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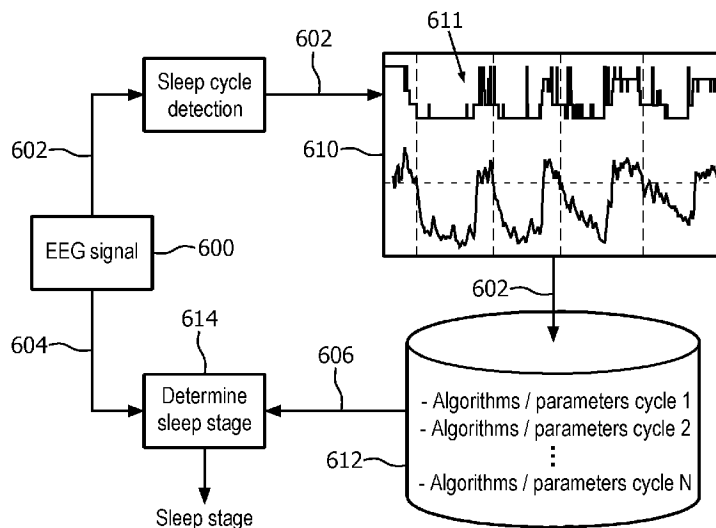


FIG. 6

(57) Abstract: The present disclosure pertains to a system and method for determining sleep stages during individual sleep cycles based on algorithms and/or parameters that correspond to the individual sleep cycles. The system enables more accurate real-time sleep stage determinations compared to prior art systems. Sleep cycles are detected in real-time based on an electroencephalogram (EEG), and/or by other methods. At the end of a sleep cycle, the system is configured such that the specific algorithms and/or parameters used for the previous sleep cycle to determine sleep stages are replaced by new ones which are specifically adapted for the next sleep cycle.



## SYSTEM AND METHOD FOR DETERMINING SLEEP STAGE BASED ON SLEEP CYCLE

### BACKGROUND

#### 1. Field

The present disclosure pertains to a system and method for determining sleep stages during individual sleep cycles based on algorithms and/or parameters that correspond to the individual sleep cycles.

#### 2. Description of the Related Art

Systems for monitoring sleep are known. Determining sleep stages during sleep is known. Typically, sleep stages are determined based on a static sleep stage determination method that does not change as a subject progresses through a sleep session. Static sleep stage determination methods typically process polysomnography signals under an implicit assumption that the patterns in the signals remain stable throughout a night of sleep. This assumption permits the use of simpler sleep stage determination methods. However, these methods can only roughly estimate a subject's sleep stage.

### SUMMARY

Accordingly, one or more aspects of the present disclosure relate to a system configured to determine sleep stages of a subject during individual sleep cycles of a sleep session. The system comprises one or more sensors, one or more physical computer processors, and/or other components. The one or more sensors are configured to generate output signals conveying information related to brain activity of the subject. The one or more physical computer processors are configured to perform various activities by

computer readable instructions. The one or more physical computer processors are configured to detect individual sleep cycles during the sleep session based on the output signals, the individual sleep cycles including a first sleep cycle and a second sleep cycle. The one or more physical computer processors are configured to obtain sleep stage detection algorithms and/or parameters that correspond to different detected sleep cycles, the sleep stage algorithms and/or parameters including first algorithms and/or parameters that correspond to the first sleep cycle and second algorithms and/or parameters that correspond to the second sleep cycle. The one or more physical computer processors are configured to determine sleep stages during different detected sleep cycles based on the output signals and the obtained sleep stage detection algorithms and/or parameters for the corresponding sleep cycles such that, during the first sleep cycle, sleep stages are determined based on the output signals and the first algorithms and/or parameters, and, during the second sleep cycle, sleep stages are determined based on the output signals and the second algorithms and/or parameters.

Another aspect of the present disclosure relates to a method for determining sleep stages of a subject during individual sleep cycles of a sleep session with a sleep stage determination system. The system comprises one or more sensors, one or more physical computer processors, and/or other components. The method comprises generating, with the one or more sensors, output signals conveying information related to brain activity of the subject; detecting, with the one or more physical computer processors, individual sleep cycles during the sleep session based on the output signals, the individual sleep cycles including a first sleep cycle and a second sleep cycle; obtaining, with the one or more physical computer processors, sleep stage detection algorithms and/or parameters that correspond to different detected sleep cycles, the sleep stage algorithms and/or parameters including first algorithms and/or parameters that correspond to the first sleep cycle and second algorithms and/or parameters that correspond to the second sleep cycle; and determining, with the one or more physical computer processors, sleep stages during different detected sleep cycles based on the output signals and the obtained sleep stage detection algorithms and/or parameters for the corresponding sleep cycles such that,

during the first sleep cycle, sleep stages are determined based on the output signals and the first algorithms and/or parameters, and, during the second sleep cycle, sleep stages are determined based on the output signals and the second algorithms and/or parameters.

Still another aspect of the present disclosure relates to a system configured to determine sleep stages of a subject during individual sleep cycles of a sleep session, the system comprising means for generating output signals conveying information related to brain activity of the subject; means for detecting individual sleep cycles during the sleep session based on the output signals, the individual sleep cycles including a first sleep cycle and a second sleep cycle; means for obtaining sleep stage detection algorithms and/or parameters that correspond to different detected sleep cycles, the sleep stage algorithms and/or parameters including first algorithms and/or parameters that correspond to the first sleep cycle and second algorithms and/or parameters that correspond to the second sleep cycle; and means for determining sleep stages during different detected sleep cycles based on the output signals and the obtained sleep stage detection algorithms for the corresponding sleep cycles such that, during the first sleep cycle, sleep stages are determined based on the output signals and the first algorithms and/or parameters, and, during the second sleep cycle, sleep stages are determined based on the output signals and the second algorithms and/or parameters.

These and other objects, features, and characteristics of the present disclosure, as well as the methods of operation and functions of the related elements of structure and the combination of parts and economies of manufacture, will become more apparent upon consideration of the following description and the appended claims with reference to the accompanying drawings, all of which form a part of this specification, wherein like reference numerals designate corresponding parts in the various figures. It is to be expressly understood, however, that the drawings are for the purpose of illustration and description only and are not intended as a definition of the limits of the disclosure.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic illustration of a system configured to determine sleep stages of a subject during individual sleep cycles of a sleep session.

FIG. 2 illustrates cyclical changes in slow wave activity.

FIG. 3 illustrates how decreasing slow wave activity causes inaccurate sleep stage determinations in prior art systems.

FIG. 4 illustrates four individual sleep cycles detected by a sleep cycle component during a sleep session.

FIG. 5 illustrates a vector quantization approach to determining the current sleep stage.

FIG. 6 graphically summarizes real-time sleep stage determinations based on algorithms and/or parameters that correspond to individual sleep cycles.

FIG. 7 illustrates a method for determining sleep stages of a subject during individual sleep cycles of a sleep session with a sleep stage determination system.

#### DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENTS

As used herein, the singular form of “a”, “an”, and “the” include plural references unless the context clearly dictates otherwise. As used herein, the statement that two or more parts or components are “coupled” shall mean that the parts are joined or operate together either directly or indirectly, i.e., through one or more intermediate parts or components, so long as a link occurs. As used herein, “directly coupled” means that two elements are directly in contact with each other. As used herein, “fixedly coupled” or “fixed” means that two components are coupled so as to move as one while maintaining a constant orientation relative to each other.

As used herein, the word “unitary” means a component is created as a single piece or unit. That is, a component that includes pieces that are created separately and then coupled together as a unit is not a “unitary” component or body. As employed herein, the statement that two or more parts or components “engage” one another shall mean that the parts exert a force against one another either directly or through one or

more intermediate parts or components. As employed herein, the term “number” shall mean one or an integer greater than one (i.e., a plurality).

Directional phrases used herein, such as, for example and without limitation, top, bottom, left, right, upper, lower, front, back, and derivatives thereof, relate to the orientation of the elements shown in the drawings and are not limiting upon the claims unless expressly recited therein.

FIG. 1 is a schematic illustration of a system 10 configured to determine sleep stages of a subject 12 during individual sleep cycles of a sleep session. System 10 enables more accurate real-time sleep stage determinations compared to prior art systems. System 10 determines the current sleep stage of subject 12 based on algorithms and/or parameters that correspond to the current sleep cycle. A sleep cycle corresponds to an orderly progression through successive sleep stages, from light sleep to deep sleep and then followed by rapid eye movement (REM) sleep. Sleep cycles are detected in real-time based on an electroencephalogram (EEG), and/or by other methods. At the end of a sleep cycle, system 10 is configured such that the specific algorithms and/or parameters used for the previous sleep cycle to determine sleep stages are replaced by new ones which are specifically adapted for the next sleep cycle. In some embodiments, system 10 comprises one or more of a sensor 18, a processor 20, electronic storage 22, a user interface 24, and/or other components.

Sensor 18 is configured to generate output signals conveying information related to brain activity of subject 12. The brain activity of subject 12 may correspond to a current sleep cycle, a current sleep stage, and/or other characteristics of subject 12. The brain activity of subject 12 may be associated with rapid eye movement (REM) sleep, non-rapid eye movement (NREM) sleep, and/or other sleep. Sleep stages of subject 12 may include one or more of NREM stage N1, stage N2, or stage N3 sleep, REM sleep, and/or other sleep stages. In some embodiments, N1 corresponds to a light sleep state and N3 corresponds to a deep sleep state. In some embodiments, NREM stage 3 or stage 2 sleep may be slow wave (e.g., deep) sleep. Sensor 18 may comprise one or more sensors that measure such parameters directly. For example, sensor 18 may include

electrodes configured to detect electrical activity along the scalp of subject 12 resulting from current flows within the brain of subject 12. Sensor 18 may comprise one or more sensors that generate output signals conveying information related to brain activity of subject 12 indirectly. For example, one or more sensors 18 may generate an output based on a heart rate of subject 12 (e.g., sensor 18 may be a heart rate sensor located on the chest of subject 12, and/or be configured as a bracelet on a wrist of subject 12, and/or be located on another limb of subject 12), movement of subject 12 (e.g., sensor 18 may include a bracelet around the wrist and/or ankle of subject 12 with an accelerometer such that sleep may be analyzed using actigraphy signals), respiration of subject 12, and/or other characteristics of subject 12. Although sensor 18 is illustrated at a single location near subject 12, this is not intended to be limiting. Sensor 18 may include sensors disposed in a plurality of locations, such as for example, coupled (in a removable manner) with clothing of subject 12, worn by subject 12 (e.g., as a headband, wristband, etc.), positioned to point at subject 12 while subject 12 sleeps (e.g., a camera that conveys output signals related to movement of subject 12), and/or in other locations.

The output signals generated by sensor 18 may be and/or be related to polysomnography signals. Patterns in polysomnography signals (often the EEG) do not remain stable during a sleep session of subject 12. The EEG exhibits changes throughout a sleep session. The most prominent change is visible in the EEG delta power (also known as slow wave activity (SWA)). Sleep slow waves are associated with SWA in subject 12 during the sleep session. SWA corresponds to the power of an EEG signal in the 0.5-4.5 Hz band. In some embodiments, this band is set to 0.5-4 Hz. SWA has a typical behavior throughout cyclic variations of a given sleep session. SWA increases during non-rapid eye movement sleep (NREM), declines before the onset of rapid-eye-movement (REM) sleep, and remains low during REM. SWA in successive NREM episodes progressively decreases from one episode to the next. SWA may be estimated from an EEG for subject 12 during a given sleep session.

FIG. 2 illustrates such cyclical changes in SWA 200. SWA 200 is plotted for an entire sleep session 202. For reference, the corresponding hypnogram 204 is also

plotted. SWA 200 progressively decreases 208, 210, 212, and 214 from one NREM episode to the next throughout the sleep session.

FIG. 3 illustrates how decreasing SWA (shown in FIG. 2) causes inaccurate sleep stage determinations in prior art systems. FIG. 3 illustrates an example of a hypnogram 300 determined based on a static (does not change with sleep cycle) algorithm which applies thresholds on root mean square values of the EEG signal filtered in the delta (0.5 to 4 Hz), alpha (8 to 12 Hz), spindle (11-15 Hz), and beta (15 to 30 Hz) bands. FIG. 3A illustrates a manually scored hypnogram 302 for reference. FIG. 3B illustrates the automatically scored hypnogram 300 using the static algorithm. Ideally, the hypnogram shown in FIG. 3B should closely match the hypnogram shown in FIG. 3A. However, the agreement between the hypnogram in FIG. 3A and the hypnogram in FIG. 3B decreases over time. For instance, for the N3 episode in the third cycle 304 (from 200 to 250 minutes approximately) the algorithm detects too many transitions between N2 and N3. A similar situation can be observed for the N3 episode in the fourth cycle 306 (from 300 to 350 minutes approximately). System 10 (shown in FIG. 1) is configured to minimize and/or eliminate such differences with the manually scored hypnogram, for example. System 10 is configured to base real-time sleep stage determinations on algorithms and/or parameters that correspond to individual sleep cycles and improve the accuracy of the real-time sleep stage determinations.

Returning to FIG. 1, processor 20 is configured to provide information processing capabilities in system 10. As such, processor 20 may comprise one or more of a digital processor, an analog processor, a digital circuit designed to process information, an analog circuit designed to process information, a state machine, and/or other mechanisms for electronically processing information. Although processor 20 is shown in FIG. 1 as a single entity, this is for illustrative purposes only. In some embodiments, processor 20 may comprise a plurality of processing units. These processing units may be physically located within the same device (e.g., sensors 18), or processor 20 may represent processing functionality of a plurality of devices operating in coordination.

As shown in FIG. 1, processor 20 is configured to execute one or more computer program components. The one or more computer program components may comprise one or more of a sleep cycle component 30, a retrieval component 32, a sleep stage component 34, and/or other components. Processor 20 may be configured to execute components 30, 32, 34 and/or other components by software; hardware; firmware; some combination of software, hardware, and/or firmware; and/or other mechanisms for configuring processing capabilities on processor 20.

It should be appreciated that although components 30, 32, and 34 are illustrated in FIG. 1 as being co-located within a single processing unit, in embodiments in which processor 20 comprises multiple processing units, one or more of components 30, 32, 34, and/or other components may be located remotely from the other components. The description of the functionality provided by the different components 30, 32, 34, and/or other components described below is for illustrative purposes, and is not intended to be limiting, as any of components 30, 32, and/or 34 may provide more or less functionality than is described. For example, one or more of components 30, 32, and/or 34 may be eliminated, and some or all of its functionality may be provided by other components 30, 32, and/or 34. As another example, processor 20 may be configured to execute one or more additional components that may perform some or all of the functionality attributed below to one of components 30, 32, and/or 34.

Sleep cycle component 30 is configured to detect individual sleep cycles during the sleep session. Sleep cycle component 30 is configured to detect individual sleep cycles based on the output signals from sensors 18 and/or other information. In some embodiments, detecting individual sleep cycles during the sleep session may include generating and/or monitoring an EEG during a sleep session of subject 12. The EEG may be displayed, for example, by user interface 24. Sleep cycle component 30 is configured to detect the individual sleep cycles based on power in a beta ( $\beta$ ) band of the EEG, power in a delta ( $\delta$ ) band of the EEG, and/or other information. More generally, the ratio between the power in any high frequency band (e.g., alpha [8-12 Hz], beta [15-30 Hz], sigma [11-16 Hz] or gamma [30-60Hz]) and any low frequency band (e.g., delta [0.5-4

Hz], and theta [4-8Hz]) may provide a cyclic signal that can be used for sleep cycle detection. The cyclic signal is easily visualized in the ratio of beta/delta (Fig 2). Yet, one can also observe a cyclic signal if one takes, for example, the ratios alpha/theta, and/or alpha/delta.

In some embodiments, sleep cycle component 30 is configured to detect sleep cycles based on the natural logarithm of the ratio between the EEG powers in the beta and the delta bands ( $\log(\beta/\delta)$ ). This ratio may be used because the powers in the delta and beta bands undergo prominent changes (e.g., relative to the power in other bands of the EEG) during cyclical sleep behavior (e.g., shown in FIG. 2). The power in the delta band and the power in the beta band generally exhibit opposite trends (e.g., an increase/decrease in delta is generally mirrored by a decrease/increase in beta).

For example, FIG. 4 illustrates four individual sleep cycles 402, 404, 406, 408 detected by sleep cycle component 30 (FIG. 1) during a sleep session 400. In FIG. 4,  $\log(\beta/\delta)$  410 is plotted below a corresponding manually scored hypnogram 412. In some embodiments, the individual sleep cycles 402, 404, 406, 408 are detected by sleep cycle component 30 based on a  $\log(\beta/\delta)$  threshold 414. In some embodiments, threshold 414 may be determined based on previous sleep sessions of subject 12, and/or other information. In some embodiments, threshold 414 may be set and/or adjusted via user interface 24 (shown in FIG. 1). In some embodiments, threshold 414 may be programmed at manufacture. For example, threshold 414 may be programmed at manufacture based on empirically accepted values such as a threshold of about -2 on the  $\log(\beta/\delta)$  (illustrated in FIG. 4). In some embodiments, sleep cycle component 30 is configured to detect individual sleep cycles responsive to  $\log(\beta/\delta)$  410 breaching  $\log(\beta/\delta)$  threshold 414. In some embodiments, sleep cycle component 30 determines that  $\log(\beta/\delta)$  410 breaches  $\log(\beta/\delta)$  threshold 414 responsive to  $\log(\beta/\delta)$  410 staying above and/or below  $\log(\beta/\delta)$  threshold 414 for longer than a given period of time.

It should be noted that the illustration of four individual sleep cycles in FIG. 4 is not intended to be limiting. Subject 12 may experience any number of sleep

cycles during a sleep session. System 10 is configured to detect the individual sleep cycles from a first sleep cycle to an  $n^{\text{th}}$  sleep cycle.

Returning to FIG. 1, retrieval component 32 is configured to obtain predetermined sleep stage detection algorithms, algorithm input parameters, and/or other information that corresponds to different detected sleep cycles. In some embodiments, the predetermined sleep stage detection algorithms, algorithm input parameters, and/or other information is and/or includes sleep stage criteria. The sleep stage criteria may be related to amounts of power in one or more bands of the EEG (e.g., beta, spindle, alpha, theta, delta), and/or related to other characteristics of the brain activity of subject 12. Typical band-limit values are about 8-12 Hz (alpha), about 15-30Hz (beta), about 11-16Hz (sigma/spindle), about 30-60Hz (gamma), about 0.5-4Hz (delta), and/or about 4-8Hz (theta).

The sleep stage detection algorithms, parameters, sleep stage criteria, and/or other information for one sleep cycle are different from the sleep stage detection algorithms, parameters, sleep stage criteria, and/or other information for other sleep cycles. In some embodiments, the sleep stage detection algorithms, parameters, sleep stage criteria, and/or other information for one sleep cycle may be similar to and/or the same as the sleep stage detection algorithms, parameters, sleep stage criteria, and/or other information for other sleep cycles.

Non-limiting examples of sleep stage detection algorithms, parameters, sleep stage criteria may include a vector quantization algorithm wherein individual sleep stages are characterized by a representative vector (and/or centroid) and the decision on which stage a given vector ( $x$ ) belongs to is taken based on the centroid that is closest to the vector " $x$ ". The vector " $x$ " may be determined from computing signal processing functions on a segment of EEG signal, for example. A typical duration of the EEG segment is 30 seconds, but this is not intended to be limiting. The signal processing functions include spectral band powers, time domain characterizations such as mean value or entropy, and/or other signal processing functions.

Another example of a sleep stage detection algorithm is a neural network which makes decisions based on a set of features estimated from a portion of the EEG signal for which one wants to know the sleep stage that such an EEG signal portion belongs to.

Yet another example of a sleep stage detection algorithm is a support vector machine, which determines the sleep stage a given vector ( $x$ ) belongs to by evaluating a generalized scalar product between so-called support vectors and the vector  $x$ .

The algorithms mentioned above have parameters that can also change depending on the sleep cycle. For instance, the centroids for individual sleep stages in the vector quantization algorithm can change depending on the sleep cycle. Another example is that of the neural network where the architecture (e.g., the number of layers and/or neurons) may remain the same but the weights can change depending on the sleep cycle. In this example, the algorithm for the first cycle and the second cycle are the same, while the parameters for the first cycle are not the same as the parameters for the second cycle.

In some embodiments, retrieval component 32 is configured to obtain the corresponding predetermined sleep stage detection algorithms, parameters, sleep stage criteria, and/or other information responsive to detection of an individual sleep cycle by sleep cycle component 30. For example, the sleep stage algorithms, parameters, and/or criteria obtained by retrieval component 32 may include first algorithms, parameters, and/or criteria that correspond to a first sleep cycle (e.g., sleep cycle 402 shown in FIG. 4), and second algorithms, parameters, and/or criteria that correspond to a second sleep cycle (e.g., sleep cycle 404 shown in FIG. 4). In some embodiments, retrieval component 32 is configured to obtain one or more individual algorithms, parameters, and/or criteria that correspond to the individual sleep cycle detected by sleep cycle component 30. In some embodiments, retrieval component 32 is configured to obtain one or more sets of algorithms, parameters, and/or criteria that correspond to the individual sleep cycle detected by sleep cycle component 30.

Retrieval component 32 is configured such that the predetermined algorithms, algorithm input parameters, sleep stage criteria, and/or other information are stored electronically (e.g., in electronic storage 22). The predetermined algorithms, algorithm input parameters, sleep stage criteria, and/or other information may be indexed based on the individual sleep cycles. Instead of storing a single algorithm, parameter, and/or set of criteria for a sleep stage, regardless of sleep cycle, system 10 is configured such that several algorithms, parameters, and/or sets of criteria are stored for the various sleep stages that occur during the different sleep cycles. These may be indexed such that, during a first sleep cycle, retrieval component 32 obtains algorithms, parameters, and/or criteria indexed to the first sleep cycle. During a final sleep cycle, retrieval component 32 obtains algorithms, parameters, and/or criteria indexed to the final sleep cycle.

In some embodiments, the predetermined algorithms, algorithm input parameters, criteria, and/or other information are based on one or more of a previous sleep session of subject 12, information from sleep sessions of an age match population of subjects, and/or other information. For example, the sleep stage criteria may be determined using training data (e.g., data from previous sleep sessions) from a pool of users. Alternatively, the sleep stage criteria may be personalized for subject 12 using data from a single and/or multiple baseline (prior) nights of sleep (sleep sessions).

Sleep stage component 34 is configured to determine sleep stages during the different detected sleep cycles. Sleep stage component 34 is configured to determine sleep stages based on the output signals from sensors 18, information from the EEG, the obtained sleep stage detection algorithms, algorithm input parameters, and/or sleep stage criteria for the corresponding sleep cycles, and/or other information. For example, during a first sleep cycle, sleep stages are determined based on the current (e.g., during the first sleep cycle) output signals, current information from the EEG, a first algorithm, a first algorithm input parameter, first sleep stage criteria, and/or other information. The first algorithm, the first algorithm input parameter, and/or the first sleep stage criteria are obtained by retrieval component 32 responsive to sleep cycle component 30 determining that subject 12 is in the first sleep cycle. During a second sleep cycle, sleep stages are

determined based on the current (e.g., during the second sleep cycle) output signals, current information from the EEG, a second algorithm, a second algorithm input parameter, and/or second sleep stage criteria.

In some embodiments, sleep stage component 34 is configured to use information conveyed by the output signals, information from the EEG, the obtained algorithm input parameters, and/or other information as algorithm inputs for the obtained algorithms to determine sleep stages in a corresponding sleep cycle. In some embodiments, sleep stage component 34 is configured to determine sleep stages during a given sleep cycle by comparing information conveyed by the output signals, information conveyed by the EEG, and/or other information to the sleep stage criteria for the given sleep cycle.

By way of a non-limiting example, sleep stage component 34 may use the EEG power in five frequency bands (e.g., as described above, delta (0.5 to 4 Hz), theta (4 to 8 Hz), alpha (8 to 12 Hz), spindle (11-16 Hz), and beta (15 to 30 Hz)) to determine the current sleep stage. Sleep stage component 34 may determine the power in the five different frequency bands during one or more fifteen second (for example) long epochs during a sleep session. For an individual epoch, sleep stage component 34 may build a 5-D feature vector based on the power in the five frequency bands. In some embodiments, the 5-D feature vector may be an input into a predetermined algorithm obtained by retrieval component 32 for the current sleep cycle (the algorithm changes depending on the sleep cycle) determined by sleep cycle component 30. In some embodiments, the 5D-feature vector may be compared to sleep stage criteria obtained by retrieval component 32 for the current sleep cycle determined by sleep cycle component 30 (the sleep stage criteria change depending on the sleep cycle). The current sleep stage may be an output of the algorithm and/or or determined responsive to the 5D-feature vector meeting one or more of the sleep stage criteria.

In this example, the predetermined algorithm obtained by retrieval component 32 is a vector quantization algorithm and/or is related to a vector quantization approach to determining the current sleep stage. Using this approach, feature vectors for

training epochs from previous sleep sessions of subject 12 (for which the sleep cycle and the sleep stage are known) are previously determined. Clusters of feature vectors for individual training epochs are used to determine sleep stage feature vector boundaries and/or regions (because the sleep stages for the training epochs are known) that define individual sleep stages in a five-dimensional space (e.g. each dimension corresponding to an EEG power band). The determined sleep stage feature vector boundaries and/or regions vary by sleep cycle. The appropriate set of boundaries and/or regions for the current sleep cycle are obtained by retrieval component 32. Sleep stage component 34 may compare the current 5-D feature vector to the sleep stage feature vector boundaries and/or regions for the current sleep cycle. Sleep stage component 34 may determine the current sleep stage based on the 5-D feature vector and the defined boundaries and/or regions for the current sleep cycle. Apart from the boundaries, one can determine the stage a given vector “x” belongs to by computing the distance between “x” and the centroid of each region. The centroid vector may be defined for individual sleep stages/regions as the center of gravity of the individual region

FIG. 5 illustrates the vector quantization approach to determining the current sleep stage. Clusters of known feature vectors from training epochs define regions 502, 504, 506, 508, 510 in a five dimensional space 500 (but illustrated on a 2-D page) that correspond to sleep stages for a given sleep cycle. Representative feature vectors (models) for each sleep stage are indicated by the black dots 512, 514, 516, 518, 520. The representative feature vectors are the centroids (described above), individual centroids being the center of gravity of the corresponding individual regions. To determine which sleep stage a current epoch belongs to, sleep stage component 34 determine the 5-D feature vector from the current epoch based on the power in the five frequency bands of the EEG during the current epoch. Then, sleep stage component 34 compares, through Euclidean distances for example, the 5-D feature vector from the current epoch to each cluster/region to determine in which cluster/region (sleep stage) the current 5-D feature vector is most likely to be located.

FIG. 6 graphically summarizes real-time sleep stage determinations based on algorithms and/or parameters that correspond to individual sleep cycles. As shown in FIG. 6, the EEG signal (which is and/or is determined based on the output signals from sensors 18) goes through two sequential and/or substantially simultaneous processes 602, 604. The first process 602 is automatically detecting the sleep cycle. The second process 604 is determining the current sleep stage of subject 12, which receives input 606 from first process 602. The sleep-cycle detection process 602 includes determining the current sleep cycle based on the EEG. A metric derived from the EEG which can be reliably used to determine the sleep cycle is the logarithm of the ratio between the power in the beta (15-30 Hz) band and that in the delta (0.5-4 Hz) band ( $\log(\beta/\delta)$ ) of the EEG (reference numeral 610 in FIG. 6., hypnogram 611 is also shown for reference). Sleep cycle component 30 and retrieval component 32 (shown in FIG. 1) detect changes in sleep cycle and retrieve sleep stage determination algorithms and/or parameters indexed to the given sleep cycle. The sleep stage determination algorithms and/or parameters are retrieved from electronic storage 612. Sleep stage determination 614 is based on the EEG signal and the algorithms and/or parameters retrieved from the storage module.

Returning to FIG. 1, electronic storage 22 comprises electronic storage media that electronically stores information. The electronic storage media of electronic storage 22 may comprise one or both of system storage that is provided integrally (i.e., substantially non-removable) with system 10 and/or removable storage that is removably connectable to system 10 via, for example, a port (e.g., a USB port, a firewire port, etc.) or a drive (e.g., a disk drive, etc.). Electronic storage 22 may comprise one or more of optically readable storage media (e.g., optical disks, etc.), magnetically readable storage media (e.g., magnetic tape, magnetic hard drive, floppy drive, etc.), electrical charge-based storage media (e.g., EPROM, RAM, etc.), solid-state storage media (e.g., flash drive, etc.), and/or other electronically readable storage media. Electronic storage 22 may store software algorithms (e.g., the predetermined algorithms retrieved by retrieval component 32), algorithm inputs (e.g., the predetermined parameters retrieved by retrieval component 32), information determined by processor 20, information received via user interface 24

and/or external computing systems, and/or other information that enables system 10 to function properly. Electronic storage 22 may be (in whole or in part) a separate component within system 10, or electronic storage 22 may be provided (in whole or in part) integrally with one or more other components of system 10 (e.g., processor 20).

User interface 24 is configured to provide an interface between system 10 and subject 12, and/or other users through which subject 12 and/or other users may provide information to and receive information from system 10. For example, user interface 24 may display an EEG to a user. This enables data, cues, results, instructions, and/or any other communicable items, collectively referred to as "information," to be communicated between a user (e.g., subject 12, a caregiver, and/or other users) and one or more of sensor 18, processor 20, electronic storage 22, and/or other components of system 10. For example, user interface 24 may facilitate storage of predetermined algorithms and/or parameters in electronic storage 22 by a user.

Examples of interface devices suitable for inclusion in user interface 24 comprise a keypad, buttons, switches, a keyboard, knobs, levers, a display screen, a touch screen, speakers, a microphone, an indicator light, an audible alarm, a printer, a tactile feedback device, and/or other interface devices. In some embodiments, user interface 24 comprises a plurality of separate interfaces. In some embodiments, user interface 24 comprises at least one interface that is provided integrally with processor 20, electronic storage 22, and/or other components of system 10.

It is to be understood that other communication techniques, either hard-wired or wireless, are also contemplated by the present disclosure as user interface 24. For example, the present disclosure contemplates that user interface 24 may be integrated with a removable storage interface provided by electronic storage 22. In this example, information may be loaded into system 10 from removable storage (e.g., a smart card, a flash drive, a removable disk, etc.) that enables the user(s) to customize the implementation of system 10. Other exemplary input devices and techniques adapted for use with system 10 as user interface 24 comprise, but are not limited to, an RS-232 port, RF link, an IR link, modem (telephone, cable or other). In short, any technique for

communicating information with system 10 is contemplated by the present disclosure as user interface 24.

FIG. 7 illustrates a method 700 for determining sleep stages of a subject during individual sleep cycles of a sleep session with a sleep stage determination system. The system comprises one or more sensors, one or more physical computer processors, and/or other components. The operations of method 700 presented below are intended to be illustrative. In some embodiments, method 700 may be accomplished with one or more additional operations not described, and/or without one or more of the operations discussed. Additionally, the order in which the operations of method 700 are illustrated in FIG. 7 and described below is not intended to be limiting.

In some embodiments, method 700 may be implemented in one or more processing devices (e.g., a digital processor, an analog processor, a digital circuit designed to process information, an analog circuit designed to process information, a state machine, and/or other mechanisms for electronically processing information). The one or more processing devices may include one or more devices executing some or all of the operations of method 700 in response to instructions stored electronically on an electronic storage medium. The one or more processing devices may include one or more devices configured through hardware, firmware, and/or software to be specifically designed for execution of one or more of the operations of method 700.

At an operation 702, output signals conveying information related to brain activity of the subject during a sleep session are generated. In some embodiments, operation 702 is performed by one or more sensors the same as or similar to sensors 18 (shown in FIG. 1 and described herein).

At an operation 704, an individual sleep cycle is detected based on the output signals. In some embodiments, the individual sleep cycle is detected based on power in a beta band and power in a delta band of an EEG, and/or other information. In some embodiments, operation 704 is performed by a physical computer processor component the same as or similar to sleep cycle component 30 (shown in FIG. 1 and described herein).

At an operation 706, predetermined sleep stage determination algorithms, algorithm input parameters, and/or other information that corresponds to the individual sleep cycle detected during operation 704 are retrieved. In some embodiments, the algorithms, algorithm input parameters, and/or other information are retrieved from electronic storage. In some embodiments, the algorithms, algorithm input parameters, and/or other information are indexed in electronic storage based on the individual sleep cycles. In some embodiments, the predetermined algorithms and/or the algorithm input parameters are based on one or more of a previous sleep session of the subject, information from sleep sessions of an age match population of subjects, and/or other information. In some embodiments, operation 706 is performed by a physical computer processor component the same as or similar to retrieval component 32 (shown in FIG. 1 and described herein).

At an operation 708, sleep stages are determined. Sleep stages are determined during different detected sleep cycles. Sleep stages are determined based on the output signals, the obtained sleep stage detection algorithms and/or parameters for the corresponding sleep cycles, and/or other information. For example, during a first sleep cycle, sleep stages are determined based on the output signals, a first algorithm, a first parameter, and/or other information that corresponds to the first sleep cycle. During a second sleep cycle, sleep stages are determined based on the output signals, a second algorithm, a second parameter, and/or other information that corresponds to the second sleep cycle. In some embodiments, operation 708 is performed by a physical computer processor component the same as or similar to sleep stage component 34 (shown in FIG. 1 and described herein).

In the claims, any reference signs placed between parentheses shall not be construed as limiting the claim. The word “comprising” or “including” does not exclude the presence of elements or steps other than those listed in a claim. In a device claim enumerating several means, several of these means may be embodied by one and the same item of hardware. The word “a” or “an” preceding an element does not exclude the presence of a plurality of such elements. In any device claim enumerating several means,

several of these means may be embodied by one and the same item of hardware. The mere fact that certain elements are recited in mutually different dependent claims does not indicate that these elements cannot be used in combination.

Although the description provided above provides detail for the purpose of illustration based on what is currently considered to be the most practical and preferred embodiments, it is to be understood that such detail is solely for that purpose and that the disclosure is not limited to the expressly disclosed embodiments, but, on the contrary, is intended to cover modifications and equivalent arrangements that are within the spirit and scope of the appended claims. For example, it is to be understood that the present disclosure contemplates that, to the extent possible, one or more features of any embodiment can be combined with one or more features of any other embodiment.

## CLAIMS:

1. A system (10) configured to determine sleep stages of a subject during individual sleep cycles of a sleep session, the system comprising:

one or more sensors (18) configured to generate output signals conveying information related to brain activity of the subject; and

one or more physical computer processors (20) configured by computer-readable instructions to:

detect individual sleep cycles during the sleep session based on the output signals, the individual sleep cycles including a first sleep cycle and a second sleep cycle;

obtain predetermined sleep stage detection algorithms and/or parameters that correspond to different detected sleep cycles, the sleep stage algorithms and/or parameters including first algorithms and/or parameters that correspond to the first sleep cycle and second algorithms and/or parameters that correspond to the second sleep cycle; and

determine sleep stages during different detected sleep cycles based on the output signals and the obtained sleep stage detection algorithms and/or parameters for the corresponding sleep cycles such that, during the first sleep cycle, sleep stages are determined based on the output signals and the first algorithms and/or parameters, and, during the second sleep cycle, sleep stages are determined based on the output signals and the second algorithms and/or parameters.

2. The system of claim 1, wherein the one or more physical computer processors detect the individual sleep cycles based on power in a beta band and power in a delta band of an electroencephalogram.

3. The system of claim 1, wherein the one or more physical computer processors are further configured such that the predetermined sleep stage detection algorithms and/or parameters include a vector quantization algorithm, wherein individual

sleep stages are characterized by one or more of a representative vector or a representative centroid, and wherein a decision on which sleep stage a given current sleep stage vector belongs to is taken based on a representative centroid that is closest to the given current sleep stage vector.

4. The system of claim 1, wherein the one or more physical computer processors are configured such that the predetermined algorithms and/or parameters are stored electronically and indexed based on the individual sleep cycles.

5. The system of claim 1, wherein the one or more physical computer processors are configured such that the predetermined algorithms and/or parameters are based on one or more of a previous sleep session of the subject or information from sleep sessions of an age match population of subjects.

6. A method for determining sleep stages of a subject during individual sleep cycles of a sleep session with a sleep stage determination system, the system comprising one or more sensors and one or more physical computer processors, the method comprising:

generating, with the one or more sensors, output signals conveying information related to brain activity of the subject;

detecting, with the one or more physical computer processors, individual sleep cycles during the sleep session based on the output signals, the individual sleep cycles including a first sleep cycle and a second sleep cycle;

obtaining, with the one or more physical computer processors, predetermined sleep stage detection algorithms and/or parameters that correspond to different detected sleep cycles, the sleep stage algorithms and/or parameters including first algorithms and/or parameters that correspond to the first sleep cycle and second algorithms and/or parameters that correspond to the second sleep cycle; and

determining, with the one or more physical computer processors, sleep stages during different detected sleep cycles based on the output signals and the obtained sleep stage detection algorithms and/or parameters for the corresponding sleep cycles such that, during the first sleep cycle, sleep stages are determined based on the output signals and the first algorithms and/or parameters, and, during the second sleep cycle, sleep stages are determined based on the output signals and the second algorithms and/or parameters.

7. The method of claim 6, wherein the individual sleep cycles are detected based on power in a beta band and power in a delta band of an electroencephalogram.

8. The method of claim 6, wherein the predetermined sleep stage detection algorithms and/or parameters include a vector quantization algorithm, wherein individual sleep stages are characterized by one or more of a representative vector or a representative centroid, and wherein a decision on which sleep stage a given current sleep stage vector belongs to is taken based on a representative centroid that is closest to the given current sleep stage vector.

9. The method of claim 6, wherein the predetermined algorithms and/or parameters are stored electronically and indexed based on the individual sleep cycles.

10. The method of claim 6, wherein the predetermined algorithms and/or parameters are based on one or more of a previous sleep session of the subject or information from sleep sessions of an age match population of subjects.

11. A system (10) configured to determine sleep stages of a subject during individual sleep cycles of a sleep session, the system comprising:

means (18) for generating output signals conveying information related to brain activity of the subject;

means (30) for detecting individual sleep cycles during the sleep session based on the output signals, the individual sleep cycles including a first sleep cycle and a second sleep cycle;

means (32) for obtaining sleep stage detection algorithms and/or parameters that correspond to different detected sleep cycles, the sleep stage algorithms and/or parameters including first algorithms and/or parameters that correspond to the first sleep cycle and second algorithms and/or parameters that correspond to the second sleep cycle; and

means (34) for determining sleep stages during different detected sleep cycles based on the output signals and the obtained sleep stage detection algorithms and/or parameters for the corresponding sleep cycles such that, during the first sleep cycle, sleep stages are determined based on the output signals and the first algorithms and/or parameters, and, during the second sleep cycle, sleep stages are determined based on the output signals and the second algorithms and/or parameters.

12. The system of claim 11, wherein the means for detecting detects the individual sleep cycles based on power in a beta band and power in a delta band of an electroencephalogram.

13. The system of claim 11, wherein the means for obtaining is configured such that the predetermined sleep stage detection algorithms and/or parameters include a vector quantization algorithm, wherein individual sleep stages are characterized by one or more of a representative vector or a representative centroid, and wherein a decision on which sleep stage a given current sleep stage vector belongs to is taken based on a representative centroid that is closest to the given current sleep stage vector.

14. The system of claim 11, wherein the means for obtaining is configured such that the predetermined algorithms and/or parameters are stored electronically and indexed based on the individual sleep cycles.

15. The system of claim 11, wherein the means for obtaining is configured such that the predetermined algorithms and/or parameters are based on one or more of a previous sleep session of the subject or information from sleep sessions of an age match population of subjects.

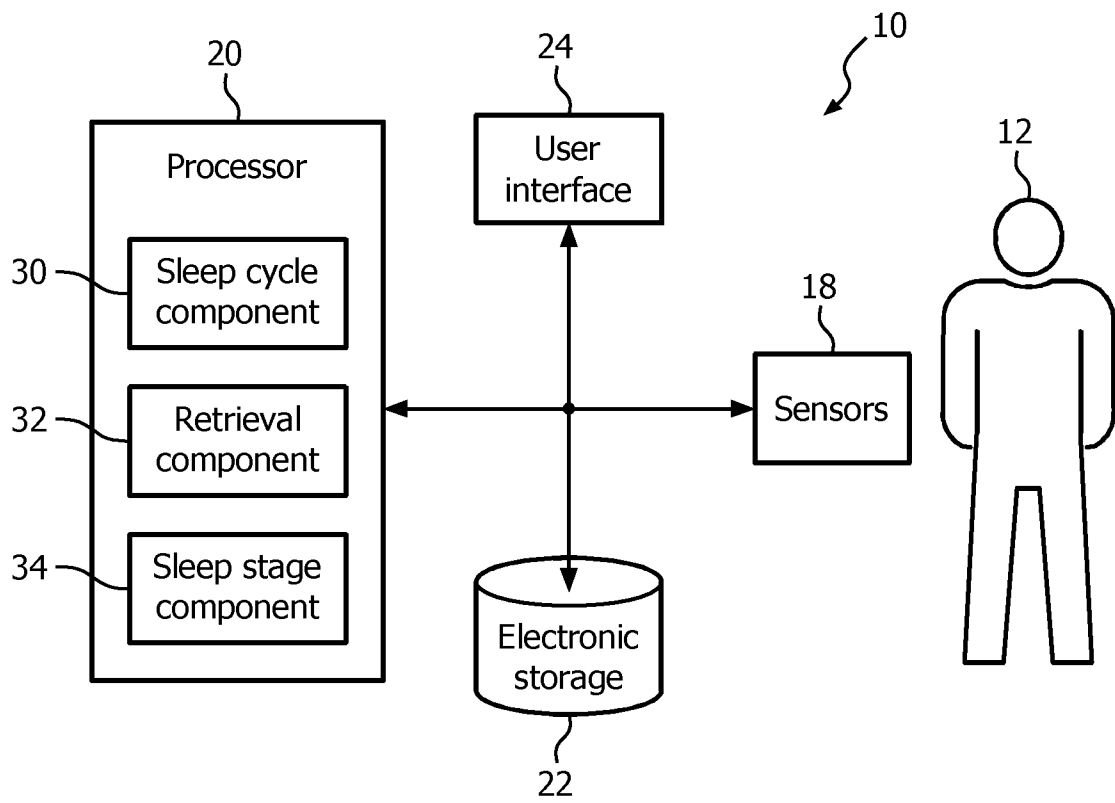


FIG. 1

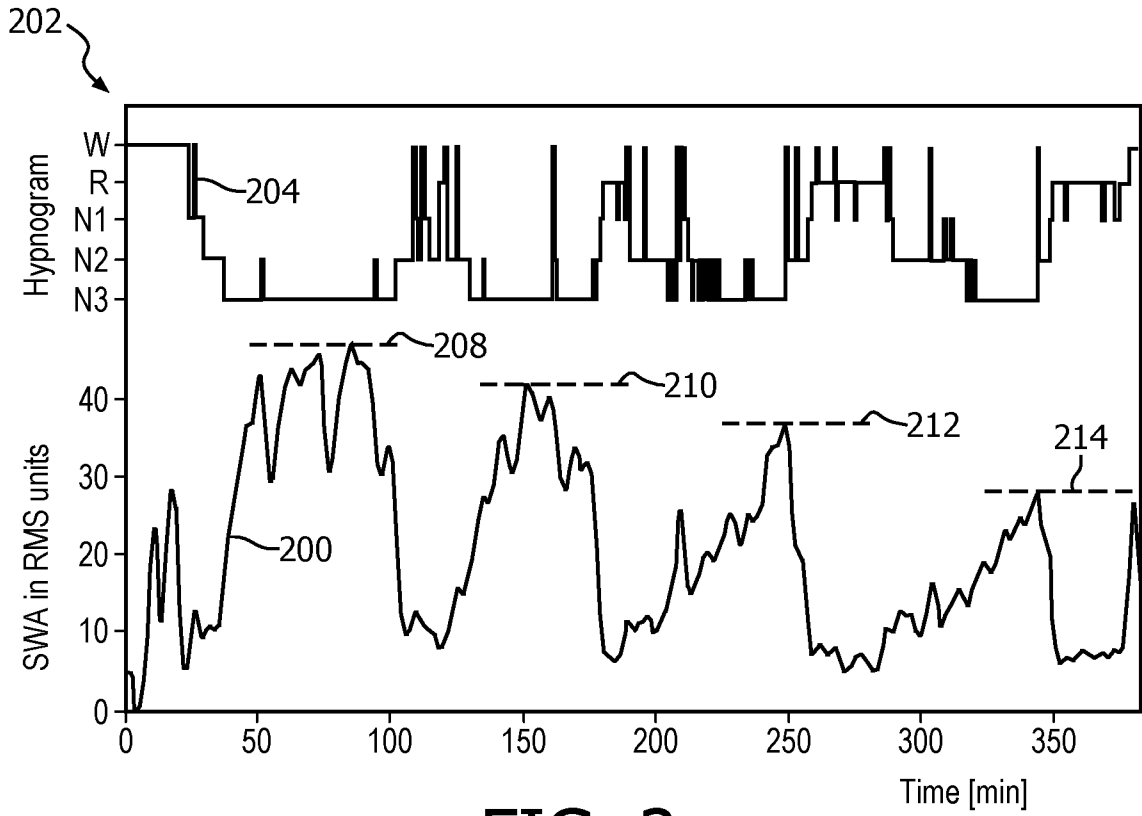


FIG. 2

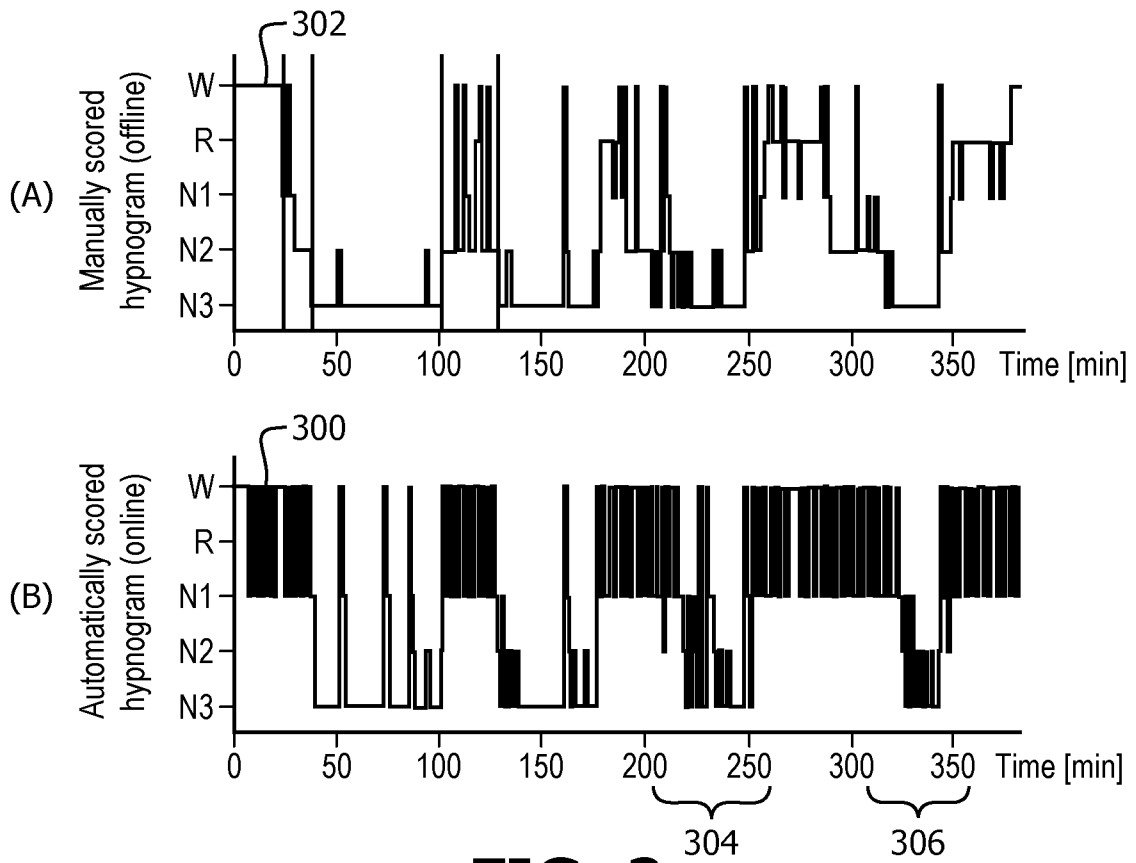


FIG. 3

3/4

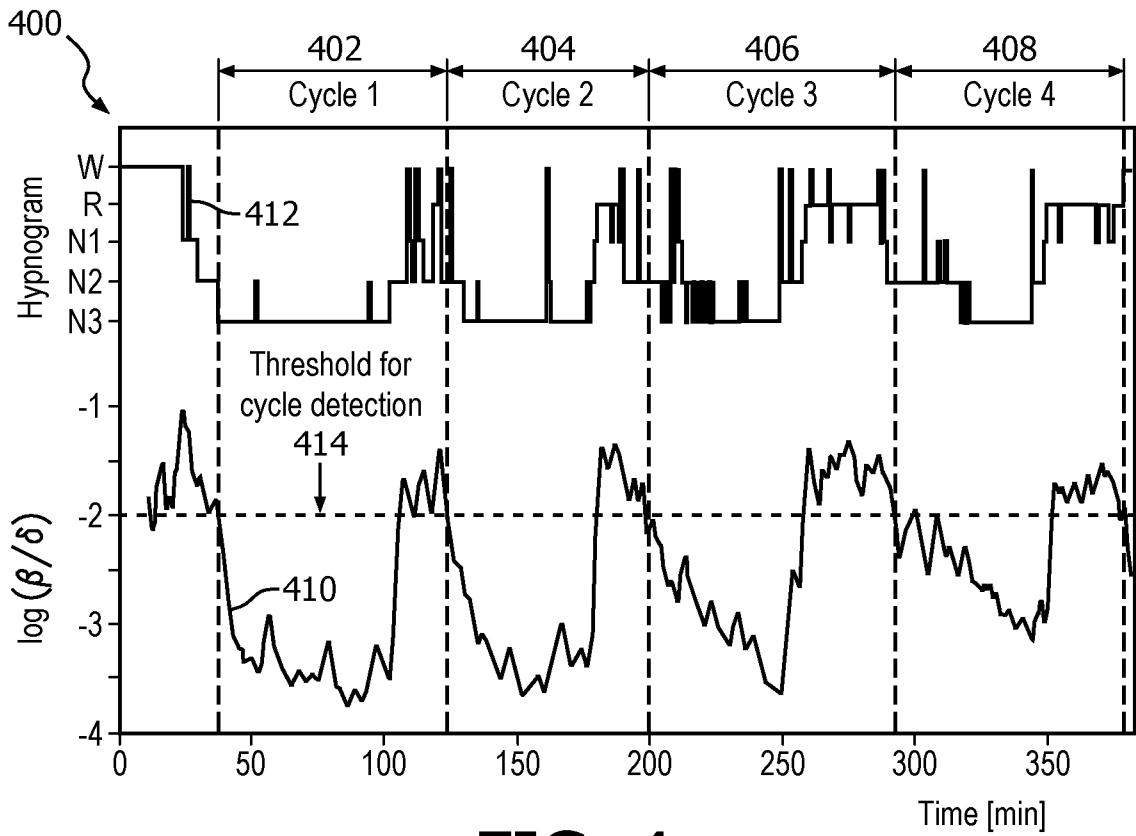


FIG. 4

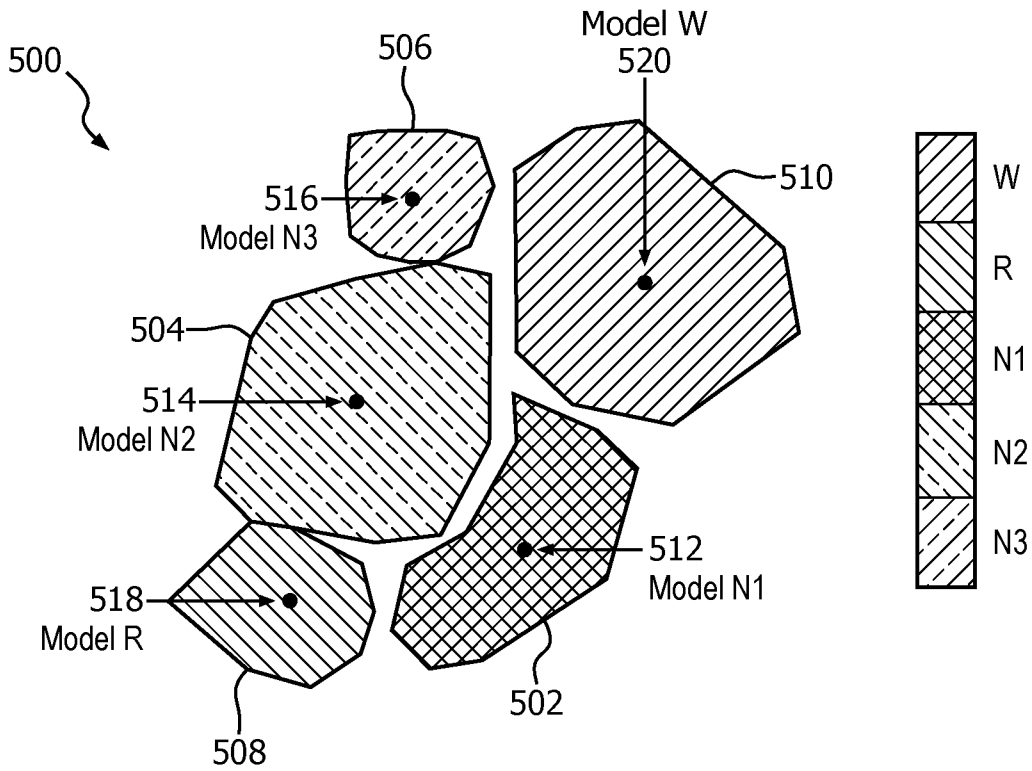


FIG. 5

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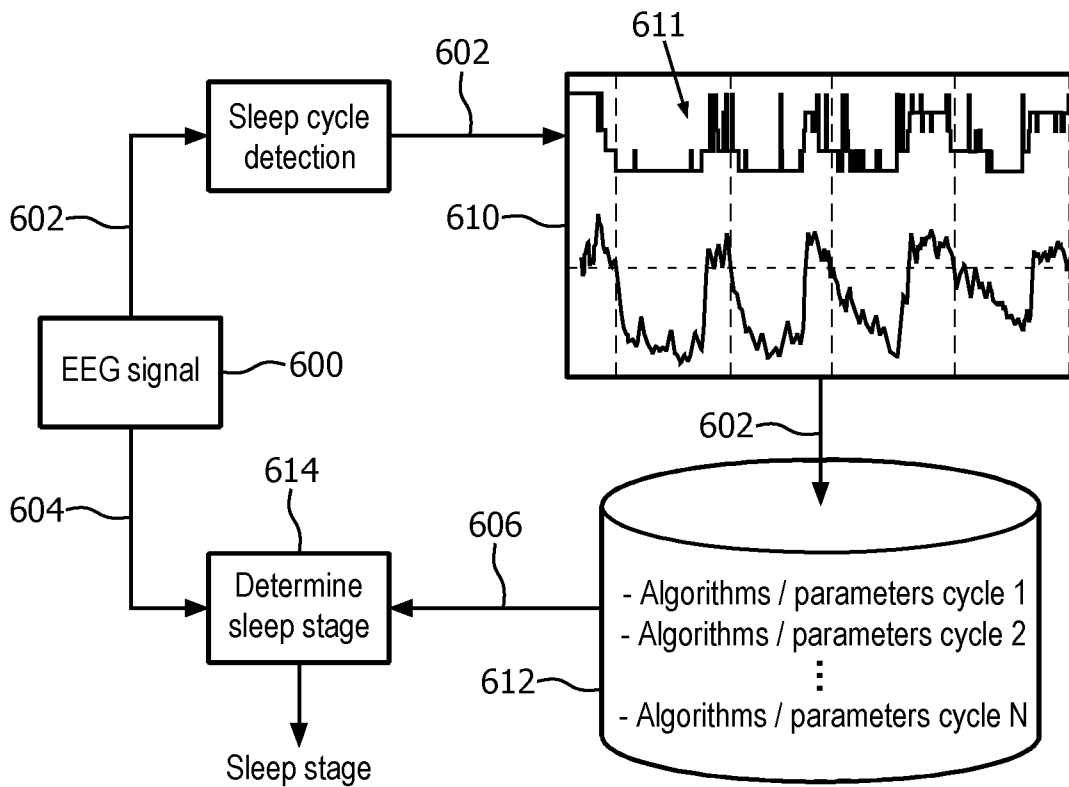


FIG. 6

Method 700

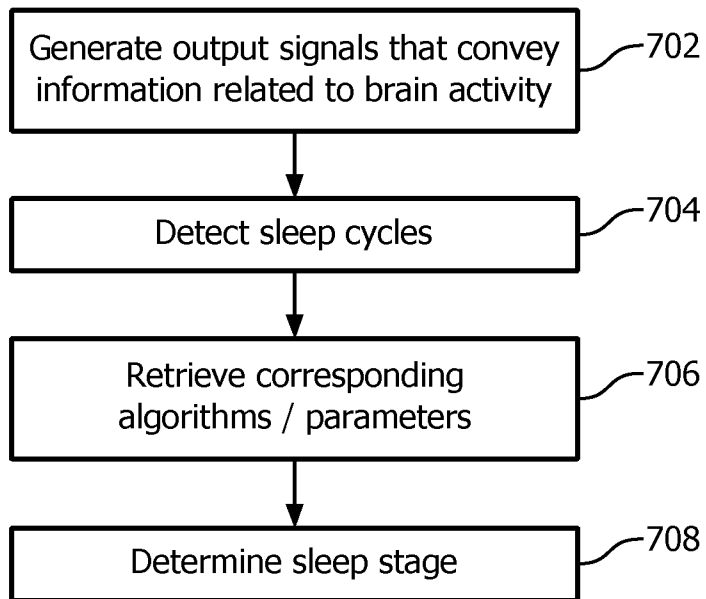


FIG. 7

INTERNATIONAL SEARCH REPORT

International application No  
PCT/IB2014/066556

A. CLASSIFICATION OF SUBJECT MATTER  
INV. A61B5/0476 A61B5/00  
ADD.  
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
Minimum documentation searched (classification system followed by classification symbols)  
A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2006/293608 A1 (ROTHMAN DANIEL [US] ET AL) 28 December 2006 (2006-12-28) paragraph [0037] paragraph [0040] - paragraph [0043] paragraph [0064] - paragraph [0068] -----	1-15
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A	WO 2006/008743 A2 (WIDEMED LTD [IL]; TODROS KOBY [IL]; LEVY BARUCH [IL]; NOVODVORETS ALEX) 26 January 2006 (2006-01-26) page 9, line 3 - line 9 page 20, line 8 - line 21 ----- -/--	3,8,13

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search  6 March 2015	Date of mailing of the international search report  16/03/2015
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Gooding Arango, J

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/IB2014/066556

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No PCT/IB2014/066556
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专利名称(译)	用于基于睡眠周期确定睡眠阶段的系统和方法		
公开(公告)号	<a href="#">EP3082590A1</a>	公开(公告)日	2016-10-26
申请号	EP2014827542	申请日	2014-12-03
[标]申请(专利权)人(译)	皇家飞利浦电子股份有限公司		
申请(专利权)人(译)	皇家飞利浦N.V.		
当前申请(专利权)人(译)	皇家飞利浦N.V.		
[标]发明人	GARCIA MOLINA GARY NELSON		
发明人	GARCIA MOLINA, GARY NELSON		
IPC分类号	A61B5/0476 A61B5/00		
CPC分类号	A61B5/0476 A61B5/4812 A61B5/7278 A61B5/7282		
代理机构(译)	STEFFEN , THOMAS		
优先权	61/916383 2013-12-16 US		
外部链接	<a href="#">Espacenet</a>		

#### 摘要(译)

本公开涉及用于基于对应于各个睡眠周期的算法和/或参数来确定各个睡眠周期期间的睡眠阶段的系统和方法。与现有技术系统相比，该系统能够实现更准确的实时睡眠阶段确定。基于脑电图（EEG）和/或通过其他方法实时检测睡眠周期。在睡眠周期结束时，系统被配置成使得用于先前睡眠周期以确定睡眠阶段的特定算法和/或参数被新的替换，其特别适合于下一个睡眠周期。