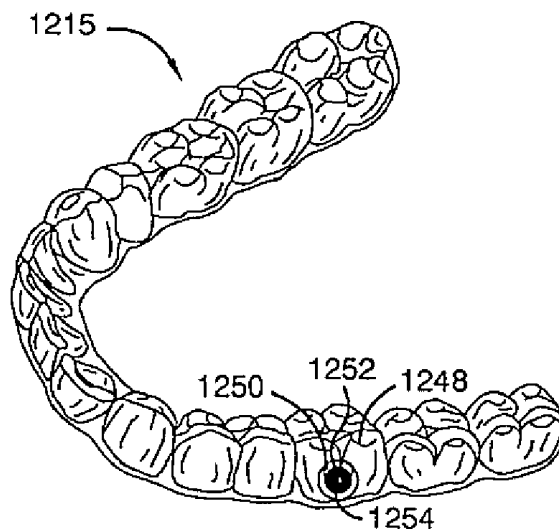
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(54) **DENTAL APPLIANCE WEAR INDICATION AND RELEASE AGENT RECEPTACLE**

(57) The present disclosure includes method, system, apparatus, release agent receptacles, and indicator embodiments.



**FIG. 12**

**Description**RELATED APPLICATIONS

**[0001]** The present application claims priority to U.S. Patent Application Serial No. 11/799,979 filed May 3, 2007, as well as to U.S. Patent Application Serial No 12/011,942, filed on January 29, 2008, the disclosures of which are incorporated in their entirety herein by reference. Both of the above are continuation in parts (CIPs) of U.S. Patent Application Serial No. 10/949,717 filed September 24, 2004.

BACKGROUND

**[0002]** The present disclosure is related to apparatuses, systems, and methods for dental appliance compliance indication and for providing release agent receptacles.

**[0003]** As noted in commonly owned U.S. Pat. No. 6,607,382 entitled "Methods and systems for concurrent tooth repositioning and substance delivery," the content of which is incorporated herewith, the repositioning of teeth may be accomplished with the use of a series of removable elastic positioning appliances such as the Invisalign® system available from Align Technology, Inc., the assignee of the present disclosure. Such appliances have a thin shell of elastic material that generally conforms to a patient's teeth but is slightly out of alignment with an initial or immediately prior tooth configuration. Placement of the elastic positioner over the teeth applies controlled forces in specific locations to gradually move the teeth into the new configuration. Repetition of this process with successive appliances including new configurations eventually moves the teeth through a series of intermediate configurations or alignment patterns to a final desired configuration. A full description of an exemplary elastic polymeric positioning appliance is described in U.S. Pat. No. 5,975,893, and in published PCT application WO 98/58596, the content of these documents are incorporated by reference for all purposes.

**[0004]** The appliance is effective in repositioning teeth when it is placed over the patient's teeth. In some implementations, it is desirable to wear these appliances most of the day (e.g., all of the time except when eating and maintaining oral hygiene, such as by brushing or flossing). Although easy and convenient to wear, the patient may not wear the appliance as prescribed by the treatment professional. Extended removal of the appliance, for any reason beyond what is recommended, interrupts the treatment plan and lengthens the overall period of treatment. Since the appliance is removable by the patient, the treatment professional has to rely on the patient to comply with the prescription.

**[0005]** Additionally, there may be many variables and differences in each patient's oral environment and, therefore, one type of compliance indicator may not likely be enough to last for a desired period of wear in every per-

son. Some examples of the factors that may change the wear rate include an amount of saliva produced, one or more tooth anatomies or locations, a composition of saliva, an analysis of sleep habits of a patient, an amount of liquid consumption, and one or more types of liquid consumed, among other criteria. These factors can make the analysis of compliance based upon a wear indicator difficult due to the different wear rates that different people may have.

BRIEF DESCRIPTION OF THE DRAWINGS**[0006]**

- 15 FIG. 1 shows an exemplary compliance indicator.
- FIGS. 2A-2C show a first embodiment of the compliance indicator of FIG. 1.
- 20 FIGS. 3A-3B show a second embodiment of the compliance indicator of FIG. 1.
- FIGS. 4A-4B show a third embodiment of the compliance indicator.
- 25 FIG. 5 shows a fourth embodiment of the compliance indicator.
- FIG. 6 shows a fifth embodiment of the compliance indicator.
- 30 FIG. 7 shows a sixth embodiment of the compliance indicator.
- 35 FIG. 8 shows a seventh embodiment of the compliance indicator.
- FIG. 9 shows an eighth embodiment of the compliance indicator.
- 40 FIG. 10 shows a number of appliances for use in various stages of a dental treatment process.
- FIG. 11 shows a table indicating the meaning of various combinations of wear on appliance indicators.
- 45 FIG. 12 shows an appliance having a release agent receptacle according to an embodiment of the present disclosure.
- 50 FIG. 13 shows a cut-away side perspective view of the receptacle embodiment of FIG. 12.

SUMMARY

55 **[0007]** The present disclosure includes method, system, apparatus, and indicator embodiments. One apparatus provides includes an appliance for placement over

one or more teeth and a release agent receptacle including an outer portion provided on appliance surface, an inner portion provided within the outer portion, and one or more apertures formed in the outer portion.

**[0008]** Another apparatus embodiment includes an apparatus for monitoring orthodontic treatment compliance includes an appliance adapted to be worn over one or more teeth; and a compliance indicator mounted on the appliance or teeth to indicate compliance. Advantages of some embodiments of the system include one or more of the following. Some such apparatus embodiments provide better data for communicating device compliance with patients, including: increased patient knowledge and recall of appliance usage; increased compliance in wearing the dental appliance, and increased patient satisfaction as a result.

**[0009]** The present disclosure also includes an apparatus for monitoring orthodontic treatment compliance includes an appliance adapted to be worn over one or more teeth; and a compliance indicator mounted on the appliance or teeth to indicate compliance.

**[0010]** Advantages of the system include one or more of the following. The apparatus provides better data for communicating device compliance with patients, including: increased patient knowledge and recall of appliance usage; increased compliance in wearing the dental appliance, and increased patient satisfaction as a result. The apparatus provides a channel of self-monitoring for the patient. The apparatus also reduces patient's anxiety levels without requiring verbal or written instructions since device usage is self-evident. The treatment professional also has better information on patient progress during the treatment.

#### DESCRIPTION

**[0011]** FIG. 1 shows an exemplary removable appliance 15 adapted to fit over teeth on a jaw 16. A usage indicator 100 can be mounted on one tooth or on the appliance 15 to indicate patient compliance.

**[0012]** In one implementation, the indicator 100 can be a coat on a tooth or an appliance with a chemical agent. Alternatively, the indicator 100 can be an electrical agent, optical agent or mechanical agent that indicates appliance wearage. In some embodiments, the indicator agent is inactive until contact with liquid or moisture. Alternatively, release of the agent can be stimulated by liquid or moisture. Thus, in one case, upon wearing, oral fluids activates the agent and allows the agent to seep out and indicate compliance. Alternatively, oral fluids such as saliva, among others, can seep in to activate the agent to indicate compliance.

**[0013]** In another embodiment, the appliance can release a coloring agent to the oral environment when the appliance is worn over the teeth. Such mechanisms may include a layer which includes the agent. The layer may be formed over at least a portion of the surfaces of the repositioning appliance. These surfaces include both the

cavity surfaces, the surfaces within the cavities which contact the teeth when in place, and the external surfaces, the surfaces of the appliance which contact the cheeks and lips when in place. The layer may be of various materials and may take a variety of forms. For example, the layer may consist essentially of the agent. In other words, the agent may be attached directly to a surface of the polymer shell of an elastic repositioning appliance. This may be achieved by applying the agent (optionally in an inert carrier or diluent) itself to the surface utilizing a number of methods, such as spraying, painting and/or dipping. When the repositioning appliance is placed over the patient's teeth, the agent may then be released to the oral environment.

**[0014]** Alternatively, the layer may include the agent present in or on a carrier or binder which promotes adhesion or attachment to the appliance and/or which creates a matrix from which the agent can be released by diffusion or dissolution. In some embodiments, the agent is dissolved in the carrier or binder. In this case, the agent may be provided in powder or similar form and dissolved in a liquid solvent. The result may be a solution which may be applied to a surface of the shell, typically by spraying, painting, and/or dipping, to form a coating or film. When the repositioning appliance is placed over the patient's teeth, the compliance indicating agent may then be released from the coating to the oral environment. Release may be due to activation or deactivation of the carrier or any other releasing mechanism, such as by enzymes or proteins in oral fluids. Or release may be due to degradation of the carrier by contact with, for example, oral fluids. In some cases, the binder or carrier may evaporate upon application to the layer to the surface leaving the agent behind. In these cases, the agent may be released in a similar fashion as when the agent is directly attached to the surface, as described above. It may be appreciated that any suitable agent, such as fluoride materials, antibiotics or other drugs or medications, vitamins, bleaching materials, and/or breath fresheners, may be delivered to the oral environment in this manner.

**[0015]** In another embodiment, the agent is encapsulated or suspended in the layer. A common material for suspension of an agent is a semisolid material, such as a gel, jelly, or putty. Such a material may be applied to a surface of the shell by spraying, painting, and/or dipping to form a coating or film. Here, as in all cases, suspension is not limited to a scientific definition and may refer to any situation in which a carrier holds, contains, supports, or otherwise includes an agent. Alternatively or in addition, the semisolid material may be deposited in the cavities of the polymer shell which are shaped to receive the teeth. The cavities may be filled to any desired level. When the repositioning appliance is positioned over the teeth, the teeth will directly contact the semisolid material in the cavities and displace any extra material as the teeth are inserted into the cavities. Therefore, it is desired to fill the cavities to a level which will avoid excess overflow of the material from the appliance. Delivery of an agent

by use of a semisolid suspension material is common in bleaching treatments and fluoride treatments, for example. However, such treatments apply the material with the use of a tray or generic appliance which does not apply repositioning forces to the teeth. By modifying a repositioning appliance, as described above, orthodontic treatment may continue throughout the delivery of such agents. It may be appreciated that any agent, particularly fluoride materials, antibiotics, bleaching materials, and breath fresheners, may be delivered to the oral environment in this manner.

**[0016]** Another common material for encapsulation or suspension of an agent is a controlled-release material. Thus, the layer may be of a rate-controlling material wherein the rate controlling material controls the rate at which the agent is released from the layer. Controlled-release or rate-controlled materials deliver a predetermined amount of an agent at a predetermined rate. Often such delivery maintains a steady-state concentration of an agent in an environment within a desired therapeutic range for a prolonged period of time. Thus, a prescribed dosage may be delivered. In addition, the ability to sustain delivery eliminates the need for repeated applications of the agent for dosed delivery to the oral environment.

**[0017]** Although such controlled release materials may be provided as a semisolid material, such as a gel, jelly, or putty, as described above, these materials may also be provided as a solid material which is attached to the polymeric shell of the repositioning appliance. One type of controlled-release material includes a polymer matrix membrane within which finely dispersed particles of an agent are suspended. The agent may diffuse through the matrix membrane according to a concentration gradient. Alternatively or in addition, the agent may be released by degradation of the polymer matrix membrane material. In either case, the controlled-release material may be provided as a sheet which may be laminated to a surface of the shell. The controlled-release sheet may be layered with the elastomeric polymer and vacuum formed over a mold to form the repositioning appliance. The controlled-release material may be arranged so that it is present on the inside or outside surfaces of the appliance depending on the material and desired application. Or, the controlled-release sheet may be laminated or bonded to a surface of the polymeric shell after forming to supply agent delivery in desired areas. Alternatively, the controlled-release material may be provided as a tablet or similar mass which may be inserted into the polymeric shell of the repositioning appliance. The agent may then elute from the tablet into the oral environment over time.

**[0018]** In another embodiment, the agent may be held within pores of a material and may elute out at a controlled rate from the pores. The agent itself may be absorbed into the pores of the material, or the agent may be suspended in a carrier which is absorbed into the pores of the material. In the latter case, the agent may be released from the carrier by diffusion and/or by controlled degradation of the carrier material. This may incorporate a rate-

controlling mechanism in addition to the controlled-release of the agent from the pores. As mentioned, in some cases, enzymes in the patient's oral fluids will activate the release or degrade the carrier material to release the agent. It may be appreciated that the agent may be released by a combination of any of the release methods.

**[0019]** In a further embodiment, the polymeric shell of the repositioning appliance itself includes a controlled-release material containing the agent. In this case, at least a portion of a polymeric shell is formed from a controlled release material wherein the rate controlling material controls the rate at which the agent is released from the shell. As previously described, the controlled-release material may be a provided in the form of a sheet. Thus, the sheet of controlled-release material may be vacuum formed over a mold of the patient's teeth to form a repositioning appliance itself. In this manner, no additional elastomeric materials may be needed to form the appliance. The controlled-release material may be a polymer matrix membrane, a porous material, or any suitable material. Controlled-release may be designed so that the elution rate of the agent corresponds to the repositioning rate of the teeth. The agent may elute throughout the repositioning process, concluding as the teeth reach the desired arrangement prescribed by the appliance.

**[0020]** In a still further embodiment, the releasing mechanism coupled to at least some of the repositioning appliances includes a reservoir formed in the shell of the appliance in addition to the cavity which receives the teeth. Typically, a rate controlling membrane is disposed over the reservoir wherein the rate controlling membrane controls the rate at which the substance is released from the reservoir. The reservoir may be pre-filled or pre-loaded with an agent or substance for delivery. In this case, the appliance may be ready for insertion or use upon removal from any packaging without the need of loading the appliance with the agent for delivery. If the releasing mechanism is designed for a single delivery period, the appliance may be worn throughout the prescribed repositioning period and then disposed of. If the releasing mechanism is designed for multiple delivery periods, the reservoir may be replenished with the agent to be released any number of times throughout the prescribed repositioning period. It may be appreciated that any agent, particularly fluoride materials, antibiotics, bleaching materials, and breath fresheners, may be delivered to the oral environment in this manner.

**[0021]** In some instances, it may be desirable to change a visual characteristic of the polymeric shell of an oral appliance. Such appliances include a polymeric shell having a cavity shaped to be removably placeable over the teeth and a material on or within the shell that changes a visual characteristic of the shell. Such a change is typically in response to a change in the environment. In some cases, the visual characteristic is a color, such as green, red, or blue. Thus, the appliance may appear colored or a particular color under certain environmental conditions, either in the oral environment

or when removed. The described material may be a dye which changes color in response to a change in temperature. For example, the dye may change color when the appliance is removed from the mouth and changes temperature from body temperature (37 degree C) to room temperature (25 degree C). Similarly, the dye may change color when the appliance is rinsed with cool water.

**[0022]** The appliance can be used to provide an intra-oral drug delivery system. In addition to the agents described above, other compounds can be used as well. For example, a drug coated appliance can be used to deliver desensitizing medication to sensitive teeth. The drug substance can simply be a small amount of the active ingredient in a desensitizing toothpaste or gel, such as Sensodyne®. The desensitizing agent is dispersed throughout the surface of the appliance and is delivered, at a substantially constant rate, to the patient's sensitive teeth for a relatively extended period of time.

**[0023]** Although the appliance may be pre-loaded with the agent and ready for use upon removal from any packaging, appliances that are not pre-filled or pre-loaded may require loading prior or immediately prior to placing the appliance over the teeth. Loading may include placing the agent in a teeth-receiving cavity. As described previously, the cavities may be filled to any desired level. When the appliance is positioned over the teeth, the teeth will directly contact the agent in the cavities as the teeth are inserted into the cavities. Alternatively, loading may include placing the agent into an agent release reservoir in the appliance immediately prior to placing the appliance over the teeth. The agent will then elute from the reservoir into the oral environment when the appliance is in place over the teeth. The elution rate may be controlled by a controlled release membrane which separates the reservoir from the surrounding environment. Loading may also include adhering a rate controlling material containing the agent to a surface of the appliance prior to placing the appliance over the teeth. Such a material may include a polymer matrix membrane which may be removably or permanently adhered to the polymeric shell of the appliance in desired areas for delivery of the agent. And finally, loading may include absorbing the agent into a porous material on or within the appliance immediately prior to placing the appliance over the teeth.

**[0024]** Mechanisms for releasing the agent may include a number of embodiments, including any such mechanisms previously described. Typically, mechanisms for releasing the agent include a layer including the agent, as previously described, and coupling includes adhering the layer to at least a portion of a surface of the appliance. When the layer consists essentially of the agent, adhering may involve coating, spraying, dipping, or painting the agent on the surface of the appliance. Thus, a pre-formed appliance may simply be coated with the agent prior to insertion in the patient's mouth. When the layer includes an agent present in or on a carrier or binder, adhering may involve attaching the carrier or

binder a surface of the appliance. Similarly, when the agent is encapsulated in the layer, the layer may be attached to the surface of the appliance. The layer may include a sheet of rate controlling material wherein the rate controlling material controls the rate at which the agent is released from the layer. In this case, the sheet may be bonded to the surface of the appliance with an adhesive. Alternatively, the sheet may be attached to the surface by press fitting. The sheet and the surface may each be shaped so that they snap or fit together by pressing them together. For example, the sheet may have a formed protrusion and the surface a formed inset, wherein the protrusion fits into the inset when pressed upon the inset and holds the sheet in place. In many instances, the appliance may be porous or have a reservoir which can be loaded with a desired agent at any time the treating professional and/or the patient decide that it is appropriate. For example, an appliance can be immersed in a solution of the agent, allowing the appliance to absorb or adsorb the agent at a particular time.

**[0025]** In addition, the sheet may be pre-formed to a shape adapted for fitting against the surface of the appliance or a surface of the teeth or gingiva. For example, the sheet may be pre-formed to reflect the shape of the surface of one or more teeth or the gingiva, particularly along the gingival margin. The preformed sheet may then be held against that surface when the sheet is coupled to the appliance and the appliance is placed over the teeth. Coupling may involve any mechanism of attaching the sheet to the appliance. In particular, the pre-formed sheet may further include an adhesive layer which may provide bonding of the sheet to the surface of the appliance.

**[0026]** The material to make to the appliance of FIG. 1 can be supplemented with additional fillers such as electrically conducting fillers, magnetic fillers, illuminating fillers, piezoelectric fillers, and/or light sensitive fillers. The material properties of the appliance made with or without these additional fillers such as modulus, electrical resistance, material permeability, and birefringence (degree of orientation of the material or stress), illuminating patterns or patterns under special light sources may change after the appliance is worn over time, as these properties are altered due to changes in structure, organization, and/or spatial spacing between the fillers. For example, it is well established that electrical conductivity of filled composites scales with filler volume concentration according to percolation theory. Therefore, mechanical deformation or thermal expansion of the non-conductive polymer matrix will lead to increased average inter-filler spacing, or decreased filler volume concentration, and consequently decreased electrical conductivity. Examples of electrically conductive fillers include metals, graphite, electrically conductive polymers, semiconductors, and superconductors. These changes in properties can be used as an indicator for compliance and can be diagnosed by instrumentation. Similarly, separation of conductive fillers will also lower thermal conductivity,

which can also be measured by instrumentations. If the fillers have magnetic behavior in the presence of external stimulation, such as diamagnetics (Cu, Au, Ag, etc.) and paramagnetics (e.g. Al, Cr, Na, Ti, Zr, etc.); or exhibit intrinsic magnetic properties, such as ferromagnetics (Fe, Co, Ni, etc.), antiferromagnetics (e.g. MnO), and ferromagnetics (MFe.sub.2O.sub.4), then separation of the filler spacing due to mechanical deformation of the polymer matrix can also lead to decreases in magnetic properties above the Curie temperature. Mechanical deformation of composites with illuminating fillers, such as those that exhibit luminescence, fluorescence, or phosphorescence, will result in decreased illumination intensity. Bending deformation or displacement of piezoelectric fibers can result in electrical potentials which can be either measured, or used to activate other electrically driven indicators (e.g. low power LED light). Fillers with optical properties which depend on external electric field, for example those that shift their absorption coefficients in the UV, IR, or visible spectrum can also serve as indicator of matrix deformation.

**[0027]** Referring now to FIG. 2A, an embodiment of an indication attachment device 200 is shown. The indication attachment device 200 includes a polymer well 201, and the well 201 includes a semi-permeable membrane 202. The membrane 202 allows a two-way flow between the well 201 and an interface to the oral environment. Within the well 201, a material 204 such as a dyed material is provided.

**[0028]** In some embodiments, the dyed material 204 is a releasable material, such as dyed poly(vinylsiloxane) (PVS) material. The PVS material is used to hold the dye, and the membrane 202 can be a cellulose acetate membrane. Those skilled in the art will understand that other releasable materials such as polyether, polyurethane, ethyl vinyl acetate can also be manipulated to result in the teachings of this patent.

**[0029]** In another embodiment, the well material 204 can be an enzyme or a reactor that reacts with enzymes from the oral fluids. When oral fluids or enzyme from the oral fluids enters the well, the material 204 reacts with the enzyme to provide an indication. Alternatively, a pH indicator can be used as the material 204. In yet another embodiment, the membrane 202 can be silicon instead of PVS.

**[0030]** In another embodiment, the polymer can be water-soluble polymer that includes water-soluble polymers, lightly cross-linked hydrogels, and high molecular weight with hydrogen bonding plastics that demonstrate some limited water resistance. Natural-based water-soluble polymers include starch, starch-oxidized, cellulose, cellulose-alkoxylated, cellulose-carboxyalkylated, chitin, chitosan, pectins, hyaluronic acid, proteins, and lignin. Water-soluble polymers can also be created from synthetic raw material through polymerization by addition/vinyl, condensation, and ring-opening. Examples of these types of polymers are poly(vinyl alcohol), polyesters, and poly(alkylene oxides). The hydrolytic instability of biode-

gradable polymers is advantageous because the presence of the oral fluids will facilitate the degradation of the polymer.

**[0031]** Referring now to FIG. 2B, a cross-sectional view of the compliance indication attachment device (compliance indicator) 200 is shown. As viewed therein, the membrane 202 is positioned above the polymer well, chamber, or housing 201 with the releasable material and or dye 204 enclosed therein. As shown in FIG. 2C, after a predetermined period of time, for example two weeks, a portion of the dyed PVS material 204 has seeped out causing a change in appearance of the indication attachment device. The dye is released while the PVS stays inside the device. In this case, a color change can occur or alternatively, the volume of the material has changed, in this case it has reduced in size.

**[0032]** In some embodiments, the compliance indicator 200 has a clear, tooth-colored, or esthetically pleasing polymer reservoir well, chamber, or housing 201. A transparent or translucent semi-permeable membrane 202 separates the content within the reservoir chamber 201 from the external oral environment. The content(s) within the reservoir chamber 201 depends on the overall strategy to monitor compliance. In one implementation, contents diffuse out from the reservoir chamber 201, through the membrane 202, into the external environment. For example: the content can be an FDA approved visible dye which diffuses from the chamber 201, through the membrane 202, and into the external oral environment. When the content is emptied, the content color diminishes in brightness and value. Colorants that are permitted for direct addition to human food by the US FDA include annatto extract, beta-carotene, beet powder, canthaxanthin, caramel color, carrot oil, cochineal extract (carmine); cottonseed flour, fruit juice, paprika, riboflavin, saffron, turmeric, vegetable juice, FD&C Blue No. 1 (brilliant blue) and No. 2 (indigotine), FD&C Green No. 3 (fast green FCF), FD&C Red No. 3 (erythrosine) and No. 40 (allura red), FD&C Yellow No. 5 (tartrazine) and No. 6 (sunset yellow). Other food colorants such as those found at FDA's Center for Food Safety and Applied Nutrition website: <http://www.cfsan.fda.gov/about.dms/col-toc.html> can be used as well.

**[0033]** In another implementation, matter from the external environment diffuse in, and reacts with the contents 204 within the reservoir chamber 201. For example, glucose molecules from the external environment diffuse through the membrane 202, and reacts with enzymes inside the content and the resultant enzymatic products interact with other reactants inside the content to cause color change. As more glucose molecules diffuse in, content color increases in brightness and value. A convenient enzyme system is glucose oxidase and horseradish peroxidase. The first enzyme, glucose oxidase, catalyzes the oxidation of glucose to form gluconic acid and hydrogen peroxide. Hydrogen peroxide then reacts with 3-3,5,5'-tetramethylbenzidine (TMB) under catalytic action of horseradish peroxidase to convert yellow TMB to

green. Other colorants, such as potassium iodide (green to brown) may also be used. These enzymes can be immobilized within the chamber. The rate of reaction, and hence color change, can be controlled by selecting the permeability of the membrane 202, the concentration of reactants inside the chamber 201, and the method of delivery. The rate of reaction or concentration of the glucose molecules can also be detected through spectroscopy or other analytical testing. Test results will correlate with compliance to treatment.

**[0034]** Referring now to FIGS. 3A and 3B, another embodiment of an indication attachment device is shown. In the embodiment of FIG. 3A, a porous polymer material is provided on a sheet 300. The polymer material is disposed on the sheet 300 as one or more containers 304. The container 304 may be as well as disclosed above in the discussion of FIGS. 2A-2C. After a predetermined period of usage, the polymer material changes appearance, for instance, changes either to the color or the size as shown in FIGS. 2B-2C. Other implementations can include colored polymers (both thermoplastic and thermoset materials) and composites utilizing the same compliance mechanism as the porous polymer material.

**[0035]** The compliance indicator of FIGS. 3A-3B thus can be a dye encapsulated in a polymer which is released in the presence of oral fluids. The dye can be colorants that react with the oral fluids and that are released from the polymer. The polymer can be porous polymer such as monolithic porous polymer (currently used in chromatography), PVS, a high internal phase emulsion (HIPE polymer currently used in drug release), or any macroporous polymer. The dyed polymer will be constructed into a small button that can be bonded to the exterior of the aligner. The amount of dye loss will correspond with the amount of time the aligner was in use. The pore size of the polymer and the particle size of the dye will affect the rate of diffusion of dye from the button to the oral fluids environment and depending on compliance needs, these factors can be controlled.

**[0036]** Porous polymers are prepared by adding "porogens" during the polymerization process of resins. Porogens are soluble in the monomer but insoluble in formed polymers. As polymerization occurs, pores are formed in the spaces where porogens are found. The newest type of porous polymers is known as "high internal phase emulsions" ("HIPE"). HIPE structures have pore diameters much larger than previous porous materials which had only pore diameters in the angstroms.

**[0037]** Another porous polymer is the monolithic porous polymer currently being used in chromatography. The polymerization of this rigid macroporous polymer takes the shape of the mold, usually a column, into which the monomers and porogens are poured into. Generally, the pore volume is nearly equal to the amount of porogens added into the monoliths.

**[0038]** Referring now to FIGS. 4A and 4B, a button embodiment of an indication attachment device 400 is shown. In this embodiment, a biodegradable polymer

material is attached to either a tooth or a dental appliance. After a certain period of use, the polymer material either changes shape or size or color, and as shown in FIG. 4B, the volume of the biodegradable polymer material is subsequently reduced. In some embodiments, the button is a biodegradable polymer button. The button can be molded from a biodegradable polymer and bonded to the exterior of the aligner. The button will have a predetermined degradation period such as a two week degradation period in the constant presence of oral fluids. Potentially the polymer can be colored for a more visible indication of the degradation of the button. The size and material will determine the degradation period of the button. However, other factors such as brushing of the aligner and rinsing will have to be taken into consideration when determining the optimal degradation time of the button.

**[0039]** The degradation products often define the biocompatibility of a polymer. Synthetic biodegradable polymers are favored over natural ones because of reliability of raw materials. The following is a list of common biodegradable polymers: polyglycolide (PGA), polylactide (PLA), 1-lactide (LPLA), poly(dl-lactide) (DLPLA), poly( $\epsilon$ -caprolactone) (PCL), polydioxanone (PDO), poly(glycolide-co-trimethylene carbonate) (PGA-TMC), and polyorthoesters.

**[0040]** FIG. 5 shows yet another embodiment of an indication attachment device. In this embodiment, an appliance 416 receives an adhesive dye matrix 412. The matrix 412 is sealed either at one end or both ends using a backing film 410. The material in the matrix 412 can be released on the sides between the appliance 416 and the film 410 or between the two backing films. An opening 418 may be provided in the appliance 416 and one side of the backing film to facilitate dye release. In one implementation, a transdermal patch may be applied in a manner similar to drug releasing transdermal patches. Instead of embedding and releasing drug in the adhesive matrix, a dye is released and the mechanism for dye loss is moisture (oral fluids). In one implementation, appliance wear compliance is indicated by the color of the adhesive layer: the more dye lost, the longer the wear time.

**[0041]** FIG. 6 shows yet another embodiment where the wear indication is achieved through a water dissolvable film. In this embodiment, an opaque water soluble film 420 is positioned to cover one or more colored areas, regions, spots, or dots 422 on an appliance or on a tooth. The film can be a protective coating that can be used to protect the indicator (e.g., dot) from wear, such as from brushing teeth, etc. Since these appliances may be intended to be used for most of the day (e.g., 20+ hours), in many situations, protection of the indicator may be helpful in determining compliance.

**[0042]** In some embodiments, the protective coating can be removable and can be used to protect the indicators before and/or during application to an appliance or to one or more teeth. Such embodiments can also improve the accuracy of compliance analysis, in some instances.

**[0043]** In some embodiments, the dots 422 can be a series of colored dots with varying thicknesses of film 420 and each exposed color corresponds to a different amount of appliance wear time. In the embodiment where the dots 422 are imprinted on the appliance, the film 420 is layered onto the surface of the appliance. The mechanism of releasing dye is moisture (oral fluids).

**[0044]** FIG. 7 shows another embodiment where a tooth attachment 444A is made with a dye-releasing composite. The dye-releasing composite 444A bonded to a tooth will be covered by an appliance 416. Over time, the dye-releasing composite 444A has a reduced or no color loss compared to the loss for a dye on an uncovered tooth attachment 444B. The color of the attachment will correspond to the amount of aligner wear. The mechanism of dye releasing is moisture (oral fluids) in this embodiment.

**[0045]** In yet other implementations, a diagnostic indicator can be provided. The diagnostic indicator is similar in device construction to the compliance indicator, and utilizes the inwards diffusion strategy, where biochemical analytes from the external environment are allowed to diffuse through the membrane to react with the contents within the reservoir chamber. Thus, biomarkers from the external environment diffuse through the membrane, and react with reagents inside the content to directly or indirectly induce color change or chemical change that can be quantified through human eye or laboratory testing or computerized vision systems. As more biomarkers diffuse into the diagnostic indicator, the content color changes, for example increases in brightness and value. Possible biomarkers include enzymes, pH, glucose, salt, oral film, plaque, microorganisms that may exist in the oral cavity and amount of oral fluids.

**[0046]** In the embodiment shown in FIG. 8, the compliance indicator can be a time temperature indicator 480. The indicator 480 is intra-orally placed in the mouth (either directly on a tooth or on an appliance 470) and provides an indication of the time the indicator has been at a preselected intra-oral temperature environment.

**[0047]** In yet another embodiment shown in FIG. 9, a plurality of brushes 502 having a colored fiber 504 is positioned on the appliance 500. As the brush 502 is gradually eroded by wearing the appliance 500, a dye, or other suitable indicia of wear in the fiber 504 is exposed for visual detection by a human or by a machine. Alternatively, the brushes 502 can be placed on one or more teeth instead of on the appliance 500.

**[0048]** In yet another embodiment, the compliance indication is human readable by changing physical or mechanical or visual properties that are readily observable by a human. In other embodiment, the compliance indication is machine readable. For instance, in one embodiment that alters the electrical characteristics of an appliance during wearing of the appliance, an electrical measurement can be made by a computer for detecting compliance. In another embodiment that uses biomarkers, a computer with biomarker sensor can be used with suit-

able computer program to detect compliance. In yet another embodiment, a color change can be detected by a computer vision program to detect compliance.

**[0049]** Each computer program is tangibly stored in a machine-readable storage media or device (e.g., program memory or magnetic disk) readable by a general or special purpose programmable computer, for configuring and controlling operation of a computer when the storage media or device is read by the computer to perform the procedures described herein. The inventive system may also be considered to be embodied in a computer-readable storage medium, configured with a computer program, where the storage medium so configured causes a computer to operate in a specific and predefined manner to perform the functions described herein.

**[0050]** Portions of the system and corresponding detailed description are presented in terms of software, or algorithms and symbolic representations of operations on data bits within a computer memory. These descriptions and representations are the ones by which those of ordinary skill in the art effectively convey the substance of their work to others of ordinary skill in the art. An algorithm, as the term is used here, and as it is used generally, is conceived to be a self-consistent sequence of steps leading to a desired result. The steps are those requiring physical manipulations of physical quantities. Usually, though not necessarily, these quantities take the form of optical, electrical, or magnetic signals capable of being stored, transferred, combined, compared, and otherwise manipulated. It has proven convenient at times, principally for reasons of common usage, to refer to these signals as bits, values, elements, symbols, characters, terms, numbers, or the like.

**[0051]** It should be borne in mind, however, that all of these and similar terms are to be associated with the appropriate physical quantities and are merely convenient labels applied to these quantities. Unless specifically stated otherwise, or as is apparent from the discussion, terms such as "processing" or "computing" or "calculating" or "determining" or "displaying" or the like, refer to the action and processes of a computer system, or similar electronic computing device, that manipulates and transforms data represented as physical, electronic quantities within the computer system's registers and memories into other data similarly represented as physical quantities within the computer system memories or registers or other such information storage, transmission, or display devices.

**[0052]** FIG. 10 shows a number of appliances for use in various stages of a dental treatment process. FIG. 10 illustrates that a number of indicators can be placed on an appliance for use in a system. In the illustrated embodiment, three types of indicators 1090 (indicator type A), 1091 (indicator type B), and 1092 (indicator type C) are used in each stage 1015-1, 1015-2, and 1015-3. In some embodiments, more or less indicators can be used in each stage, the number of stages can be more or less, the number of indicators in each stage can be different,

and/or the types of indicators can be different.

**[0053]** As discussed herein, a wear indicator can be a small layered disc which can be composed of a number of layers, in various embodiments, among other shapes and forms. For example, in some embodiments, the wear indicator can include three layers, such as a bonding layer, an active indicator layer, and a protective layer. In such embodiments, the bonding layer can, for example, be on the inner surface, the active indicator layer (e.g., wear layer) in the middle, and the protective layer on the outer surface, among other constructions.

**[0054]** The bonding layer can, for example, be composed of a biocompatible pressure-sensitive adhesive (e.g., acrylic, silicone, and/or polyisobutene) which can allow for adhesion of the indicator to the aligner surface, or other suitable surfaces. The wear layer can, for example, be composed of a water-soluble polymer (e.g., high molecular weight polyvinyl alcohol) mixed with a FD&C (FDA certified) "aluminum lakes" dye which allows for leaching of the color over a period of time, among other materials.

**[0055]** The concentrations of these materials and/or use of other materials can be utilized to provide a number of different wear rates. Although the wear rates of these indicators may not be standardized from one patient to another, the wear rates of these indicators can be predetermined with respect to each other. In such embodiments, indicators of various wear rates with respect to each other can be utilized. Such wear indicators can be used as compliance indicators or as treatment disbursement apparatuses (e.g., for the disbursement of agents as described herein) as the wearable material wears during use of the appliance to which it is associated.

**[0056]** These wear rates can be established, for example, by exposing the wear indicator to a uniform reactant (e.g., a material that causes the indicator to change). The periods of change to the indicators can be measured and can, therefore, be used to set the amount of expected change with respect to the other indicators similarly tested.

**[0057]** The protective layer can, for example, be a film (e.g., transparent) that protects the active indicator layer from tooth brushing, buccal and/or lingual contact, and/or other external forces. In some embodiments, the protective layer can be used to protect the indicator during shipment or application and can, in some embodiments, be removable during wear and/or before or during application.

**[0058]** In the embodiment illustrated in FIG. 10, the patient can be given the appliances for stages 1, 2, and 3, for use as directed by the treatment professional. After a period, the patient will return the appliances for inspection. Once inspected, the treatment professional can select the appropriate indicator for subsequent stages for that patient's treatment based upon the amount of wear indicated by the one or more indicators on one or more of the appliances.

**[0059]** In the embodiment of FIG. 10, three wear indi-

cators were used to adjust the compliance indicator or treatment disbursement wear rate. When different types of wear indicators are used, it can be beneficial, for example, in order to include a range of wear rates that may include a large portion of the patient population, which may have very large variations in wear rate from one patient to the next.

**[0060]** For example, initial testing identified that there may be many variables and differences in each patient's oral environment and, therefore, one type of wear indicator may not likely be enough to last for a desired period of wear in every person. Some examples of the type of wear that determines the wear rate of the wear layer can be determined based upon one of the factors selected from the group including amount of saliva produced, one or more tooth anatomies or locations, a composition of saliva, an analysis of sleep habits of a patient, an amount of liquid consumption, and one or more types of liquid consumed, among other criteria.

**[0061]** In some embodiments, patients can utilize a wide range of types of wear indicators initially to determine which one best indicates their compliance and physiological profile. Patients can then continue using one or more types of indicators and their compliance can be evaluated based on each individual patient's predetermined baseline rate determined based upon the wear of the initial indicators. In this way, one or more wear indicators can be used as an indicator of a patient's compliance to the orthodontic program if the wear rate with respect to that patient is understood.

**[0062]** In some embodiments, multiple wear indicators of the same type can be utilized in various positions on the appliance. Such arrangements can be used to further precision the placement if the wear indicators used for compliance and/or for treatment disbursement, as discussed further below. Examples of different placement positions can, for example, include positions that compare buccal and lingual positions, molar and anterior positions, gingival and occlusal positions, and/or other comparisons of such positions, or other suitable positions in addition to or in substitution for those described above.

**[0063]** For instance, in some embodiments, a system for monitoring orthodontic treatment can include a first appliance for placement over one or more teeth. The appliance can include multiple wear indicators including at least a first positional indicator (i.e., a wear indicator that is used to analyze the suitability of a position within the mouth) having a wear rate and a second positional indicator that having a wear rate, where the wear rates of the first and second positional indicators are the same and where the first positional indicator is placed in a position on the first appliance that is different from the second positional indicator. In some embodiments, the position of a third wear indicator on a second appliance can be determined based upon analysis of the first and second indicators positioned on the first appliance in positions selected from buccal, lingual, molar, anterior, gingival, and/or occlusal positions, among others.

**[0064]** In treatment disbursement apparatus embodiments, the wear indicators can be used to determine the wear rate for proper treatment disbursement to a particular patient. This allows dosages to be more effectively tailored to particular patient needs, in some instances. This can, for example, be accomplished by review of the wear indicators after a period of time and evaluation of which indicator has worn most effectively (e.g., longest wear, most uniform wear, etc.) over the period.

**[0065]** The positions of the different indicators can also be used, in some embodiments, to determine where a suitable location may be for proper disbursement of a treatment by examination of the wear indicators after a period of time and determination, based upon the observed wear, what one or more locations would be suitable. In some instances, the wear indicators may have the same wear rate for such determinations.

**[0066]** The analysis of compliance can be accomplished in any suitable manner based upon the information provided by the initial indicators. For example, in some embodiments, determining a type of compliance indicator to be placed on a subsequent dental appliance can be based upon a comparison of two or more compliance indicators after the appliance has been used by a patient for a set period of time. In such embodiments, an initial indicator can be compared with an indicator that is used in a subsequent stage, for example.

**[0067]** In some embodiments, determining a type of compliance indicator to be placed on a subsequent dental appliance can be based upon a comparison of one or more compliance indicators with a baseline wear rate after the appliance has been used by a patient. In such embodiments, the baseline can be determined based upon the information provided by the initial indicators.

**[0068]** FIG. 11 shows a table indicating the meaning of various combinations of wear on appliance indicators. In FIG. 11, the table includes a column 1193 that provides the wear of each of the indicators on the appliance (e.g., indicators 1090 (indicator type A), 1091 (indicator type B), and 1092 (indicator type C) of FIG. 10). In the example of FIG. 11, indicator C is designed to wear the fastest, indicator B is designed to wear slower than indicator C, but faster than indicator A, and indicator A is designed to wear the slowest.

**[0069]** In some embodiments, the positions of the indicators may not be utilized. However, in some embodiments, this information may be utilized for various purposes, such as the position for greatest/least amount of wear can be measured or what type of indicator may work best to determine compliance for a particular patient and/or for treatment disbursement. For example, in the embodiment of FIG. 11, the bottom right indicator represents indicator type A 1090, the bottom left indicator represents indicator type B 1091, and the top indicator represents indicator type C 1092 of FIG. 10.

**[0070]** For example, in the top row of the table, the top indicator is dark blue, the left is dark blue, and the right is light blue. Accordingly, since the top and left indicators

have not changed and the right indicator has changed, the right indicator would be a good choice for monitoring this particular patient and/or for treatment disbursement. The right indicator corresponds to indicator type A 1090 in FIG. 10 and, accordingly, the instructions in row one of column 1194 indicate that an A indicator is to be used, with a baseline being faded (e.g., light blue).

**[0071]** The set of indicator results of the top row of the table indicates that the wear experienced with this patient is light. As discussed above, this can be attributable to various issues. However, if this row is selected, the table can be used to provide direction with respect to which type of indicator to provide for additional stages and/or what type of baseline should be used with this patient.

**[0072]** In the second row of the table of FIG. 11, the top indicator is dark blue, the left is dark blue, and the right is white. Accordingly, as with the above example, since the top and left indicators have not changed and the right indicator has changed, the right indicator would be a good choice for monitoring this particular patient and/or for treatment disbursement. Again, in the embodiment of FIG. 11, the right indicator corresponds to indicator type A 1090 in FIG. 10 and, accordingly, the instructions in row two of column 1194 indicate that an A indicator is to be used, with a baseline being faded (e.g., light blue).

**[0073]** In the third row of the table of FIG. 11, the top indicator is dark blue, the left is light blue, and the right is white. With this type of indicator arrangement, since the top is still dark and the right is white (e.g., indicating that the indicator has been excessively worn), the right indicator would be a good choice for monitoring this particular patient and/or for treatment disbursement, because it still has some wear remaining. In the embodiment of FIG. 11, the right indicator corresponds to indicator type B 1091 in FIG. 10 and, accordingly, the instructions in row three of column 1194 indicate that a B indicator is to be used, with a baseline being faded (e.g., light blue).

**[0074]** Although the table in FIG. 11 provides some recommendations on the selection of an indicator based upon the results of the initial indicator usage, the treatment professional may use their judgment in selecting a particular indicator type. In some such embodiments, indicator A may be designated for use in subsequent appliances. However, in some instances, the indicator may wear out much sooner than the period between check by a treatment professional and, therefore, the timeframe of compliance and/or for treatment disbursement may likely have to be estimated.

**[0075]** The treatment professional could also choose to use indicator C in some instances. However, the indicator may not indicate any change during the period between checks and, therefore, compliance may not be able to be ascertained.

**[0076]** In the fourth row of the table of FIG. 11, the top indicator is dark blue, the left is white, and the right is white. With this type of indicator arrangement, since the

top is still dark and the left and right are white (e.g., indicating that the indicator has been excessively worn), the left indicator would be a good choice for monitoring this particular patient and/or for treatment disbursement. This is because in the embodiment represented by the table of FIG. 11, indicator type B 1091 has a slower wear rate than indicator type A 1090 and, therefore, will wear longer than indicator type A 1090. Accordingly, the instructions in row four of column 1194 indicate that a B indicator is to be used, with a baseline being clear (e.g., white).

**[0077]** In the fifth row of the table of FIG. 11, the top indicator is light blue, the left is white, and the right is white. With this type of indicator arrangement, since the top is the only indicator showing some wear is left and, therefore, the top indicator would be a good choice for monitoring this particular patient and/or for treatment disbursement, because it still has some wear remaining. In the embodiment of FIG. 11, the top indicator corresponds to indicator type C 1092 in FIG. 10 and, accordingly, the instructions in row five of column 1194 indicate that a C indicator is to be used, with a baseline being faded (e.g., light blue).

**[0078]** In the last row of the table of FIG. 11, all the indicators are white. With this type of indicator arrangement, since the all indicators are white (e.g., indicating that the indicator has been excessively worn), the top indicator would be a good choice for monitoring this particular patient and/or for treatment disbursement, since it wears the slowest. This is because in the embodiment represented by the table of FIG. 11, indicator type C 1092 has the slowest wear rate than either of the indicators 1090 and 1091 (indicator types A and B) and, therefore, will wear longer than indicators 1090 and 1091 (indicator types A and B).

**[0079]** Accordingly, the instructions in row six of column 1194 indicate that a C indicator is to be used, with a baseline being clear (e.g., white). This last set of results may also indicate that one or more even slower wearing indicators should be used to identify if those indicators would have some wear remaining. Such slower wearing indicators could be provided in one or more subsequent stages, for example.

**[0080]** As discussed above, in the embodiment illustrated in FIG. 11, the embodiment includes multiple indicators (e.g., three in FIG. 11). Although illustrated in a particular order, the indicators can be positioned in any suitable order or position.

**[0081]** In some such embodiments, for example, an appliance for placement over one or more teeth can be provided and multiple wear indicators can be utilized therewith (e.g., including at least a first wear indicator having a first wear rate and a second wear indicator that has a second wear rate that is different from the first). For example, an indicator can be sprayed, formed, adhered, or otherwise affixed to the appliance or provided with the appliance.

**[0082]** In some embodiments, using three or more indicators can provide greater versatility in the time period

of compliance by the patient and/or for treatment disbursement. For example, multiple indicators each having different wear rates can allow a treating professional to more accurately pinpoint the amount of usage of the device.

**[0083]** For instance, one indicator can become fully worn in five days, a second can be fully worn in ten days, and a third can be fully worn in fifteen days. In such embodiments, the treating professional may be able to more accurately tell how much usage has been done by the patient because of the discrete nature of each of the indicator's wear patterns.

**[0084]** In some such embodiments, the system can include one or more of the multiple wear indicators mounted on the appliance to indicate compliance and/or the amount of treatment disbursement that has been done by demonstrating a change in at least one characteristic of the indicator. The changed characteristic can, for example, be a color, shape, and/or size, among others. In some embodiments, compliance and/or disbursement can be indicated by an absence of a change.

**[0085]** An apparatus for monitoring orthodontic treatment compliance includes an appliance adapted to be worn over one or more teeth; and a compliance indicator mounted on the appliance to indicate compliance. FIG. 12 shows an appliance having a release agent receptacle according to an embodiment of the present disclosure. FIG. 12 illustrates that a release agent receptacle can be provided (e.g., placed on, manufactured on) on an appliance 1215 such as an aligner for dental treatment, among other types of dental appliances.

**[0086]** In the embodiment of Figure 12, the release agent receptacle includes an outer portion 1250 provided on appliance surface 1248, with an inner portion 1252 provided within the outer portion 1250, and an aperture 1254. In some embodiments, the inner portion 1252 can be encapsulated within the outer portion 1250.

**[0087]** In various embodiments, an outer portion can, for example, be provided by a polymer and/or curable material (e.g., ultra violet-curable acrylic) among other types of suitable materials. Other examples of suitable materials include, but are not limited to materials such as an ultra violet-acrylic material, an epoxy material, a urethane material, a rubber material, an ethylene vinyl acetate material, an elastomer material, a plastic material, and/or a silicone material, among other suitable material types.

**[0088]** The inner portion 1252 can be utilized as an active portion of the release agent receptacle, wherein the inner portion has an active ingredient such as a dye, pigment, or other indicator material and/or materials that can be used for treatment. In such embodiments, these receptacles can be referred to as compliance indicators so long as they have the functionality of compliance indication.

**[0089]** In some embodiments, the active ingredient can be embedded in a polymer or other suitable type of material. Polymers and other such materials can be useful

in such embodiments, for example, because the rate at which the active ingredient is released or leaches out may be controlled by the type of polymer or other such material the active ingredient is embedded in.

**[0090]** In order to facilitate the release of the material in the inner portion of the release agent receptacle, one or more apertures 1254 can be provided through the outer portion 1250 and to the surface of the inner portion 1252. In some embodiments, the aperture 1254 can be provided through the outer portion 1250, through some or all of the inner portion 1252 and/or into or through the outer portion 1250 that is proximate to the surface 1248 of the appliance 1215. In various embodiments, the size and/or shape of the one or more apertures can be adjusted to change the amount, speed, direction, and/or other characteristics of the release of the material from the inner portion 1252.

**[0091]** The one or more apertures can be formed in any suitable manner. For example, in some embodiments, the aperture can be drilled through the cured adhesive to allow moisture, more specifically saliva, to interact with an active ingredient in the inner portion. In some embodiments, the aperture can be formed during the formation of the other portions of the appliance (e.g., in some embodiments where the appliance and release agent receptacle are formed integrally).

**[0092]** The active ingredient can be any suitable ingredient for use in oral indication, diagnosis, and/or treatment and can be released in any suitable manner. For example, in some embodiments, the mechanism of dissolution of the active material and release can be through moisture from the mouth of the patient. A suitable example of an active ingredient is FD&C blue dye in the case of the compliance indicator, however, such an example should not be viewed as limiting on the embodiments of the present disclosure.

**[0093]** In some embodiments, as illustrated in Figure 13, the release agent receptacle can be formed with or integrally bonded to the surface of the appliance. In some embodiments, the release agent receptacle can be adhered to or non-integrally bonded to the surface of the appliance.

**[0094]** In such embodiments, a bonding material can be utilized that can, for example, be composed of a biocompatible pressure-sensitive adhesive (e.g., acrylic, silicone, and/or polyisobutene) which can allow for adhesion of the release agent receptacle to the aligner surface, or other suitable surfaces.

**[0095]** In some embodiments, the compliance indicator can be a wear indicator. As discussed herein, use of various materials can be utilized to provide a number of different wear rates. A wear material can, for example, be composed of a water-soluble polymer (e.g., high molecular weight polyvinyl alcohol) mixed with a FD&C (FDA certified) "aluminum lakes" dye which allows for leaching of the color over a period of time, among other materials

**[0096]** Although the wear rates of these indicators may not be standardized from one patient to another, the wear

rates of these indicators can be predetermined with respect to each other. In such embodiments, indicators of various wear rates with respect to each other can be utilized.

**[0097]** Such wear indicators can be used as compliance indicators or as treatment disbursement apparatuses (e.g., for the disbursement of agents as described herein) as the wearable material wears during use of the appliance to which it is associated. This wear can, for example be produced through interaction with moisture as discussed above.

**[0098]** Such wear rates can be established, for example, by exposing the wear indicator to a uniform reactant (e.g., a material that causes the indicator to change). The periods of change to the indicators can be measured and can, therefore, be used to set the amount of expected change with respect to the other indicators similarly tested.

**[0099]** For instance, a dye may have a particular wear pattern over time, which may be uniform or may increase, decrease, or otherwise change over time. Such rates can be determined and the usage of the appliance calculated based upon where the wear indication provided by the indicator is on the wear pattern of the particular material being used in the indicator.

**[0100]** Some examples of the type of wear that can be used to determine the wear rate of the wear layer can be determined based upon one of the factors selected from the group including amount of saliva produced, one or more tooth anatomies or locations, a composition of saliva, an analysis of sleep habits of a patient, an amount of liquid consumption, and one or more types of liquid consumed, among other criteria. The analysis of compliance can be accomplished in any suitable manner based upon the information provided by the initial indicators.

**[0101]** FIG. 13 shows a cut-away side perspective view of the release agent receptacle embodiment of FIG. 12. In the embodiment of Figure 13, the release agent receptacle includes an outer portion 1350 provided on appliance surface 1348, with an inner portion 1352 provided within the outer portion 1350, and an aperture 1354. In some embodiments, the inner portion 1352 can be encapsulated within the outer portion 1350 with the aperture being formed after the encapsulation has been done.

**[0102]** In some embodiments, the appliance 1348 can include one or more of the release agent receptacles mounted on the appliance 1348 to indicate compliance and/or the amount of treatment disbursement that has been done by demonstrating a change in at least one characteristic of the release agent receptacle. The changed characteristic can, for example, be a color, shape, and/or size, among others. In some embodiments, compliance and/or disbursement can be indicated by an absence of a change.

**[0103]** In order to facilitate the release of the material in the inner portion of the release agent receptacle, one or more apertures 1354, as discussed above, can be provided through the outer portion 1350 and to the sur-

face of the inner portion 1352. In some embodiments, the aperture 1354 can be provided through the outer portion 1350, through some or all of the inner portion 1352, and/or into or through the outer portion 1350 that is proximate to the surface 1348 of the appliance 1315.

**[0104]** Some embodiments may also continue the aperture through a portion or all of the thickness of the appliance. Such embodiments may allow for material from the inner portion 1352 to interact with the teeth and/or gingiva of the patient that are positioned on or near the aperture 1354 within the appliance 1315. In various embodiments, the size and/or shape of the one or more apertures can be adjusted to change the amount, speed, direction, and/or other characteristics of the release of the material from the inner portion 1352.

**[0105]** Although specific embodiments have been illustrated and described herein, those of ordinary skill in the art will appreciate that any arrangement calculated to achieve the same techniques can be substituted for the specific embodiments shown. This disclosure is intended to cover any and all adaptations or variations of various embodiments of the disclosure.

**[0106]** It is to be understood that the use of the terms "a", "an", "one or more", "a number of", or "at least one" are all to be interpreted as meaning one or more of an item is present. Additionally, it is to be understood that the above description has been made in an illustrative fashion, and not a restrictive one. Combination of the above embodiments, and other embodiments not specifically described herein will be apparent to those of skill in the art upon reviewing the above description.

**[0107]** The scope of the various embodiments of the disclosure includes any other applications in which the above structures and methods are used. Therefore, the scope of various embodiments of the disclosure should be determined with reference to the appended claims, along with the full range of equivalents to which such claims are entitled.

**[0108]** In the foregoing Detailed Description, various features are grouped together in a single embodiment for the purpose of streamlining the disclosure. This method of disclosure is not to be interpreted as reflecting an intention that the embodiments of the disclosure require more features than are expressly recited in each claim.

**[0109]** Rather, as the following claims reflect, inventive subject matter lies in less than all features of a single disclosed embodiment. Thus, the following claims are hereby incorporated into the Detailed Description, with each claim standing on its own as a separate embodiment.

**[0110]** The terms and expressions which have been employed in the foregoing specification are used therein as terms of description and not of limitation, and there is no intention, in the use of such terms and expressions, of excluding equivalents of the features shown and described or portions thereof, it being recognized that the scope of the invention is defined and limited only by the claims which follow. For example, although films or ap-

pliances have been disclosed as mechanisms for compliance measurement, droplets can be used to deliver the compliance indicating substances to the patient as well.

5 **[0111]** Other embodiments for compliance indication can be used as well. Whereas particular embodiments of the present invention have been described herein for purposes of illustration, it will be evident to those skilled in the art that numerous variations of the details may be made without departing from the invention as defined in the appended claims.

**List of Further Embodiments**

15 **[0112]**

1. A system for monitoring orthodontic treatment comprising: a first appliance for placement over one or more teeth; and multiple wear indicators including at least a first wear indicator having a first wear rate and a second wear indicator that has a second wear rate that is different from the first wear rate.

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2. The system of embodiment 1, where at least one of the multiple wear indicators is mounted on the first appliance to indicate compliance by demonstrating a change in at least one characteristic of the indicator, wherein the changed characteristic comprises at least one of color, shape, and size.

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3. The system of embodiment 2, where the change is affected by at least one of moisture, temperature, one or more chemicals and one or more biological substances.

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4. The system of embodiment 1, where at least one of the multiple wear indicators is mounted on the first appliance to indicate treatment distribution by demonstrating a change in at least one characteristic of the indicator, wherein the changed characteristic comprises at least one of color, shape, and size.

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5. The system of embodiment 1, where the system includes: a second appliance for placement over one or more teeth; and at least one compliance indicator having a wear rate based upon an analysis of the first and second wear rates associated with the first appliance.

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6. The system of embodiment 5, where the analysis includes comparing each indicator with a baseline to determine which of the indicators are to be applied to the second appliance.

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7. The system of embodiment 1, where the system includes: a second appliance for placement over one or more teeth; and at least one treatment distribution apparatus having a wear rate based upon an anal-

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ysis of the first and second wear rates associated with the first appliance.

8. The system of embodiment 1, where the baseline to determine which of the indicators are to be applied to the second appliance is determining which indicator has a least amount of wear left without having no wear left. 5

9. The system of embodiment 1, where the compliance is indicated by a release of a colorant within the appliance. 10

10. The system of embodiment 1, where the change is affected by a degradation of a biodegradable or a dissolvable polymer. 15

11. The system of embodiment 1, where the compliance is indicated by a loss in size of the indicator. 20

12. The system of embodiment 1, including a number of second wear indicators positioned on a second appliance, where the number of second wear indicators includes at least a first positional indicator having a wear rate and a second positional indicator having a wear rate, where the wear rates of the first and second positional indicators are the same and where the first positional indicator is placed in a position on the second appliance that is different from the second positional indicator. 25 30

13. An apparatus for monitoring orthodontic treatment, comprising: an appliance for placement over one or more teeth; and multiple wear indicators including at least a first wear indicator having a first wear rate and a second wear indicator that has a second wear rate that is different from the first, where at least one of the multiple wear indicators is mounted on the appliance to indicate wear by demonstrating a change in at least one characteristic of the indicator. 35 40

14. The apparatus of embodiment 13, where at least one of the multiple wear indicators is mounted on the appliance to indicate compliance by demonstrating a change in at least one characteristic of the indicator and where the compliance is indicated by exposure of a colored material previously covered by a polymeric layer of the appliance. 45 50

15. The apparatus of embodiment 14, where the multiple wear indicators are three or more indicators attached to the appliance.

16. The apparatus of embodiment 13, where at least one of the multiple wear indicators is mounted on the appliance to indicate compliance by demonstrating a change in at least one characteristic of the in- 55

indicator and where compliance is indicated by an absence of a change while the appliance is worn.

17. The apparatus of embodiment 13, where at least one of the multiple wear indicators is mounted on the appliance to indicate compliance by demonstrating a change in at least one characteristic of the indicator and where the compliance is indicated by a color change.

18. The apparatus of embodiment 17, where the color change occurs due to a reaction between one or more materials in the indicator and one or more oral fluids.

19. The apparatus of embodiment 13, where at least one of the multiple wear indicators is mounted on the appliance to indicate compliance by demonstrating a change in at least one characteristic of the indicator and where the compliance is indicated by a mechanical deformation during orthodontic movement.

20. A method for monitoring orthodontic treatment, comprising: affixing a first wear indicator having a first wear rate onto a dental appliance; and affixing a second wear indicator that has a second wear rate that is different from the first onto the dental appliance.

21. The method of embodiment 20, where the method includes determining a third wear indicator to be placed on a second dental appliance based upon a comparison of the first and the second wear indicators after used by a patient.

22. The method of embodiment 20, where the method includes determining a third compliance indicator to be placed on a second dental appliance based upon a comparison of the first or second compliance indicators with a baseline wear rate after used by a patient.

23. A dental wear indicator, comprising: an adhesive layer; a wear indicator layer having a predetermined wear rate; and a protective layer.

24. The dental wear indicator of embodiment 23, where the protective layer is removable.

25. The dental wear indicator of embodiment 23, where a type of wear that determines the wear rate of the wear indicator layer is determined based upon one of the factors selected from the group including: amount of saliva produced; one or more tooth anatomies or locations; a composition of saliva; an analysis of sleep habits of a patient; a amount of liquid consumption; and one or more types of liquid con-

sumed.

26. A system for monitoring orthodontic treatment comprising: a first appliance for placement over one or more teeth; and multiple wear indicators including at least a first positional indicator having a wear rate and a second positional indicator that having a wear rate, where the wear rates of the first and second positional indicators are the same and where the first positional indicator is placed in a position on the first appliance that is different from the second positional indicator.

27. The system of embodiment 26, where a position of a third wear indicator on a second appliance is determined based upon analysis of the first and second indicators positioned on the first appliance in positions selected from the group including: buccal, lingual, molar, anterior, gingival, and occlusal positions.

28. An apparatus, comprising: an appliance for placement over one or more teeth; and a release agent receptacle including: an outer portion provided on appliance surface; an inner portion provided within the outer portion; and one or more apertures formed in the outer portion.

29. The apparatus of embodiment 28, wherein the inner portion is encapsulated within the outer portion.

30. The apparatus of embodiment 28, wherein the outer portion is provided by a material selected from at least one of the group of materials including: a polymer material and a curable material.

31. The apparatus of embodiment 28, wherein the outer portion is provided by a material selected from at least one of the group of materials including: an ultra violet-curable acrylic material, an epoxy material, a urethane material, a rubber material, an ethylene vinyl acetate material, an elastomer material, a plastic material, and a silicone material.

32. The apparatus of embodiment 28, wherein the inner portion is utilized as an active portion of the release agent receptacle, wherein the inner portion has an active ingredient.

33. The apparatus of embodiment 32, wherein the active ingredient is selected from the group of materials including a dye material and a pigment material.

34. The apparatus of embodiment 32, wherein the active ingredient is embedded in a polymer.

35. The apparatus of embodiment 28, wherein an

active ingredient is embedded in a material in which a rate at which the active ingredient is released is controlled by the type of material that the active ingredient is embedded in.

36. The apparatus of embodiment 28, wherein one or more of the apertures are provided through the outer portion.

37. The apparatus of embodiment 28, wherein one or more of the apertures are provided through some or all of the inner portion.

38. The apparatus of embodiment 28, wherein one or more of the apertures are provided into the outer portion that is proximate to the appliance surface.

39. The apparatus of embodiment 34, wherein a characteristic of the one or more apertures is determined based upon at least one release characteristic of the active ingredient.

40. The apparatus of embodiment 28, wherein the aperture is formed during the formation of other portions of the appliance.

41. The apparatus of embodiment 28, wherein the active ingredient is suitable for use in at least one of oral indication, diagnosis, or treatment of a patient.

42. The apparatus of embodiment 28, wherein the release agent receptacle is provided to the appliance by forming with the appliance, integrally bonded to the surface of the appliance, adhering the release agent receptacle to the surface of the appliance, or non-integral ly bonding the release agent receptacle to the surface of the appliance.

43. A system, comprising: an appliance for placement over one or more teeth; and two or more release agent receptacles each including an outer portion provided on appliance surface; an inner portion provided within the outer portion; and one or more apertures formed in the outer portion.

44. The system of embodiment 43, wherein one or more of the release agent receptacles provides its indication by demonstrating a change in at least one characteristic of an active ingredient provided as part of the inner portion.

45. The system of embodiment 44, wherein the changed characteristic is selected from the group of characteristics including a color, a shape, and a size of the inner portion.

46. The system of embodiment 44, where the change in a characteristic is provided by interaction of the

active ingredient and at least one of moisture, temperature, a chemical, and a biological agent.

47. The system of embodiment 44, where the change in characteristic occurs due to a reaction between an active ingredient and one or more oral fluids.

48. A dental release agent receptacle, comprising: an outer portion provided on appliance surface; an inner portion provided within the outer portion; and one or more apertures formed in the outer portion.

49. The dental release agent receptacle of embodiment 48, wherein the inner portion is a wear indicator and wherein a type of wear that determines a wear rate of the wear indicator is determined based upon a factor selected from the group including: amount of saliva produced; one or more tooth anatomies or locations; a composition of saliva; an analysis of sleep habits of a patient; a amount of liquid consumption; and one or more types of liquid consumed.

Claims

- 1. Usage indicator (100) for indicating patient compliance, the usage indicator being a coat with an agent that is inactive until contact with liquid or moisture, wherein the agent may be a chemical agent, an electrical agent, an optical agent or a mechanical agent.
- 2. Usage indicator of claim 1, wherein the indicator is on a removable appliance (15) adapted to fit over teeth on a jaw (16).
- 3. Usage indicator of claim 1 or 2, wherein release of the agent can be stimulated by liquid or moisture and/or wherein upon wearing the appliance (15) oral fluids can activate the agent and allow the agent to seep out and indicate compliance.
- 4. Usage indicator of any of claims 1 to 3, wherein oral fluids, such as saliva, can seep in to activate the agent to indicate compliance.
- 5. Usage indicator of any of claims 1 to 4, wherein the agent is included in a layer, wherein the layer maybe formed over at least a portion of the surface of a appliance, which may be a repositioning appliance.
- 6. Usage indicator of claim 5, wherein the layer consists essentially of the agent, wherein the agent may be attached directly to a surface of a polymer shell of the appliance, which may be an elastic repositioning appliance.
- 7. Usage indicator of claim 5, wherein the layer includes the agent present in or on a carrier or binder which

promotes adhesion or attachment to the appliance and/or which creates a matrix from which the agent can be released by diffusion or dissolution, wherein the agent may be dissolved in the carrier or binder, wherein the agent may be provided in powder and dissolved in a liquid solvent, wherein the solution may be applied to a surface of the appliance.

- 8. A dental wear indicator, comprising:
  - an adhesive layer:
    - a wear indicator layer having a predetermined wear rate; and
    - a protective layer.
- 9. The dental wear indicator of claim 8, where the protective layer is removable.
- 10. The dental wear indicator of claim 8, where the dental wear indicator can demonstrate a change in at least one characteristic of the indicator, wherein the changed characteristic comprises at least one of color, shape, deformation and size.
- 11. The dental wear indicator of claim 8, where the change is affected by at least one of moisture, temperature, one or more chemicals and one or more biological substances.
- 12. The dental wear indicator of claim 8, where the dental wear indicator is adapted to be mounted onto a dental appliance to indicate wear of the dental appliance.
- 13. The dental wear indicator according to any of the preceding claims, wherein the dental wear indicator is adapted to alter the electrical characteristics of an appliance to which it is applied to.
- 14. The dental wear indicator of claim 8, where a type of wear that determines the wear rate of the wear indicator layer is determined based upon one of the factors selected from the group including:
  - amount of saliva produced;
  - one or more tooth anatomies or locations;
  - a comparison of saliva;
  - an analysis of sleep habits of a patient;
  - a amount of liquid consumption; and
  - one or more types of liquid consumed.
- 15. A system for monitoring orthodontic treatment comprising:
  - a first appliance for placement over one or more teeth; and
  - multiple wear indicators including at least a first positional indicator having a wear rate and a sec-

ond positional indicator that having a wear rate,  
the wear indicators being indicators according  
to any of claims 1 to 14, where the wear rates  
of the first and  
second positional indicators are the same and  
where the first positional indicator is placed in a  
position on the first appliance that is different  
from the second positional indicator.

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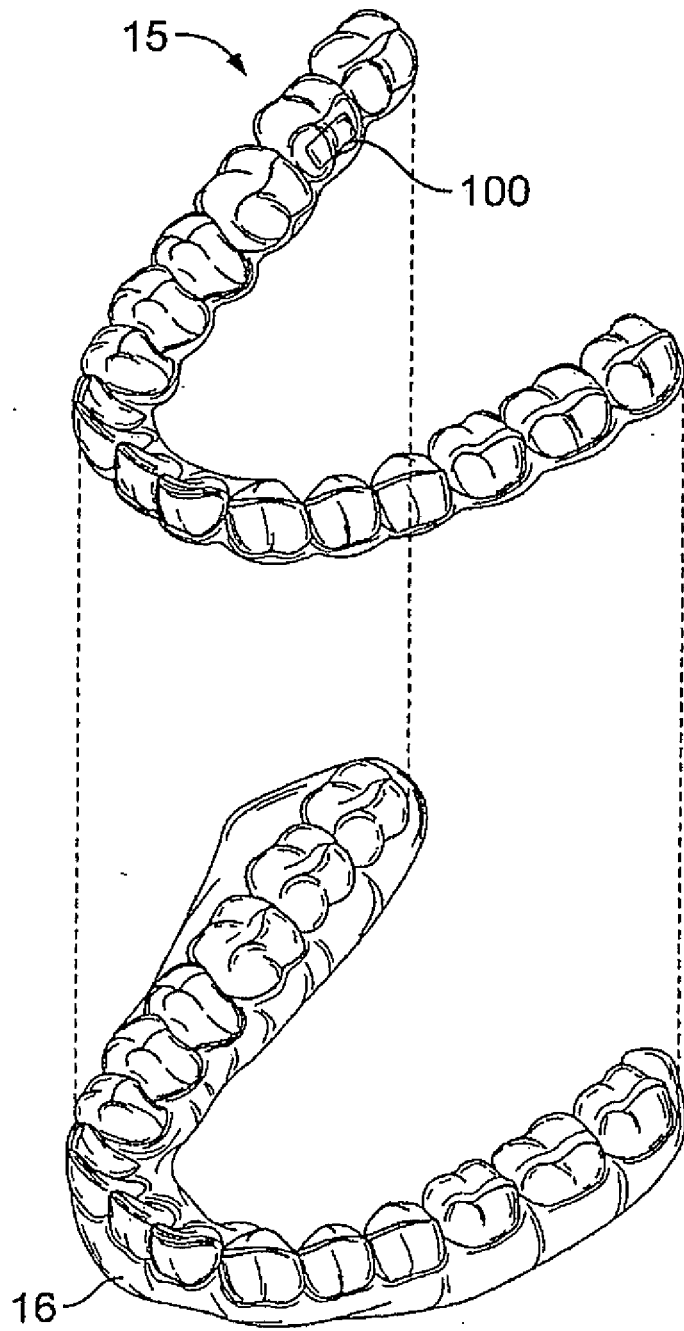


FIG. 1

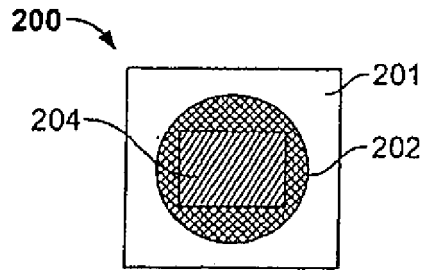


FIG. 2A

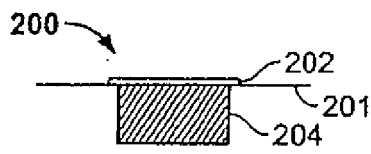


FIG. 2B

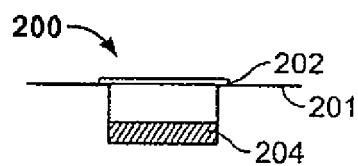


FIG. 2C

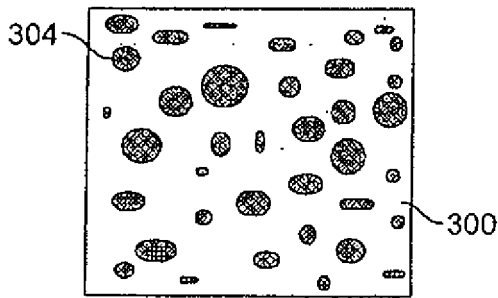


FIG. 3A

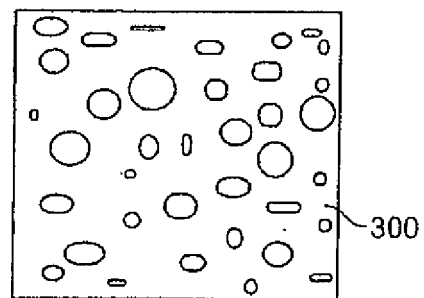


FIG. 3B

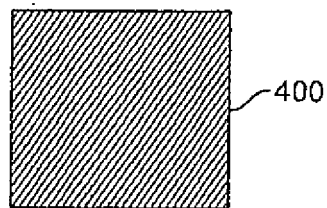


FIG. 4A



FIG. 4B

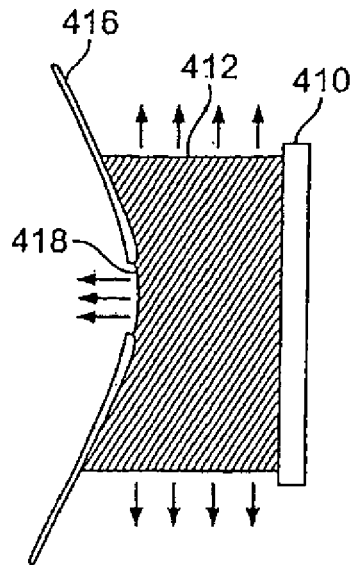


FIG. 5

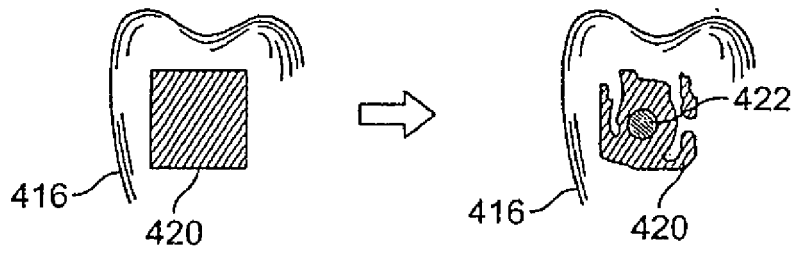


FIG. 6

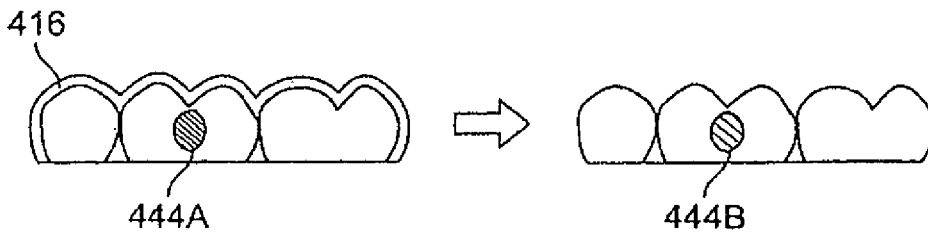
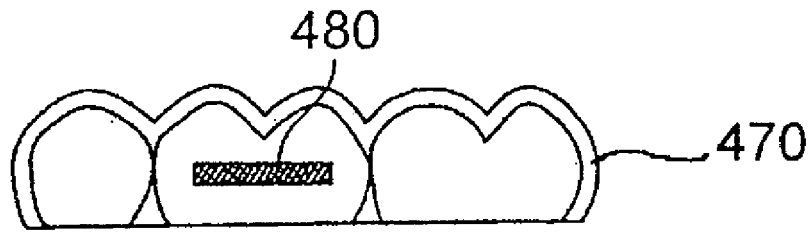
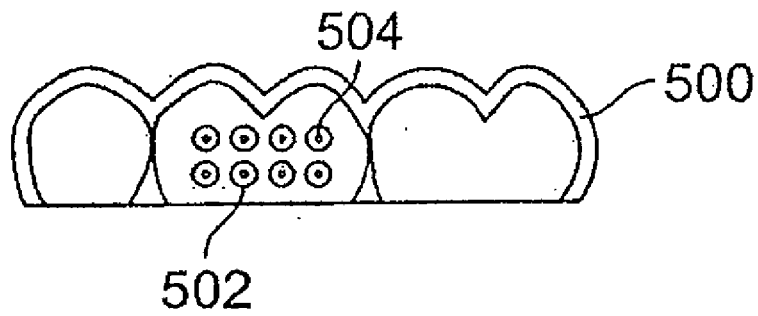


FIG. 7



**FIG. 8**



**FIG. 9**

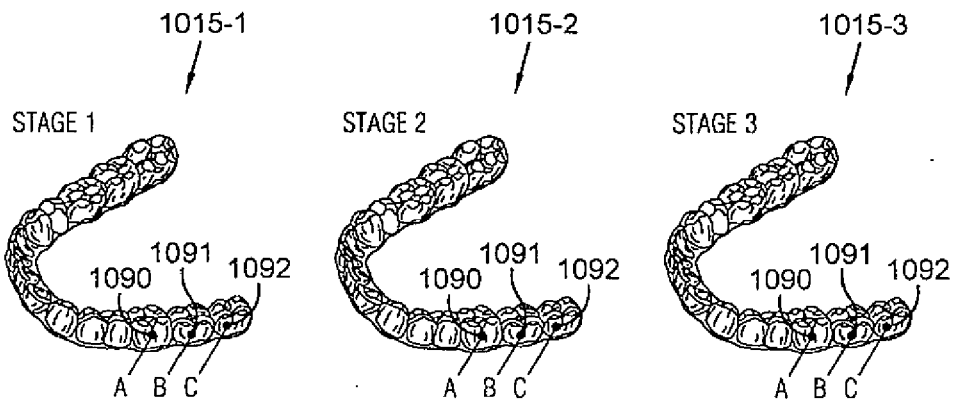


FIG. 10

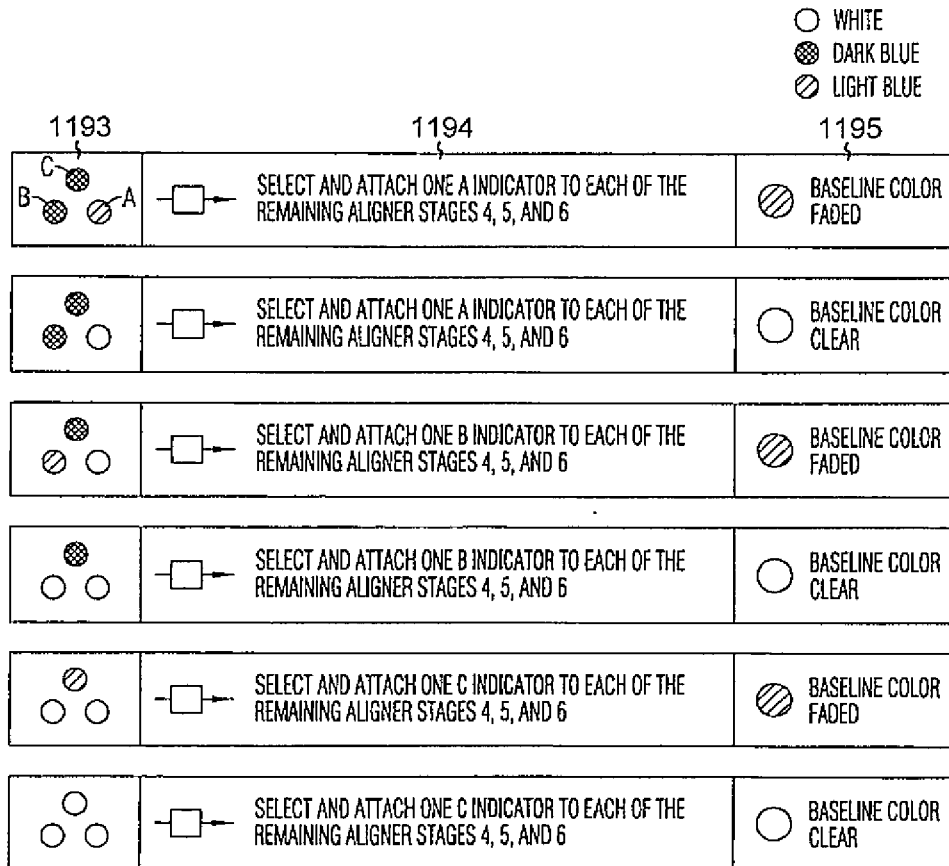


FIG. 11

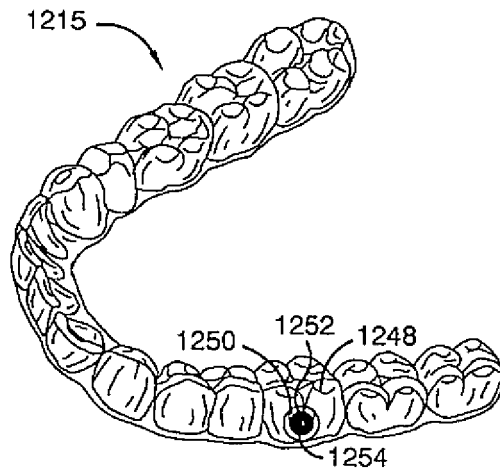


FIG. 12

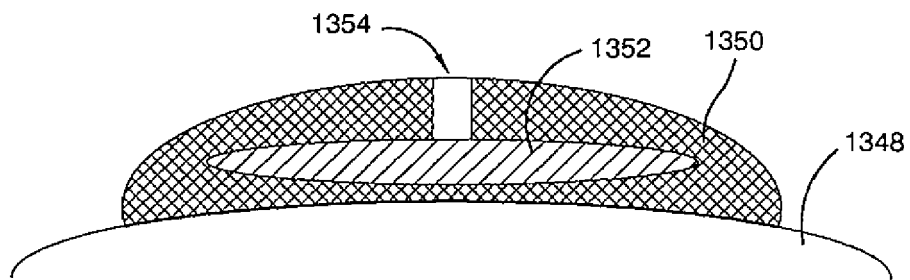


FIG. 13



EUROPEAN SEARCH REPORT

Application Number  
EP 15 17 8559

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DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (IPC)
X	US 2006/068353 A1 (ABOLFATHI AMIR [US] ET AL) 30 March 2006 (2006-03-30) * the whole document * -----	1-15	INV. A61C7/08 A61C19/06 A61B5/00 G07C3/00
			TECHNICAL FIELDS SEARCHED (IPC)
			A61C A61B G07C
The present search report has been drawn up for all claims			
Place of search <b>Munich</b>		Date of completion of the search <b>19 February 2016</b>	Examiner <b>Fouquet, Michèle</b>
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ..... & : member of the same patent family, corresponding document	

EPO FORM 1503 03/02 (P04C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 15 17 8559

5 This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.  
The members are as contained in the European Patent Office EDP file on  
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19-02-2016

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For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

**REFERENCES CITED IN THE DESCRIPTION**

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- US 5975893 A [0003]
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专利名称(译)	牙科用具磨损指示和释放剂容器		
公开(公告)号	<a href="#">EP3000427A1</a>	公开(公告)日	2016-03-30
申请号	EP2015178559	申请日	2008-05-02
[标]申请(专利权)人(译)	阿莱恩技术有限公司		
申请(专利权)人(译)	ALIGN科技股份有限公司.		
当前申请(专利权)人(译)	ALIGN科技股份有限公司.		
[标]发明人	CHEN JENNIFER C LI CHUNHUA MOREFIELD ANTHONY W SU LI HUNG		
发明人	CHEN, JENNIFER, C. LI, CHUNHUA MOREFIELD, ANTHONY, W. SU, LI-HUNG		
IPC分类号	A61C7/08 A61C19/06 A61B5/00 G07C3/00 A61K6/884		
CPC分类号	A61C7/00 A61C7/08 A61C19/04 A61C19/063 A61C19/066 A61K49/00		
优先权	11/799979 2007-05-03 US 12/011942 2008-01-29 US		
外部链接	<a href="#">Espacenet</a>		

摘要(译)

本公开包括方法，系统，装置，释放剂容器和指示器实施例。

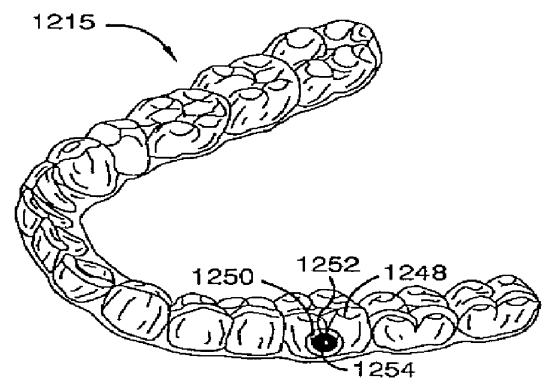


FIG. 12