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**(54) SYSTEM AND METHOD FOR ANALYSIS OF THE UPPER AIRWAY AND A RESPIRATORY PRESSURE SUPPORT SYSTEM**

SYSTEM UND VERFAHREN ZUR ANALYSE DER OBEREN ATEMWEGE UND  
ATEMDRUCKUNTERSTÜTZUNGSSYSTEM

SYSTÈME ET PROCÉDÉ POUR L'ANALYSE DES VOIES AÉRIENNES SUPÉRIEURES ET  
SYSTÈME DE SUPPORT DE PRESSION RESPIRATOIRE

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- **LOUIS B ET AL: "Airway area by acoustic  
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AUG 1993, vol. 115, no. 3, August 1993 (1993-08),  
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**EP 3 099 227 B1**

## Description

### FIELD OF THE INVENTION

**[0001]** The invention relates to analysis of the upper airway. In particular it relates to upper airway analysis to enable positive airway pressure (PAP) treatment to be suitably controlled or to enable a suitable alternative treatment to be selected.

### BACKGROUND OF THE INVENTION

**[0002]** Obstructive Sleep Apnea (OSA) is a high-prevalence disease, especially in the male adult population. OSA is very effectively treated by the application of Positive Airway Pressure (PAP). This involves the patient wearing a mask at night, which delivers pressurized air to the patient during sleep.

**[0003]** For an optimal pressure titration an Automatic PAP ("APAP") system has to distinguish between central and obstructive events. A central sleep apnoea (CSA) event arises when the patient makes no effort to breathe whereas an obstructive event arises when there is a physical blockage of the upper airway. Both central and obstructive events occur during sleep repeatedly, and an event may last for at least ten seconds up to a minute or slightly more. Patients may suffer from essentially OSA, essentially CSA or a combination of both, the latter being referred to as Mixed Sleep Apnea.

**[0004]** When a known PAP system detects a complete cessation of airflow it sends a pressure pulse to verify if the drop in airflow is caused by an obstructive or a central apnoea event. If the pressure pulse (typical duration of 2s, and typical pressure increase of 2mbar) leads to an increase of airflow the apnoea will be a clear airway apnoea (CA) such as a central apnoea event. If the pressure pulse does not increase the airflow, the system knows the apnoea is an obstructive apnoea (OA).

**[0005]** However in case of hypopnea events (shallow breathing events rather than breathing interruptions), there is a reduction of airflow typically of less than 40%. The PAP system cannot distinguish between central and obstructive hypopnea events. In both cases a pressure pulse leads to an increase of airflow because the airway is still at least partially open. In the case of central hypopnea the reduction of flow is caused by a reduction of the neuromuscular respiration drive, whereas in case of obstructive hypopnea the reduction is caused by a narrowing of the airway, which leads to an increase of the upper airway resistance.

**[0006]** In the case of an obstructive hypopnea, an increase of the CPAP pressure is beneficial to achieve airway patency. In the case of a central hypopnea a pressure increase will not increase the airflow, it might be even contra indicative; an unneeded pressure increase may lead to discomfort, lowering compliance of the patient to use the system.

**[0007]** Existing PAP systems are not able to measure

either the respiration drive nor the airway resistance. The resistance of the whole respiratory system (covering the upper airway and the lung) can however be measured by using Forced Oscillation Technology (FOT). The FOT technique modulates the pressure in the airway by a low frequency sine wave excitation (typically 1mbar). The used frequencies are less than 20 Hz. Such an analysis in the frequency domain can only determine the overall resistance of the lung, the larynx and the upper airway but does not provide the spatial information in the time domain to localize the segment in the upper airway, which causes a change of the resistance.

**[0008]** Thus, there is a first problem that current PAP systems cannot determine whether a hypopnea is caused by upper airway narrowing or by a reduction of respiratory drive.

**[0009]** Some patients adapt poorly to PAP treatment because of its obtrusive properties. As a result, more and more patients seek an alternative treatment, and this is particularly the case for patients suffering from mild to moderate OSA.

**[0010]** The pathophysiology of OSA is complex as it often results from an interplay of anatomical and neuromuscular dysfunctions. The power of PAP therapy is that it treats all collapsible levels of the upper airway and therefore works for every OSA patient, regardless of the pathophysiological causes. Although many treatment alternatives have higher patient acceptance, they only treat a specific level of the upper airway. This makes the applicability of these alternatives restricted to OSA sub-populations.

**[0011]** The inability of PAP treatment alternatives to treat all levels of the upper airway at the same time has as a consequence that patient selection becomes key for these alternatives to ensure optimal clinical outcomes. This requires a deeper study of OSA pathogenesis in those patients eligible for PAP alternatives.

**[0012]** Acoustical techniques to evaluate the upper airway are known in the art and the pharyngometer of Ecovision, and the Rhinometry system of Hood Laboratories are examples.

**[0013]** US 8 424 527 discloses a system in which an acoustic transducer is integrated in a PAP mask to study airway narrowing under applied airway pressure. A single sensor functions as a microphone and a sound source. US 2013/0046181 discloses a collar a patient wears around the neck, which uses acoustic pulses to image airway narrowing. These examples demonstrate the feasibility of acoustics to resolve upper airway properties. These examples have in common that they analyze the scattered sound of active sound sources provided by speakers/transducers. The article by LOUIS B ET AL: "Airway area by acoustic reflection: the two-microphone method.", JOURNAL OF BIOMECHANICAL ENGINEERING AUG 1993, vol. 115, no. 3, August 1993 (1993-08), pages 278-285, discloses another example of a two-microphone technique.

**[0014]** There is thus a second problem that airway

analysis and diagnosis systems, such as used to enable suitable non-PAP treatments to be selected, can be obtrusive in their measurement techniques, mainly because they are not suitable during normal sleep. For example it is not desirable to create sound which disturbs the user, and the system needs to be minimally obtrusive to the user.

## SUMMARY OF THE INVENTION

**[0015]** The invention is defined by the claims.

**[0016]** The system can detect airway narrowing, and this can be used as diagnostic information. The system can be arranged also to detect the location of an obstruction and optionally also the extent of airway narrowing. In this way, it becomes possible to localize the level of the airway that is narrowing, and optionally also to monitor how the narrowing develops. The airway characteristics are manifested as changes in the signals captured by the sensors. By using at least two sensors, the dynamic properties of the upper airway and the dynamics in the respiratory airflow can be resolved, based on any sound travelling past the sensors. This avoids the need for a specific sound source, so that sound sources external to the analysis system are used. The acoustic sensors are used to detect those external sounds, which may comprise noises generated by the user, ambient sounds, or noises generated by parts of a patient treatment system (such as a pump). The system can be part of a stand-alone diagnostic device, or it can be integrated into a treatment device, such as a PAP system or a flow meter or a Spirometer.

**[0017]** The passageway can be a chamber within which there is an air flow. In one example, the first and second sensors can be positioned at first and second locations along the passageway in the form of a tube which has a first end terminating at the mouth and/or nose of a user. In a second example the first and second sensors can be positioned inside the cavity for receiving a patient's mouth and/or nose inside a patient interface for delivering a flow of gas to a user (e.g. those used in PAP treatment to treat Obstructive Sleep Apnea (OSA).

**[0018]** The acoustic sensors can each be any sensor able to detect flow or pressure resulting from an acoustic wave. For example, the sensors can comprise microphones, although other pressure or flow sensors can be used.

**[0019]** This system can use noise stemming from breathing or sound produced by a pressure treatment device in order to detect airway narrowing, instead of requiring a dedicated active and audible sound source. Thus, the sound travelling along the passageway comprises only ambient noise received from noise sources external to the system, and noise generated by the user. An obstruction can be manifested as a change of the cross-section of the airway and/or other change of the flow resistance of the airway segment giving rise to a perceived obstruction.

**[0020]** The system can be made less obtrusive by avoiding the need for a noise source in the direct vicinity of the users head. The two or more sensors are instead used to measure existing ambient noise, and from this derive the input acoustic impedance of the respiratory tract continuously, from which it can be determined if (partial) collapses occur during for example during the night.

**[0021]** The system, not according to the invention, can however also be used with a sound source to provide a desired frequency spectrum for analysis. The use of a sound source in addition to ambient sounds means the sound source intensity can be reduced, while still ensuring a minimum signal intensity across a desired range of frequencies. This option can be provided as an additional mode of operation of the device, in addition to a mode of operation which does not make use of a dedicated sound source.

**[0022]** The system can use a Fourier transform processing arrangement for processing the outputs of the first and second microphones before processing to derive the acoustic transfer function. An averaging arrangement can be used for averaging the Fourier transformed signals before the processing to derive the acoustic transfer function. Preferably, the acoustic impedance is obtained as a complex impedance in the frequency domain. The shape of this complex function can then be interpreted to determine the airway blockages, for example based on use of a training database.

**[0023]** The processor can further be adapted to obtain a time domain impulse response, and derive therefore an airway diameter as a function of distance.

**[0024]** The passageway preferably comprises a tube, which can be straight, curved as well as flexible. In the case of a flexible tube, it can be coiled or otherwise bent to minimise the obstruction caused. However, shape features other than a tube can be used to induce a flow stream. For example the shaping of a mask with differently positioned sensors can enable sufficient information to be resolved.

**[0025]** The invention also provides a patient interface device comprising:

- a mask for delivering a gas to the nose and/or mouth of a patient;
- a system of the invention for analysis of the upper airway.

**[0026]** When the sensors are mounted along a passageway such as a tube, the passageway connects to the mask.

**[0027]** The analysis system can provide data suitable for use in diagnosing the type of apnoea. Alternatively, the analysis can be used in real time as part of a respiratory support pressure system. In this case, the invention provides a respiratory support pressure system comprising:

- a source of pressurised air;

a pressure control unit for controlling the air pressure; and  
 a patient interface device of the invention, wherein the pressurised air is provided to the user past the sensors, and wherein the air pressure is controlled in dependence on the detected presence of upper airway obstructions (and preferably also the location and extent of such obstructions).

**[0028]** The invention also provides a method for analysis of the upper airway, as defined in claim 10.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0029]** Examples of the invention will now be described in detail with reference to the accompanying drawings, in which:

Figure 1 shows the general configuration of a system for analysing the upper airway of a user;  
 Figure 2 shows the signal processing that can be used;  
 Figure 3 shows examples of derived complex impedance values with respect to frequency; and  
 Figure 4 shows a PAP system incorporating the analysis system.

#### DETAILED DESCRIPTION OF THE EMBODIMENTS

**[0030]** Embodiments provide a system for analysis of the upper airway in which at least two sensors are provided along a flow path leading to the mouth and/or nose of a user. A relation is derived between the two sensor signals, and this is interpreted to detect at least the presence of upper airway obstructions, and preferably also the location and/or extent of such obstructions.

**[0031]** Examples can be used solely as diagnostic tools and other examples can be used to assist in the control of a PAP system.

**[0032]** A first example will be described for diagnostic purposes.

**[0033]** Figure 1 shows the general configuration.

**[0034]** This example makes use of a passageway 2 in the form of a tube which is provided with one end terminating at the mouth and/or nose of a user 4. The example shows the passageway leading to the user's mouth. The one end is sealed around the mouth (and/or nose) although this is not shown in Figure 1.

**[0035]** A first acoustic sensor 10a is positioned at a first location along the passageway at a distance  $X_a$  from the end and a second acoustic sensor 10b is positioned at a second location along the passageway at a different distance  $X_b$  from the end. The other end of the passageway is open, so that the breathing air flow of the user passes along the passageway.

**[0036]** The passageway 2 can take the form of a tube although it can be a passage integrated into another component and thus does not necessarily need to be formed

as a separate tube. Essentially, the sensors are located along a flow path which may be within a passageway or may be caused by other geometric components.

**[0037]** In one set of examples, the sensors 10a, 10b comprise microphones, although other acoustic sensors can be used which are responsive to sound pressure waves or the changes in air flow direction and speed which result from such sound pressure waves. The term acoustic sensor should be understood accordingly as relating to a sensor which detects properties or the effects of a sound pressure wave.

**[0038]** The open end of the passageway enables environmental sound or noise to enter and this will be detected by the microphones.

**[0039]** The human airway is an open lumen structure and can be considered as a waveguide to transmit acoustic signals. If the lumen structure changes its geometrical properties, e.g. a narrowing of a segment of the upper airway, the transmission and reflection of an acoustic signal will alter, as a result of the change in acoustic impedance of the system. The change can be measured in the energy density spectrum of a received acoustic signal. The change in the airway resistance is thus represented by the change of this function.

**[0040]** To determine the acoustic impedance (which is the ratio between sound pressure and velocity) at the mouth or nose, the transfer function between the acoustic signals at microphones 10a and 10b must be known, and the acoustics of the tube then enable the acoustic impedance at the mouth can be determined using:

$$z = i\rho c \frac{H_{ab} \sin(kx_a) - \sin(kx_b)}{\cos(kx_b) - H_{ab} \cos(kx_a)}.$$

**[0041]** Here  $\rho$  and  $c$  are the density and speed of sound of air respectively, and  $k$  is the wavenumber  $=\omega/c$ , where  $\omega$  is the angular frequency defined by properties of the STFT.  $X_a$  and  $X_b$  are the distances shown in Figure 1.

**[0042]** Because the source properties are present in both microphone signals  $H_a$  and  $H_b$ , and only the transfer function  $H_{ab}=H_a/H_b$  is needed, the source properties are effectively cancelled, meaning that any sound source can be used including ambient sound or even the sound of snoring of the subject under test. In this way, sound signals which comprise only ambient noise received from noise sources external to the analysis system, and noise generated by the user are processed.

**[0043]** In the case of low level environmental sounds, especially during nights, it may be advantageous to average the microphone signals over longer periods of time in order to increase the signal to noise ratio.

**[0044]** Figure 2 shows the signal processing that can be used.

**[0045]** First a processor 18 is used to take the Fourier transform, for example the so-called Short-Time Fourier Transform (STFT), of both microphone signals by using Fourier transform units 20a, 20b. Averages are taken

over time by averaging units 22a, 22b.

**[0046]** The processor derives the acoustic transfer function  $H_{ab}$  as shown by module 24. The transfer function is complex-valued. The transfer function is then used to calculate the acoustic impedance using the relation above in module 26.

**[0047]** A detector 28 is used for interpreting the acoustic impedance to detect the presence and location of an upper airway obstruction. In general a detector like detector (28) may be used for interpreting a derived parameter to detect the presence, extent and/or location of an upper airway obstruction. Whereas for diagnostic applications it may be sufficient to know whether or not there is an obstruction, therapeutic applications may require location and/or extent of the obstruction.

**[0048]** The acoustic impedance thus forms a parameter in the form of a function (of impedance value versus frequency in this case) and not a single value. The term "parameter" should be understood accordingly. The parameter is interpreted to enable detection of the presence and location of airway obstructions.

**[0049]** The derivation of the transfer function in this way is a routine process, and is known as a "spectrum estimator". In addition to the transfer function, the coherence can also be acquired routinely. This is a measure for the signal to noise ratio as a function of the frequency of the transfer function. This can be used to select for example only those frequencies which have sufficient signal to noise ratio.

**[0050]** The detector 28 can for example be a simple classifier based on supervised learning. The system can be trained with an open airway impedance, and with several known obstructed impedances. This training set is then compared to the actual measured impedance. The obstruction can then be detected by selecting the corresponding spectrum from the training set which is closest to the current value. The closest value can be derived using any approximation/mapping method, such as a least squares mapping.

**[0051]** As the complex impedance is obtained in the frequency domain, also the time domain impulse response is available. The airway diameter could be calculated as a function of distance using acoustic pulse reflectometry. Each diameter change generates its own reflection coefficient (both in time and in amplitude). From the distance between the reflections and the incident wave algorithms exist that can reconstruct the diameter as function of distance (for example the Ware-Aki method).

**[0052]** In Figure 3, three complex impedance curves are shown which have been measured with a prototype on a simplified pharynx model for an obstruction on three different distances from the mouth opening. This graph clearly shows the large differences that can be detected. Figure 3 plots the acoustic impedance against the frequency.

**[0053]** By way of example, the microphones can be mounted in a 19mm internal diameter tube with a spacing

of 6 cm. The tube can then be mounted onto a mask or facial cap of a treatment system. The passageway does not need to be straight, so when implemented as a tube, it can be easily folded or rolled such that it can fit into a mask, in particular as the required spacing is for example in the range 1 cm to 20 cm.

**[0054]** The system can be used as a rhinometer (for analysis of the nasal cavity) where a thin tube, typically with a similar diameter as the nostrils, is for example folded over the subject's cheek for example integrated in a nasal pillow.

**[0055]** The system described can be used as a monitoring device to obtain information about the airway of a user, in particular the location of obstructions, which can then be used for the purposes of diagnosis, for example to determine if a patient is suitable for a CPAP treatment alternative like surgery, implants or oral devices. This monitoring can be carried out at home for patients with obstructive sleep apnea as part of a sleep study. For each apnoea or hypopnea event, the change of the airway resistance in each airway segment can be calculated, so that the sleep physician can determine the airway segment that is the main contributor for the obstruction.

**[0056]** The invention is also of interest for use as part of a respiratory pressure support system, such as a CPAP or APAP system, for example to determine the type of hypopnea so that a correct decision can be made as to whether or not a pressure burst should be applied. Indeed, one problem of current PAP systems is that they cannot determine whether a hypopnea is caused by upper airway narrowing or by a reduction of respiratory drive. The measurement system can thus be used to improve the pressure titration in a automatic PAP ("APAP") system.

**[0057]** Existing APAP systems only send a pressure pulse when a strong reduction of flow of at least 40% is detected. The monitoring system described above is able to monitor and calculate permanently in each breath cycle the change of the airway resistance and may increase or decrease the pressure accordingly when the airway resistance changes from one breath cycle to the next. In this way, an APAP system can faster respond to a change in the airway patency, and can determined whether or not an even is suitable for treatment using a pressure pulse.

**[0058]** Figure 4 shows a typical system to provide respiratory therapy to a patient.

**[0059]** The system 30 includes a pressure generating device 32, a delivery conduit 2 which functions also as the passageway of the analysis system, coupled to an elbow connector 34, and a patient interface device 36. The pressure generating device 32 is structured to generate a flow of breathing gas and may include, without limitation, ventilators, constant pressure support devices (such as a continuous positive airway pressure device, or CPAP device), variable pressure devices, and auto-titration pressure support devices.

**[0060]** Delivery conduit 2 communicates the flow of

breathing gas from pressure generating device 32 to patient interface device 36 through the elbow connector 34. The delivery conduit 2, elbow connector 34 and patient interface device 36 are often collectively referred to as a patient circuit.

**[0061]** The patient interface device includes a mask 38 in the form of a shell 40 and cushion 42, which in the exemplary embodiment is a nasal and oral mask. However, any type of mask, such as a nasal-only mask, a nasal pillow/cushion or a full face mask, which facilitates the delivery of the flow of breathing gas to the airway of a patient, may be used as mask. The cushion 42 is made of a soft, flexible material, such as, without limitation, silicone, an appropriately soft thermoplastic elastomer, a closed cell foam, or any combination of such materials.

**[0062]** An opening in the shell 40, to which elbow connector 34 is coupled, allows the flow of breathing gas from pressure generating device 32 to be communicated to an interior space defined by the shell 40 and cushion 42, and then to the airway of a patient.

**[0063]** The patient interface assembly 36 also includes a headgear component 44, which in the illustrated embodiment is a two-point headgear. Headgear component 44 includes a first and a second strap 46, each of which is structured to be positioned on the side of the face of the patient above the patient's ear.

**[0064]** The patient interface assembly can additionally include a forehead support (not shown) for reducing the forces on the patient's face by increasing the contact area.

**[0065]** The pressure generating device 32 is controlled by a pressure control device 46. This in turn receives input from the monitoring system described above, which is represented by module 48 and the associated sensors 10a, 10b which are placed along the delivery conduit 2.

**[0066]** In some examples making use of microphones, no additional sound source is needed. For example the acoustic signal for the measurement of the airway acoustic impedance can be the noise from the environment or the inherent noise from the fan in the therapy device. However, not according to the invention, the use of a sound source can give more reliable results, such as a pseudo random noise signal or a pulsed stimulation in the time domain. In this way, a broad spectrum sound source can be provided. This option can be provided as an additional mode of operation of the device to allow more accurate results, in addition to a mode of operation which does not make use of a dedicated sound source.

**[0067]** The signal processing can use the STFT as explained above. Other known signal processing techniques such as time domain reflectometry or other Fourier transformations such as a Fast Fourier transform can be used.

**[0068]** In the example above, the detection of the obstruction location is based on matching the complex impedance function (with respect to frequency) with training data. Other approaches may be used. For example the impedance function can be further processed to derive

a different metric indicative of the function shape, and this can then be compared with data stored in a look up table. This different metric would then form the "parameter" which is analysed to determine the presence and location of airway obstructions. Thus, direct comparison between the impedance function and training values is not essential, and further data processing can be carried out before the data evaluation takes place.

**[0069]** The examples above make use of microphones to detect changes in acoustic signals. Microphones can be implemented as conventional pressure sensing diaphragm devices, but they can also be implemented as microelectromechanical systems (MEMS) sensors. Furthermore, other types of sensor can be used which also respond to the air flow or pressure variations within the passageway caused by travelling sound waves, such as anemometers, and so-called Microflown (Trade Mark) sensors, which measure particle velocities based on temperature differences.

**[0070]** The invention can be used in various diagnostic application scenarios, such as:

Screening of breathing and airway patency in natural sleep (at home);

Monitoring of breathing and airway patency in patients treated by positive airway pressure therapy (CPAP, APAP) at home;

Monitoring of patency during a sleep study (polysomnography);

Monitoring of airway patency in an intensive care unit;

Monitoring of acoustic breathing pattern and airway patency in unconscious persons during surgical interventions and post-surgery in the wakeup room;

Airway Patency Monitoring in persons suffering from Sleep Disordered Breathing (SDB);

Pressure titration in an APAP system based on the change in the airway resistance.

**[0071]** As explained above, the invention can be used for upper airway resistance monitoring to measure narrowing and obstructions, for screening of patients with obstructive sleep apnea, for more accurate topodiagnosis of the obstruction location, and also for airway resistance monitoring during hypopnea events to provide feedback for a PAP system.

**[0072]** The system makes use of a controller for implementing the sensor signal processing. Components that may be employed for the controller include, but are not limited to, conventional microprocessors, application specific integrated circuits (ASICs), and field-programmable gate arrays (FPGAs).

**[0073]** In various implementations, a processor or controller may be associated with one or more storage media such as volatile and non-volatile computer memory such as RAM, PROM, EPROM, and EEPROM. The storage media may be encoded with one or more programs that, when executed on one or more processors and/or con-

trollers, perform at the required functions. Various storage media may be fixed within a processor or controller or may be transportable, such that the one or more programs stored thereon can be loaded into a processor or controller.

**[0074]** The examples above make use of two sensors, as the minimum to resolve the desired information. However more than two sensors can be used to gather additional information for processing. The references only to first and second sensors should therefore not be taken as limiting to the use of only two sensors.

**[0075]** Other variations to the disclosed embodiments can be understood and effected by those skilled in the art in practicing the claimed invention, from a study of the drawings, the disclosure, and the appended claims. In the claims, the word "comprising" does not exclude other elements or steps, and the indefinite article "a" or "an" does not exclude a plurality. The mere fact that certain measures are recited in mutually different dependent claims does not indicate that a combination of these measures cannot be used to advantage. Any reference signs in the claims should not be construed as limiting the scope.

## Claims

1. A system for analysis of the upper airway, comprising:
  - a passageway for communication with a user's airway;
  - a first acoustic sensor (10a) positioned at a first location with respect to the passageway;
  - a second acoustic sensor (10b) positioned at a second location with respect to the passageway; and
  - a processor (18) adapted to derive a parameter from the relation between the two sensor signals, which parameter varies in dependence on the presence of an upper airway obstruction, wherein the processor (18), to derive said parameter, is adapted to process sound signals which comprise only ambient noise received from noise sources external to the analysis system, and noise generated by the user, wherein the processor (18), to derive said parameter, is further adapted to derive the transfer function ( $H_{ab}$ ) between the acoustic signals at the first acoustic sensor (10a) and the second acoustic sensor (10b), and to derive the parameter in the form of an acoustic impedance from the acoustic transfer function ( $H_{ab}$ ).
2. A system as claimed in claim 1, further comprising a detector (26) for interpreting the parameter to detect the presence of an upper airway obstruction.
3. A system as claimed in claim 2, wherein the detector is further adapted to detect the location and optionally extent of the upper airway obstruction.
4. A system as claimed in any preceding claim, wherein the passageway comprises a tube and the first and second sensors (10a, 10b) are positioned at first and second locations along the tube, which has a first end which is for terminating at the mouth and/or nose of a user.
5. A system as claimed in claim 1, comprising a Fourier transform processing arrangement (20a, 20b) for processing the outputs of the first and second sensors before processing to derive the acoustic transfer function, and optionally further comprising an averaging arrangement (22a, 22b) for averaging the Fourier transformed signals before the processing to derive the acoustic transfer function.
6. A system as claimed in claim 5, wherein the acoustic impedance is obtained as a complex impedance in the frequency domain and wherein the processor is further optionally adapted to obtain a time domain impulse response, and derive therefore an airway diameter as a function of distance.
7. A system as claimed in any preceding claim, wherein the passageway (2) comprises a tube, wherein optionally the tube is flexible.
8. A patient interface device comprising:
  - a mask (36) for delivering a gas to the nose and/or mouth of a patient;
  - a system as claimed in any preceding claim for analysis of the upper airway connected to or integrated into the mask.
9. A respiratory pressure support system comprising:
  - a source of pressurised air (32);
  - a pressure control unit (46) for controlling the air pressure; and
  - a patient interface device as claimed in claim 8, wherein the system is configured to provide pressurised air to the user past the sensors (10a, 10b), and wherein system is configured to control the air pressure in dependence on the detected presence of upper airway obstructions.
10. A method for analysis of the upper airway, using an analysis system, the method comprising:
  - providing a first acoustic sensor at a first location with respect to a passageway for communication with a user's airway;
  - providing a second acoustic sensor at a second

location with respect to the passageway;  
 using the sensors to detect sound which comprises only ambient noise received from noise sources external to the analysis system, and noise generated by the user;  
 using the analysis system to derive a parameter from the relation between the two sensor signals,  
 wherein the method further comprises:  
 using the analysis system to derive the acoustic transfer function between the acoustic signals at the first acoustic sensor (10a) and the second acoustic sensor (10b) and to derive the parameter in the form of an acoustic impedance from the acoustic transfer function, said parameter varies in dependence on the presence of an upper airway obstruction.

11. A method as claimed in claim 10, comprising providing the first and second sensors (10a, 10b) at first and second locations along a tube which has a first end terminating at the mouth and/or nose of a user.

#### Patentansprüche

1. System zur Analyse der oberen Atemwege, umfassend:

einen Durchgang zur Kommunikation mit Atemwegen eines Benutzers;  
 einen ersten akustischen Sensor (10a), der an einer ersten Stelle in Bezug auf den Durchgang positioniert ist;  
 einen zweiten akustischen Sensor (10b), der an einer zweiten Stelle in Bezug auf den Durchgang positioniert ist; und  
 einen Prozessor (18), der ausgebildet ist, einen Parameter aus dem Verhältnis zwischen den zwei Sensorsignalen abzuleiten, welcher Parameter abhängig vom Vorhandensein einer Verlegung der oberen Atemwege variiert, wobei der Prozessor (18) ausgebildet ist, zum Ableiten des Parameters Schallsignale zu verarbeiten, die nur Umgebungsrauschen, das von Rauschquellen extern des Analysesystems empfangen wird, und Rauschen, das durch den Benutzer erzeugt wird, umfassen, wobei der Prozessor (18) weiter ausgebildet ist, zum Ableiten des Parameters, die Transferfunktion ( $H_{ab}$ ) zwischen den akustischen Signalen beim ersten akustischen Sensor (10a) und dem zweiten akustischen Sensor (10b) abzuleiten und den Parameter in der Form einer akustischen Impedanz aus der akustischen Transferfunktion ( $H_{ab}$ ) abzuleiten.

2. System nach Anspruch 1, weiter umfassend einen

Detektor (26) zum Interpretieren des Parameters, um das Vorhandensein einer Verlegung der oberen Atemwege zu detektieren.

3. System nach Anspruch 2, wobei der Detektor weiter ausgebildet ist, die Stelle und optional ein Ausmaß der Verlegung der oberen Atemwege zu detektieren.
4. System nach einem der vorstehenden Ansprüche, wobei der Durchgang einen Schlauch umfasst und der erste und zweite Sensor (10a, 10b) an einer ersten und zweiten Stelle entlang des Schlauchs positioniert sind, der ein erstes Ende aufweist, das beim Mund und/oder bei der Nase eines Benutzers endet.
5. System nach Anspruch 1, umfassend eine Fourier-Transformationsverarbeitungsanordnung (20a, 20b) zum Verarbeiten der Ausgänge des ersten und zweiten Sensors vor Verarbeitung zum Ableiten der akustischen Transferfunktion und optional weiter umfassend eine Durchschnittsermittlungsanordnung (22a, 22b) zum Ermitteln des Durchschnitts der Fourier-transformierten Signale vor der Verarbeitung zum Ableiten der akustischen Transferfunktion.
6. System nach Anspruch 5, wobei die akustische Impedanz als eine komplexe Impedanz in der Frequenzdomäne erhalten wird und wobei der Prozessor weiter optional ausgebildet ist, eine Zeitdomänenimpulsantwort zu erhalten und daher einen Atemwegsdurchmesser als eine Funktion einer Distanz abzuleiten.
7. System nach einem der vorstehenden Ansprüche, wobei der Durchgang (2) einen Schlauch umfasst, wobei der Schlauch optional flexibel ist.
8. Patientenschnittstellenvorrichtung, umfassend:  
 eine Maske (36) zum Abgeben eines Gases an die Nase und/oder den Mund eines Patienten;  
 ein System nach einem der vorstehenden Ansprüche zur Analyse der oberen Atemwege, das mit der Maske verbunden oder in dieser integriert ist.
9. Beatmungsdruckunterstützungssystem, umfassend:  
 eine Druckluftquelle (32);  
 eine Drucksteuerungseinheit (46) zum Steuern des Luftdrucks; und  
 eine Patientenschnittstellenvorrichtung nach Anspruch 8, wobei das System konfiguriert ist, dem Benutzer an den Sensoren (10a, 10b) vorbei Druckluft bereitzustellen, und wobei das System konfiguriert ist, den Luftdruck abhängig von dem erfassten Vorhandensein einer Ver-



gung der oberen Atemwege zu steuern.

10. Verfahren zur Analyse der oberen Atemwege unter Verwendung eines Analysesystems, das Verfahren umfassend:

Bereitstellen eines ersten akustischen Sensors an einer ersten Stelle in Bezug auf einen Durchgang zur Kommunikation mit Atemwegen eines Benutzers;  
Bereitstellen eines zweiten akustischen Sensors an einer zweiten Stelle in Bezug auf den Durchgang;  
Verwenden der Sensoren zum Detektieren von Schall, der nur Umgebungsrauschen, das von Rauschquellen extern des Analysesystems empfangen wird, und Rauschen, das durch den Benutzer erzeugt wird, umfasst, um einen Parameter aus dem Verhältnis zwischen den zwei Sensorsignalen abzuleiten, wobei das Verfahren weiter umfasst:

Verwenden des Analysesystems zum Ableiten der akustischen Transferfunktion zwischen den akustischen Signalen beim ersten akustischen Sensor (10a) und dem zweiten akustischen Sensor (10b) und Ableiten des Parameters in der Form einer akustischen Impedanz aus der akustischen Transferfunktion, welcher Parameter abhängig vom Vorhandensein einer Verlegung der oberen Atemwege variiert.

11. Verfahren nach Anspruch 10, umfassend ein Bereitstellen des ersten und zweiten Sensors (10a, 10b) an einer ersten und zweiten Stelle entlang eines Schlauchs, der ein erstes Ende aufweist, das beim Mund und/oder bei der Nase eines Benutzers endet.

## Revendications

1. Système pour l'analyse des voies respiratoires supérieures, comprenant :

une voie de passage pour une communication avec les voies respiratoires d'un utilisateur ;  
un premier capteur acoustique (10a) positionné au niveau d'un premier emplacement par rapport à la voie de passage ;  
un second capteur acoustique (10b) positionné au niveau d'un second emplacement par rapport à la voie de passage ; et  
un processeur (18) adapté pour déduire un paramètre de la relation entre les deux signaux de capteur, lequel paramètre varie en fonction de la présence d'une obstruction des voies respiratoires supérieures,

dans lequel le processeur (18), pour déduire ledit paramètre, est adapté pour traiter des signaux sonores qui comprennent uniquement le bruit ambiant reçus de sources de bruit externes au système d'analyse, et le bruit généré par l'utilisateur.

dans lequel le processeur (18), pour déduire ledit paramètre, est en outre adapté pour déduire la fonction de transfert ( $H_{ab}$ ) entre les signaux acoustiques au niveau du premier capteur acoustique (10a) et du deuxième capteur acoustique (10b), et pour déduire le paramètre sous la forme d'une impédance acoustique à partir de la fonction de transfert acoustique ( $H_{ab}$ )

2. Système selon la revendication 1, comprenant en outre un détecteur (26) pour interpréter le paramètre afin de détecter la présence d'une obstruction des voies respiratoires supérieures.

3. Système selon la revendication 2, dans lequel le détecteur est en outre adapté pour détecter l'emplacement et éventuellement l'étendue de l'obstruction des voies respiratoires supérieures.

4. Système selon l'une quelconque des revendications précédentes, dans lequel la voie de passage comprend un tube et les premier et second capteurs (10a, 10b) sont positionnés à des premier et second emplacements le long du tube, qui a une première extrémité qui est destinée à se terminer au niveau de la bouche et/ou du nez d'un utilisateur.

5. Système selon la revendication 1, comprenant un agencement de traitement de transformée de Fourier (20a, 20b) pour traiter les sorties des premier et second capteurs avant le traitement afin de déduire la fonction de transfert acoustique, et comprenant en outre facultativement un agencement de calcul de moyenne (22a, 22b) pour calculer la moyenne des signaux de transformée de Fourier avant le traitement pour déduire la fonction de transfert acoustique.

6. Système selon la revendication 5, dans lequel l'impédance acoustique est obtenue sous la forme d'une impédance complexe dans le domaine fréquentiel et dans lequel le processeur est en outre facultativement adapté pour obtenir une réponse impulsionnelle de domaine temporel, et pour déduire par conséquent un diamètre des voies respiratoires en fonction de la distance.

7. Système selon l'une quelconque des revendications précédentes, dans lequel la voie de passage (2) comprend un tube, dans lequel facultativement le tube est flexible.

8. Dispositif d'interface de patient comprenant :

un masque (36) pour délivrer un gaz au nez et/ou à la bouche d'un patient ;  
un système selon l'une quelconque des revendications précédentes pour l'analyse des voies respiratoires supérieure relié à ou intégré dans le masque.

tube qui a une première extrémité qui est destinée à se terminer au niveau de la bouche et/ou du nez d'un utilisateur.

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9. Système de support de pression respiratoire, comprenant :

une source d'air comprimé (32) ;  
une unité de commande de pression (46) pour commander la pression d'air ; et  
un dispositif d'interface de patient selon la revendication 8, dans lequel le système est configuré pour fournir de l'air comprimé à l'utilisateur devant les capteurs (10a, 10b), et dans lequel le système est configuré pour commander la pression d'air est commandée en fonction de la présence détectée d'obstructions des voies respiratoires supérieures.

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10. Procédé pour l'analyse des voies respiratoires supérieures, comprenant :

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la fourniture d'un premier capteur acoustique en un premier emplacement par rapport à une voie de passage pour une communication avec les voies respiratoires d'un utilisateur ;  
la fourniture d'un second capteur acoustique en un second emplacement par rapport à la voie de passage ;  
l'utilisation des capteurs pour détecter un son qui ne comprend que le bruit ambiant reçu de sources externes au système d'analyse, et le bruit généré par l'utilisateur ;  
l'utilisation du système d'analyse pour déduire un paramètre de la relation entre les deux signaux de capteur ;  
dans lequel le procédé comprend en outre :

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l'utilisation du système d'analyse pour déduire la fonction de transfert acoustique entre les signaux acoustiques au niveau du premier capteur acoustique (10a) et du second capteur acoustique (10b) et pour déduire le paramètre sous la forme d'une impédance acoustique à partir de la fonction de transfert acoustique, le paramètre variant en fonction de la présence d'une obstruction des voies respiratoires supérieures.

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11. Procédé selon la revendication 10, comprenant la fourniture des premier et second capteurs (10a, 10b) à des premier et second emplacements le long du

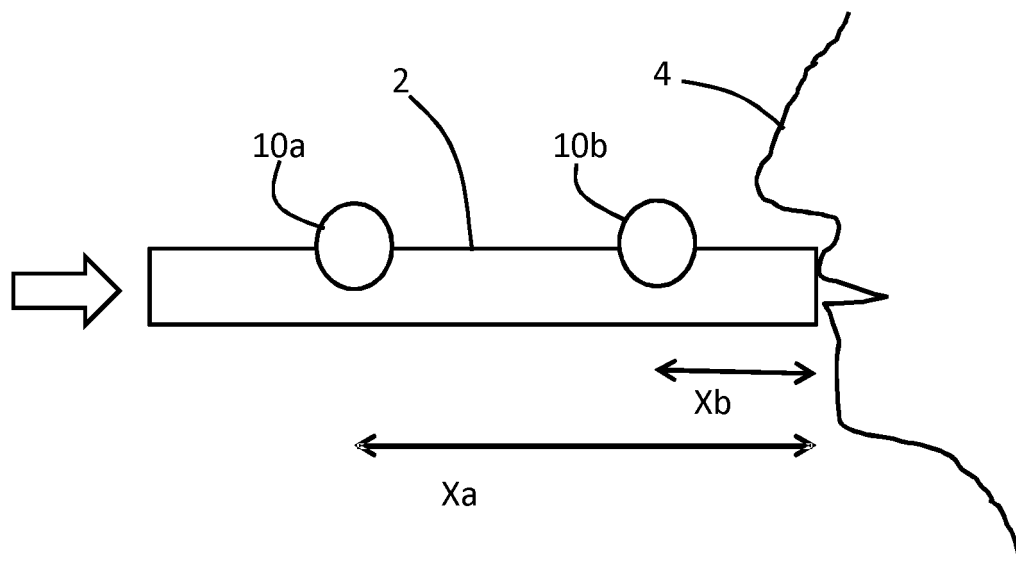


FIG. 1

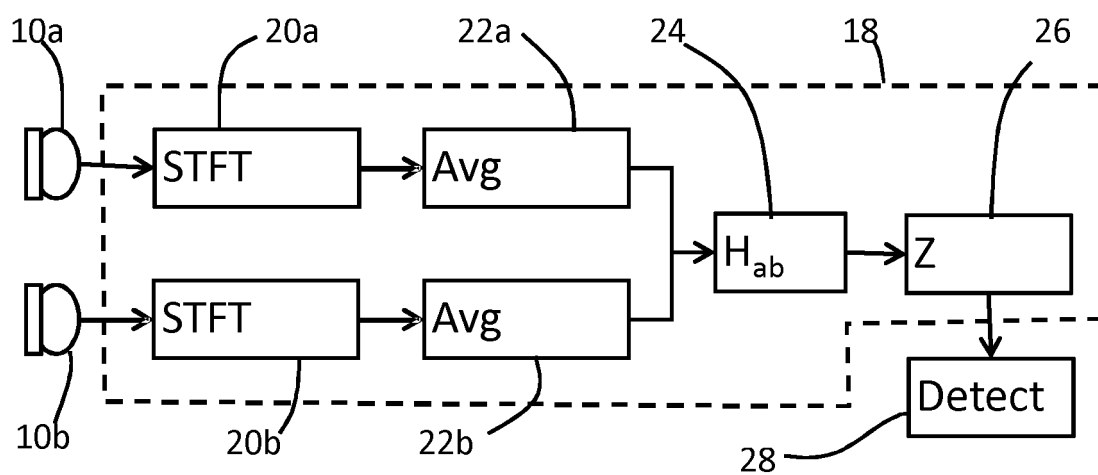


FIG. 2

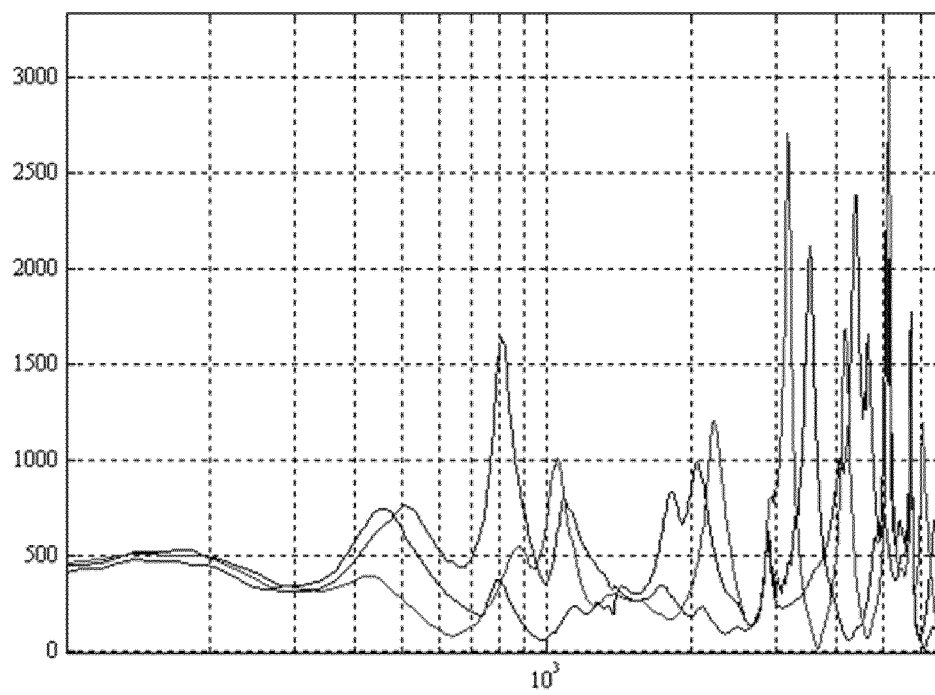


FIG. 3

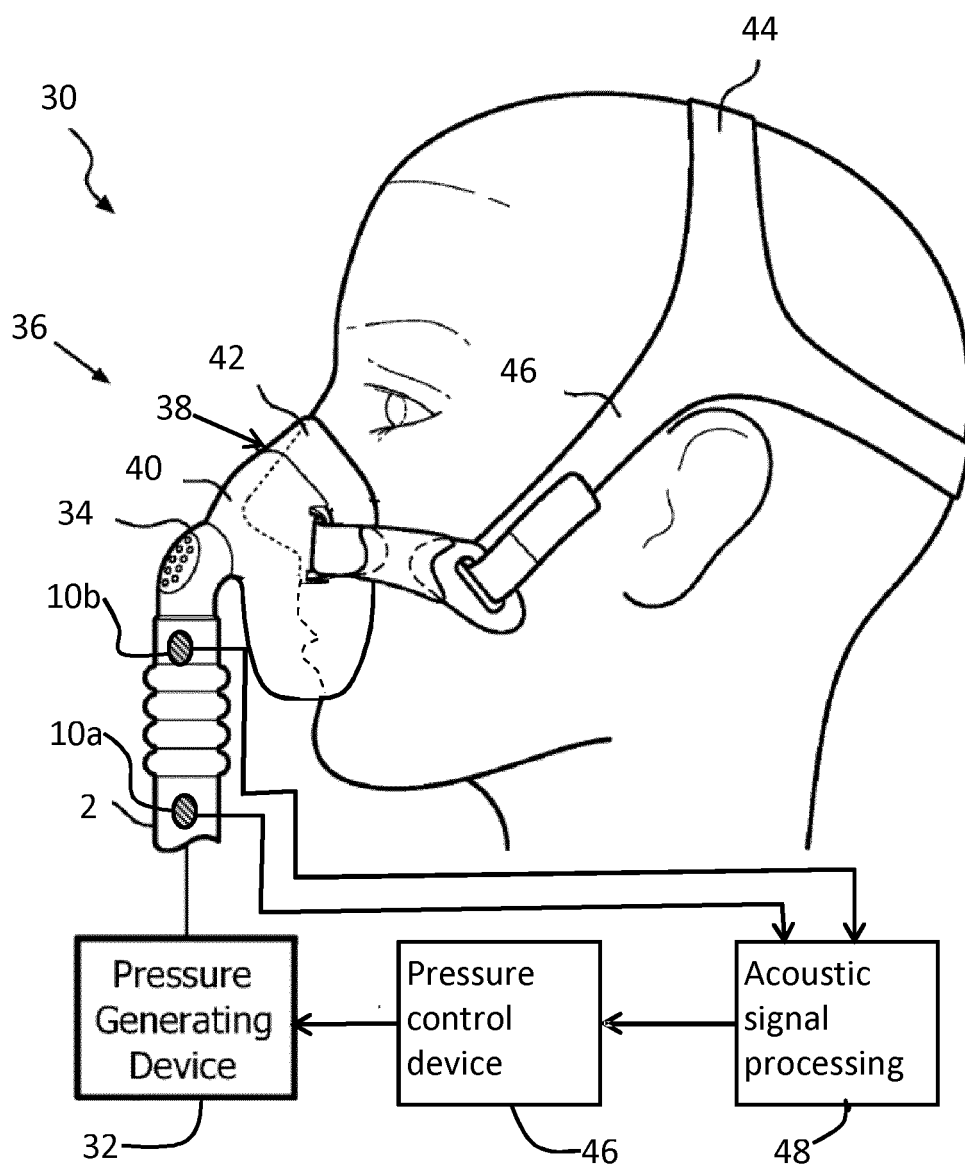


FIG. 4

**REFERENCES CITED IN THE DESCRIPTION**

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**Patent documents cited in the description**

- US 8424527 B [0013]
- US 20130046181 A [0013]

**Non-patent literature cited in the description**

- **LOUIS B et al.** Airway area by acoustic reflection: the two-microphone method. *JOURNAL OF BIOMECHANICAL ENGINEERING* AUG 1993, August 1993, vol. 115 (3), 278-285 [0013]

|                |                                                                                                   |         |            |
|----------------|---------------------------------------------------------------------------------------------------|---------|------------|
| 专利名称(译)        | 用于分析上呼吸道和呼吸压力支持系统的系统和方法                                                                           |         |            |
| 公开(公告)号        | <a href="#">EP3099227B1</a>                                                                       | 公开(公告)日 | 2018-12-19 |
| 申请号            | EP2015700689                                                                                      | 申请日     | 2015-01-19 |
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| IPC分类号         | A61B5/08 A61B5/00                                                                                 |         |            |
| 代理机构(译)        | SCHUDELARO , 安东尼ADRIANUS PETRUS                                                                   |         |            |
| 优先权            | 2014152609 2014-01-27 EP                                                                          |         |            |
| 其他公开文献         | EP3099227A1                                                                                       |         |            |
| 外部链接           | <a href="#">Espacenet</a>                                                                         |         |            |

#### 摘要(译)

用于分析上呼吸道的系统具有沿着通向使用者的嘴和/或鼻子的流动路径设置的至少两个传感器。在两个传感器信号之间导出关系，并且这被解释为至少检测上呼吸道阻塞的存在，并且优选地还检测这些障碍物的位置和/或程度。

$$Z = i\rho c \frac{H_{ab} \sin(kx_a) - \sin(kx_b)}{\cos(kx_b) - H_{ab} \cos(kx_a)},$$