

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
24 November 2011 (24.11.2011)

PCT

(10) International Publication Number
WO 2011/143751 A1

- (51) **International Patent Classification:**
A61B 5/08 (2006.01) G06F 19/00 (2011.01)
A61B 5/026 (2006.01)
- (21) **International Application Number:**
PCT/CA2011/000577
- (22) **International Filing Date:**
18 May 2011 (18.05.2011)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**
61/345,952 18 May 2010 (18.05.2010) US
- (72) **Inventors; and**
- (71) **Applicants :** FISHER, Joseph [CA/CA]; 603 Clark Ave. W, Unit 21, Thornhill, Ontario L4J 8P9 (CA). KLEIN, Michael [CA/CA]; 96 St. Patrick St., Apt. 1404, Toronto, Ontario M5T 1V1 (CA). DUFFIN, James [CA/CA]; 274 Glen Manor Dr., Toronto, Ontario M4E 2Y2 (CA).
- (74) **Agent:** HERMAN & MILLMAN; 55 University Ave., Suite M002, Box 47, Toronto, Ontario M5J 2H7 (CA).

- (81) **Designated States** (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) **Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— with international search report (Art. 21(3))

(54) **Title:** NON-INVASIVE CARDIAC OUTPUT DETERMINATION

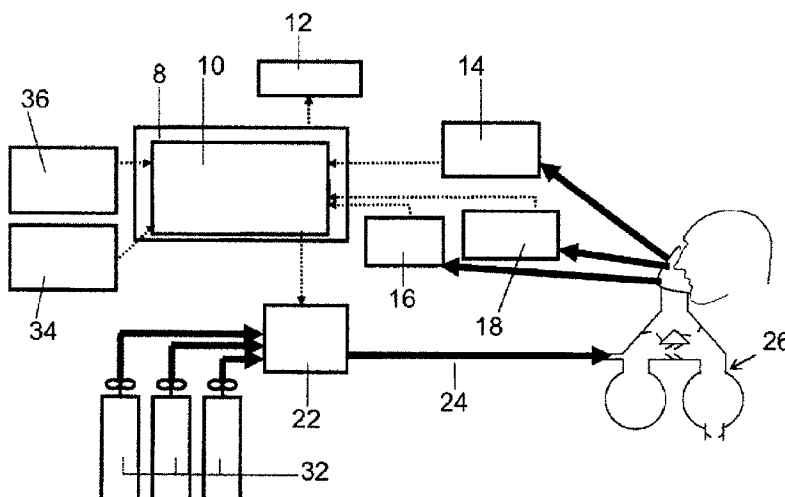


Fig. 1

(57) **Abstract:** A method of controlling a gas delivery apparatus including an apparatus controllable variable using an iterative algorithm to deliver a test gas (TG) for non-invasively determining a subject's pulmonary blood flow comprising iteratively generating and evaluating test values of a iterated variable based on an iterative algorithm in order output a test value of the iterated variable that meets a test criterion wherein iterative algorithm is characterized in that it defines a test mathematical relationship between the at least one apparatus controllable variable, the iterated variable and an end tidal concentration of test gas attained by setting the apparatus controllable variable, such that the iterative algorithm is determinative of whether iteration on the test value satisfies a test criterion or iteratively generates a progressively refined test value.

WO 2011/143751 A1

NON-INVASIVE CARDIAC OUTPUT DETERMINATION

FIELD OF THE INVENTION

[001] The present invention relates to a novel method for non-invasively measuring
 5 pulmonary blood flow, to a novel method for controlling a gas delivery apparatus and to
 a system and apparatus for implementing the methods.

BACKGROUND OF THE INVENTION

[002] Many methods have been developed which attempt to measure pulmonary blood
 10 flow without invasive access to the circulation (\dot{Q}). These methods, and their
 corresponding limitations, have been exhaustively reviewed in the literature [1]. What
 emerges from these reviews, however, are the potential benefits of non-invasive
 pulmonary blood flow monitoring and the current lack of an adequate method.

[003] Fick described the relationship between the blood gas concentrations and the
 15 minute volume of expired gases during steady state [2]. Specifically, if the amount of
 CO_2 in the lung is not changing, the flux of CO_2 between the pulmonary capillary blood
 and the alveolar space is equal to the minute volume of expired CO_2 ($\dot{V}\text{CO}_2$). The flux of
 CO_2 between the blood and the lungs can be calculated from the product of the
 pulmonary blood flow and the difference between the CO_2 concentration in the mixed-
 20 venous blood ($C\bar{v}\text{CO}_2$) entering the pulmonary circulation and the corresponding
 concentration in the arterialized blood ($C_a\text{CO}_2$) leaving the pulmonary circulation. The
 Fick mass balance relation is shown in equation 1.

$$\dot{V}\text{CO}_2 = \dot{Q}(C\bar{v}\text{CO}_2 - C_a\text{CO}_2) \quad (\text{eq.1})$$

[004] If the steady state minute volume of expired CO₂, arterial CO₂ concentration, and mixed-venous CO₂ concentration can be determined, then the pulmonary blood flow can be calculated from equation 1. Conventionally, the minute volume of expired CO₂ is calculated from bag collection of the expired breath, the product of the minute ventilation and the concentration of CO₂ in the mixed-expired gas, or integration of the instantaneous concentration of CO₂ at the mouth weighted by the instantaneous flow. The partial pressure of CO₂ in the arterial blood is assumed to be equal to the end-tidal partial pressure of CO₂ and then converted to a concentration via the CO₂ dissociation curve of oxygenated whole blood [3,4]. Traditionally, two methods have been used to estimate the mixed-venous concentration of CO₂ for the purpose of pulmonary blood flow measurement. The first method was presented by Defares [5]; the method of Collier [6] was published shortly thereafter.

[005] In the method described by Defares, rebreathing is executed from a bag with a low initial concentration of CO₂. As rebreathing proceeds, the level of CO₂ in the bag and the lung exponentially approach that in the mixed-venous blood. However, equilibration of the bag and the lung with the mixed-venous blood is slow as the volume of gas in the functional residual capacity is large. As a result, equilibration does not usually occur before the change in the arterial CO₂ induced by rebreathing recirculates back to the lung. The steady state mixed-venous CO₂ – the asymptote of the exponential rise in end-tidal CO₂ – must therefore be calculated from fitting an exponential curve to the end-tidal CO₂ of the breaths during rebreathing prior to recirculation.

[006] In the method introduced by Collier, rebreathing is executed from a bag with an

initial concentration of CO₂ slightly above an estimated mixed-venous CO₂ concentration. The initial CO₂ in the bag is intended to, upon inhalation, eliminate the CO₂ diffusion gradient between the functional residual capacity and the mixed-venous blood on the first breath of rebreathing. The equilibrium between the mixed-venous blood and the alveolar space is recognized by the presence of a plateau in the end-tidal CO₂ during rebreathing.

[007] As a variant of these rebreathing methods, Gedeon described a partial CO₂ rebreathing method for measuring pulmonary blood flow [7]. Partial rebreathing differs from the full rebreathing methods of Defares and Collier in that the entire tidal volume is not composed of rebreathed gas. Partial rebreathing is implemented by introducing a serial dead space into the breathing circuit to increase the volume of rebreathed gas in each breath [8].

[008] Partial rebreathing increases the average CO₂ content of the inspired gas so that the flux of expired CO₂ is reduced. As a result, the alveolar and arterial CO₂ concentrations rise towards the level at which the flux of CO₂ between the blood and the lung will once again equal the expired flux. Like the method of Defares, equilibration during rebreathing may not occur before recirculation. Nevertheless, the Fick mass balance relation is applied at the end of rebreathing as shown in equation 2.

$$\dot{V}CO'_2 = \dot{Q}(C\bar{v}CO_2 - CaCO'_2) \quad (\text{eq. 2})$$

[009] Two steady states can be solved for the pulmonary blood flow and the mixed-venous concentration of CO₂, revealing the differential Fick equations shown in equations 3a1 and 3b1.

$$\dot{Q} = \frac{\dot{V}CO'_2 - \dot{V}CO_2}{CaCO_2 - CaCO'_2} \quad (\text{eq. 3a1})$$

$$C\bar{v}CO_2 = \frac{CaCO_2 \cdot \dot{V}CO_2' - CaCO_2' \cdot \dot{V}CO_2}{\dot{V}CO_2' - \dot{V}CO_2} \quad (\text{eq. 3b1})$$

[0010] In practice, fluctuations in alveolar ventilation induce perturbations in the instantaneous flux of expired CO₂ and in arterial CO₂ levels, leading to significant errors
 5 in calculating pulmonary blood flow. This error is pronounced in untrained spontaneous breathers [9].

[0011] In the method of Defares specifically, the mixed-venous concentration of CO₂ is extrapolated from the exponential rise in the end-tidal CO₂ measured during rebreathing before recirculation. However, the number of breaths available for calculating the
 10 exponential asymptote is limited, and as a result, determination of the mixed-venous CO₂ is highly sensitive to errors in the end-tidal measurements.

[0012] Alternatively, in the method of Collier, the mixed-venous CO₂ concentration is measured, but equilibration of the lung with the mixed-venous CO₂ before recirculation depends on the initial volume and composition of the gas in the rebreathing bag. The
 15 optimal starting conditions vary with the subject's mixed-venous concentration of CO₂ and functional residual capacity so that, in practise, an effective starting volume and concentration is determined by trial-and-error in repeated executions of the rebreathing manoeuvre until a plateau is observed in the end-tidal record [6].

[0013] In partial rebreathing methods, the last breath of the rebreathing phase is
 20 assumed to represent the second steady state conditions required to calculate the pulmonary blood flow. However, where the rebreathing period is short, equilibrium is not achieved; and, where the rebreathing period is long recirculation confounds the measurement [10].

[0014] Therefore, although measuring pulmonary blood flow (\dot{Q}) should be an integral part of clinical monitoring and physiological research, it is not routinely implemented because of the invasiveness, cost, or inaccuracy of existing methods. To be of the greatest utility, a pulmonary blood flow monitor must provide accurate, rapid, and repeatable measurements over a broad range of physiological and pathological conditions.

SUMMARY OF THE INVENTION

[0015] We have developed a novel system for non-invasively measuring the pulmonary blood flow that implements an iterative respiratory algorithm and thereby overcomes the limitations of previous methods. The method can be adapted to an automated system for non-invasively measuring pulmonary blood flow that provides for reliable monitoring of pulmonary blood flow in a wide range of subjects and environments. According to one aspect, the invention is directed to a method of controlling a gas delivery apparatus to deliver a test gas (TG) for non-invasively determining a subject's pulmonary blood flow comprising the steps of:

(a) Controlling at least one apparatus controllable variable to test one or more test values for an iterated variable in an iterative algorithm by:

- A) providing an inspired concentration of a test gas that achieves a test concentration of the test gas in the subject's end tidal exhaled gas;
- B) using a test value of an iterated variable in an iterative algorithm to set the gas delivery apparatus to deliver, for at least one series of inspiratory cycles, an inspiratory gas comprising a test gas that is

computed to target the test concentration of the test gas based on a test value of the iterated variable;

5 c) obtaining input comprising measurements of a measurable variable for at least one series of inspiratory cycles, optionally end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles;

D) using at least one measurement obtained in step C) as a reference end tidal concentration value to generate at least one of the following outputs:

10 (1) a test value satisfies the test criterion;

(2) a refined test value;

wherein the reference end tidal concentration is a surrogate steady state value and is used to generate the refined test value;

15 (b) If output (1) is not obtained, repeating step (a) as necessary at least until output (1) is obtained; and

(c) If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, sufficiently represents a subject's true pulmonary blood flow.

20 **[0016]** As described below, obtaining steady state values for at least one apparatus controllable variable and an end tidal concentration of test gas for or as part of the test is central to exploiting key test mathematical relationships that may be employed in the

iterative algorithm. The rate of flow of inspiratory gas into the breathing circuit, when determinative of alveolar ventilation can be used to compute a minute volume of expired test gas. A variety of other non-invasive or invasive ways of obtaining these values are known. These values may conveniently be resting steady state values. Therefore, while
5 it is not necessary to employ the invention to obtain these values, they are employed in the execution of the iterative algorithm or for the algorithm. Hence, according to a preferred embodiment of the invention, initial steady state values are obtained within or for the iterative algorithm. For convenience, this stage of gathering input of steady state values for the iterative algorithm is referred to as the baseline phase.

10 **[0017]** According to another aspect, the invention is directed to a method for non-invasively determining pulmonary blood flow comprising obtaining steady state values for minute volume of expired test gas and end tidal concentration of test gas and implementing steps (a) to (c) as defined above, the method adapted to be implemented by a gas delivery apparatus as defined herein. The method can be executed rapidly and
15 is non-therapeutic in nature. The method may be carried out as a preliminary step to obtaining a diagnosis in which obtaining pulmonary blood flow is useful for the ensuing diagnosis or forms part of a broader diagnostic work-up.

[0018] According to yet another aspect, the invention is directed to a gas delivery system adapted to deliver a test gas (TG) for non-invasively determining a subject's
20 pulmonary blood flow comprising:

A gas delivery apparatus;

A control system for controlling the gas delivery apparatus based on an iterative algorithm including controlling at least one apparatus controllable variable to test one or

more test values for an iterated variable, the control system comprising a computer, the gas delivery system including means for:

- 5
- A) Obtaining input of steady state values sufficient for input into the differential Fickequation, optionally minute volume of expired test gas and an end tidal concentration of test gas;
- B) Obtaining input of a test concentration of the test gas in the subject's end tidal exhaled gas wherein the test concentration of test gas is achieved by administration of a test gas bolus;
- 10
- C) Using a test value of the iterated variable in an iterative algorithm to set a gas delivery apparatus to deliver, for at least one series of inspiratory cycles, a test gas that is computed to maintain the test concentration of the test gas;
- D) Obtaining input comprising measurements of end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series
- 15
- of inspiratory cycles;
- E) using at least one measurement obtained in step D) as a reference end tidal concentration value to generate at least one of the following outputs:
- (1) the test value satisfies the test criterion;
- 20
- (2) a refined test value;

wherein the reference end tidal concentration is a surrogate steady state value and is used to generate the refined test value;

wherein the control system is adapted to iteratively test a series of test values for the iterated variable based on the following criteria:

5 If output (1) is not obtained, repeating step (B) to (E) as necessary at least until output (1) is obtained; and

 If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, sufficiently represents a subject's true pulmonary blood flow.

10 **[0019]** The computer, as broadly defined herein is understood to supply all the necessary components that are not contained with the gas delivery apparatus. Optionally, a separate CPU runs program code used to control a gas delivery apparatus comprising one or more conventional components of a gas blender. The gas delivery apparatus may be operatively connected to one or more gas analyzers including a gas
15 analyzer for the test gas. The gas delivery apparatus is optionally operatively associated with a pressure transducer as described below. The computer receives inputs from one or more input devices for inputting values for various test parameters and values described herein and inputs from the gas analyzer and pressure transducer and provide outputs to suitable flow controllers and a computer readout for example a screen to
20 output of key parameters and values described herein, including preferably a value for pulmonary blood flow.

[0020] The iterated variable is preferably pulmonary blood flow and optionally may be an

iterated variable determined by pulmonary blood flow from which pulmonary blood flow may be calculated. For example, depending on the choice of test gas, the iterated variable may be the mixed venous concentration of the test gas.

[0021] The iterative algorithm is characterized in that it defines a mathematical relationship between the at least one apparatus controllable variable, the iterated variable and a measurable variable, optionally the end tidal concentration attained by setting the apparatus controllable variable, such that the iterative algorithm is determinative of whether iteration on the test value satisfies a test criterion.

[0022] The iterative algorithm preferably employs a test mathematical relationship based on the Fick equation and differential Fick equation. The iterative algorithm optionally employs equation 5 or 5-0.

[0023] The iterative algorithm may be based on the Fick or differential Fick equation.

[0024] The at least one apparatus controllable variable is optionally a controllable inspired concentration of test gas in the inspiratory gas.

[0025] The at least one apparatus controllable variable is optionally a controllable rate of flow of test gas-containing inspiratory gas into the breathing circuit such that the rate of flow is indicative of or determinative of the alveolar ventilation, as described below.

[0026] The apparatus controllable variable may be both a selectable inspired concentration of test gas in the inspiratory gas and the rate of flow of the inspiratory gas into the breathing circuit. For convenience, the apparatus controllable variable is preferably a selectable inspired concentration of test gas in the inspiratory gas which targets the test concentration of test gas in the end tidal gas obviating the need to change the rate of flow of inspiratory gas set for the test.

[0027] The iterative algorithm may in one embodiment rely on equation 5 or 5-0 as the test mathematical relationship which is based on the Fick equation to solve for an the inspired concentration of test gas in the inspiratory gas which targets the test concentration of test gas in the end tidal gas. The equation may be equation 7a or 7a-0
5 as defined below. These equations pertain to CO₂ as a test gas but may be generalized to another test gas. Inputs in equation 7a and 7a-0 may be obtained from equations 6a and 6b, and 6a-0 / 6b-0, respectively, which may also be generalized to another test gas where the relationship between end tidal and arterial values is established or readily ascertained.

10 **[0028]** The iterative algorithm may rely on equation 5 or 5-0 to solve for a rate of flow of inspiratory gas into the breathing circuit which targets the test concentration of test gas in the end tidal gas. . The equation may be equation 7b or 7b-0 as defined below. These equations pertain to CO₂ as a test gas but may be generalized to another test gas where the relationship between end tidal and arterial values is established or readily
15 ascertained. Inputs in equation 7b and 7b-0 may be obtained from equations 6a and 6b, and 6a-0 / 6b-0, respectively, which may also be generalized to another test gas where the relationship between end tidal and arterial values is established or readily ascertained.

[0029] The at least one apparatus controllable variable is optionally the rate of flow of
20 test gas-containing inspiratory gas into the breathing circuit such that the rate of flow is determinative and reliably indicative of the alveolar ventilation. For example, the alveolar ventilation of a subject that is paralyzed and not making an independent inspiratory effort may be controlled by a ventilator setting.

[0030] Preferably the rate of flow of test gas-containing inspiratory gas into the breathing circuit is determinative of the alveolar ventilation, optionally wherein the fresh gas flow is set to be equal to or less than the minute ventilation and the balance of the subject's inspiratory requirements are made up by a neutral gas, [11] for example re-breathed gas.

[0031] Optionally, the breathing circuit is a sequential gas delivery circuit which allows a subject to re-breathe expired end tidal gas when the flow of gas into the breathing circuit is set to be equal to or less than the minute ventilation. The circuit may organize passive access to the rebreathed gas. The flow of gas may be set to fill an inspiratory reservoir which the subject can then deplete in each inspiratory cycle, whereupon negative pressure in the circuit triggers access to a re-breathed gas until the end of inspiration.

[0032] Optionally, the refined test value is ascertained based on the differential Fick equation (eq. 3a1 or 3b1), by using steady state values of V_{CO_2} and C_{aCO_2} , optionally resting steady state values obtained prior to establishing a test concentration of test gas in the end tidal gas. These values are important inputs into other equations as well. In this manner, the reference end tidal concentration is used to generate a refined test value for the iterated variable. Alternatively, the estimate can be refined based on an estimate obtained using equations 3a2 or 3b2 as described below.

[0033] The "test concentration" of the test gas is the arterial concentration of test gas following administration a test gas bolus as reflected in the end tidal gas following the inspiratory cycle in which the test gas bolus is administered. A test gas bolus is one which achieves a physiologically compatible test concentration of the test gas in the arterial circulation, and increases the concentration of the test gas sufficiently to make

the iterative testing of test values (one or more successive test values) accurate having regard to the test criterion and the speed/accuracy of the gas delivery apparatus and gas sensor used to measure the end tidal concentration values. Optionally, when using a sequential gas delivery circuit to perform the test, a test concentration of test gas may
5 be administered by reducing the inspiratory flow in a manner (e.g. setting the flow to 0 for one breath) in which the test gas bolus is constituted by exhaled gas, for example, where the test gas is carbon dioxide a gas having a higher concentration of carbon dioxide.

[0034] The test criterion optionally serves to define an acceptable difference between
10 the reference end tidal concentration of test gas and the test concentration of test gas, which establishes that a test value or a refined test value is acceptably close to a value from which the true pulmonary blood flow can be ascertained. The test criterion may also be to iterate a defined number of times. The test criterion may be to continue the iteration indefinitely by fixing this outcome (e.g. by determining that satisfaction of the
15 test criterion is false).

[0035] Therefore, according to one embodiment, the invention is directed to a method of controlling a gas delivery apparatus to deliver a test gas (TG) for non-invasively determining a subject's pulmonary blood flow comprising the steps of:

(a) Controlling the flow of an inspiratory gas comprising a test gas into a
20 breathing circuit, wherein the concentration of the test gas in the inspiratory gas ($F_{ITG_{g_i}}$) or the rate of flow of gas into the circuit is adjusted to test one or more test values for a iterated variable selected from the group comprising pulmonary blood flow or mixed venous test gas concentration ($C_{\bar{v}TG}$) by:

A) obtaining input of a steady state end tidal concentration and at least one corresponding apparatus controllable variable

B) providing an inspired concentration of a test gas that achieves a test concentration of the test gas in the subject's end tidal exhaled gas;

5 C) using a test value of an iterated variable in a test mathematical relationship to set the gas delivery apparatus to deliver, for at least one series of inspiratory cycles, a test gas that is computed to maintain the test concentration of the test gas;

10 D) obtaining input comprising measurements of end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles;

E) using at least one measurement obtained in step D) as a reference end tidal concentration value to generate at least one of the following outputs:

15 (1) the test value satisfies the test criterion;

(2) a refined test value, wherein the reference end tidal concentration is a surrogate steady state value and is used to generate the refined test value;

20 (b) If output (a) is not obtained, repeating step (a) as necessary until output (1) is obtained; and

(c) If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, that sufficiently represents a subject's true pulmonary blood flow.

[0036] Optionally, the reference end tidal concentration is the last end tidal concentration value obtained prior to a recirculation or an average of the last end tidal concentration values.

[0037] According to another aspect, the invention is directed to a method for non-invasively determining a subject's pulmonary blood flow comprising the steps of:

(a) Controlling the flow of an inspiratory gas comprising a test gas into a breathing circuit, wherein the concentration of the test gas in the inspiratory gas (FI_{TG_g}) or the rate of flow of gas into the circuit is adjusted to test one or more test values for a iterated variable selected from the group comprising pulmonary blood flow or mixed venous test gas concentration ($C\bar{v}TG$) by:

- A) obtaining input of a steady state end tidal concentration and a corresponding value of at least one apparatus controllable variable;
- B) providing an inspired concentration of a test gas that achieves a test concentration of the test gas in the subject's end tidal exhaled gas;
- C) using a test value of an iterated variable in a test mathematical relationship to set the gas delivery apparatus to deliver, for at least one series of inspiratory cycles, a test gas that is computed to maintain the test concentration of the test gas;

D) obtaining input comprising measurements of end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles;

E) using at least one measurement obtained in step C) as a reference end tidal concentration value to generate at least one of the following outputs:

(3) the test value satisfies the test criterion;

(4) a refined test value, wherein the reference end tidal concentration is a surrogate steady state value and is used to generate the refined test value;

(b) If output (a) is not obtained, repeating step (a) as necessary until output (1) is obtained; and

(c) If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, that sufficiently represents a subject's true pulmonary blood flow.

[0038] According to optional embodiments of a method as defined above:

1. the test gas is carbon dioxide;
2. a subject's consumption of the test gas containing inspiratory gas is controlled to define the subject's alveolar ventilation (the minute volume of gas which reaches the alveoli and may participate in gas exchange).

3. the subject's alveolar ventilation is defined by setting the rate of flow of a test gas containing gas into a breathing circuit to be equal to or less than the subject's minute ventilation and delivering to the subject on inspiration, the test gas containing inspiratory gas and when the test gas is depleted for a breath, for the
5 balance of that breath, a neutral (for example re-breathed) gas.

[0039] According to another aspect the invention is directed to an inspiratory gas delivery system for non-invasively determining pulmonary blood flow comprising:

(a) A gas delivery apparatus;

(b) A control system for controlling the gas delivery apparatus including:

10 Means for obtaining input of:

a. a steady state value of an end tidal concentration of a test gas and a corresponding value for at least one apparatus controllable variable;

b. a test concentration of a test gas in the subject's end tidal gas;

c. test value for an iterated variable for input into an iterative algorithm;

15 d. a test criterion;

e. a reference end tidal concentration representing a surrogate steady state value ;

Means for computing:

f. a value for at least one apparatus controllable variable, said value computed by the iterative algorithm to maintain the test concentration of test gas in the subject's end tidal gas for a series of inspiratory cycles, the reference end tidal concentration selected or computed from selected measurements of end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles;;

g. iteratively, where a previously computed test value does not meet the test criterion, until a test criterion is met, a refined test value for a iterated variable and a corresponding value for an apparatus controllable variable generated by the iterative algorithm for an ensuing series of inspiratory cycles so as to provide a new reference end tidal concentration for comparison to the then current reference test concentration; and

means for outputting a test value for a iterated variable that meets the test criterion.

[0040] Suitable sequential gas delivery circuits and related apparatus information are disclosed WO/2004/073779, WO/2002/089888 and WO/2001/074433.

[0041] To compute the apparatus controlled variable required to maintain the test gas concentration in the end-tidal exhaled gas, the following inputs are needed: the test gas concentration in the end-tidal exhaled gas to be maintained, and a steady state end tidal test gas concentration and a corresponding value of at least one apparatus controllable

variable. To refine the estimate of the test value, the following inputs are needed: a steady state end tidal test gas concentration and a corresponding value of at least one apparatus controllable variable, and a reference end tidal test gas concentration and a corresponding value of at least one apparatus controllable variable.

5

BRIEF DESCRIPTION OF THE FIGURES

[0042] Figure 1 is a schematic representation of one embodiment of a gas delivery system according to the invention.

[0043] Figure 2, commencing at 2-1 and continuing as Figure 2-2, is a table (Table 1) setting out a list of abbreviations used to express the mathematical relationships employed in the description of several embodiments of the invention described herein.

[0044] Figure 3a, 3b and 3c are tables listing the various mathematical relationships employed in the description of embodiments of the invention described herein.

[0045] Figure 4 is a flowchart describing an iterative algorithm employed to recursively determine pulmonary blood flow according to a preferred embodiment of the invention.

[0046] Figure 5a (Panel A) is a series of graphical depictions of iterations of the iterative algorithm in which the effect on maintaining a test concentration of test gas for different test values for pulmonary flow is depicted.

[0047] Figure 5B (Panel B) is a graphical depiction of the effect of over-estimating, under-estimating and correctly estimating a test value for a test variable, in this case, pulmonary blood flow.

DETAILED DESCRIPTION OF THE INVENTION

[0048] The term “reference end tidal concentration” is used to describe a value obtained by measurement which reflects an arterial blood concentration of the test gas preferably obtained prior to a recirculation time, preferably a value or one or more averaged values obtained closest in time to recirculation since this value may most usefully reflect a new steady state value achieved as a result of administering the test gas. In this connection, it is noteworthy that although the differential Fick equation is a steady state equation, using reference end tidal test gas concentrations obtained before a steady is reached does not prevent the adjusted test value for the iterated variable to be refined recursively. Therefore, the “reference end tidal concentration” is preferably at least a “surrogate steady state value” i.e. a value preferably obtained before a recirculation time that equals or sufficiently represents a steady state value to make the iterative process of meeting the test criterion useful in practice. To reduce the number of iterations required to determine pulmonary blood flow, the surrogate steady state value is preferably selected to be one value, or one or more averaged values, determined to be closest to a recirculation time - generally one or more among the last test values obtained prior to a recirculation. Equation 9 may be used at each breath to detect recirculation of the arterial blood.

[0049] The term “refined test value” is used to refer to a value for a iterated variable that is revised relative a previous test value. Since the invention contemplates that more than one iterated variable may be employed and since the iterated variables are mathematically interrelated the term refined test value should be understood to include

a value indirectly derived from data related to a prior test value related to another iterated variable.

[0050] The reference to an iterated variable which is determined by pulmonary blood flow and from which pulmonary blood flow can be computed generally refers to a mixed venous test gas concentration. In contrast to carbon dioxide, with respect to test gases such as oxyacetylene, which are not produced or reliably consumed, the mixed venous blood concentration is equal to the arterial concentration at steady state and hence may not provide useful information about the pulmonary blood flow. In this case, the choice of iterated variable for iteration of a test value would be pulmonary blood flow. If pulmonary shunt is known total pulmonary blood flow can also be used to compute total cardiac output.

[0051] The term "gas delivery apparatus" means a device that can be controlled to control the rate of flow of the test gas into the circuit or set the concentration of the test gas into the inspiratory gas, and preferably both, for example a respiratory gas blender known to those skilled in the art, for example a gas blender with rapid flow controllers, optionally a gas blender capable of delivering accurate mixes of three gases into the circuit. The apparatus and gas mixes may of the type described in published WO 2007/02197. The key functionality of the apparatus is understood to serve the role of establishing (by administering test gas containing inspiratory gas) and maintaining a test concentration of test gas. The gas delivery apparatus may be operatively associated with suitable gas analyzers to measure fractional carbon dioxide and oxygen concentrations at the mouth. The apparatus is operatively associated with a control system for controlling the gas delivery apparatus. The control system demands the

required output of the gas delivery apparatus to maintain a test concentration of test gas in the manner described above. The control system or the apparatus comprises the necessary controllers for this purpose as described above, for example for controlling rate of flow of inspiratory gas and optionally separate flow controllers for controlling the rate of flow of the sources gases.

[0052] The gas delivery apparatus comprises at least one input port for receiving a source which may be an inspiratory gas containing the test gas, at least one output port for connection to a breathing circuit and a flow controller for controlling the rate of flow of the inspiratory gas.

[0053] The flow controller optionally controls a gas delivery means.

[0054] The term “gas delivery means”, abbreviated refers to specifically to hardware for delivering (e.g. releasing, where the source gas is under pressure) specific volumes of a source gas comprising or consisting of the test gas for inspiration by the patient, preferably a device that is adapted to output volumes of variable incremental size. The gas delivery means may be any known gas delivery device such as a gas injector, or a valve, for example, a proportional flow control valve.

[0055] Optionally, the gas delivery apparatus is a gas blender, for example, an apparatus that comprises a plurality of input ports for connection to a plurality of gas sources in order to blend different gases that make up the test gas containing gas, for example oxygen, air, nitrogen and a test gas. Optionally, carbon dioxide is the test gas. A flow controller optionally controls a proportional solenoid valve operatively associated with each gas source and optionally a separate flow controller and valve is employed to set the rate of flow of the blended gas into a breathing circuit. Input devices are used to

set the rate of flow of gas into the breathing circuit and the concentration of the test gas in the gas provided to the subject.

[0066] According to one aspect the invention is directed to a computer program product which implements a method according to the invention. The computer program product
5 comprises a non-transitory computer readable medium encoded with program code for controlling operation of gas delivery device, the program code including code for iteratively generating a series of test values of a iterated variable based on an iterative algorithm as described above in order to maintain a test concentration of test gas. The program code may comprise code for:

- 10 A) providing an inspired concentration of a test gas that defines a test concentration of the test gas in the subject's end tidal exhaled gas;
- B) using an iterative algorithm to set the gas delivery apparatus to deliver, for at least one series of inspiratory cycles, a test gas that is computed to target the test concentration of the test gas based a test
15 value of the iterated variable;
- C) obtaining input comprising measurements of end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles;
- D) using at least one measurement obtained in step C) as a reference end
20 tidal concentration value to generate at least one of the following outputs:
- (1) the test value satisfies the test criterion;

(2) a refined test value;

wherein the reference end tidal concentration is a surrogate steady state value and the refined test value is ascertainable from the reference end tidal concentration;

5 **[0057]** The program code may include code to test a series of test values for the iterated variable based on the following criteria:

If output (1) is not obtained, repeating step (A) to (D) as necessary at least until output (1) is obtained; and

10 If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, sufficiently represents a subject's true pulmonary blood flow.

[0058] As described above, the computer readable medium or computer readable memory has recorded thereon computer executable instructions for carrying out one or more embodiments of the above-identified methods. The invention is not limited by a particular physical memory format on which such instructions are recorded for access
15 by a computer. Non-volatile memory exists in a number of physical forms including non-erasable and erasable types. Hard drives, DVDs/CDs and various types of flash memory may be mentioned. The invention, in one broad aspect, is directed to a non-transitory computer readable medium comprising computer executable instructions for
20 carrying out one or more embodiments of the above-identified method.

[0059] The term "test concentration" means a concentration of a test gas in a subject's arterial blood as reflected in the end tidal concentration of the test gas in the subject's

exhaled gas after attaining equilibrium with that arterial concentration of test gas. As described above, this concentration is optionally achieved by arranging for a subject to obtain an inspiratory gas with any suitable concentration of test gas which may be delivered via the gas delivery apparatus or optionally indirectly from a re-breathed gas.

5 **[0060]** The term "computer" is used broadly to refer to any device (constituted by one or any suitable combination of components) which may used in conjunction with discrete electronic components to perform the functions contemplated herein, including computing and obtaining input signals and providing output signals, and optionally storing data for computation, for example inputs/outputs to and from electronic
10 components and application specific device components as contemplated herein. The computer may use machine readable instructions or dedicated circuits to perform the functions contemplated herein including without limitation by way of digital and/or analog signal processing capabilities, for example a CPU, for example a dedicated microprocessor embodied in an IC chip which may be integrated with other
15 components, for example in the form of a microcontroller. Key inputs may include input signals from a gas analyzer, any type of input device for inputting inputs as contemplate herein (for example, a knob, dial, keyboard, keypad, mouse, touch screen etc.) input from a computer readable memory etc. Key outputs include output of a control signal to control to a gas delivery mean such as a proportional control valve, for example outputs
20 to a flow controller for controlling key components of gas delivery apparatus.

[0061] It is to be understood that an iterative algorithm may execute computation based on a test mathematical relationship herein and that this relationships may be variously defined with equivalent formula in which terms/parameters are substituted by equivalent

expressions that are expressed in other forms or styles e.g. read from a graph etc. Hence the invention is not limited by a reference to particular expressions of a test mathematical relationship or related equations. For example, equation 5 may expressed by equivalent expressions of equation 1 from which it is derived may be obtained by

5 computing $\dot{V}CO_2$ (eq. 4) in a manner other than expressed in equation 4. It is understood that the equations relate back to the Fick equation and differential Fick equation and hence a iterative algorithm expressed as being "based on" the Fick equation" is understood to be encompass equivalent expressions or expansions of the equation with and without correction factors. In contrast to prior methods, in one

10 embodiment of a method according to the invention, which is also primarily described hereafter in connection with using carbon dioxide as an embodiment of a "test gas", the invention contemplates obtaining steady state values optionally when the subject is at "rest" and those values are stabilized. $\dot{V}CO_2$ and $CaCO_2$ are measured in a first steady state. Rather than waiting for end-tidal CO_2 to exponentially drift up to some second

15 steady-value (which notably is generally not achieved before a recirculation), the present method contemplates giving a bolus of CO_2 to more acutely increase end-tidal CO_2 and calculate the inspired CO_2 required to force a second steady state at the elevated end-tidal CO_2 from a guess at the cardiac output. If the end-tidal CO_2 remains stable, the guess at cardiac output was correct. If the guess at cardiac output was

20 incorrect, much like in the previous art, the end-tidal CO_2 will exponentially drift towards a steady state until recirculation. If we apply the differential Fick formula to our rest state and a second state represented for example by the last test breath before recirculation, it is possible to calculate a value for cardiac output. Much like previous methods, if the

last test breath doesn't actually represent steady state (i.e. equilibration did not occur before recirculation), the cardiac output calculated by the differential Fick will be in error. However, it will be closer to the actual cardiac output than an original guess going in, and therefore, represents a refined estimate of the actual cardiac output. If this procedure is executed again, but with the refined estimate of cardiac output calculated from the last iteration, then there will be less drift during the test, the last test breath will better represent steady state, and again, our calculation of cardiac output will be even closer to the actual cardiac output. Repeat as necessary and the cardiac output calculated by this method will converge to the actual cardiac output. Accordingly, in contrast to prior methods there is no need to fit exponentials and extrapolate. In one embodiment, with sequential gas delivery (SGD), it is possible to clamp alveolar ventilation, and therefore measure a very consistent VCO_2 with equation 4 obviating the need for simultaneous flow/ CO_2 measurements. To implement the test, an operator can provide a precise reduction in alveolar ventilation that will not be affected by changes in minute ventilation, and can therefore be used in spontaneous breathers and mechanically ventilated subjects.

Iterative NICO Equations

[0062] A method according to the invention will now be described in accordance with a preferred embodiment of the invention in which the test gas is carbon dioxide.

[0063] With the use of sequential gas delivery, alveolar ventilation can be controlled independent of overall minute ventilation. As a result, EQUATION 4 provides an accurate measure of the net minute volume of expired CO_2 calculated from the end-tidal

fractional concentrations of CO₂ and O₂ (*FETCO₂*, *FETO₂*) without the use of breath collection or flowmetry.

EQUATION 4

$$\dot{V}CO_2 = \dot{V}_{gl} \cdot \frac{310}{\underbrace{293}_{BTPS}} \cdot \left[\underbrace{\left(\frac{1 - FICO_{2,gl} - FIO_{2,gl}}{1 - FETCO_2 - FETO_2} \right)}_{Haldane} \cdot FETCO_2 - FICO_{2,gl} \right]$$

5 **[0064]** The correction term, *BTPS*, accounts for the expansion of gases in the lung owing to the increase in temperature from standard conditions. The *Haldane* term applies the Haldane transform to calculate the expired volume when only the inspired volume is known.

[0065] When the amount of CO₂ in the alveolar space is unchanging, EQUATION 4 can
 10 be substituted into EQUATION 1. The resulting steady state mass balance equation for the alveolar space is shown in EQUATION 5.

EQUATION 5

$$\dot{V}_{gl} \cdot \frac{310}{293} \cdot \left[\left(\frac{1 - FICO_{2,gl} - FIO_{2,gl}}{1 - FETCO_2 - FETO_2} \right) \cdot FETCO_2 - FICO_{2,gl} \right] = \dot{Q}(C\bar{v}CO_2 - CaCO_2)$$

[0066] Equation 5, based on the differential Fick equation, is a key test mathematical
 15 relationship from which other mathematical relationships are derived by solving for a test variable or an apparatus controllable variable.

[0067] The results of solving EQUATION 5 for the mixed-venous concentration of CO₂ and the pulmonary blood flow are shown in EQUATIONS 6.

20 **EQUATION 6a**

$$C\bar{v}CO_2 = \frac{\dot{V}_{g1} \cdot \frac{310}{293} \cdot \left[\left(\frac{1 - FICO_{2,g1} - FIO_{2,g1}}{1 - FETCO_2 - FETO_2} \right) \cdot FETCO_2 - FICO_{2,g1} \right]}{\dot{Q}} + CaCO_2$$

EQUATION 6b

$$\dot{Q} = \frac{\dot{V}_{g1} \cdot \frac{310}{293} \cdot \left[\left(\frac{1 - FICO_{2,g1} - FIO_{2,g1}}{1 - FETCO_2 - FETO_2} \right) \cdot FETCO_2 - FICO_{2,g1} \right]}{C\bar{v}CO_2 - CaCO_2}$$

[0068] Similarly, the results of solving EQUATION 5 for the fractional concentration of CO₂ in the G1 gas and the flow rate of G1 gas are shown in EQUATIONS 7.

EQUATION 7a

$$FICO_{2,g1} = \frac{\dot{V}_{g1} \cdot \frac{310}{293} \cdot FETCO_2 \cdot (FIO_{2,g1} - 1) + \dot{Q}(C\bar{v}CO_2 - CaCO_2)(1 - FETO_2 - FETCO_2)}{\dot{V}_{g1} \cdot \frac{310}{293} \cdot (FETO_2 - 1)}$$

EQUATION 7b

$$\dot{V}_{g1} = \frac{\dot{Q}(C\bar{v}CO_2 - CaCO_2)}{\frac{310}{293} \cdot \left[\left(\frac{1 - FICO_{2,g1} - FIO_{2,g1}}{1 - FETCO_2 - FETO_2} \right) \cdot FETCO_2 - FICO_{2,g1} \right]}$$

10

The Iterative NICO Method

[0069] The partial pressure of CO₂ in the arterial blood is assumed to be equal to the end-tidal partial pressure of CO₂ and then converted to a concentration via the CO₂ dissociation curve of oxygenated whole blood [3,4]. This requires haemoglobin concentration ([HB]). [HB] is preferably obtained from a blood gas analysis. If blood gas analysis is not possible, [HB] can be measured transcutaneously. Alternatively, [HB] can be obtained from the normal published ranges for age/sex. End-tidal fractional

concentrations can be converted to partial pressures by multiplying the fractional concentrations by barometric pressure (PB) less the partial pressure of water vapour.

[0070] The amount of CO₂ in the lung is entirely determined by the alveolar ventilation and the diffusion of CO₂ between the circulation and the alveolar space. If the pulmonary blood flow or the mixed-venous concentration of CO₂ is known, the other can be calculated from the steady state minute volume of expired CO₂ and arterial CO₂ concentration (EQUATIONS 6a,b). Therefore, the transfer rate of CO₂ between the circulation and the alveolar space can be determined for any value of end-tidal CO₂ as long as the pulmonary blood flow and mixed-venous concentration of CO₂ remains unchanged. Correspondingly, following an acute change in end-tidal CO₂ from a previously steady value, if the alveolar ventilation can be controlled or measured, a temporary steady state at the new end-tidal CO₂ (referred to as a test concentration) can be maintained by delivering the inspired fraction of CO₂ and/or alveolar ventilation required to exactly offset the influx from the circulation (EQUATIONS 7). This steady state can be maintained until the mixed-venous CO₂ changes due to recirculation of the affected arterial blood.

[0071] Our algorithm recursively exploits this observation to measure the pulmonary blood flow. According to one embodiment, throughout each iteration, the alveolar ventilation ($=\dot{V}_{g1}$) is set with a sequential gas delivery circuit. The fraction of O₂ in the G1 gas ($FIO_{2,g1}$) is not important, but should be held constant at a level sufficient to maintain arterial oxygen saturation. The end-tidal gases are measured by continuous real-time analysis of the expired gas.

[0072] In the baseline phase, the fractional concentration of CO₂ in the G1 gas

($FICO_{2,g1,R}$) is set and held constant. Although not necessary, $FICO_{2,g1,R}$ is usually zero.

The G1 gas flow during the rest phase ($\dot{V}_{g1,R}$) is usually set to about 80% of the subjects total measured or estimated minute ventilation. In general, $\dot{V}_{g1,R}$ should be low enough to permit rebreathing which at least fills the subject's anatomical dead space, but high
 5 enough to prevent hypercapnia. The baseline phase can be ended when end-tidal CO_2 is stable. Stability of end-tidal CO_2 can be determined by the standard-deviation of end-tidal CO_2 measured over five breaths being within ± 2 mmHg, or if the difference between the largest and smallest end-tidal CO_2 measured over the last 5 breaths is within ± 2 mmHg, or if the slope of the linear regression line passing through the end-
 10 tidal CO_2 of the last five breaths is less than ± 0.5 mmHg/breath. Alternatively, the baseline period can be ended after predefined time has elapsed and/or predefined number of breaths has occurred.

[0073] At the end of the baseline phase, the end-tidal CO_2 during the baseline phase ($FETCO_{2,R}$) is converted to an arterial concentration ($CaCO_{2,R}$). The end-tidal CO_2 from
 15 the last breath of the baseline phase can be used as $FETCO_{2,R}$. Alternatively, $FETCO_{2,R}$ can be the average of a number of breaths at the end of the baseline phase.

The baseline minute volume of expired CO_2 ($\dot{V}CO_{2,R}$) is calculated from EQUATION 4, using the average end-tidal O_2 ($FETO_{2,R}$) measured during the baseline phase, $\dot{V}_{g1,R}$, $FICO_{2,g1,R}$, $FIO_{2,g1}$, and $FETCO_{2,R}$. A test value for an iterated variable, e.g. mixed-
 20 venous concentration of CO_2 is estimated from EQUATION 6a using an estimate of the pulmonary blood flow (\dot{Q}_{est}), $\dot{V}_{g1,R}$, $FICO_{2,g1,R}$, $FIO_{2,g1}$, $FETCO_{2,R}$, $FETO_{2,R}$, and

$CaCO_{2,R}$. Alternatively, a test value for pulmonary blood flow (a preferred iterated variable for convenience) is estimated starting from an estimate of the mixed-venous concentration of CO₂ ($C\bar{v}CO_{2,est}$) using EQUATION 6b with $\dot{V}_{g1,R}$, $FICO_{2,g1,R}$, $FIO_{2,g1}$, $FETCO_{2,R}$, $FETO_{2,R}$, and $CaCO_{2,R}$.

- 5 **[0074]** To transition from the baseline phase to the test phase, the inspired fraction of CO₂ in the G1 gas is increased substantially for one bolus breath, inducing a sharp increase in the end-tidal CO₂. The bolus breath may optimally increase end-tidal CO₂ by approximately 10 mmHg to provide sufficient measurement resolution and minimize discomfort to the patient. The inspired fraction of CO₂ in the bolus breath ($FICO_{2,g1,B}$) required to elevate end-tidal CO₂ by approximately 10 mmHg can be calculated using an approximation of the subject's functional residual capacity (FRC), respiratory rate (RR), $\dot{V}_{g1,R}$, and $FETCO_{2,R}$ using EQUATION 8. The FRC can be estimated or obtained from normal published ranges for the age, weight, and sex of the subject. Respiratory rate can be measured or estimated. For most adults, $FICO_{2,g1,B}$ of 15-20% should provide an adequate increase in end-tidal CO₂.

EQUATION 8

$$FICO_{2,g1,B} = \frac{RR \cdot \left[\left(FETCO_{2,R} + \frac{10}{PB - 47} \right) \left(FRC + \frac{\dot{V}_{g1}}{RR} \right) - FRC \cdot FETCO_{2,R} \right]}{\dot{V}_{g1,R}}$$

- [0075]** The elevated end-tidal CO₂ ($FETCO_{2,B}$), and corresponding arterial CO₂ ($CaCO_{2,B}$) measured in the exhalation immediately following inspiration of the bolus are recorded. This recorded value represents the test concentration of CO₂ sought to be

maintained in the test phase. Subsequently, a value for an apparatus controllable variable preferably selected from the inspired fraction of CO₂ ($FICO_{2,g1,T}$) and G1 flow rate ($\dot{V}_{g1,T}$) during the test phase are set to try and maintain end-tidal CO₂ at $FETCO_{2,B}$. $\dot{V}_{g1,T}$ can be chosen arbitrarily, but in general, $\dot{V}_{g1,T}$ should be low enough to permit

5 rebreathing which at least fills the subject's anatomical dead space. A test mathematical relationship solving for FICO_{2,gl} (EQUATION 7a), with \dot{Q}_{est} , $C\bar{v}CO_{2,est}$, $\dot{V}_{g1,T}$, $FIO_{2,g1}$, $FETCO_{2,B}$, $FETO_{2,R}$, and $CaCO_{2,B}$, can be used to calculate $FICO_{2,g1,T}$ presumed to force a second steady state of end-tidal CO₂ at $FETCO_{2,B}$. Alternatively, $FICO_{2,g1,T}$ can be set arbitrarily within the limitations of the hardware and the test mathematical

10 relationship solves for $Vg1$ (EQUATION 7b), with \dot{Q}_{est} , $C\bar{v}CO_{2,est}$, $FICO_{2,g1,T}$, $FIO_{2,g1}$, $FETCO_{2,B}$, $FETO_{2,R}$, and $CaCO_{2,B}$, can be used to calculate $\dot{V}_{g1,T}$ presumed to force a second steady state of end-tidal CO₂ at $FETCO_{2,B}$. This $\dot{V}_{g1,T}$ and $FICO_{2,g1,T}$ is delivered until recirculation is detected (described later), or for a predefined length of time presumed to be less than the recirculation time, or a predefined number of breaths

15 presumed to occur before recirculation.

[0076] At the end of the test phase, the end-tidal CO₂ during the test phase ($FETCO_{2,T}$) is converted to an arterial concentration ($CaCO_{2,T}$). The end-tidal CO₂ from the last breath of the test phase can be used as a reference end tidal concentration ($FETCO_{2,T}$). Alternatively, $FETCO_{2,T}$ can be the average of values obtained for a

20 number of breaths at the end of the test phase. The minute volume of expired CO₂ during the test phase ($\dot{V}CO_{2,T}$) is calculated from EQUATION 4, using the average end-

tidal O_2 ($FETO_{2,T}$) measured during the test phase, $\dot{V}_{g1,T}$, $FICO_{2,g1,T}$, $FIO_{2,g1}$, and $FETCO_{2,T}$. Refined test values for pulmonary blood flow and mixed-venous CO_2 are recalculated (\dot{Q}_{calc} , $C\bar{v}CO_{2,calc}$) from EQUATIONS 3a1,b1 using $\dot{V}CO_{2,R}$, $CaCO_{2,R}$, $\dot{V}CO_{2,T}$, and $CaCO_{2,T}$ or EQUATIONS 3a2,b2 using \dot{Q}_{est} , $C\bar{v}CO_{2,est}$, $FETCO_{2,B}$, and $FETCO_{2,T}$.

5 Subsequently, the system is returned to the baseline state.

[0077] This manoeuvre is repeated within successively refined test values for the test variable utilizing either the calculated pulmonary blood flow of each test as the estimated pulmonary blood flow in the next iteration, or the calculated mixed-venous CO_2 concentration as the estimated mixed-venous CO_2 concentration in the next iteration.

Selecting the Apparatus Controllable Variable and Its Values:

[0078] Although $\dot{V}_{g1,R}$ can be chosen arbitrarily, in general, $\dot{V}_{g1,R}$ should be low enough to permit rebreathing which at least fills the subject's anatomical dead space, but high enough to prevent hypercapnia. Although $FICO_{2,g1,R}$ can be chosen arbitrarily, in general, there is not often a reason to deliver CO_2 in the baseline phase, and $FICO_{2,g1,R}$ is generally set to zero. Although either $\dot{V}_{g1,T}$ or $FICO_{2,g1,T}$ can be set arbitrarily and the other value for the apparatus controllable variable calculated from EQUATIONS 7a,b, it is simplest to set $\dot{V}_{g1,T}$ equal to $\dot{V}_{g1,R}$ during the test phase and calculate $FICO_{2,g1,T}$ from

20 EQUATION 7a.

No O_2

[0079] It is pertinent to note that knowledge of inspired and end-tidal O_2 is only required to implement the Haldane transform (EQUATION 4) which gives a measure of expired volumes when only inspired volumes are known. In practise, the expired volumes are not significantly different than inspired volumes. Where an oxygen analyzer is not present, the iterative algorithm method described herein can be executed with a small loss in accuracy using equations ending with (-O). (e.g. 7a-0, 7b-0, etc.)

Initiation and Convergence and Termination

[0080] The initial test value for the iterated variable, be it pulmonary blood flow or mixed-venous CO_2 , is taken as the middle of the normal published range for the age, height, weight, and sex of the subject. Alternatively, the initial pulmonary blood flow or mixed-venous CO_2 estimate can be arbitrary. Alternatively, the test value for pulmonary blood flow can be estimated as 0.07 L/min/kg of subject body weight. Alternatively, the initial pulmonary blood flow or mixed-venous CO_2 estimate can be obtained from a pervious execution of the recursive algorithm. Alternatively, the initial test value for pulmonary blood flow or mixed-venous CO_2 can be obtained from another measurement technique (thermodilution, mixed-venous blood gases). Alternatively, the mixed-venous partial pressure of CO_2 can be estimated as 6 mmHg above the resting end-tidal CO_2 and converted to a concentration via the CO_2 dissociation curve.

[0081] If the test value for pulmonary blood flow does not satisfy the test criterion, the predicted transfer rate of CO_2 between the circulation and the alveolar space will also be in error. However, the minute volume of expired of CO_2 in the test phase will exponentially equilibrate with the flux across the blood-alveolar interface. As a result,

the pulmonary blood flow calculated in each test will be refined and better reflect the actual pulmonary blood flow (\dot{Q}_{act}) than the ingoing test value. Because the iterative algorithm is implemented recursively, and the estimated test value for the iterative variable is refined after each iteration to reflect the previously calculated test values, the
5 algorithm converges to the actual physiological parameters of the subject.

[0082] The rate at which the calculated parameters converge to the actual parameters depends on how fast the end-tidal CO₂ approaches equilibrium in the test phase. The derivative of an exponential function is largest at the start and vanishes with time. Therefore, a substantial refinement in the estimated parameters occurs in the breaths
10 before recirculation. As a result, the calculated parameters at the end of each test are significantly more accurate than the previous estimates.

[0083] Testing is optionally terminated when the difference in pulmonary blood flow calculated between subsequent tests differs in magnitude less than a user-definable threshold. Optionally, the algorithm can be continued indefinitely. Optionally, the
15 algorithm can be executed for a predefined number of iterations. All of these options satisfy a test criterion.

Detection of Recirculation

[0084] The pulmonary recirculation time varies between individuals, and within the same
20 individual in different hemodynamic states. Indeed, the reported interval before recirculation occurs differs significantly amongst investigators.

[0085] We detect the occurrence of recirculation by analysis of the time course of the end-tidal CO₂ during the test phase. Prior to recirculation, the end-tidal CO₂ approaches

a steady value exponentially – the absolute difference between consecutive end-tidal measurements decreases as the test proceeds. Recirculation causes a deviation from this asymptotic approach, detectable as an increase in the difference between consecutive end-tidal CO₂ measurements. Accordingly, in our method, the test proceeds
 5 as long as the magnitude of the difference between consecutive end-tidal CO₂ measurements is decreasing.

[0086] More specifically, let $FETCO_{2,T,x}$ be the end-tidal CO₂ of a breath during the test phase, and $FETCO_{2,T,x-1}$ and $FETCO_{2,T,x+1}$ be the breaths immediate before and after.

The last breath before recirculation is the first test breath for which:

10 EQUATION 9

$$\left| FETCO_{2,T,x} - FETCO_{2,T,x-1} \right| < \left| FETCO_{2,T,x+1} - FETCO_{2,T,x} \right|$$

Apparatus

According to one embodiment of a gas delivery system, the system apparatus is shown
 15 in Figure 1. It consists of a gas blender 22, a sequential gas delivery circuit 26, gas analyzers for oxygen 16 and carbon dioxide 18, a pressure transducer 14, a computer 8 including software 10 (which is optionally embodied a computer program product) that works the gas blender 22 to request gas flows and with the gas analyzers 16 and 18, pressure transducer 14 and input devices for measured or estimated physiological
 20 parameters 36 and algorithm settings 34 to obtain inputs as contemplated herein. The gas blender 22 may be connected to three pressurized gas tanks 32. The gas blender optionally contains three rapid flow controllers (not shown) capable of delivering accurate mixes of three source gases, optionally comprised of CO₂, O₂, and N₂ to the

circuit. The concentrations of CO₂, O₂, and N₂ in the source gases must be such that they can produce the blends required to carry out the algorithm. Pure CO₂, O₂, and N₂ are one option. The gas analyzers 18 and 16 measure the fractional concentrations of CO₂ and O₂ at the mouth throughout the breath. The pressure transducer 14 is used for
 5 end-tidal detection. The computer runs a software implementation of a pulmonary blood flow measurement algorithm and demands the required mixtures from the blender 22. The monitor may display the real-time capnograph, oxigraph, pulmonary blood flow, and mixed-venous concentration of CO₂.

EQUATION 3a2

$$10 \quad \dot{Q}_{calc} = \dot{Q}_{est} + k(FETCO_{2,B} - FETCO_{2,T}) \quad k > 0$$

EQUATION 3b2

$$C\bar{v}CO_{2,calc} = C\bar{v}CO_{2,est} - k(FETCO_{2,B} - FETCO_{2,T}) \quad k > 0$$

Description of Figures

Figure 4

15 **[0087]** The initial pulmonary blood flow or mixed-venous CO₂ estimate is taken as the middle of the normal published range for the age, height, weight, and sex of the subject. Alternatively, the initial pulmonary blood flow or mixed-venous CO₂ estimate can be arbitrary. Alternatively, pulmonary blood flow can be estimated as 0.07 L/min/kg of subject body weight. Alternatively, the initial pulmonary blood flow or mixed-venous CO₂
 20 estimate can be obtained from a previous execution of the recursive algorithm. Alternatively, the initial pulmonary blood flow or mixed-venous CO₂ estimate can be obtained from another measurement technique (thermodilution, mixed-venous blood gases). Alternatively, the mixed-venous partial pressure of CO₂ can be estimated as 6

mmHg above the resting end-tidal CO₂ and converted to a concentration via the CO₂ dissociation curve.

[0088]2 In the baseline phase, the fractional concentration of CO₂ in the G1 gas ($FICO_{2,g1,R}$) is set and held constant. Although not necessary, $FICO_{2,g1,R}$ is usually zero.

5 The G1 gas flow during the rest phase ($\dot{V}_{g1,R}$) is usually set to about 80% of the subjects total measured or estimated minute ventilation. In general, $\dot{V}_{g1,R}$ should be low enough to permit rebreathing which at least fills the subject's anatomical dead space, but high enough to prevent hypercapnia.

[0089]3 The baseline phase can be ended when end-tidal CO₂ is stable. Stability of
10 end-tidal CO₂ can be determined by the standard-deviation of end-tidal CO₂ measured over five breaths being within ± 2 mmHg, or if the difference between the largest and smallest end-tidal CO₂ measured over the last 5 breaths is within ± 2 mmHg, or if the slope of the linear regression line passing through the end-tidal CO₂ of the last five breaths is less than ± 0.5 mmHg/breath. Alternatively, the baseline period can be ended
15 after predefined time has elapsed and/or predefined number of breaths has occurred.

[0090]4 At the end of the baseline phase, the end-tidal CO₂ during the baseline phase ($FETCO_{2,R}$) is converted to an arterial concentration ($CaCO_{2,R}$). The end-tidal CO₂ from the last breath of the baseline phase can be used as $FETCO_{2,R}$. Alternatively, $FETCO_{2,R}$ can be the average of a number of breaths at the end of the baseline phase.

20 **[0091]5** The baseline minute volume of expired CO₂ ($\dot{V}CO_{2,R}$) is calculated from EQUATION 4, using the average end-tidal O₂ ($FETO_{2,R}$) measured during the baseline phase, $\dot{V}_{g1,R}$, $FICO_{2,g1,R}$, $FIO_{2,g1}$, and $FETCO_{2,R}$.

[0092]6 The mixed-venous concentration of CO₂ is estimated from EQUATION 6a using an estimate of the pulmonary blood flow (\dot{Q}_{est}), $\dot{V}_{g1,R}$, $FICO_{2,g1,R}$, $FIO_{2,g1}$, $FETCO_{2,R}$, $FETO_{2,R}$, and $CaCO_{2,R}$. Alternatively, the pulmonary blood flow is estimated starting from an estimate of the mixed-venous concentration of CO₂ ($C\bar{v}CO_{2,est}$) using

5 EQUATION 6b with $\dot{V}_{g1,R}$, $FICO_{2,g1,R}$, $FIO_{2,g1}$, $FETCO_{2,R}$, $FETO_{2,R}$, and $CaCO_{2,R}$.

[0093]7 To transition from the baseline phase to the test phase, the inspired fraction of CO₂ in the G1 gas is increased substantially for one bolus breath, inducing a sharp increase in the end-tidal CO₂. In one embodiment, the bolus breath increases end-tidal CO₂ by approximately 10 mmHg to provide sufficient measurement resolution and minimize discomfort to the patient. The inspired fraction of CO₂ in the bolus breath ($FICO_{2,g1,B}$) required to elevate end-tidal CO₂ by approximately 10 mmHg can be calculated using an approximation of the subject's functional residual capacity (FRC), respiratory rate (RR), $\dot{V}_{g1,R}$, and $FETCO_{2,R}$ using EQUATION 8. The FRC can be estimated or obtained from normal published ranges for the age, weight, and sex of the subject. Respiratory rate can be measured or estimated. For most adults, $FICO_{2,g1,B}$ of 15-20% should provide an adequate increase in end-tidal CO₂.

EQUATION 8

$$FICO_{2,g1,B} = \frac{RR \cdot \left[\left(FETCO_{2,R} + \frac{10}{PB - 47} \right) \left(FRC + \frac{\dot{V}_{g1}}{RR} \right) - FRC \cdot FETCO_{2,R} \right]}{\dot{V}_{g1,R}}$$

[0094]8 The elevated end-tidal CO₂ ($FETCO_{2,B}$), and corresponding arterial CO₂ ($CaCO_{2,B}$) measured in the exhalation immediately following inspiration of the bolus are

20

recorded. This recorded value represents the test concentration of CO₂ sought to be maintained in the test phase.

[0095]9 Subsequently, the inspired fraction of CO₂ ($FICO_{2,g1,T}$) and G1 flow rate ($\dot{V}_{g1,T}$) during the test phase are set to try and maintain end-tidal CO₂ at $FETCO_{2,B}$. $\dot{V}_{g1,T}$ can

5 be chosen arbitrarily, but in general, $\dot{V}_{g1,T}$ should be low enough to permit rebreathing which at least fills the subject's anatomical dead space. EQUATION 7a, with

\dot{Q}_{est} , $C\bar{v}CO_{2,est}$, $\dot{V}_{g1,T}$, $FIO_{2,g1}$, $FETCO_{2,B}$, $FETO_{2,R}$, and $CaCO_{2,B}$, can be used to calculate $FICO_{2,g1,T}$ presumed to force a second steady state of end-tidal CO₂ at

$FETCO_{2,B}$. Alternatively, $FICO_{2,g1,T}$ can be set arbitrarily within the limitations of the

10 hardware and EQUATION 7b, with \dot{Q}_{est} , $C\bar{v}CO_{2,est}$, $FICO_{2,g1,T}$, $FIO_{2,g1}$, $FETCO_{2,B}$, $FETO_{2,R}$, and $CaCO_{2,B}$, can be used to calculate $\dot{V}_{g1,T}$ presumed to force a second steady state of end-tidal CO₂ at $FETCO_{2,B}$.

[0096]10 This $\dot{V}_{g1,T}$ and $FICO_{2,g1,T}$ is delivered until recirculation is detected (described later), or for a predefined length of time presumed to be less than the recirculation time,

15 or a predefined number of breaths presumed to occur before recirculation.

[0097]11 At the end of the test phase, the end-tidal CO₂ during the test phase ($FETCO_{2,T}$) is converted to an arterial concentration ($CaCO_{2,T}$). The end-tidal CO₂ from the last breath of the test phase can be used as $FETCO_{2,T}$. Alternatively, $FETCO_{2,T}$ can be the average of a number of breaths at the end of the test phase.

20 **[0098]12** The minute volume of expired CO₂ during the test phase ($\dot{V}CO_{2,T}$) is calculated from EQUATION 4, using the average end-tidal O₂ ($FETO_{2,T}$) measured

during the test phase, $\dot{V}_{g1,T}$, $FICO_{2,g1,T}$, $FIO_{2,g1}$, and $FETCO_{2,T}$. Pulmonary blood flow and mixed-venous CO₂ are recalculated (\dot{Q}_{calc} , $C\bar{v}CO_{2,calc}$) from EQUATIONS 3a1,b1 using $\dot{V}CO_{2,R}$, $CaCO_{2,R}$, $\dot{V}CO_{2,T}$, and $CaCO_{2,T}$ or EQUATIONS 3a2,b2 using \dot{Q}_{est} , $C\bar{v}CO_{2,est}$, $FETCO_{2,B}$, and $FETCO_{2,T}$. Subsequently, the system is returned to the

5 baseline state.

[0099] This manoeuvre is repeated utilizing either the calculated pulmonary blood flow of each test as the estimated pulmonary blood flow in the next iteration, or the calculated mixed-venous CO₂ concentration as the estimated mixed-venous CO₂ concentration in the next iteration.

10 **[00100]** **13** Testing is terminated when the difference in pulmonary blood flow calculated between subsequent tests differs in magnitude less than a user-definable threshold. Optionally, the algorithm can be continued indefinitely. Optionally, the algorithm can be executed for a predefined number of iterations.

Figure 1

15 **[00101]** According to one embodiment of a gas delivery system, the system apparatus is shown in Figure 1. It consists of a gas blender 22, a sequential gas delivery circuit 26, gas analyzers for oxygen 16 and carbon dioxide 18, a pressure transducer 14, a computer 8 including software 10 (which is optionally embodied a computer program product) that works the gas blender 22 to request gas flows and with the gas

20 analyzers 16 and 18, pressure transducer 14 and input devices for measured or estimated physiological parameters 36 and algorithm settings 34 to obtain inputs as contemplated herein. The gas blender 22 may be connected to three pressurized gas tanks 32. The gas blender optionally contains three rapid flow controllers (not shown)

capable of delivering accurate mixes of three source gases, optionally comprised of CO₂, O₂, and N₂ to the circuit. The concentrations of CO₂, O₂, and N₂ in the source gases must be such that they can produce the blends required to carry out the algorithm. Pure CO₂, O₂, and N₂ are one option. The gas analyzers 18 and 16 measure the fractional concentrations of CO₂ and O₂ at the mouth throughout the breath. The pressure transducer 14 is used for end-tidal detection. The computer runs a software implementation of a pulmonary blood flow measurement algorithm and demands the required mixtures from the blender 22. The monitor may display the real-time capnograph, oxigraph, pulmonary blood flow, and mixed-venous concentration of CO₂.

10 **[00102]** Other inputs to the algorithm include an initial estimate of pulmonary blood flow or mixed-venous CO₂ 36, and termination criteria for the algorithm 34.

Figure 6

Panel A

[00103] In figure 5a (Panel A), three iterations of the recursive algorithm showing convergence of the calculated pulmonary blood flow to the actual pulmonary blood flow starting from an incorrect estimate. As shown, if the estimated pulmonary blood flow is incorrect, the predicted transfer rate of CO₂ between the circulation and the alveolar space will also be in error. However, the minute volume of expired CO₂ in the test phase will exponentially equilibrate with the flux across the blood-alveolar interface. As a result, the pulmonary blood flow calculated in each test will better reflect the actual pulmonary blood flow (\dot{Q}_{act}) than the ingoing estimate. Because this procedure is implemented recursively, and the estimated parameters updated after each iteration to reflect the previously calculated values, the algorithm converges to the actual

15

20

physiological parameters of the subject.

[00104] The rate at which the calculated parameters converge to the actual parameters depends on how fast the end-tidal CO₂ approaches equilibrium in the test phase. The derivative of an exponential function is largest at the start and vanishes with
5 time. Therefore, a substantial refinement in the estimated parameters occurs in the breaths before recirculation. As a result, the calculated parameters at the end of each test are significantly more accurate than the previous estimates.

Panel B

[00105] Figure 5B (Panel B) shows that **(a)** if the estimate of pulmonary blood flow
10 is higher than the actual pulmonary blood flow, the end-tidal CO₂ in the test phase drifts exponentially upwards; **(b)** if the estimate of pulmonary blood flow is lower than the actual pulmonary blood flow, the end-tidal CO₂ in the test phase drifts exponentially downwards; **(c)** if the estimate of pulmonary blood flow is approximately equal to than the actual pulmonary blood flow, the end-tidal CO₂ in the test phase remains constant. It
15 also shows how recirculation may be detected by analysis of the time course of the end-tidal CO₂ during the test phase. Prior to recirculation, the end-tidal CO₂ approaches a steady value exponentially – the absolute difference between consecutive end-tidal measurements decreases as the test proceeds. Recirculation causes a deviation from this asymptotic approach, detectable as an increase in the difference between
20 consecutive end-tidal CO₂ measurements. Mathematically, this is shown in equation 9.

References

- [1] Geerts BF, Aarts LP, Jansen JR. Methods in pharmacology: measurement of cardiac output. *Br J Clin Pharmacol*. 2011 Mar;71(3):316-30.
- [2] Fick A. Ueber die Messung des Blutquantums in den Herzventrikeln. 5 Sitzungsberichter der Physiologisch-Medizinischen Gesellschaft zu Würzburg 1870; 2: 16.
- [3] Douglas AR, Jones NL, Reed JW. Calculation of whole blood CO₂ content. *J Appl Physiol*. 1988 Jul;65(1):473-7.
- [4] Kelman RG. Digital computer procedure for the conversion of PCO₂ into blood 10 content. *Respir Physiol* 3: 111–115, 1967.
- [5] DEFARES JG. Determination of PvCO₂ from the exponential CO₂ rise during rebreathing. *J Appl Physiol*. 1958 Sep;13(2):159-64.
- [6] COLLIER CR. Determination of mixed venous CO₂ tensions by rebreathing. *J Appl Physiol*. 1956 Jul;9(1):25-9.
- 15 [7] Gedeon, A., Forslund, L., Hedenstierna, G., Romano, E. (1980). A new method for noninvasive bedside determination of pulmonary blood flow. *Med Biol Eng Comput* 18(4), 411-8.
- [8] Jaffe MB. Partial CO₂ rebreathing cardiac output--operating principles of the NICO system. *J Clin Monit Comput*. 1999 Aug;15(6):387-401.
- 20 [9] Tachibana K, Imanaka H, Takeuchi M, Takauchi Y, Miyano H, Nishimura M. Noninvasive cardiac output measurement using partial carbon dioxide rebreathing is less accurate at settings of reduced minute ventilation and when spontaneous breathing is present. *Anesthesiology*. 2003 Apr;98(4):830-7.

[10] Yem JS, Tang Y, Turner MJ, Baker AB. Sources of error in noninvasive pulmonary blood flow measurements by partial rebreathing: a computer model study. *Anesthesiology*. 2003 Apr;98(4):881-7.

[11] Somogyi RB, Vesely AE, Preiss D, Prisman E, Volgyesi G, Azami T, et al. Precise control of end-tidal carbon dioxide levels using sequential rebreathing circuits. *Anaesth Intensive Care* 2005 Dec;33(6):726-32.

We claim:

1. A method of controlling a gas delivery apparatus to deliver a test gas (TG) for non-invasively determining a subject's pulmonary blood flow comprising the steps of:

(d) Using an iterative algorithm to control at least one apparatus controllable
5 variable to test one or more test values for an iterated variable by:

E) Obtaining input of a steady state value of an end tidal test gas concentration and a corresponding value of at least one apparatus controllable variable for use in the iterative algorithm;

F) providing an inspired concentration of a test gas that achieves a test
10 concentration of the test gas in the subject's end tidal exhaled gas;

G) using a test value of the iterated variable in the iterative algorithm to set the gas delivery apparatus to deliver, for at least one series of inspiratory cycles, an inspiratory gas comprising a test gas that is computed to maintain the test concentration of the test gas in the
15 subject's end tidal exhaled gas;

H) obtaining input comprising measurements of end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles and a corresponding value of at least one apparatus controllable variable for use in the iterative algorithm;

I) using at least one measurement obtained in step D) as a reference end tidal concentration value to generate at least one of the following
20 outputs:

(1) the test value satisfies a test criterion;

(2) a refined test value;

wherein the reference end tidal concentration is a surrogate steady state value and the reference end tidal concentration is used to refine the test value;

5

(e) If output (1) is not obtained, repeating step (a) as necessary at least until output (1) is obtained; and

(f) If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, sufficiently represents a subject's true pulmonary blood flow.

10

2. A method according to claim 1, wherein the reference end tidal concentration is the last measurement obtained prior to a recirculation or an average of such last measurements.

15 3. A method according to claim 1 or 2, wherein the test gas is carbon dioxide.

4. A method according to claim 1, 2 or 3, wherein iterative algorithm is characterized in that it defines a mathematical relationship between the at least one apparatus controllable variable, the iterated variable and the end tidal concentration of test gas attained by setting the apparatus controllable variable, such that the iterative algorithm is determinative of whether iteration on the test value satisfies a test criterion or iteratively generates a progressively refined test value.

20

5. A method according to claim 1, 2, 3 or 4, wherein the iterative algorithm employs a test mathematical relationship based on the Fick equation.
- 5 6. A method according to claim 5, wherein the refined test value is ascertained based on the differential Fick equation.
7. A method according to claim 5, wherein the refined test value is ascertained based on equation 3a2 or equation 3b2.
- 10
8. A method according to claim 5, wherein the iterative algorithm employs equation 5 or equation 5-0.
9. A method according to any of the preceding claims, wherein the apparatus
15 controllable variable is the inspired concentration of test gas in the inspiratory gas.
10. A method according to any of the preceding claims, wherein the apparatus controllable variable is rate of flow of test gas containing inspiratory gas into the circuit, where the rate of flow is determinative of the alveolar ventilation.
- 20
11. A method according to any of the preceding claims wherein the iterated variable is pulmonary blood flow.

12. A method according to any of the preceding claims wherein the iterated variable is a variable determined by pulmonary flow from which pulmonary blood flow can be mathematically computed.

5 13. A method according to claim 10, wherein the iterated variable is a mixed venous concentration of test gas.

14. A gas delivery system adapted to deliver a test gas (TG) for non-invasively determining a subject's pulmonary blood flow comprising:

10 A gas delivery apparatus;

A control system for controlling the gas delivery apparatus including at least one apparatus controllable variable to test one or more test values for a iterated variable, the control system comprising a computer for executing an iterative algorithm, the gas delivery system including means for:

15 F) Obtaining input of a steady state value of an end tidal test gas concentration and a corresponding value of at least one apparatus controllable variable for use in the iterative algorithm;

G) providing an inspired concentration of a test gas that achieves a test concentration of the test gas in the subject's end tidal exhaled gas;

20 H) using a test value of the iterated variable in an iterative algorithm to set the gas delivery apparatus to deliver, for at least one series of inspiratory cycles, an inspiratory gas comprising a test gas that is

computed to maintain the test concentration of the test gas based a test value of the iterated variable;

5 I) obtaining input comprising measurements of end tidal concentrations of test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles;

J) using at least one measurement obtained in step C) as a reference end tidal concentration value to generate at least one of the following outputs:

(3) the test value satisfies the test criterion;

10 (4) a refined test value;

wherein the reference end tidal concentration is a surrogate steady state value and is used to generate the refined test value;

wherein the iterative algorithm uses at least one apparatus controllable variable to iteratively test one or more of test values for the iterated variable based on the following criteria:

15 If output (1) is not obtained, repeating step (B) to (E) as necessary at least until output (1) is obtained; and

20 If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, sufficiently represents a subject's true pulmonary blood flow.

15. A gas delivery system according to claim 14, wherein the gas delivery apparatus comprises at least one input port for receiving an inspiratory gas containing the test gas, at least one output port for connection to a breathing circuit and a flow controller for controlling the rate of flow of the inspiratory gas.

5

16. A gas delivery system according to claim 14, wherein the computer is CPU.

17. A gas delivery system according to claim 14, wherein reference end tidal concentration is the last measurement obtained prior to a recirculation or an average of
10 such last measurements.

18. A gas delivery system according to claim 14 to 17, wherein the test gas is carbon dioxide.

15 19. A gas delivery system according to claim 14 to 18, wherein iterative algorithm is characterized in that it defines a mathematical relationship between the at least one apparatus controllable variable, the iterated variable and the end tidal concentration of test gas attained by setting the apparatus controllable variable, such that the iterative algorithm is determinative of whether iteration on the test value satisfies a test criterion
20 or iteratively generates a progressively refined test value.

20. A gas delivery system according to claim 19, wherein the iterative algorithm employs a test mathematical relationship based on the Fick equation.

21. A gas delivery system according to claim 19, wherein the iterative algorithm employs equation 5 or equation 5-0.
- 5 22. A gas delivery system according to any of claim 14 to 21, wherein the apparatus controllable variable is the inspired concentration of test gas in the inspiratory gas.
23. A gas delivery system according to any of claim 14 to 22, wherein the apparatus controllable variable is rate of flow of test gas containing inspiratory gas into the circuit,
10 where the rate of flow is determinative of the alveolar ventilation.
24. A gas delivery system according to any of claim 14 to 21, wherein the iterated variable is pulmonary blood flow.
- 15 25. A gas delivery system according to any of claim 14 to 24, wherein the iterated variable is a variable determined by pulmonary flow from which pulmonary blood flow can be mathematically computed.
26. A gas delivery system according to any of claim 14 to 25, wherein the iterated
20 variable is a mixed venous concentration of test gas.
27. A computer program product comprises a non-transitory computer readable medium encoded with program code for controlling the operation of gas delivery

apparatus including at least one apparatus controllable variable, the program code including code for iteratively generating and evaluating test values of a iterated variable based on an iterative algorithm in order output a test value of the iterated variable that meets a test criterion including program code for:

- 5 A) Obtaining input of a steady state value of an end tidal test gas concentration and a corresponding value of at least one apparatus controllable variable for use in the iterative algorithm;
- B) providing an inspired concentration of a test gas that achieves a test concentration of the test gas in the subject's end tidal exhaled gas and
10 using the test value of the iterated variable in the iterative algorithm to set the gas delivery apparatus to deliver, for at least one series of inspiratory cycles, an inspiratory gas comprising a test gas that is computed to maintain the test concentration of the test gas;
- C) obtaining input comprising measurements of end tidal concentrations of
15 test gas for expiratory cycles corresponding to the at least one series of inspiratory cycles;
- D) using at least one measurement obtained in step C) as a reference end tidal concentration value to generate at least one of the following outputs:
- 20 (5) the test value satisfies the test criterion;
- (6) a refined test value;

wherein the reference end tidal concentration is a surrogate steady state value and is used to obtain the refined test value;

wherein the iterative algorithm uses at least one apparatus controllable variable to iteratively test one or more of test values for the iterated variable based on the following criteria:

5

If output (1) is not obtained, repeating step (B) to (D) as necessary at least until output (1) is obtained; and

If output (1) is obtained, outputting a value for pulmonary blood flow which, based on the test criterion, sufficiently represents a subject's true pulmonary blood flow.

10

28. A method of controlling a gas delivery apparatus including an apparatus controllable variable using an iterative algorithm to deliver a test gas (TG) for non-invasively determining a subject's pulmonary blood flow comprising iteratively generating and evaluating test values of a iterated variable based on an iterative algorithm in order output a test value of the iterated variable that meets a test criterion wherein iterative algorithm is characterized in that it defines a test mathematical relationship between the at least one apparatus controllable variable, the iterated variable and an end tidal concentration of test gas attained by setting the apparatus controllable variable, such that the iterative algorithm is determinative of whether iteration on the test value satisfies a test criterion or iteratively generates a progressively refined test value.

20

29. A method for non-invasively determining a subject's pulmonary blood flow by controlling a gas delivery apparatus including an apparatus controllable variable using an iterative algorithm to deliver a test gas (TG) comprising iteratively generating and
5 evaluating test values of a iterated variable based on an iterative algorithm in order output a test value of the iterated variable that meets a test criterion wherein iterative algorithm is characterized in that it defines a test mathematical relationship between the at least one apparatus controllable variable, the iterated variable and an end tidal concentration of test gas attained by setting the apparatus controllable variable, such
10 that the iterative algorithm is determinative of whether iteration on the test value satisfies a test criterion or iteratively generates a progressively refined test value.

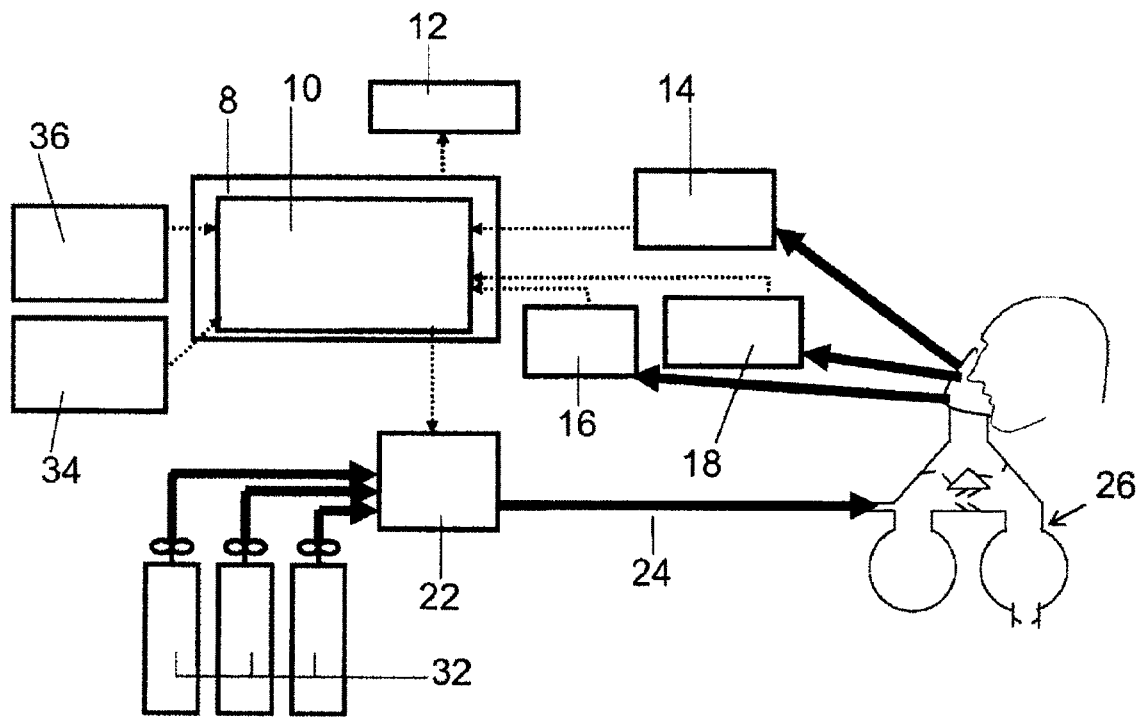


Fig. 1

Table 1

SGD	Sequential gas delivery
G1	The gas being supplied to the SGD circuit from a gas blender
\dot{V}_{g1}	The flow rate of G1 gas from the gas blender to the SGD circuit
Alveolar ventilation	The minute volume of gas that reaches the alveoli and may contribute to gas exchange. Alveolar ventilation = \dot{V}_{g1} when SGD is implemented.
$\dot{V}_{g1,R}$	The flow rate of G1 gas from the gas blender to the SGD circuit throughout the baseline phase
$\dot{V}_{g1,T}$	The flow rate of G1 gas from the gas blender to the SGD circuit throughout the test phase
$FICO_{2,g1}$	Fractional concentration of CO ₂ in the G1 gas
$FIO_{2,g1}$	Fractional concentration of O ₂ in the G1 gas
$FETCO_2$	End-tidal fractional concentration of CO ₂
$FETO_2$	End-tidal fractional concentration of O ₂
$CaCO_2$	Concentration of CO ₂ in the arterial blood
$CvCO_2$	Concentration of CO ₂ in the mixed-venous blood
\dot{Q}	Pulmonary blood flow
$\dot{V}CO_2$	Minute volume of expired CO ₂
$FICO_{2,g1,R}$	Fractional concentration of CO ₂ in the G1 gas throughout the baseline phase
$FETCO_{2,R}$	End-tidal fractional concentration of CO ₂ at the end of the baseline phase
$FETO_{2,R}$	Average end-tidal fractional concentration of O ₂ during the baseline phase
$CaCO_{2,R}$	Concentration of CO ₂ in the arterial blood at the end of the baseline phase
$\dot{V}CO_{2,R}$	Minute volume of expired CO ₂ at the end of baseline phase
$FICO_{2,g1,T}$	Fractional concentration of CO ₂ in G1 gas throughout the test phase
$FETCO_{2,T}$	End-tidal fractional concentration of CO ₂ at the end of the test phase
$FETO_{2,T}$	Average end-tidal fractional concentration of O ₂ during the test phase

Fig. 2-1

$CaCO_{2,T}$	Concentration of CO ₂ in the arterial blood at the end of the test phase
$\dot{V}CO_{2,T}$	Minute volume of expired CO ₂ at the end of the test phase
$FICO_{2,gI,B}$	Fractional concentration of CO ₂ in the GI gas for the bolus breath
$FETCO_{2,B}$	End-tidal fractional concentration of CO ₂ of the exhalation immediately after inhalation of the bolus
$CaCO_{2,B}$	Concentration of CO ₂ in the arterial blood immediately after inhalation of the bolus
\dot{Q}_{est}	An estimate of pulmonary blood flow used to try and clamp the end-tidal CO ₂ during the test phase
$\bar{C}\dot{V}CO_{2,est}$	An estimate of the concentration of CO ₂ in the mixed-venous blood used to try and clamp the end-tidal CO ₂ during the test phase
\dot{Q}_{calc}	The pulmonary blood flow calculated at the end of the test phase
$\bar{C}\dot{V}CO_{2,calc}$	The concentration of CO ₂ in the mixed-venous blood calculated at the end of the test phase
\dot{Q}_{act}	The subject's actual pulmonary blood flow
$\bar{C}\dot{V}CO_{2,act}$	The subject's actual concentration of CO ₂ in the mixed-venous
FRC	Functional residual capacity
RR	Respiratory rate

Fig. 2-2

Label	Equation	Description
1	$\dot{V}CO_2 = \dot{Q}(C\bar{V}CO_2 - CaCO_2)$	Fick equation which mathematically expresses the fact that if the end-tidal CO ₂ is not changing, the minute volume (flux) of expired CO ₂ is equal to the CO ₂ deposited in the lung from the circulation
2	$\dot{V}CO'_2 = \dot{Q}(C\bar{V}CO_2 - CaCO'_2)$	Fick equation showing that end-tidal and arterial CO ₂ can be maintained steady at any level for a constant cardiac output and mixed-venous concentration
3a1	$\dot{Q} = \frac{\dot{V}CO'_2 - \dot{V}CO_2}{CaCO_2 - CaCO'_2}$	If two steady states of end-tidal CO ₂ can be induced and measured for a constant cardiac output and mixed-venous CO ₂ , (1) and (2) can be solved simultaneously for the cardiac output
3b1	$C\bar{V}CO_2 = \frac{CaCO_2 \cdot \dot{V}CO'_2 - CaCO'_2 \cdot \dot{V}CO_2}{\dot{V}CO'_2 - \dot{V}CO_2}$	If two steady states of end-tidal CO ₂ can be induced and measured for a constant cardiac output and mixed-venous CO ₂ , (1) and (2) can be solved simultaneously for the mixed-venous CO ₂ concentration
4	$\dot{V}CO_2 = \dot{V}_{R1} \cdot \frac{310}{293} \cdot \left[\frac{(1 - FICO_{2,R1} - FIO_{2,R1})}{(1 - FETCO_2 - FETO_2)} \cdot FETCO_2 - FICO_{2,R1} \right]$ <small>Haldane</small>	Calculation of the minute volume (flux) of expired CO ₂ from the end-tidal gases and the flow of gas to a sequential gas delivery circuit
5	$\dot{V}_{R1} \cdot \frac{310}{293} \cdot \left[\frac{(1 - FICO_{2,R1} - FIO_{2,R1})}{(1 - FETCO_2 - FETO_2)} \cdot FETCO_2 - FICO_{2,R1} \right] = \dot{Q}(C\bar{V}CO_2 - CaCO_2)$	Substitution of (4) for $\dot{V}CO_2$ in (1)
6a	$C\bar{V}CO_2 = \frac{\dot{V}_{R1} \cdot \frac{310}{293} \cdot \left[\frac{(1 - FICO_{2,R1} - FIO_{2,R1})}{(1 - FETCO_2 - FETO_2)} \cdot FETCO_2 - FICO_{2,R1} \right]}{\dot{Q}} + CaCO_2$	Rearrangement of (5) for the mixed-venous CO ₂ where the end-tidal gases, the flow of gas to a sequential gas delivery circuit, the arterial CO ₂ concentration, and cardiac output are known or estimated
6b	$\dot{Q} = \frac{\dot{V}_{R1} \cdot \frac{310}{293} \cdot \left[\frac{(1 - FICO_{2,R1} - FIO_{2,R1})}{(1 - FETCO_2 - FETO_2)} \cdot FETCO_2 - FICO_{2,R1} \right]}{C\bar{V}CO_2 - CaCO_2}$	Rearrangement of (5) for the cardiac output where the end-tidal gases, the flow of gas to a sequential gas delivery circuit, the arterial CO ₂ concentration, and the mixed-venous CO ₂ concentration are known or estimated

Fig 3a

7a	$FICO_{2,ei} = \frac{\dot{V}_{e'} \cdot \frac{310}{293} \cdot FETCO_2 \cdot (FIO_{2,ei} - 1) + \dot{Q}(C\bar{V}CO_2 - CaCO_2)(1 - FETO_2 - FETCO_2)}{\dot{V}_{e'} \cdot \frac{310}{293} \cdot (FETO_2 - 1)}$	Rearrangement of (5) for the inspired fraction of CO ₂ required to maintain end-tidal CO ₂ at a steady state where the end-tidal gases, the flow of gas to a sequential gas delivery circuit, the arterial CO ₂ concentration, the mixed-venous CO ₂ concentration, and cardiac output are known or estimated
7b	$\dot{V}_{e'} = \frac{\dot{Q}(C\bar{V}CO_2 - CaCO_2)}{\frac{310}{293} \left[\frac{(1 - FICO_{2,ei} - FIO_{2,ei}) \cdot FETCO_2 - FICO_{2,ei}}{1 - FETCO_2 - FETO_2} \right]}$	Rearrangement of (5) for the G1 gas flow required to maintain end-tidal CO ₂ at a steady state where the end-tidal gases, the fractional concentration of CO ₂ in the G1 gas, the arterial CO ₂ concentration, the mixed-venous CO ₂ concentration, and cardiac output are known or estimated
8	$FICO_{2,ei,b} = \frac{RR \cdot \left[FETCO_{2,R} + \frac{10}{PB - 47} \left(FRC + \frac{\dot{V}_{e'}}{RR} \right) - FRC \cdot FETCO_{2,R} \right]}{\dot{V}_{e'}}$	Can be used to estimate the fractional concentration of CO ₂ required in the bolus breath to raise end-tidal CO ₂ by about 10 mmHg from baseline
9	$ FETCO_{2,T,x} - FETCO_{2,T,x+1} < FETCO_{2,T,x+1} - FETCO_{2,T,x} $	Applied to each breath of the test to detect recirculation of the arterial blood
3a2	$\dot{Q}_{calc} = \dot{Q}_{est} + k(FETCO_{2,B} - FETCO_{2,T}) \quad k > 0$	An alternative to calculate cardiac output from an estimated cardiac output and the drift of end-tidal CO ₂ observed during a test executed with said estimate
3b2	$C\bar{V}CO_{2,calc} = C\bar{V}CO_{2,est} - k(FETCO_{2,B} - FETCO_{2,T}) \quad k > 0$	An alternative to calculate mixed-venous CO ₂ from an estimated mixed-venous CO ₂ and the drift of end-tidal CO ₂ observed during a test executed with said estimate
4-O	$\dot{V}CO_2 = \dot{V}_{e'} \cdot \frac{310}{293} \cdot [FETCO_2 - FICO_{2,ei}]$	An alternative, slightly less accurate, measure of minute volume of expired CO ₂ than (4) when oxygen monitoring is not present.
5-O	$\dot{V}_{e'} \cdot \frac{310}{293} \cdot [FETCO_2 - FICO_{2,ei}] = \dot{Q}(C\bar{V}CO_2 - CaCO_2)$	Substitution of (4-O) for $\dot{V}CO_2$ in (1)

Fig 3b

6a-O	$C\bar{V}CO_2 = \frac{\dot{V}_{RI} \cdot \frac{310}{293} \cdot [FETCO_2 - FICO_{2,RI}]}{\dot{Q}} + CaCO_2$	<p>Rearrangement of (5-O) for the mixed-venous CO₂ where the end-tidal CO₂, the flow of gas to a sequential gas delivery circuit, the arterial CO₂ concentration, and cardiac output are known or estimated</p>
6b-O	$\dot{Q} = \frac{\dot{V}_{RI} \cdot \frac{310}{293} \cdot [FETCO_2 - FICO_{2,RI}]}{C\bar{V}CO_2 - CaCO_2}$	<p>Rearrangement of (5-O) for the cardiac output where the end-tidal CO₂, the flow of gas to a sequential gas delivery circuit, the arterial CO₂ concentration, and the mixed-venous CO₂ concentration are known or estimated</p>
7a-O	$FICO_{2,RI} = FETCO_2 - \frac{\dot{Q}(C\bar{V}CO_2 - CaCO_2)}{\dot{V}_{RI} \cdot \frac{310}{293}}$	<p>Rearrangement of (5-O) for the inspired fraction of CO₂ required to maintain end-tidal CO₂ at a steady state where the end-tidal CO₂, the flow of gas to a sequential gas delivery circuit, the arterial CO₂ concentration, the mixed-venous CO₂ concentration, and cardiac output are known or estimated</p>
7b-O	$\dot{V}_{RI} = \frac{\dot{Q}(C\bar{V}CO_2 - CaCO_2)}{\frac{310}{293} \left[\left(\frac{1 - FICO_{2,RI} - FIO_{2,RI}}{1 - FETCO_2 - FETO_2} \right) \cdot FETCO_2 - FICO_{2,RI} \right]}$	<p>Rearrangement of (5-O) for the GI gas flow required to maintain end-tidal CO₂ at a steady state where the end-tidal CO₂, the fractional concentration of CO₂ in the GI gas, the arterial CO₂ concentration, the mixed-venous CO₂ concentration, and cardiac output are known or estimated</p>

Fig 3c

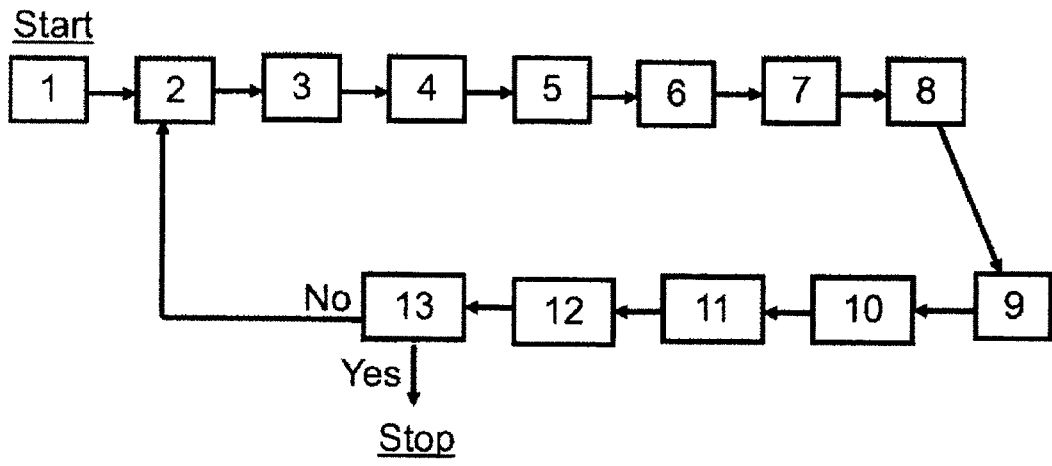


Fig. 4

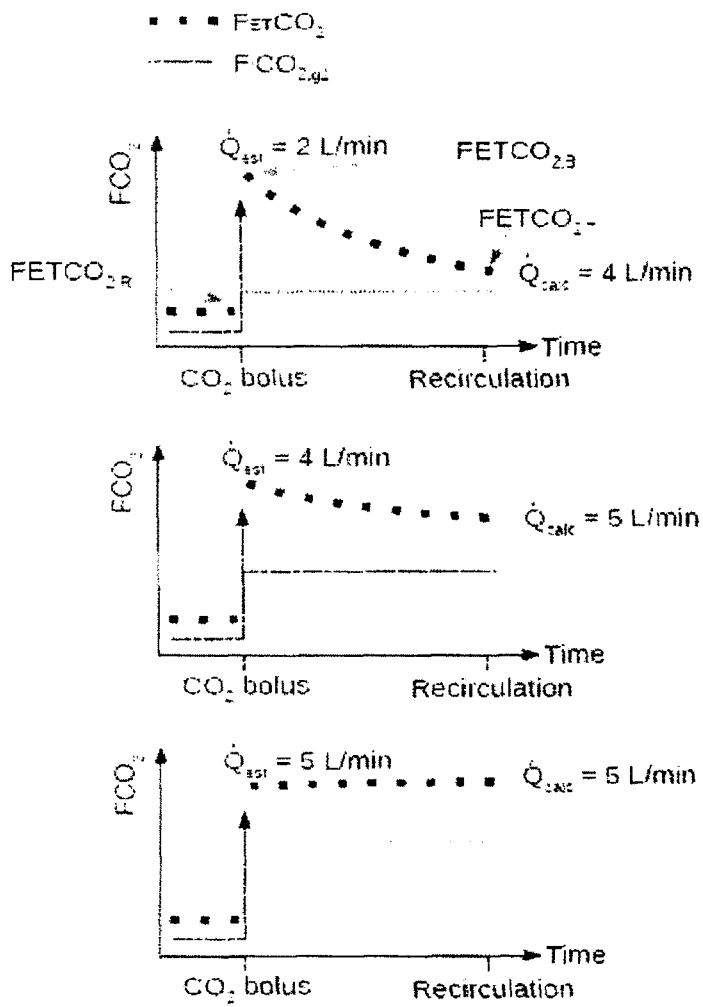


Fig 5a

Panel B

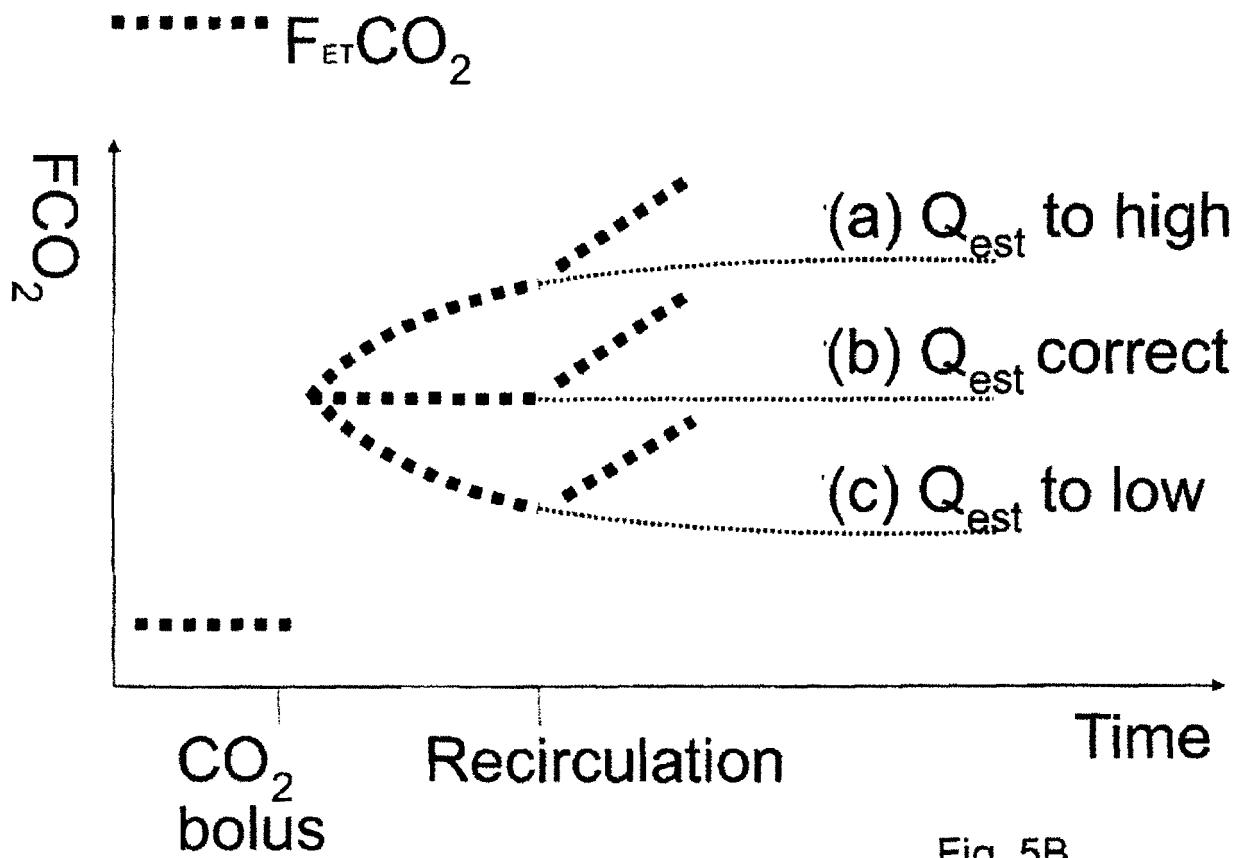


Fig. 5B

INTERNATIONAL SEARCH REPORT

International application No.
PCT/CA2011/000577

A. CLASSIFICATION OF SUBJECT MATTER
 IPC: **A61B 5/08** (2006.01) , **A61B 5/026** (2006.01) , **G06F 19/00** (2011.01)
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 IPC: **A61B 5/08** , **A61B 5/026** , **G06F 19/00**

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic database(s) consulted during the international search (name of database(s) and, where practicable, search terms used)
 Google, Epodoc, Canadian Patents Database

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US2003225339 A1 (ORR, J. et al.) 04 December 2003 (04-12-2003) < entire document>	1-29
X	US2009320844 A1 (NIELSEN, J. et al.) 31 December 2009 (31-12-2009) < entire document>	1-29
A	US2007062534 A1 (FISHER, J. et al.) 22 March 2007 (22-03-2007) < entire document>	1-29
A	US2005197589 A1 (KLINE, J.) 08 September 2005 (08-09-2005) < entire document>	1-29

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents :	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

21 July 2011 (21-07-2011)

Date of mailing of the international search report

15 August 2011 (15-08-2011)

Name and mailing address of the ISA/CA
 Canadian Intellectual Property Office
 Place du Portage I, C114 - 1st Floor, Box PCT
 50 Victoria Street
 Gatineau, Quebec K1A 0C9
 Facsimile No.: 001-819-953-2476

Authorized officer
Valérie Dubé (819) 934-4261

INTERNATIONAL SEARCH REPORT
Information on patent family members

International application No.
PCT/CA2011/000577

Patent document Cited in Search report	Publication Date	Patent Family Member(s)	Publication Date
US2003225339 A1	04-12-2003	WO03094738 A1 JP2005524469 A EP1503653 A1 EP1503653 A4 AU2003237169 A1	20-11-2003 18-08-2005 09-02-2005 17-12-2008 11-11-2003
US2009320844 A1	31-12-2009	EP2046194 A2 WO2008014788 A2 WO2008014788 A3 DK176782B B1 DK200601035 A	15-04-2009 07-02-2008 19-06-2008 17-08-2009
05-02-2008			
US2007062534 A1	22-03-2007	JP2006518617 A EP1610852 A2 WO2004073779 A2 WO2004073779 A3 CA2521181 A1	17-08-2006 04-01-2006 02-09-2004 23-12-2004 02-09-2004
US2005197589 A1	08-09-2005	US7104964 B2 MXPA04002038 A WO03026501 A2 WO03026501 A8 JP2005529627 A JP4378171 B2 EP1463442 A2 CA2460201 A1 CA2460201 C AU2002324876 B2	12-09-2006 07-06-2004 03-04-2003 24-06-2004 06-10-2005 02-12-2009 06-10-2004 03-04-2003 17-08-2010 12-01-2006

专利名称(译)	无创心输出量测定		
公开(公告)号	EP2571422A1	公开(公告)日	2013-03-27
申请号	EP2011782808	申请日	2011-05-18
[标]申请(专利权)人(译)	FISHER JOSEPH DUFFIN JAMES		
申请(专利权)人(译)	FISHER , JOSEPH KLEIN , MICHAEL DUFFIN , JAMES		
当前申请(专利权)人(译)	FISHER , JOSEPH KLEIN , MICHAEL DUFFIN , JAMES		
[标]发明人	FISHER JOSEPH KLEIN MICHAEL DUFFIN JAMES		
发明人	FISHER, JOSEPH KLEIN, MICHAEL DUFFIN, JAMES		
IPC分类号	A61B5/08 A61B5/026 G06F19/00 A61B5/00 A61B5/0205 A61B5/029 A61B5/083 A61M16/00 A61M16/12		
CPC分类号	A61B5/026 A61B5/029 A61B5/0813 A61B5/0836 A61B5/7278 A61B5/0205 A61B5/082 A61B5/7225 A61M16/0057 A61M16/12		
优先权	61/345952 2010-05-18 US		
其他公开文献	EP2571422B1 EP2571422A4		
外部链接	Espacenet		

摘要(译)

一种控制气体输送装置的方法，包括使用迭代算法的装置可控变量来输送用于非侵入性地确定受试者的肺血流的测试气体（TG），包括基于迭代地生成和评估迭代变量的测试值算法按顺序输出满足测试标准的迭代变量的测试值，其中迭代算法的特征在于它定义了至少一个设备可控变量，迭代变量和测试气体的最终潮气浓度之间的测试数学关系。设置装置可控变量，使得迭代算法确定测试值上的迭代是满足测试标准还是迭代地生成逐步细化的测试值。