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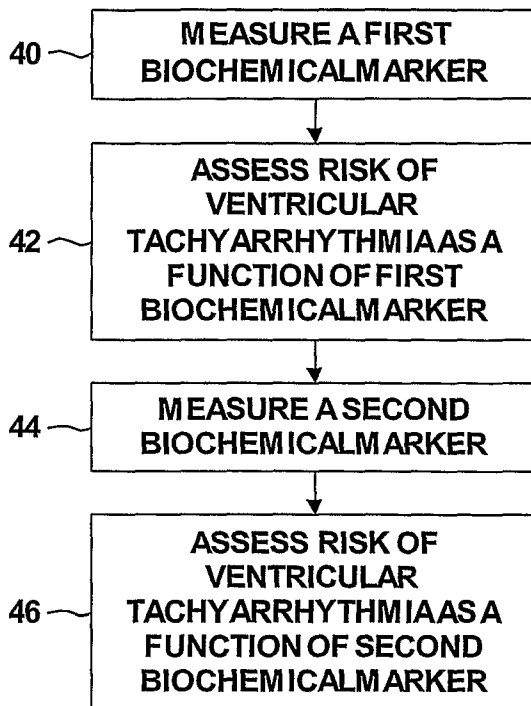
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(54) Title: METHODS AND APPARATUS FOR IDENTIFYING PATIENTS AT RISK FOR LIFE THREATENING ARRHYTHMIAS



(57) Abstract: In general, the invention is directed to systems and techniques for assessing a risk of ventricular tachyarrhythmia in a patient by measuring one or more biochemical markers that reflect the health of a patient. Typically, the patient submits a sample, such as a blood sample, which is tested for one or more biomarkers. Based upon the results of the tests, the patient's risk of ventricular tachyarrhythmia may be assessed. When the patient is found to be at risk, the patient may receive an implantable medical device or drug therapy to address the risk.

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**METHODS AND APPARATUS FOR IDENTIFYING PATIENTS AT RISK FOR LIFE THREATENING ARRHYTHMIAS**

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The present invention relates to a system and method for identifying candidates for receiving cardiac therapy based on biochemical markers associated with propensity for arrhythmias.

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Sudden cardiac death (SCD), or cardiac arrest, is the sudden, abrupt loss of heart function in a person who may or may not have diagnosed heart disease. Sudden cardiac death may be caused by almost all known heart diseases. Most cardiac arrests occur when the diseased heart begins to exhibit rapid and/or chaotic activity -- ventricular tachycardia or fibrillation. Some are due to extreme slowing of the heart. All these events are called life-threatening arrhythmias. Patient's implanted with an implantable medical device, such as an implantable cardioverter defibrillator (ICD), greatly increase their chances of preventing sudden cardiac death caused by sustained ventricular arrhythmias. However, there are a significant number of patients with an increased propensity for suffering sudden cardiac death who have not experienced and survived previous cardiac episodes and therefore who are not already implanted with an implantable medical device.

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Consequently, there is a need for techniques and apparatus that can identify individuals at risk for sudden cardiac death prior to the onset of identifiable symptoms in order to provide those patients with an appropriate preventative therapy, such as drug therapy and/or an IMD that provides electrical stimulation therapy.

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In general, the invention is directed to systems and techniques for assessing a risk of ventricular tachyarrhythmia in a patient. In some medical conditions, including but not limited to ventricular tachyarrhythmia, certain biochemical factors in the body of the patient reflect the health of a patient. A patient that experiences ventricular tachyarrhythmia, for example, experiences an increased concentration of identifiable proteins in his blood, even the patient is symptom free. By measurement of the concentration of these biochemical markers or "biomarkers" in the patient, an assessment of a risk of ventricular tachyarrhythmia for the patient can be made, based upon the measurements.

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In a typical embodiment, the patient submits a sample, such as a blood sample. The sample is tested for one or more biomarkers. Based upon the results of the tests, the patient's risk of ventricular tachyarrhythmia may be assessed.

When a patient has been identified as being at risk of ventricular tachyarrhythmia, the patient may receive therapy to address the risk. The patient may receive drug therapy, for example, or may receive an IMD that provides electrical stimulation therapy. In general, drug therapy prevents a spontaneous induction of a VT or VF episode. An IMD that provides electrical stimulation therapy, by contrast, terminates VT or VF episodes. Patients who receive therapy generally have improved survival rates.

In one embodiment, the invention is directed to a method comprising measuring a biochemical marker in a patient, and assessing a risk of ventricular tachyarrhythmia in the patient as a function of the measurement. This method supports the measurement of any number of biochemical markers and combinations of biochemical markers, and further supports a variety of measurement techniques.

In another embodiment, the invention is directed to a method comprising measuring a biochemical marker in a patient, and assessing a benefit of implanting an electronic cardiac stimulation device in the patient as a function of the measurement. In a further embodiment, the invention is directed to a method comprising measuring one or more biochemical markers in a patient, and assessing a benefit of administering an antiarrhythmic drug to the patient as a function of the measurement.

The invention also includes embodiments in which a computer-readable medium includes instructions for causing a programmable processor to carry out any of the methods of the invention.

In an additional embodiment, the invention presents a system that includes a measuring system configured to measure a biochemical marker in a patient and a processor configured to assess a risk of ventricular tachyarrhythmia in the patient as a function of the measurement. The measuring system may comprise, for example, a mass spectrometer, ELISA tests or any other biochemical assays.

The details of one or more embodiments of the invention are set forth in the accompanying drawings and the description below. Other features, objects, and advantages of the invention will be apparent from the description and drawings, and from the examples.

FIG. 1 is a conceptual logical diagram illustrating an embodiment of the invention.

FIG. 2 is a conceptual logical diagram illustrating a variation of the embodiment of the invention shown in FIG. 1.

5 FIGS. 3 and 4 are flow diagrams illustrating techniques for assessment of risk of ventricular tachyarrhythmia.

FIG. 5 is a conceptual diagram illustrating a technique for mass analysis of a sample for biochemical markers.

10 FIG. 6 is a graph showing differences in biochemical marker abundance for a patient at risk of ventricular tachyarrhythmia, compared to a patient in a control group.

FIG. 7 is a logical diagram illustrating a technique for sorting patients at risk of ventricular tachyarrhythmia from a control group.

FIG. 8 is a block diagram of a system configured to carry out an embodiment of the invention.

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FIG. 1 is a conceptual logical diagram illustrating an embodiment of the invention. Based upon measuring one or more biochemical markers in a group of patients 10, the invention provides for assessing a risk of ventricular tachyarrhythmia in each patient as a function of the measurement.

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In the illustration shown in FIG. 1, a "tree analysis" sorts the patients into groups according to measurements of three biochemical markers. The biochemical markers are identified by the letters "A," "B," "C" and "D." Typical biochemical markers include proteins, lipids, genes and peptides or any combination thereof, but the illustration shown in FIG. 1 is not limited to any particular biochemical marker or set of biochemical markers. Specific examples of biochemical markers are discussed below.

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For each patient, a measure of a first biochemical marker (denoted  $M_A$ ) is determined. Determining the measure of biochemical marker "A" for a particular patient may include, for example, determining the concentration or mass of biochemical marker "A" in a standard sample of bodily fluid taken from that patient. For each patient, the measure of the first biochemical marker is compared to a threshold value (denoted  $T_A$ ). Those patients for whom  $M_A$  is greater than or equal to  $T_A$  are deemed to be a group 12 that is not at significant risk of ventricular tachyarrhythmia, and no further testing need be

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done for the members of group 12. Those patients for whom  $M_A$  is less than  $T_A$  are deemed to be a group 14 that may be, or may not be, at risk of ventricular tachyarrhythmia. In FIG. 1, the members of group 14 undergo further testing to determine the individual members' risks of ventricular tachyarrhythmia.

5 For each patient in group 14, a measure of a second biochemical marker "B" (denoted  $M_B$ ) is determined. For each patient in group 14, the measure of the second biochemical marker is compared to a second threshold value (denoted  $T_B$ ). Those patients for whom  $M_B$  is less than  $T_B$  are deemed to be a group 16 that is not at significant risk of ventricular tachyarrhythmia, and no further testing need be done for the members of group  
10 16. Those patients for whom  $M_B$  is greater than or equal to  $T_B$  are deemed to be a group 18 that may be, or may not be, at risk of ventricular tachyarrhythmia.

The members of group 18 undergo further testing with respect to a measure of a third biochemical marker "C" (denoted  $M_C$ ). For each patient in group 18, the measure of the third biochemical marker is compared to a third threshold value (denoted  $T_C$ ). On the  
15 basis of the comparison, the patients are divided into a group 20 that is not at significant risk of ventricular tachyarrhythmia, and a group 22 that is at significant risk of ventricular tachyarrhythmia.

In other words, FIG. 1 illustrates assessing a risk of ventricular tachyarrhythmia for a patient as a function of the measurement of three biochemical markers. Unless a patient  
20 meets the threshold criteria for all three biochemical markers, the patient will not be deemed to be at significant risk of ventricular tachyarrhythmia.

The thresholds  $T_A$ ,  $T_B$  and  $T_C$  are determined empirically. Clinical studies and experience may be used to determine thresholds for each biochemical marker. The thresholds may differ from marker to marker. For some biochemical markers, a patient  
25 may be at higher risk when the measure of the biochemical marker is above the threshold, and for other biochemical markers, the patient may be at higher risk when the measure of the biochemical marker is below the threshold.

FIG. 2 is a conceptual logical diagram illustrating an embodiment of the invention that is a variation of the technique illustrated in FIG. 1. Unlike FIG. 1, patients sorted into  
30 group 12 are subjected to further testing. For each patient in group 12, a measure of a fourth biochemical marker "D" (denoted  $M_D$ ) is determined, and the measure is compared to a fourth threshold value (denoted  $T_D$ ). On the basis of this comparison, patients in

group 12 are sorted into groups 24 and 26. Those patients in group 24 are deemed to be not at significant risk of ventricular tachyarrhythmia, and no further testing need be done for the members of group 24.

Those patients in group 26, however, are subjected to further testing. The members of group 26 undergo further testing with respect to the third biochemical marker "C," just like the members of group 18. On the basis of a comparison of the measure of the third biochemical marker to the third threshold, the patients in group 26 are divided into a group 28 that is not at significant risk of ventricular tachyarrhythmia, and a group 30 that is at significant risk of ventricular tachyarrhythmia.

In other words, FIG. 2 illustrates assessing a risk of ventricular tachyarrhythmia for a patient as a function of the measurement of four biochemical markers. A patient may be deemed to be at significant risk of ventricular tachyarrhythmia according to more than one testing path.

FIG. 3 is a flow diagram illustrating logical sorting embodiments such as are depicted in FIGS. 1 and 2. An apparatus, such as apparatus illustrated in FIGS. 5 and 6, or a technician measures a first biological marker (40) and assesses a risk of ventricular tachyarrhythmia in the patient as a function of the measurement (42). The apparatus or technician measures a second biological marker (44) and assesses the risk of ventricular tachyarrhythmia in the patient as a function of that measurement (46).

In the procedure outlined in FIG. 4, the apparatus or technician measures a first biological marker (50) and measures a second biological marker (52), and assesses the risk of ventricular tachyarrhythmia in the patient as a function of both measurements (54). The techniques shown in FIGS. 3 and 4 may achieve the same result, that is, a patient may be sorted according to risk of ventricular tachyarrhythmia using either technique. When a patient is deemed to be at risk, an appropriate therapy may be applied. Therapy for a patient may include, for example, implanting an electronic cardiac stimulation device in the patient that terminates episodes of ventricular tachyarrhythmia or administering an antiarrhythmic drug that prevents induction of such episodes.

FIG. 5 is a conceptual diagram illustrating a technique for measuring a plurality of biological markers. A biochip 60 comprises a substrate 62 and one or more sensing elements 64A. In FIG. 5, four distinct sensing elements are coupled to substrate 62, but the invention encompasses use of any number of sensing elements.

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Biochip 60 is a set of miniaturized test sites, or microarrays, arranged on a solid substrate 62 made from a material such as silicone or glass. Each test site includes a set of sensing elements 64A. In general, sensing elements include one or more components that change conformation in the presence of an analyte of interest. Typical sensing elements include antibody molecules that change conformation in the presence of a specific biomarker, but that do not change conformation in the presence of any other biomarker. The invention encompasses any sensing element, however, and is not restricted to antibodies. The sensing elements of biochip 60 may have general properties such as high affinity toward hydrophilic or hydrophobic molecules, or anionic or cationic proteins, for example.

Substrate 62 may have a surface area of about one square centimeter, but the invention encompasses biochips that are larger or smaller. Substrate 62 may be formed in any shape, may include any number of test sites, and may include any combination of sensing elements. The invention is not limited to any particular biochip.

Biochip 60 is exposed to sample 66. Sample 66 may include any biological sample from a patient, such as a blood sample. Biomarkers present in sample 66 react with sensing elements on biochip 60. Exposed sensing elements 64B typically react with biomarkers in sample 66 by undergoing a conformational change, or by forming ionic, covalent or hydrogen bonds. The unreacted or unbound portion of sample 68 is washed away.

The concentrations of biomarkers in sample 66 are a function of the extent of the reaction between exposed sensing elements 64 and sample 66. The extent of the reaction is determinable by, for example, mass spectrometry. The Surface Enhanced Laser Desorption/Ionization (SELDI) process is an example of a mass spectrometry technique for determining the concentrations of biomarkers.

In general, the SELDI process directs light generated by one or more light sources 70 at biochip 60. A mass analyzer 72 measures the molecular weight of the biomarkers. In particular, biomarkers on biochip 60 are ionized and separated, and molecular ions are measured according to their mass-to-charge ratio ( $m/z$ ). Ions are generated in the ionization source by inducing either the loss or the gain of a charge (e.g. electron ejection, protonation, or deprotonation). Once the ions are formed in the gas phase they can be

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electrostatically directed into mass analyzer 72, separated according to their mass and finally detected.

Proteins bound to sensing elements 64B, for example, can be ionized and separated based on molecular properties, such as being hydrophilic versus hydrophobic. Proteins captured by sensing elements 64B are freed by the energy provided by a weak laser pulse, and charged positively by the removal of a second electron as a result of illumination by a second laser pulse. Time of flight through a vacuum tube following acceleration in an electric field allows the measurement of the mass-to-charge ratio.

The invention supports other techniques for determining the concentrations of biomarkers, and is not limited to the SELDI process. In one embodiment, for example, the techniques of the invention could be carried out by using conventional assays for individual biomarkers, such as an Enzyme Linked ImmunoSorbent Assay (ELISA tests). An advantage of using a biochip is that a biochip saves time and effort in comparison to individual assays when multiple markers are to be measured.

Many protein markers are generally accepted as being indicative of cardiac conditions. C-Reactive Protein (CRP) is associated with sudden cardiac death, Fatty Acid Binding Protein is a plasma marker associated with acute myocardial infarction, Cardiac Troponin is associated with myocardial infarction, Myosin Light and Heavy Chains are associated with heart failure, brain natriuretic peptide (BNP) is associated with left ventricular heart failure, and so on.

Other markers may be associated with other cardiac conditions of interest. The markers may be identified by their name, or by other characteristics, such as molecular weight.

In an example clinical study, patients with coronary artery disease were divided into two groups: a test group that had coronary artery disease, and an implantable medical device (with one sustained VT/VF episode with cycle length less than or equal to 400 ms); and a control group having coronary artery disease but no implantable medical device, and no known history of VT/VF. In the study, sixteen patients had an IMD and thirty-two were in the control group. Certain patients were excluded from the study, including non-Caucasians, females, patients outside of age limit of 45-80, and patients having certain health problems or cardiac conditions. Patients meeting the inclusion criteria were

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| Protein Number | Molecular Weight (Da) | Isoelectric pH (pI) | Capture Surface                         |
|----------------|-----------------------|---------------------|---|
| P1             | 10,146.5              | 9+                  | CM10 weak cation exchange)              |
| P2             | 15,006                | 9+                  | CM10 weak cation exchange)              |
| P3             | 166,582               | 5-7                 | CM10 weak cation exchange)              |
| P4             | 10,948                | 9+                  | IMAC (Immobilized Ion Affinity Surface) |
| P5             | 11,991                | 5-7                 | Immobilized Metal Affinity Surface      |
| P6             | 10,552.4              | 9                   | Weak Cation Exchange Surface            |
| P7             | 43,529.4              | 9                   | Weak Cation Exchange Surface            |
| P8             | 13,806.8              | 9                   | Hydrophobic Surface                     |

In the above table, proteins are identified by a number and are characterized by a molecular weight in Daltons and an Isoelectric pH (pI). The molecular weight in Daltons is not necessarily unique to any particular protein, but proteins are often distinguishable by molecular weight. It is not necessary to the invention that the protein having that molecular weight and/or pI be specifically identified by name or by amino-acid sequence.

As shown in FIG. 7, the amount of protein P1 in the serum was tested for all patients 90. Patients 92 having an abundance of P1 greater than or equal to 1.0422237 (measured in arbitrary units) were not at significant risk of ventricular tachyarrhythmia were therefore not candidates for an IMD. Patients 94 having an abundance of P1 less than 1.0422237, however, could not be classified by abundance of P1 alone.

For patients 94, the amount of protein P2 in the serum was tested. Patients 96 having an abundance of P2 less than 0.2306074 were not candidates for an IMD. Patients 98 having an abundance of P2 greater than or equal to 0.2306074 were tested for protein P3. Patients 100 having an abundance of P3 greater than or equal to 0.0491938 were not candidates for an IMD, while patients 102 having an abundance of P3 less than 0.0491938 were tested for protein P4. Patients 104 having an abundance of P4 greater than 0.027011

were considered to be candidates for an IMD, while the remaining patients 106 were not considered to be candidates for an IMD.

5 The arbitrary units may be normalized to an abundant protein, such as albumin, which is generally consistent in relative abundance among a group of patients. The invention supports the use of other benchmarks as well, such as the total ion current in the mass spectrometer used to measure the protein abundance.

10 In addition, the invention supports a range of measurement standards. In some cases, it is not feasible to perform measurements that have one hundred percent sensitivity and specificity, and some standards may be applied to determine whether a patient is at significant risk of ventricular tachyarrhythmia or not. The tree analysis depicted in FIG. 7, for example, is generally more sensitive and specific than conventional patient sorting techniques (such as a signal averaged electrocardiogram), even though it may result in some false positives and false negatives.

15 The tree shown in FIG. 7 may be generated using Classification and Regression Tree (CART) analysis. The tree analysis depicted in FIG. 7 is an example of an approach for assessing a risk of ventricular tachyarrhythmia in one or more patients as a function of a measurement of one or more biochemical markers. The assessment may be performed in other ways as well. The test may be expressed as logical test such as an IF-THEN test, which can be implemented in software:

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IF
  ((P1<1.0422237) AND (P2≥0.2306074) AND (P3<0.0491928) AND
  (P4≥0.027011))
THEN
  PATIENT IS AN IMD CANDIDATE

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This IF-THEN test gave the following results when applied to the clinical data where two samples from each patient were processed:

|          | VT/VF | NORMAL |
|----------|-------|--------|
| TEST (+) | 27    | 1      |
| TEST (-) | 5     | 63     |

Sensitivity:  $27/(27+5) = 84 \%$

Specificity:  $63/(63+1) = 98 \%$

False Positives:  $1 / (1+27) = 4 \%$

False Negatives:  $5 / (5+63) = 7 \%$

5

Using conventional sorting techniques, sensitivity and specificity tend to be around 55 to 75 percent. This clinical data demonstrates an improvement in sensitivity and specificity in comparison to conventional techniques.

10

Another technique for assessing a risk of ventricular tachyarrhythmia in one or more patients as a function of a measurement of one or more biochemical markers is to use an artificial neural network. In an exemplary application, the clinical data were analyzed using an artificial neural network having four input nodes corresponding to proteins P1, P2, P3 and P4. The network included four hidden nodes and one output. This artificial neural network gave the following results when applied to the clinical data where two samples from each patient was processed:

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|          | VT/VF | NORMAL |
|----------|-------|--------|
| TEST (+) | 24    | 1      |
| TEST (-) | 8     | 63     |

Sensitivity:  $24/(24+8) = 75 \%$

Specificity:  $63/(63+1) = 98 \%$

False Positives:  $1 / (1+25) = 4 \%$

False Negatives:  $8 / (8+63) = 11 \%$

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The test procedures described above are not unique, nor are they necessarily the most efficient method of sorting patients who are candidates for an IMD from those that are not. Nevertheless, these procedures are illustrations of tests that can be used to screen patients to find out the ones who have a propensity for ventricular tachyarrhythmia, and thus may be at increased risk of sudden cardiac death.

25

Depending upon the biochemical markers of interest, measurements of mass, concentration or abundance may be less important than determination of whether the marker is present or absent. The invention encompasses embodiments in which measurement of a biochemical marker in a patient includes determining whether the marker is present or not. For example, animal experimentation may establish that animals

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suffering sudden cardiac death exhibit an absence of a set of proteins and peptides having particular molecular weights. Similarly, animal experimentation may establish that animals suffering sudden cardiac death exhibit proteins or peptides that are otherwise not present. Detection of the presence or absence of such proteins or peptides in a human  
5 sample may have clinical significance, as the presence or absence proteins or peptides may be indicative of risk of sudden cardiac death.

In some cases, what is of interest is not the presence or absence of a biochemical marker, or its concentration on a single occasion, but an increase or decrease in the concentration or the rate of change, as demonstrated by two or more measurements  
10 separated by a time interval such as two weeks or one month. The invention supports consideration of change as a basis for assessing a risk of ventricular tachyarrhythmia. Test procedures such as the exemplary procedures described above can be automated, in whole or in part. FIG. 8 is an example of a system 110 that can perform an automated analysis of biochemical markers and can assess a risk of ventricular tachyarrhythmia in a  
15 patient as a function of the analysis. System 110 includes a sample input module 112, which receives a sample for analysis, and a measuring system 114. In one embodiment of the invention, input module 112 may include one or more biochips like those depicted in FIG. 5, and measuring system 114 may comprise a SELDI-based mass analyzer. The invention is not limited to such components, however.

A processor 116 receives the measurements from measuring system 114 and  
20 assessing a risk of ventricular tachyarrhythmia in the patient as a function by analyzing the measurements. Processor 116 may apply a tree analysis, such as the analyses depicted in FIGS. 1, 2 and 7, to determine whether a patient is at risk of ventricular tachyarrhythmia. Processor 116 may further assess a benefit of implanting a medical device in the patient as  
25 a function of the measurements, or administering an antiarrhythmic drug to the patient. An output module 118 reports the results of the analysis. Output module 118 may comprise a display screen, printer, or any other device that reports the results of the analysis. A benefit of implanting a medical device in the patient as a function of the measurement is assessed.

The invention may offer one or more advantages. Clinical data suggest that, in a  
30 significant number of cases, sudden cardiac death is the result of VT or VF. Episodes of VT or VF are treatable with an IMD or medication. The invention presents techniques for

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identifying the patients who are at risk of experiencing ventricular tachyarrhythmia. As a result, there is an improved chance that these patients will receive life-saving therapy, thereby reducing their risk of sudden cardiac death.

Therapies involving an IMD or medication need not be exclusive of one another. Furthermore, the invention supports therapies in addition to implantation of an IMD or regulation of a regimen of medication. In some circumstances, the biomarkers may be more than symptomatic or indicative of the risk of VT or VF, and may be substantially causally related to the risk of VT or VF. In such circumstances, therapy may be directed to the biomarkers.

It may be possible, for example, to treat the patient by adjusting the concentration of biomarkers. When a concentration of certain protein biomarkers is found to be lower in a patient with VT or VF, then perhaps the patient can be treated by injecting those proteins into the blood, thereby restoring a more healthful concentration of the biomarkers. Conversely, when a concentration of certain protein biomarkers is found to be higher, then perhaps the patient can be treated by reducing the concentration of the protein biomarkers. A high concentration can be reduced by, for example, injection of enzymes that cleave or inhibit the activity of one or more protein biomarkers. Similarly, gene therapy can be used to alter protein and gene expression levels. Consequently, application of therapy may include determining one or more proteins or one or more genes, or a combination thereof, to be delivered to the patient.

The techniques of the invention may call for sample from the patient. In many embodiments, the sample is one that is taken as a matter of course in a medical examination, such as a blood sample.

Further, the invention should reduce the incidents of false positives and false negatives. As a result, there is a better chance that patients that can benefit from an IMD will have a chance to receive an IMD. In addition, the invention includes the capability of being self-improving. As more clinical data are collected, different or more detailed tree analyses or other sorting techniques may be developed. Empirical experience may make tests more sensitive and more specific.

Various embodiments of the invention have been described. Various modifications can be made to the described embodiments without departing from the scope of the invention. For example, the invention is not limited to consideration of biochemical

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5 markers exclusively. The assessment of risk of ventricular tachyarrhythmia in the patient may also be a function of other measurable physiological factors. Electrophysiological measurements, such as an electrocardiogram, and hemodynamic factors, such as a measurement of ejection fraction, may be taken into consideration. System 110 in FIG. 8 may further include a sensor to measure a physiological factor, and processor 116 may assess a risk of ventricular tachyarrhythmia as a function of the measurement of the physiological factor.

10 Although the invention has been described with proteins as biochemical markers, the invention is not limited to proteins. The invention also supports consideration of other markers, such as genetic markers, lipid markers and lipoprotein markers. The markers may be considered alone or in combination. For example, the invention supports risk assessments as a function of combinations of gene and protein markers. Techniques such as nuclear magnetic resonance, gene sequencing, or single nucleotide polymorphism (SNP) may be used to identify these markers. Consideration of markers such as these may result in enhanced sensitivity and specificity.

15 Analysis can be done using multiple techniques. In addition to generating a sorting tree, applying a logical analysis such as an IF-THEN statement, and artificial neural networks, one can assess a risk of ventricular tachyarrhythmia using linear clustering techniques (e.g. proximity, similarity, dissimilarity, weighted proximity, and principle component analysis), non-linear clustering techniques (e.g. artificial neural networks, Kohonen networks, pattern recognizers and empirical curve fitting), as well as logical procedures (e.g. CART, partition and hierarchical clustering algorithms). The invention is not limited to these techniques, however, and encompasses other linear analysis, non-linear analysis, logical analysis and conditional techniques.

20 Some of the techniques described above may be embodied as a computer-readable medium comprising instructions for a programmable processor such as processor 116 in FIG. 8. The programmable processor may include one or more individual processors, which may act independently or in concert. A "computer-readable medium" includes but is not limited to read-only memory, Flash memory and a magnetic or optical storage medium.

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We Claim:

1. A method comprising:  
measuring a biochemical marker in a patient; and  
assessing one of a risk of ventricular tachyarrhythmia in the patient as a function of  
5 the measurement, a benefit of implanting a medical device in the patient as a function of  
the measurement, and a benefit of administering a drug to the patient as a function of the  
measurement.
2. A method according to claim 1, wherein the biochemical marker comprises a first  
10 biochemical marker, the method further comprising:  
measuring a second biochemical marker in the patient; and  
assessing the risk of ventricular tachyarrhythmia in the patient as a function of the  
measurement of the second biochemical marker.
3. The method according to claim 2, wherein assessing the risk of ventricular  
15 tachyarrhythmia comprises one of generating a sorting tree, generating a logical test,  
generating an artificial neural network, and assessing the risk of at least one of ventricular  
tachycardia, ventricular fibrillation, and sudden cardiac death.
4. A method according to claim 1, wherein measuring the biochemical marker  
comprises one of measuring a mass of the biochemical marker, measuring a mass-to-  
20 charge ratio of the biochemical marker with a mass spectrometer, and measuring an  
isoelectric pH of the biochemical marker.
5. A method according to claim 1, wherein the biochemical marker comprises one of  
a protein, a lipid and a gene.
6. A method according to claim 1, further comprising:  
measuring a physiological factor of the patient; and  
25 assessing the risk of ventricular tachyarrhythmia in the patient as a function of the  
measurement of the physiological factor.
7. A method according to claim 6, wherein the physiological factor comprises at least  
one of an electrophysiological factor and a hemodynamic factor.
8. A method according to claim 1, further comprising one of assessing a benefit of  
30 implanting a medical device in the patient as a function of the measurement, and assessing  
a benefit of administering an antiarrhythmic drug to the patient as a function of the  
measurement.

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9. A method according to claim 8, wherein the medical device comprises at least one of an electronic cardiac stimulation device and a drug delivery device.
10. A method according to claim 1, further comprising:  
exposing a biochip to a biological sample from a patient, the biochip comprising a  
5 plurality of sensing elements; and  
assessing the risk of ventricular tachyarrhythmia in the patient as a function of a reaction between the sample and the sensing elements.
11. The method of claim 10, wherein assessing the risk of ventricular tachyarrhythmia in the patient as a function of a reaction between the sample and the sensing elements  
10 comprises performing mass spectrometry on the biochip.
12. The method of claim 11, wherein performing the mass spectroscopy comprises performing a Surface Enhanced Laser Desorption/Ionization process.
13. The method of claim 1, wherein measuring the biochemical marker comprises one of determining one of the presence and the absence of the biochemical marker, and  
15 determining a change of concentration of the biochemical marker.
14. The method of claim 1, further comprising applying a therapy as a function of the assessment.
15. The method of claim 14, wherein applying a therapy comprises one of implanting an electronic cardiac stimulation device in the patient, administering an antiarrhythmic  
20 drug to the patient, and determining at least one of a protein and a gene to be delivered to the patient.
16. A computer-readable medium comprising instructions for causing a programmable processor to:  
25 measure a biochemical marker in a patient; and  
assess one of a risk of ventricular tachyarrhythmia in the patient as a function of the measurement, a benefit of implanting a medical device in the patient as a function of the measurement, and a benefit of administering a drug to the patient as a function of the measurement.
- 30 17. A system for assessing a risk of ventricular tachyarrhythmia in a patient, comprising:  
a measuring system configured to measure a biochemical marker in a patient; and

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a processor configured to assess a risk of ventricular tachyarrhythmia in the patient as a function of the measurement.

18. The system of claim 17, wherein the measuring system comprises a mass spectrometer.

5 19. The system of claim 18, wherein the mass spectrometer is configured to apply a Surface Enhanced Laser Desorption/Ionization process.

20. The system of claim 17, wherein the measuring system comprises ELISA tests.

10 21. The system of claim 17, wherein the processor is further configured to assess one of a risk of ventricular tachyarrhythmia as a function of a linear analysis of the measurement, a risk of ventricular tachyarrhythmia as a function of a non-linear analysis of the measurement, and a risk of ventricular tachyarrhythmia as a function of a logical analysis of the measurement.

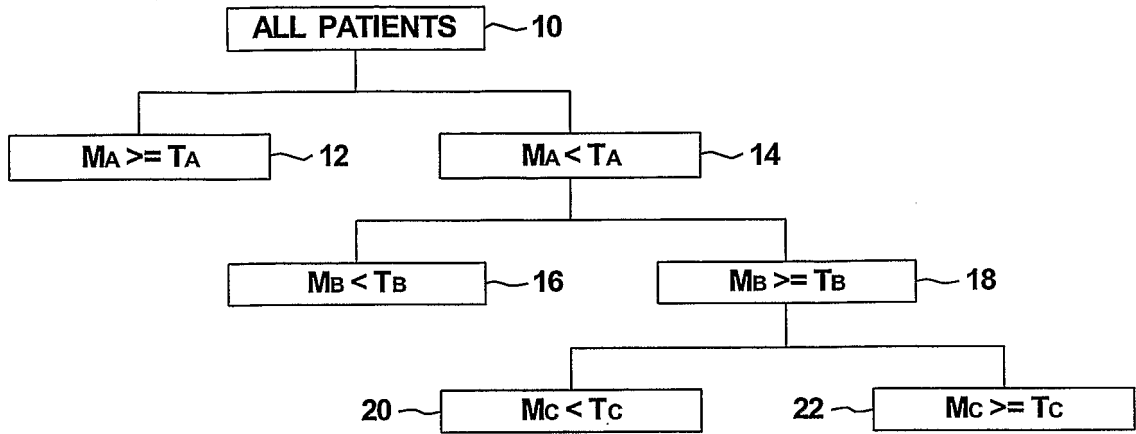


FIG. 1

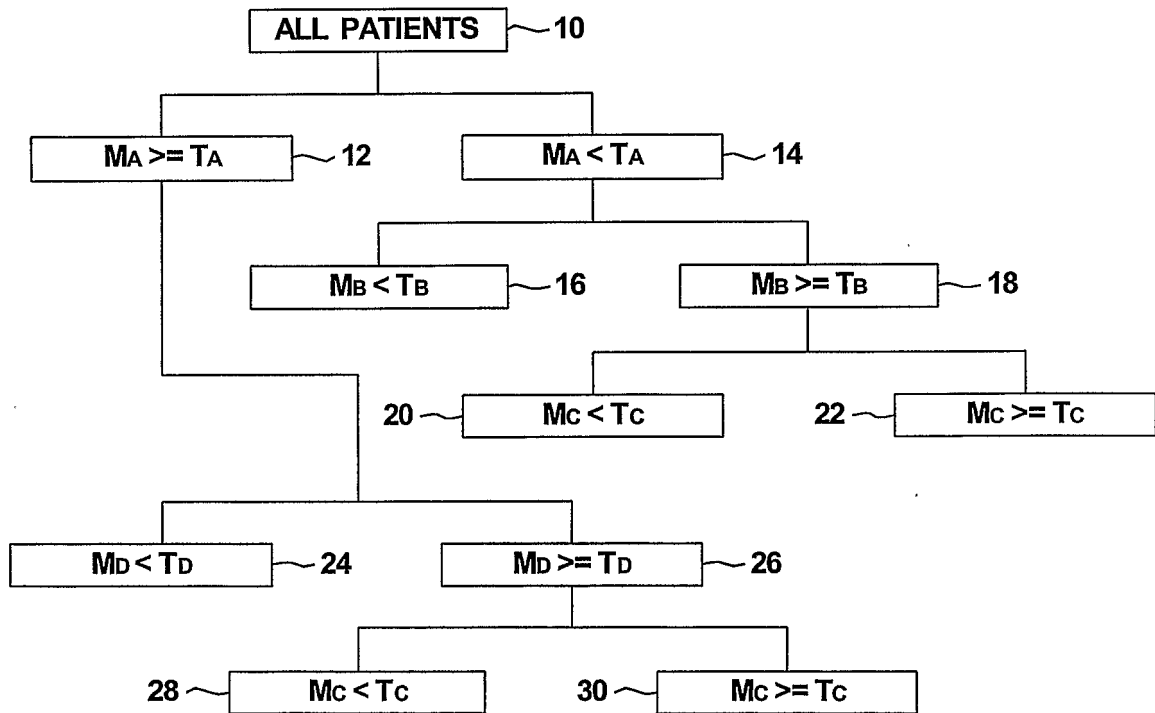


FIG. 2

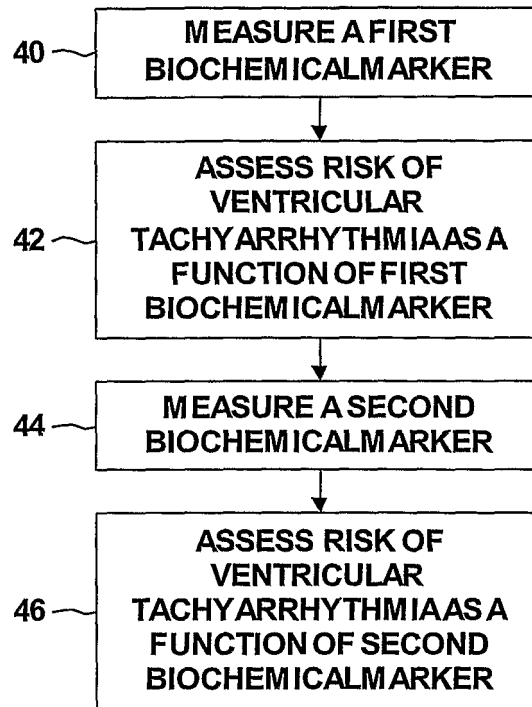


FIG. 3

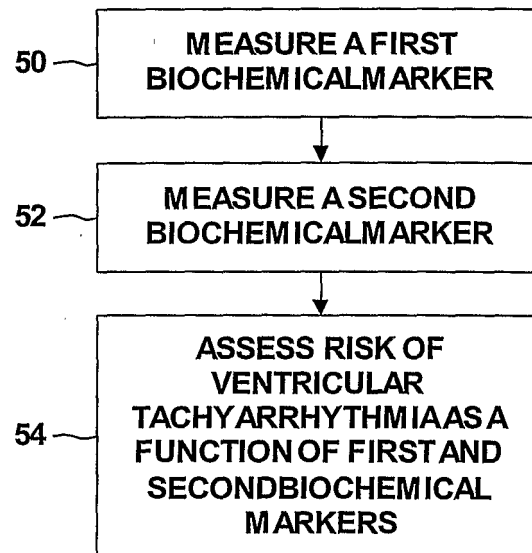


FIG. 4

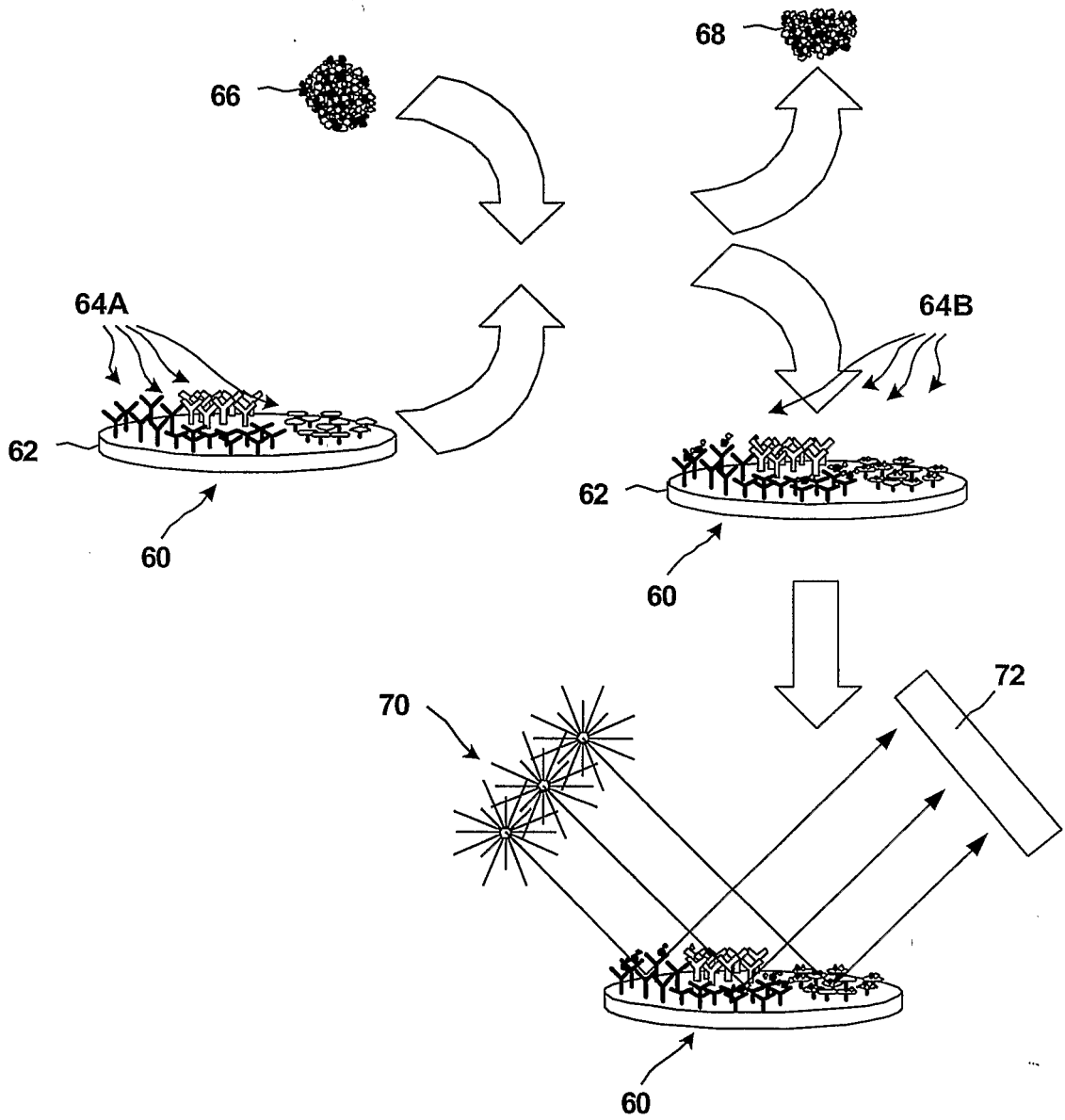


FIG. 5

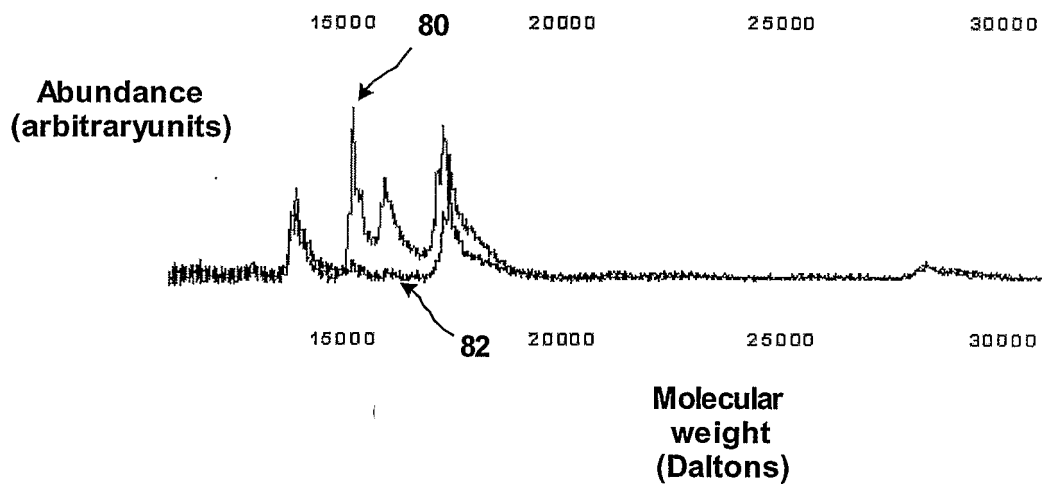


FIG. 6

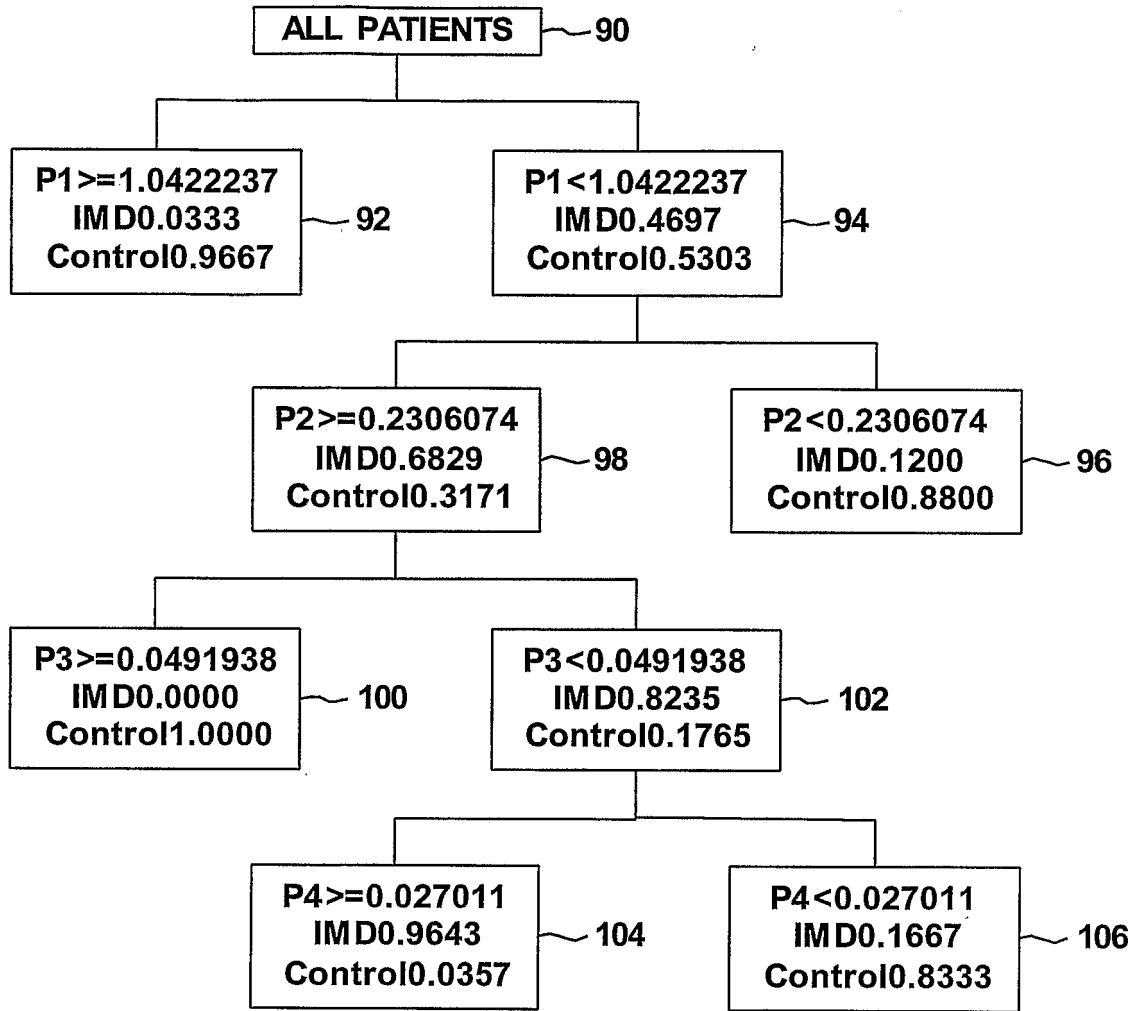


FIG. 7

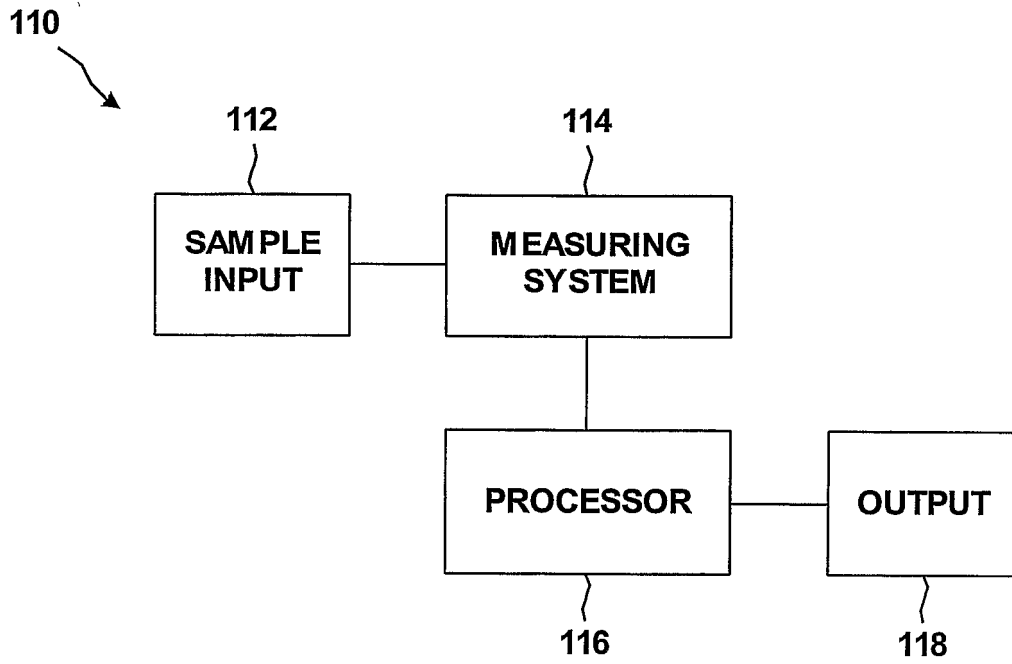


FIG. 8

INTERNATIONAL SEARCH REPORT

International Application No  
PC 1, 032005/002932

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G01N33/68 G06F19/00 A61B5/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G01N G06F A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
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| X          | DANNE OLIVER ET AL: "Prognostic implications of elevated whole blood choline levels in acute coronary syndromes."<br>THE AMERICAN JOURNAL OF CARDIOLOGY. 1 MAY 2003,<br>vol. 91, no. 9, 1 May 2003 (2003-05-01),<br>pages 1060-1067, XP002332893<br>ISSN: 0002-9149 | 17, 18,<br>20, 21     |
| A          | the whole document  | 19                    |

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

21 June 2005

Date of mailing of the international search report

01/07/2005

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Fischer, 0

## INTERNATIONAL SEARCH REPORT

 Int. Patent Application No  
 PCT/US2005/002932

| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT |   |                       |
|--|---|-----------------------|
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| X  | WO 02/052033 A (JOSLIN DIABETES CENTER, INC; KING, GEORGE, LIANG)<br>4 July 2002 (2002-07-04)   | 17                    |
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| A  | ISSAQ H J ET AL: "The SELDI-TOF MS approach to proteomics: protein profiling and biomarker identification"<br>BIOCHEMICAL AND BIOPHYSICAL RESEARCH COMMUNICATIONS, ACADEMIC PRESS, SAN DIEGO, CA, US,<br>vol. 292, no. 3,<br>5 April 2002 (2002-04-05), pages 587-592,<br>XP002279299<br>ISSN: 0006-291X<br>the whole document<br>----- | 17-21                 |
| A  | US 6 099 469 A (ARMSTRONG ET AL)<br>8 August 2000 (2000-08-08)<br>column 2, line 40 - column 4, line 12;<br>figures 2,3<br>-----  | 17-21                 |

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2005/002932

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 1-15, 16  
because they relate to subject matter not required to be searched by this Authority, namely:  
see FURTHER INFORMATION sheet PCT/ISA/210
2.  Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.1

Claims Nos.: 1-15, 16

- Claims 1-15: Rule 39.1(iv) PCT - Diagnostic method practised on the human or animal body and method for treatment of the human or animal body by therapy. The step of assessing the risk of ventricular tachyarrhythmia in claim 1 clearly pertains to a diagnostic method. Further, claims 14 and 15 comprise the step of applying THERAPY to the patient in the form of cardiac stimulation (which also implies the surgical step of implanting a cardiac stimulation device) or drug administration.

- Claim 16: Rule 39.1(vi) PCT - Program for computers

INTERNATIONAL SEARCH REPORT

Inte Application No  
PCT/JP2005/002932

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|                |  |         |            |
|----------------|--|---------|------------|
| 专利名称(译)        | 用于识别处于危及生命的心律失常风险的患者的方法和设备   |         |            |
| 公开(公告)号        | <a href="#">EP1723432A1</a>  | 公开(公告)日 | 2006-11-22 |
| 申请号            | EP2005712391   | 申请日     | 2005-02-02 |
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| [标]发明人         | SOYKAN ORHAN<br>ROBINSON TIMOTHY H<br>OLSON WALTER H<br>SHARMA VINROD<br>DEARKING AMY C            |         |            |
| 发明人            | SOYKAN, ORHAN<br>ROBINSON, TIMOTHY, H.<br>OLSON, WALTER, H.<br>SHARMA, VINROD<br>DEARKING, AMY, C. |         |            |
| IPC分类号         | G01N33/68 G06F19/00 A61B5/00   |         |            |
| CPC分类号         | G01N33/6893 G01N2800/326 Y10T436/13  |         |            |
| 优先权            | 60/542004 2004-02-05 US  |         |            |
| 外部链接           | <a href="#">Espacenet</a>  |         |            |

#### 摘要(译)

通常, 本发明涉及通过测量反映患者健康的一种或多种生化标志物来评估患者的室性快速性心律失常风险的系统和技术。通常, 患者提交样品, 例如血液样品, 其针对一种或多种生物标志物进行测试。根据测试结果, 可评估患者室性快速性心律失常的风险。当发现患者处于危险中时, 患者可以接受植入式医疗设备或药物治疗以解决风险。