

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
3 March 2005 (03.03.2005)

PCT

(10) International Publication Number
WO 2005/019860 A1

(51) International Patent Classification⁷: **G01S 15/02**,
15/06, A61B 8/12

(21) International Application Number:
PCT/US2004/027163

(22) International Filing Date: 20 August 2004 (20.08.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/496,450 20 August 2003 (20.08.2003) US

(71) Applicant (for all designated States except US): **CORNELL RESEARCH FOUNDATION, INC.** [US/US];
20 Thornwood Drive, Suite 105, Ithaca, New York 14850 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **ZALALUTDINOV,**

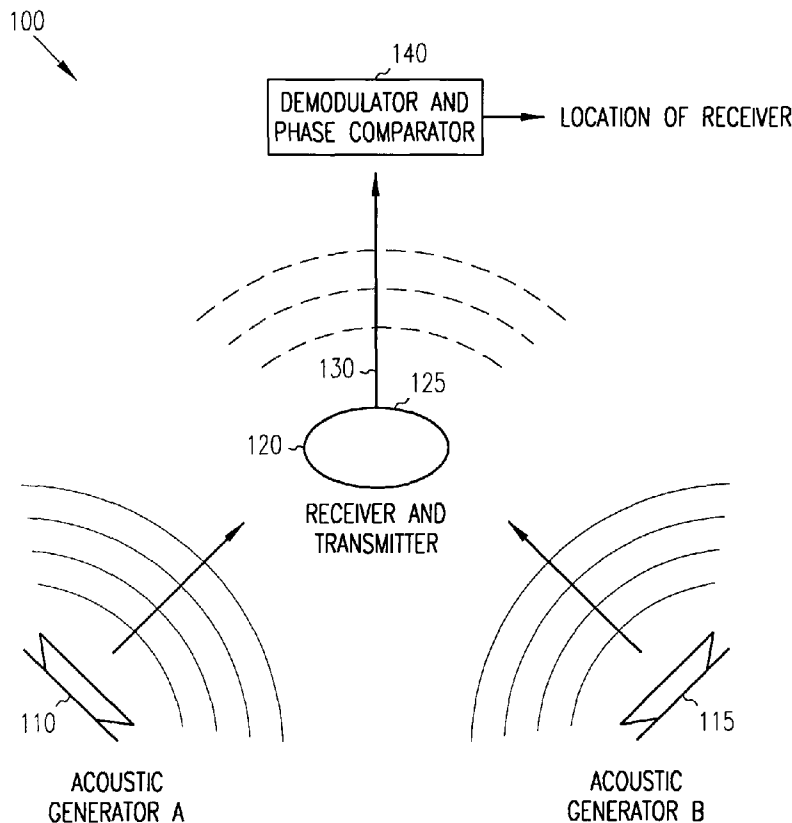
Maxim [RU/US]; 1204 Sarah Drive, Silverspring, Maryland 20904 (US). **AUBIN, Keith** [US/US]; 19 Baker Hill, #2, Freeville, New York 13068 (US). **REICHENBACH, Robert, B.** [US/US]; 315 W. Buffalo Street, Ithaca, New York 14850 (US). **PARPIA, Jeevak, M.** [US/US]; 380 Turkey Hill Road, Ithaca, New York 14850 (US). **CRAIG-HEAD, Harold, G.** [US/US]; 21 Fairway Drive, Ithaca, New York 14850 (US).

(74) Agents: **STEFFEY, Charles, E.?** et al.; Schwegman, Lundberg, Woessner & Kluth, P.A., P.O. Box 2938, Minneapolis, Minnesota 55402 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,

[Continued on next page]

(54) Title: APPARATUS AND METHOD FOR POSITION TRACKING OF A LOCAL TELEMETRY DEVICE WITHIN GAS OR FLUIDIC ENVIRONMENT



(57) Abstract: Position tracking of a receiving device within a gas or fluidic environment (for example a human body), is performed by measuring acoustic wave propagation parameters to provide real time, high precision telemetry. Multiple synchronized acoustic sources at different known locations transmit signals that are received by a receiver on the device to be located. The coordinates of the receiver can be determined by measuring a difference in the amplitude (coarse positioning) or phase (precise positioning) of the acoustic waves coming from different sources using triangulation calculations.



PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

- (84) **Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

APPARATUS AND METHOD FOR POSITION TRACKING OF A LOCAL TELEMETRY DEVICE WITHIN GAS OR FLUIDIC ENVIRONMENT

5

Government Funding

[0001] The invention described herein was made with U.S. Government support under Grant Number DMR0079992 awarded by the National Science Foundation. The United States Government has certain rights in the invention.

10

Background

[0002] Certain intestinal disorders are investigated with small devices the size of a pill, that transmit pressure readings as they progress through intestines. A receiver is located near a person swallowing the pill to receive the transmitted pressure readings. A general idea of the pressures generated as the pill progresses is obtained, but information as to the relative position of the pill in the intestines is not known. Electromagnetic waves have been used to attempt to track the pill more precisely, but the conductivity of the body can interfere with such waves. At best, a one foot resolution may be obtained in this manner. There is a need for higher precision.

20

Summary

[0003] A device includes a microphone for receiving multiple acoustic signals transmitted by external transmitters. A transducer coupled to the microphone converts received acoustical energy into an electrical signal. A transmitter is coupled to the transducer for broadcasting signals representative of a phase difference between the multiple acoustic signals received by the microphone, thereby providing information from which the position of the device may be determined.

[0004] In one embodiment, position tracking of a receiving device within a gas or fluidic environment (for example a human body), is performed by measuring acoustic wave propagation parameters to provide real time, high precision telemetry. Multiple synchronized acoustic sources at different known locations transmit signals that are received by a receiver on the device to be located. The coordinates of the receiver can be determined by measuring a

difference in the amplitude (coarse positioning) or phase (precise positioning) of the acoustic waves coming from different sources using triangulation calculations.

[0005] In one embodiment, all the sources are externally synchronized and only the difference in the wave propagation delay time at the receiver location is to be measured (by comparing, for example, the phase of binary signal sequence modulating the carrier acoustic wave). Such a differential scheme eliminates the necessity to have a precise clock located at the receiver and greatly simplifies signal processing to be performed at the receiver. That leads to substantial miniaturization of the device and reduction of the power consumption, essential for numerous medical applications (*e.g.* implanted medical device IMD). Intermittent or periodic transmission rates can further reduce power consumption.

15 **Brief Description of the Drawings**

[0006] FIG. 1 is a block diagram of an acoustic telemetry system according to an example embodiment.

[0007] FIG. 2 is a block diagram of an alternative acoustic telemetry system according to an example embodiment.

20 [0008] FIG. 3 is a block diagram of a receiver for the acoustic telemetry system of FIG. 1.

Detailed Description

[0009] In the following description, reference is made to the accompanying drawings that form a part hereof, and in which is shown by way of illustration specific embodiments which may be practiced. These embodiments are described in sufficient detail to enable those skilled in the art to practice the invention, and it is to be understood that other embodiments may be utilized and that structural, logical and electrical changes may be made without departing from the scope of the present invention. The following description is, therefore, not to be taken in a limited sense, and the scope of the present invention is defined by the appended claims.

[0010] The functions or algorithms described herein are implemented in software or a combination of software and human implemented procedures in one embodiment. The software comprises computer executable instructions stored on computer readable media such as memory or other type of storage devices. The term "computer readable media" is also used to represent carrier waves on which the software is transmitted. Further, such functions correspond to modules, which are software, hardware, firmware or any combination thereof. Multiple functions are performed in one or more modules as desired, and the embodiments described are merely examples. The software is executed on a digital signal processor, ASIC, microprocessor, or other type of processor operating on a computer system, such as a personal computer, server or other computer system.

[0011] Position tracking of a receiving device within a gas or fluidic environment (for example a human body), is performed by measuring acoustic wave propagation parameters to provide real time, high precision telemetry. Multiple synchronized acoustic sources at different known locations transmit signals that are received by a receiver on the device to be located. The coordinates of the receiver can be determined by measuring a difference in the amplitude (coarse positioning) or phase (precise positioning) of the acoustic waves coming from different sources using triangulation calculations.

[0012] In one embodiment as shown generally at 100 in FIG. 1, a pair of point-like acoustic signal generators 110 and 115 are located at different known external positions. The signal generators 110 and 115 may be located on a harness that may be worn on a human or animal body such that they are at desired fixed locations. The generators 110 and 115 transmit at close but different carrier frequencies (ω_1 and ω_2). In one embodiment, the frequencies are of a wavelength in the short acoustic range, similar to frequencies used for ultrasound medical imaging applications. Identical modulation with a wide base-band frequency range $\omega_m(t)$ may be applied to both of the signals

30

$$I_1(t) = I_1^0 \sin((\omega_1 + \omega_m(t))t) \quad I_2(t) = I_2^0 \sin((\omega_2 + \omega_m(t))t)$$

[0013] A microphone 120 is located on a device such as a receiver 125 located inside a medium, such as a body, is tuned to receive the modulated

carrier signals. These signals will be phase shifted (ϕ_1, ϕ_2) relative to each other and attenuated due to a difference in distance between the receiver and generators. Within the medium, propagation velocity differences in different materials, such as organs and tissues, are negligible (and in some cases can be accounted for) leading to minimal parasitic phase delay of the acoustic signal.

$$R_1(t) = A_1 I_1^0 \sin((\omega_1 + \omega_m(t))t + \phi_1)$$

$$R_2(t) = A_2 I_2^0 \sin((\omega_2 + \omega_m(t))t + \phi_2)$$

[0014] Where A_1 and A_2 are attenuation of the acoustic waves, determined by the travel distance and properties of the media. The microphone 120 or transducer on the receiver 125 converts received acoustical energy into an electrical signal, and after amplification, rebroadcasts the signals using, for example, an RF transmitter 130 or other type of communication channel.

$$I_{radio}(t) = I_{radio}^0 [R_1 \sin((\omega_1 + \omega_m(t))t + \phi_1) + R_2 \sin((\omega_2 + \omega_m(t))t + \phi_2)]$$

[0015] External signal processing 140 or triangulator, such as a demodulator and phase comparator, is used to demodulate the rebroadcast signals in order to determine the phase difference $\phi_2 - \phi_1$ and discern the propagation distance difference between the two signal generators 110, 115 and the internal receiver 125. The demodulator and phase comparator may be implemented by software or firmware, or a combination of the two, and may be implemented on an ASIC or other hardware device.

[0016] In one embodiment a programmable delay may be introduced in one of the acoustic generators 110, 115 (according to measured $\phi_2 - \phi_1$) to compensate the difference in propagation time and to provide exact in-phase arrival of the signals to the receiver. Delay time (equal to difference in propagation time) is used to calculate the difference in distance between the receiver and each of the sources.

[0017] In order to determine three dimensional resolution as well as velocity and acceleration measurements, several pairs of acoustic signal generators 210, 215, 220, 225, 230 and 235 as seen in FIG. 2, located in various positions can sequentially broadcast in the aforementioned process. A sequencer in one of the signal generators or in a separate controller, controls the multiple

pairs of acoustic transmitters to transmit in sequence. The positions of the generators are precisely known, so the receiver's position can be determined through triangulation.

[0018] A block diagram of an example receiver 125 is shown in FIG. 3.

5 The receiver may be sized such that it is swallowable by a human or animal subject. The example receiver comprises microphone 120 and transmitter 130. Microphone 120 converts the received acoustic signals into electrical signals and provides them to transmitter 130 on a conductive line 310. Line 310 may contain circuitry, such as amplifiers or other circuitry to properly condition the
10 microphone signal for use by the transmitter. Transmitter 130 in one embodiment is a RF transmitter, but may utilize other frequencies if desired in a manner to communicate the signals outside the body to the external signal processing 140. A power source 320, such as a battery provides power to components within the receiver 125. In one embodiment, the receiver 125 is
15 formed of biocompatible materials, such as epoxy. It may be of a size suitable for swallowing by a human, such as pill sized. Portions of the receiver 125 may be made of piezoelectric material, which can function as a microphone.

[0019] The receiver 125 in one embodiment comprises a sensor 330, such as a pressure sensor, temperature sensor, acidity sensor or other type of
20 sensor. The sensor is also coupled to the transmitter, which transmits signals representative of a sensed parameter, such as pressure, temperature or pH. In one embodiment, line 310 comprises an upconverter for converting signals into a MHz range signal for transmission. Line 310 may also contain circuitry that provides for intermittent transmission, such as at one minute intervals or other
25 desired interval to save battery life. Line 310 may also comprise a receiver for receiving external commands. For instance, such commands may initiate transmission of information, may change the interval of transmission, or may be used to stop transmission. Other commands may be implemented as desired.

[0020] Line 310, when comprising circuitry, may contain computer-readable instructions stored on a computer-readable medium that are executable
30 by a processing unit of the computer or other instruction executing circuitry.

[0021] In yet a further embodiment, a portion of the pill may comprise a compartment of desired volume 340. The compartment may contain a

therapeutic substance such as a medication or other type of substance, such as a diagnostic marker or other material that is releasable by command, or at a predetermined time by actuation of a latch, also represented at 340.

- 5 [0022] The Abstract is provided to comply with 37 C.F.R. §1.72(b) to allow the reader to quickly ascertain the nature and gist of the technical disclosure. The Abstract is submitted with the understanding that it will not be used to interpret or limit the scope or meaning of the claims.

Conclusion

- 10 [0023] Position tracking of a receiving device within a gas or fluidic environment (for example a human body), is performed by measuring acoustic wave propagation parameters to provide real time, high precision telemetry. Multiple synchronized acoustic sources at different known locations transmit signals that are received by a receiver on the device to be located. The
15 coordinates of the receiver can be determined by measuring a difference in the amplitude (coarse positioning) or phase (precise positioning) of the acoustic waves coming from different sources using triangulation calculations.

- [0024] All the sources are externally synchronized and only the difference in the wave propagation delay time at the receiver location is to be
20 measured (by comparing, for example, the phase of binary signal sequence modulating the carrier acoustic wave). Such a differential scheme eliminates the necessity to have a precise clock located at the receiver and greatly simplifies signal processing to be performed at the receiver. That leads to substantial miniaturization of the device and reduction of the power consumption, essential
25 for numerous medical applications (*e.g.* implanted medical device IMD).

- [0025] This differential principle of telemetry can be expanded if different kind of waves, with different propagation speeds are employed. For example, supplementary to the acoustic waves, an electromagnetic radio frequency (E&M RF) communication channel can be established between the
30 sources and the device. The distance between each source and the device can be measured by detecting the difference in propagation time between the acoustic and E&M waves.

[0026] Acoustic sources/receiver can operate in far-field mode, which greatly expands the area and simplifies signal analysis. For many applications the size of the hydrophone (determined by the acoustic wavelength) can be in the millimeter or even sub-millimeter range.

CLAIMS

1. A device comprising:
a microphone for receiving multiple acoustic signals;
a transducer coupled to the microphone for converting received
5 acoustical energy into an electrical signal; and
a transmitter coupled to the transducer for broadcasting signals
representative of a phase difference between the multiple acoustic signals
received by the microphone.
- 10 2. The device of claim 1 and further comprising a sensor coupled to the
transmitter.
3. The device of claim 2 wherein the sensor comprises a pressure sensor, a
temperature sensor or an acidity sensor.
- 15 4. The device of claim 1 and further comprising an up converter that
converts the electrical signal into a megahertz range signal for transmission.
5. The device of claim 1 and further comprising a container for holding a
20 substance that can be controllably released.
6. The device of claim 1 and further comprising a receiver for receiving
commands.
- 25 7. The device of claim 1 wherein the received acoustical signals are
provided on close but different carrier frequencies (ω_1 and ω_2).
8. The device of claim 7 wherein the frequencies are in the short acoustic
range.
- 30 9. The device of claim 8 wherein identical modulation with a wide base-
band frequency range $\omega_m(t)$ is applied to both of the acoustic signals.

10. The device of claim 1 wherein the received acoustic signals are phase shifted (ϕ_1 , ϕ_2) relative to each other and attenuated due to a difference in distance between the microphone and known points at which they were originally transmitted.

5

11. A system for locating a device, the system comprising:
a first acoustic transmitter transmitting a first acoustic signal within reception of the device on a first carrier frequency;

a second acoustic transmitter spaced apart from the first acoustic transmitter, the second acoustic transmitter transmitting a second acoustic signal within reception of the device on a second carrier frequency close to the first frequency;

wherein the first and second acoustic signals are modulated with a same wide base-band frequency range; and

15 a triangulator that receives signals from the device representative of a phase difference between the first and second acoustic signals received at the device.

12. The system of claim 11 wherein the locations of the acoustic transmitters is known to the triangulator.

13. The system of claim 11 wherein the triangulator determines a propagation distance difference between the two acoustic transmitters and the device.

25

14. The system of claim 11 wherein the triangulator comprises a demodulator and phase comparator used to demodulate the received signals in order to determine a phase difference $\phi_2 - \phi_1$ and discern a propagation distance difference between the two acoustic transmitters and the device.

30

15. The system of claim 11 wherein a programmable delay is provided in one of the acoustic transmitters to compensate for a difference in propagation time.

16. The method of claim 15 wherein the programmable delay also provides for exact in-phase arrival of the signals at the device.
17. A system for locating a device, the system comprising:
5 multiple pairs of first and second acoustic transmitters transmitting acoustic signals differing slightly in frequency, and identically modulated with a same wide base-band frequency range;
a triangulator that receives a signal from the device representative of a phase difference between the first and second acoustic signals received at the
10 device; and
a sequencer that controls the multiple pairs of first and second acoustic transmitters to transmit in sequence.
18. The system of claim 17 wherein the positions of the multiple pairs of first
15 and second acoustic transmitters are precisely known.
19. A system comprising
a device having:
a microphone for receiving multiple acoustic signals;
20 a transducer coupled to the microphone for converting received acoustical energy into an electrical signal;
a transmitter coupled to the transducer for broadcasting signals representative of a phase difference between acoustic signals received by the microphone;
25 a first acoustic transmitter transmitting a first acoustic signal within reception of the device on a first carrier frequency;
a second acoustic transmitter spaced apart from the first acoustic transmitter, the second acoustic transmitter transmitting a second acoustic signal within reception of the device on a second carrier frequency close to the first
30 frequency;
wherein the first and second acoustic signals are modulated with a same wide base-band frequency range; and

a triangulator that receives the signals from the device representative of a phase difference between the first and second acoustic signals received at the device.

- 5 20. A method comprising:
 receiving acoustic signals from multiple acoustic transmitters;
 converting the received acoustic signals into electrical signals; and
 transmitting an RF signal representative of the electrical signals such that
the RF signals facilitate triangulation of a point where the acoustic signals are
10 received.
21. The method of claim 20 and further comprising receiving commands.
22. The method of claim 21 wherein a selected command is executed to
15 control the transmission of the RF signal.
23. The method of claim 21 wherein a selected command is executed to
control release of a substance.
- 20 24. The method of claim 20 and further comprising performing triangulation
based on the transmitted RF signal.
25. The method of claim 20 and further comprising sensing a parameter.
- 25 26. The method of claim 25 wherein the transmitted RF signal includes
information about the sensed parameter.

1/2

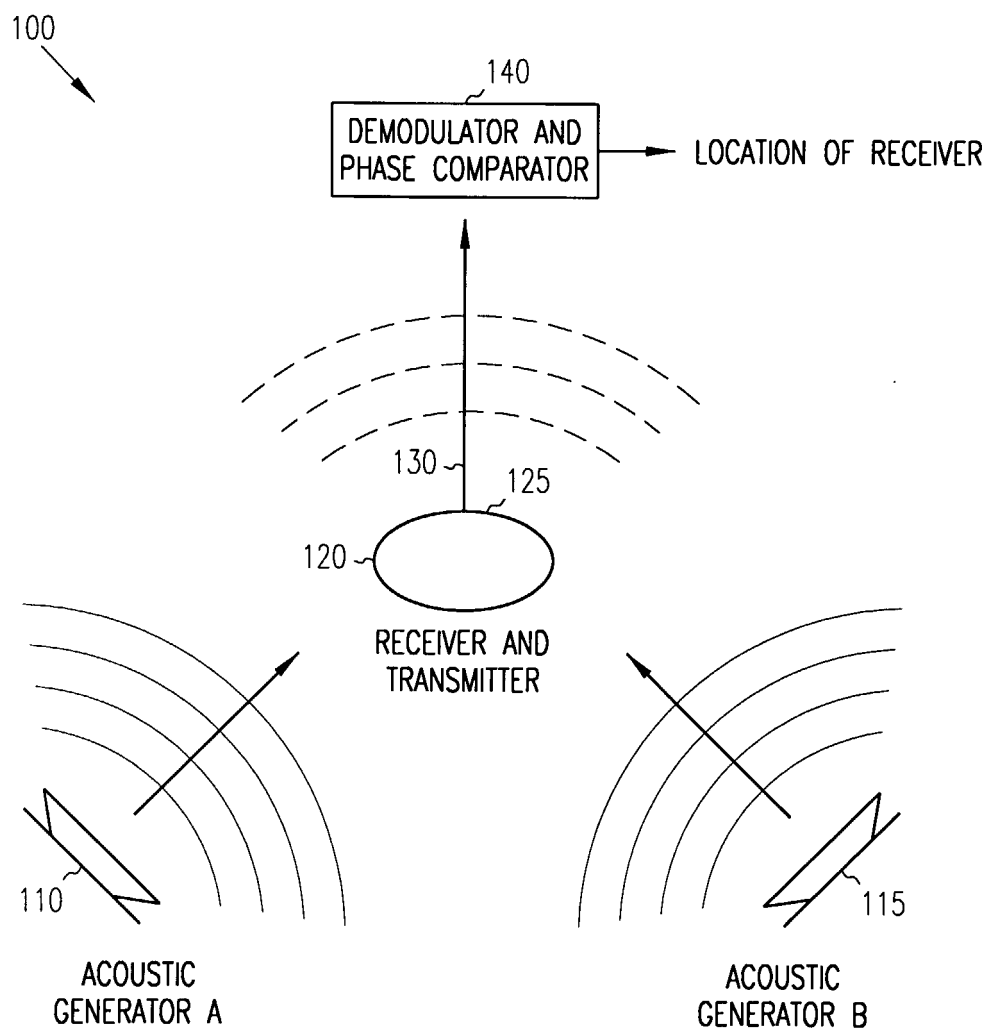


FIG. 1

2/2

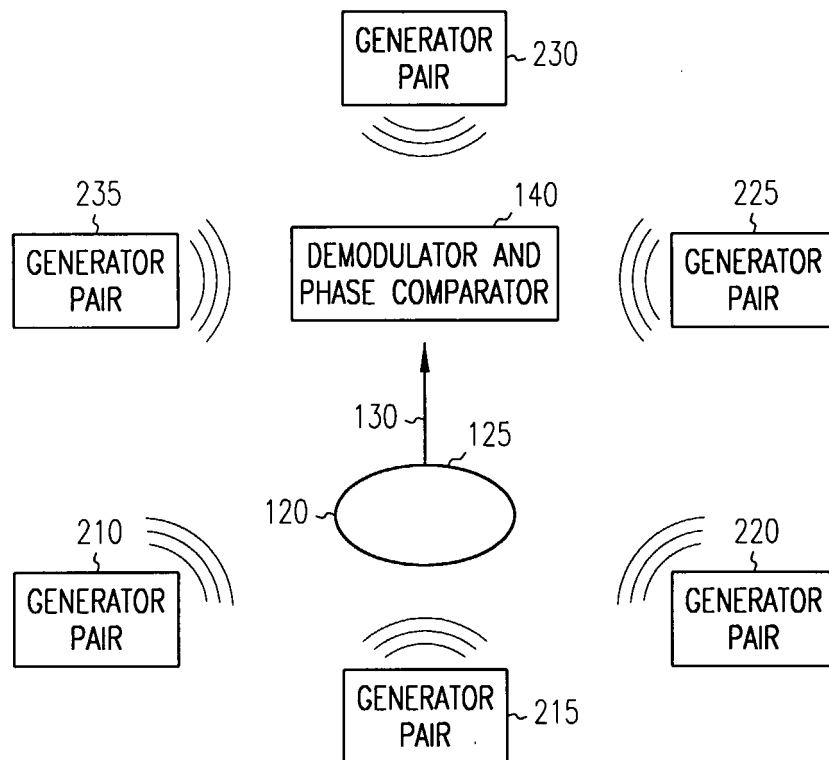


FIG. 2

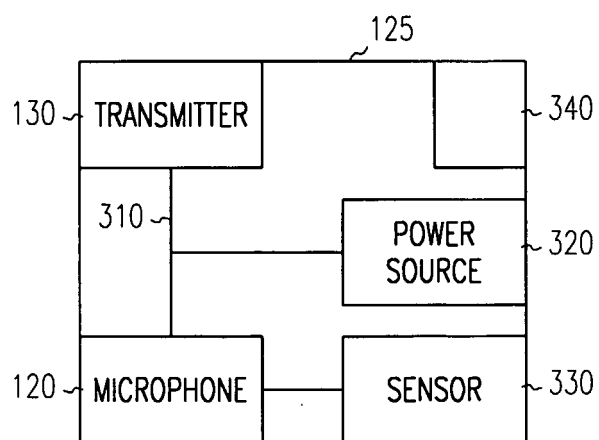


FIG. 3

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US2004/027163

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G01S15/02 G01S15/06 A61B8/12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G01S A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 02/098271 A (BARNEV LTD ; SHARF YEHUDA (IL)) 12 December 2002 (2002-12-12) page 3, line 5 - page 4, line 4 page 6, line 1 - line 6 page 9, line 16 - page 12, line 31 page 18, line 28 - page 21, line 29 page 24, line 18 - page 26, line 3 page 27, line 1 - line 14 figures 2,5,7,8	1-26
A	US 6 456 887 B1 (DUDDING CHARLES H ET AL) 24 September 2002 (2002-09-24) the whole document	1-26
A	US 2002/161308 A1 (TAKAHASHI IWAO ET AL) 31 October 2002 (2002-10-31) the whole document	1-26

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *Z* document member of the same patent family

Date of the actual completion of the international search

22 December 2004

Date of mailing of the international search report

29/12/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Saur, E

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2004/027163

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 02098271	A	12-12-2002	EP 1420697 A2	26-05-2004
			EP 1420693 A2	26-05-2004
			WO 02098271 A2	12-12-2002
			WO 02098272 A2	12-12-2002
			JP 2004533298 T	04-11-2004
			US 2004236193 A1	25-11-2004
			US 2004153008 A1	05-08-2004
US 6456887	B1	24-09-2002	CA 2436930 A1	20-06-2002
			EP 1408818 A2	21-04-2004
			WO 0247545 A2	20-06-2002
US 2002161308	A1	31-10-2002	JP 2003010138 A	14-01-2003

专利名称(译)	用于在气体或流体环境中对本地遥测设备进行位置跟踪的设备和方法		
公开(公告)号	EP1660911A1	公开(公告)日	2006-05-31
申请号	EP2004786551	申请日	2004-08-20
[标]申请(专利权)人(译)	康乃尔研究基金会有限公司		
申请(专利权)人(译)	康奈尔研究基金会，INC.		
当前申请(专利权)人(译)	康奈尔研究基金会，INC.		
[标]发明人	ZALALUTDINOV MAXIM AUBIN KEITH REICHENBACH ROBERT B PARPIA JEEVAK M CRAIGHEAD HAROLD G		
发明人	ZALALUTDINOV, MAXIM AUBIN, KEITH REICHENBACH, ROBERT, B. PARPIA, JEEVAK, M. CRAIGHEAD, HAROLD, G.		
IPC分类号	G01S15/02 G01S15/06 A61B8/12 A61B5/00 A61B5/07 A61B8/08 G01S5/00 G01S5/26		
CPC分类号	G01S5/26 A61B5/0031 A61B5/07 A61B5/073 A61B8/0833 G01S5/0036		
优先权	60/496450 2003-08-20 US		
外部链接	Espacenet		

摘要(译)

通过测量声波传播参数来执行在气体或流体环境（例如人体）内的接收设备的位置跟踪，以提供实时，高精度的遥测。在不同的已知位置处的多个同步声源发送由要定位的设备上的接收器接收的信号。可以通过使用三角测量计算测量来自不同源的声波的幅度（粗略定位）或相位（精确定位）的差异来确定接收器的坐标。