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(54) **METHOD FOR IDENTIFYING A FARM ANIMAL HAVING AN IMPAIRMENT OF REGULATIVE CAPACITY IN RESPONSE TO METABOLIC STRESS**

(57) The invention relates to a method for identifying a farm animal having an impairment of regulative capacity in response to metabolic stress comprising: a) assessing the value of the nonlinear domain heart rate variability component L_{MAX} of a farm animal based on a heart beat

interval data set obtained for said farm animal; b) comparing the value of L_{MAX} assessed according to (a) with a species specific threshold of L_{MAX} ; whereby a farm animal having an impairment of regulative capacity will be identified.

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Description

[0001] High performance cattle breeds like German Holstein have been selected for improved milk production and thereby also for high *ad libitum* feed intake and metabolic rates (Kennedy *et al.*, 2003). On the other hand, dairy cows experience distinct metabolic stress during periods of high metabolic load and inadequate energy / fuel availability leading to a negative energy balance, e.g., as a result of infectious or metabolic / digestive disorders, during heat stress, and in particular during the transition period around parturition (Gross *et al.*, 2011). Sub-clinical disorders of the energy metabolism facilitate failure of homeorhetic and homeostatic adaptations resulting in health problems and reduced performance (Mudron *et al.*, 2005).

[0002] Until now various metabolites (i.e., non-esterified fatty acids; NEFA, beta-hydroxybutyrate; BHBA, glucose, lactate) are commonly used to characterize a situation of metabolic stress. In addition, the plasma level of cortisol and the heart rate (HR) are applied to assess a general stress response.

[0003] The autonomic nervous system (ANS) plays a predominant role in regulating the adaptive response to inadequate energy and/or fuel availability and the resulting metabolic stress (Chilliard *et al.*, 1998; Fröhli and Blum, 1988). Particularly, the ANS influences the metabolic rate in organs such as heart, liver, and gastrointestinal tract. The sympathetic nervous system (SNS) activity in a variety of tissues/organs is increased in conjunction with high feeding levels and is decreased during starvation (Fröhli and Blum, 1988). Also, the SNS and epinephrine are mainly involved in control of protein kinase A-mediated lipolysis during periods of negative energy balance (NEB) (Chilliard *et al.*, 1998). Together with the adrenocortical axis and behavioral adaptations, the sympathetic nervous system belongs to the main mediators of the stress response (Mudron *et al.*, 2005). Different reactivity/activity of the ANS might thus explain part of the considerable variation in the ability of high-yielding dairy cows to adapt successfully to the metabolic load during pregnancy and onset of lactation. If so, parameters linked to ANS activity and sympatho-vagal balance could be possible early markers of metabolic stress that can be used to predict cows with compromised regulatory capacity.

[0004] Linear and non-linear indices of heart rate variability (HRV) have been identified as noninvasive quantitative markers of autonomic activity and of stress (Gygax *et al.*, 2008; Hagen *et al.*, 2005; Mohr *et al.*, 2002). The advantage of HRV over traditional measurement of heart rate (HR), body temperature (BT) or hormone concentrations is its better reflection of the status of the central nervous regulations and of the individual capacity to respond to environmental demands (Task Force, 1996). In cattle HRV analysis has been used to determine stressful effects of high temperature, insect harassment and diarrhea (Mohr *et al.*, 2002), of automatic or conventional milking systems (Gygax *et al.*, 2008; Hagen *et al.*, 2005; Kézér *et al.* 2014), and of transrectal examination of lactating and dry dairy cows (Kézér *et al.* 2014). However, there is so far no information on changes of sympathetic and, in particular, of parasympathetic activity pattern in pregnant, high-yielding dairy cows experiencing a defined metabolic load.

[0005] There is an urgent need for predictive markers as well as methods to identify animals at risk and to select animals having a high adaptability and robustness. Thus, the objective underlying the present invention was the provision of a method for identifying a farm animal having an impairment of regulative capacity in response to metabolic stress.

[0006] According to the present invention, it was found that this object can be solved by a method for identifying a farm animal having an impairment of regulative capacity in response to metabolic stress comprising:

a) assessing the value of the nonlinear domain heart rate variability component L_{MAX} of a farm animal based on a heart beat interval data set obtained for said farm animal;

b) comparing the value of L_{MAX} assessed according to (a) with a species specific threshold of L_{MAX} , whereby a farm animal having an impairment of regulative capacity will be identified.

[0007] The method is an *ex vivo* method carried out on an existing dataset of heart beat interval data of a farm animal which does not require any physical interaction with said farm animal. Heart rate (HR) and heart beat interval (Interbeat interval, IBI, R-R interval) data are routinely determined for farm animals, for example, by using Polar Equine systems such as the Polar Equine RS800CX monitor, a fixing belt for large animals (German utility model DE 20 2012 100 735.5) and the equine belt with transmitters and two integrated electrodes (WearLink® W.I.N.D., Polar Electro Oy, Finland). After measurement, the data are routinely transferred to a computer (Polar IrDA USB-Adapter W.I.N.D.). Normally, these data sets are used - preferably after several correction steps - for the determination of HRV parameters of the farm animals in the time, frequency, and nonlinear domains. Due to routine monitoring of farm animals, data sets are available for different feeding periods. Preferably, heart beat interval data sets used according to a) are heart beat interval data of a *feed-ad-libitum*-period.

[0008] L_{MAX} is a HRV indice based on a Lorenz plot (LP), wherein each R-R interval of a data set is plotted against its preceding neighbor. L_{MAX} is defined as the maximal length of the LP shape projection on the bisector. Regarding further details of indices of HRV and their determination, reference is made to Mohr *et al.* 2002.

[0009] The farm animal is preferably selected from the group of species consisting of horse, cow, pig and goat, and

is more preferably a cow, more preferably a dairy cow.

[0010] In a preferred embodiment, the method further comprises:

- 5 c) identifying the farm animal having an impairment of regulative capacity in response to metabolic stress by its value of L_{MAX} being below the species specific threshold.

[0011] According to a preferred embodiment, the species specific threshold of L_{MAX} according to (b) is determined based on

- 10 - values of the nonlinear domain heart rate variability component L_{MAX} of at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a *feed-ad-libitum*-period (L_{MAX} ad libitum);
- 15 - values of the high frequency domain parameter of heart rate variability (HF) of the at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a *feed-ad-libitum*-period ($HF_{ad\ libitum}$), preferably from the same *feed-ad-libitum*-period as used for L_{MAX} ad libitum; and
- 20 - values of the high frequency domain parameter of heart rate variability (HF) of the at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a fasting-period ($HF_{fasting}$).

[0012] According to a more preferred embodiment, the species specific threshold of L_{MAX} according to (b) is determined by a method comprising:

- 25 i) determining the values of the nonlinear domain heart rate variability component L_{MAX} of at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a *feed-ad-libitum*-period (L_{MAX} ad libitum);
- 30 ii) determining the values of the high frequency domain parameter of heart rate variability (HF) of the same at least two farm animals as in (i) based on heart beat interval data set obtained for said farm animals from a *feed-ad-libitum*-period ($HF_{ad\ libitum}$);
- 35 iii) determining the values of the high frequency domain parameter of heart rate variability (HF) of the same at least two farm animals as in (i) based on heart beat interval data sets obtained for said farm animals from a fasting-period ($HF_{fasting}$).

[0013] According to a more preferred embodiment, the method for determining the species specific threshold of L_{MAX} according to (b) further comprises:

- 40 iv) determining the difference between $HF_{ad\ libitum}$ and $HF_{fasting}$ (ΔHF) for each of the at least two farm animals;
- v) linear regression ΔHF versus L_{MAX} ad libitum.

[0014] $HF_{ad\ libitum}$, $HF_{fasting}$ and L_{MAX} ad libitum of least two farm animals are necessary to know in order to carry out the linear regression according to v). Preferably, the data of more than two farm animals, more preferably of at least ten farm animals, more preferably of at least twenty farm animals are used.

[0015] According to a more preferred embodiment, the method for determining the species specific threshold of L_{MAX} according to (b) further comprises:

- 50 vi) determining the species specific threshold of L_{MAX} in that the value of L_{MAX} ad libitum corresponding to $\Delta HF = \text{zero}$ in the linear regression according to v) represents the species specific threshold of L_{MAX} .

[0016] Preferably, for dairy cows, the species specific threshold of L_{MAX} is in the range of from 200 to 300, more preferred in the range of from 220 to 280, more preferred in the range of from 250 to 270, more preferred in the range of from 255 to 265, more preferred 258.

[0017] Below, the work done by the inventors in order to arrive at the method outlined above is described in more detail:

Autonomic regulation and stress level of dry, pregnant, high yielding dairy cows in response to a 10-hour feed

deprivation by using heart rate variability (HRV) analysis were investigated. A wide range of HRV indices before, during and after a 10-hour feed removal was investigated. The aims of the experimental work have been (1) to develop a procedure suitable to identify group-specific or inter-individual differences in the cow's metabolic stress level and regulatory capacity in response to a 10-h food removal, (2) to identify specific HRV indices reflecting this different status already under control conditions (*ad libitum* feeding) and (3) can be used as predictive markers.

Material and methods

Cows and diet

[0018] Experiments were performed with 10 multiparous dried-off German Holstein cows (4 to 6 years old, mean body mass: 726 ± 56 kg) born and raised at the farm of Griepentrog KG (Steinhagen, Germany), during week four ante-partum (ap). Two of the cows (number 3 and 10) were half-siblings having the same father. All cows had a milk yield of $\geq 10,000$ kg/305 days during the prior lactation and had been dried off at 7 weeks before expected calving. Cows were fed a far-off total mixed ration (TMR) twice daily at approximately 07:00 and 15:00 and had free access to water. The TMR was formulated to meet the nutrient recommendations of the German Society for Nutrition Physiology (2001), and its ingredients and chemical composition are given in table 1.

Table 1 Ingredients and chemical composition of the total mixed ration.

Components	
Ingredient, g/kg of DM	
Grass silage	749.0
Corn silage	29.0
Barley straw	114.0
Hay	95.0
Concentrate ¹	1.3
Molassed sugar beet pulp ²	4.1
Mineral feed ³	7.7
Chemical Analysis	
Utilizable crude protein, g/kg of DM	128.0
Crude fat, g/kg of DM	38.0
NE _L , MJ/kg of DM	5.9
NDF g/kg of DM	335.0
ADF g/kg of DM	189.0
¹ Concentrate MF 2000 (Vollkraft Mischfutterwerke GmbH, Güstrow, Germany): 33% extracted soy meal, 20% corn, 17% wheat gluten, 13% wheat, 8% extracted rapeseed meal, 5% sugar beet pulp, 2% sodium hydrogen carbonate, 1,3% calcium carbonate, 0,2% sodium chloride, 8.0 MJ of NEL/kg of DM, 204 g of utilizable protein/kg of DM.	
² Molassed sugar beet pulp (Arp, Thordsen, Rautenberg GmbH & Co KG, Sollerupmühle, Germany): minerals, 7.3 MJ of NEL/kg of DM, 153 g of utilizable protein/kg of DM.	
³ Rinderstolz 9235 far-off (Salvana Tiernahrung GmbH, Sparrieshoop, Germany): 75% crude ash, 4.5% calcium, 6% phosphorus, 10% sodium, 12% magnesium, vitamins	

Experimental design

[0019] During weeks -7 to -5 ap, cows were adapted to handling and to staying in respiration chambers (see indirect calorimetry) in which the experimental trials were performed. Habituation (criteria: eating, drinking, ruminating, lying down, body temperature) was performed at least three times and the duration of stay was increased from 1 hour on day 1 to 3-4 hours on day 4. No animal needs longer than 4 days to habituate. At the same time points cows were adapted to wear a fixing belt (criteria: scrubbing, licking, looking to the belt, restlessness), which was tied around the thorax behind the forelegs and is needed for HRV measurements.

[0020] The experimental trial was started one day after the cows were transferred to the respiration chambers. HR and interbeat intervals (IBI) computed from the intervals between consecutive R-peaks were continuously measured for 48 h starting at 06:30. In addition, O₂ consumption, CO₂ and CH₄ production, food intake, and physical activity including standing-lying behaviour were monitored. After 24 h of *ad libitum* feeding (period 1; P1), feed was removed for 10 h

(period 2, P2) to challenge the energy metabolism of the cows. Thereafter, the cows were provided with food *ad libitum* for a 14-hour (16:30 to 06:30) period of re-feeding (period 3, P3). The time course and the experimental periods (P1-P3) of the trial are shown in figure 1. The cows were weighed immediately before entering and after leaving the chambers on balances in front of the chambers. The continuous measurements were interrupted for 0.5 h (06:30 to 07:00) on day two to clean the chambers and to measure their body temperature (BT). The latter was also measured after the second feeding (15:00) during P1 and P3 and at 16:30 during P2.

HRV measurement and analysis

[0021] HR and R-R interval data were taken noninvasively by using the Polar Equine RS800CX monitor, a newly developed fixing belt for large animals (FBN utility model, case number: DE 20 2012 100 735.5) and the equine belt with transmitters and two integrated electrodes (WearLink® W.I.N.D., Polar Electro Oy, Finland). A few days before the experimental period, the electrode site, an area of about 10 x 15 cm localized directly behind the left shoulder of the cow, was shaved. To optimize conductivity, the electrodes were made moist before the measuring belt with integrated electrodes has placed on this region.

[0022] After measurement, the data were transferred from the monitor to a computer (Polar IrDA USB-Adapter W.I.N.D.), and relevant data sets from the three experimental periods (P1-P3) were selected according to heat production (HP). Moreover, in order to minimize the additional effects of physical activity, only those data sets that were recorded during periods when the cows were lying down were taken into consideration. During P1, an interval after the last meal characterized by a stable maximum HP was chosen and compared with an interval with consistently low HP occurring at the end of P2. In P3, a rapid increase of HP was observed after re-submission of food. For data analysis an interval was selected where HP has stabilized. Figure 1 shows typical original traces of HP and standing-lying behaviour obtained from an individual cow. In addition, the periods chosen for HRV analysis are given.

[0023] Subsequently, by using the software "Polar ProTrainer 5 Equine Edition" Version 5.35.161 (Polar Electro Oy, Finland), an automatic correction for artefacts was performed. Only data sets that were at least 20 min long and had a corrected fault rate of less than 10% for each 5-min interval were included in the analysis (Mohr *et al.*, 2002).

[0024] Corrected 20-min data sets were converted into text files and saved, and HRV parameters in the time, frequency, and nonlinear domains were calculated (Table 2) from an adjacent 5-min window that moved over the data set by use of Kubios HRV software Version 2.0.

Table 2 *Glossary for time domain, frequency domain, and nonlinear domain measures of heart rate variability (Borell, von et al., 2007; Mohr et al., 2002)*

	Parameter	Physiological meaning
5	Heart rate; HR (beats per minute, bpm) Frequency of heart beats	Joint activity of vagus and sympatheticus
10	Interbeat interval, IBI, R-R interval (ms) Time interval between succeeding heart beats	Joint activity of vagus and sympatheticus
15	Time domain RMSSD (ms) Standard deviation of differences between successive R-R intervals	vagally mediated changes in the sympatho-vagal balance, short-term variability
		SDNN (ms) Standard deviation of all RR intervals Overall variability present at the time of recording, long-term variability
20	HRV triangular index; HRV_{index} Integral of all R-R intervals divided by the height of the histogram of all R-R intervals	Joint activity of vagus and sympatheticus
25	Frequency domain Low frequency; LF [n.u.] Normalized power in the low frequency band ranging from 0.0133 to 0.2 Hz	Joint activity of vagus and sympatheticus; results primary from activity of sympathetic neurons, effect via vasomotoric activity
30		High frequency; HF [n.u.] Normalized power in the high frequency band ranging from 0.2 to 0.58 Hz Vagal activity, respiratory sinus arrhythmia
	LF/HF* Ratio between LF and HF band powers	Sympatho-vagal balance
35	Nonlinear domain* Maxline; L_{MAX} Longest diagonal line segment of consecutive recurrence points	Proportion of deterministic chaos or coincidence in a system
40		Percentage of recurrence; %REC points in the whole triangular area; vector repetition in the multidimensional space Flexibility of a system (quantitative)
	Shannon Entropy; ShanEn deterministic line length distribution	Complexity or irregularity of HRV

*Quantitative parameters derived from recurrence plots by non-linear mathematical analysis of HRV (Recurrence Quantification Analysis, RQA)

[0025] The dissimilar respiratory frequencies in cattle and humans were taken into consideration by setting the limits of the high frequency (HF), low frequency (LF), and very low frequencies (VLF) bands to 0.2 Hz (lower limit) and 0.58 Hz (upper limit), 0.0133 and 0.2 Hz, and 0.0033 and 0.0133 Hz, respectively (Borell, von et al., 2007). Recurrence quantification analysis (RQA) was used to calculate nonlinear parameters of HRV with the Kubios software Version 2.0. RQA was performed with an embedding dimension $m=10$, lag of 1, and a threshold distance (radius) r of $\sqrt{m} SD$, with SD as the standard deviation of the R-R time series.

Indirect calorimetry and behavioural data

[0026] Gas exchange of the cows was measured continuously at 6-min intervals in climate-controlled (15°C, 70%

humidity) open-circuit respiration chambers with a volume of 16 m³. All chambers (dimension 4 x 2 x 2 m) contained a stanchion allowing the individual animal to stand or lie down. Standing and lying times of the cows were registered by a photoelectric switch (SA1E, idtec Elektrotechnik GmbH, Hamburg, Germany). Other physical activity was detected by a modified infrared-based motion detector (IS 120, STEINEL, Herzebrock-Klarholz, Germany) converting movements of the animal into impulses.

[0027] Feed intake was assessed automatically by measuring feed disappearance from the chamber feed bin (maximum capacity: 40 kg organic substance) via a scale connected to an electronic registration device (PAARI, Erfurt, Germany).

[0028] Gas samples were passed through infrared absorption based analysers (UNOR 610, MAIHAK AG, Hamburg, Germany) for the determination of CO₂ and CH₄ content and through a paramagnetic analyser (OXYGOR 610, MAIHAK, Hamburg, Germany) for measurement of O₂ content. Based on these data, HP was estimated according to Brouwer (1965): $HP\ (KJ) = 16.18\ O_2\ (l) + 5.02\ CO_2\ (l) - 2.17\ CH_4\ (l) - 5.99\ N\ (g)$.

[0029] All measured variables (gas concentrations for O₂, CO₂, and CH₄, air flow rate, feed disappearance from the feed bin, temperature and relative humidity in and behind the chamber, standing and lying time, activity counts, air pressure) were sent to an acquisition system (Simatic, Siemens, München, Germany) and collected by purpose-adapted software (WinCC, Version 5.1, SP 2, Siemens, München, Germany). DELPHI-based (Delphi 2007, San Francisco, CA, USA) software was programmed in our group (Copyright H. Scholze, FBN) to allow for the automatic calculation of HP and collection of all measured data in EXCEL files.

[0030] To obtain accurate information on the cows energy status and rumen fermentation activity, the energy balance (EB) and fermentative CO₂ (CO₂(ferm) for P1, P2 and P3 were calculated from the measured data by using the following equations: $EB\ (KJ) = ME-Intake\ (KJ) - HP\ (KJ)$ and $CO_2(ferm)\ (l) = 1.7 \times CH_4\ production\ (l)$.

Blood sampling and analysis

[0031] Cows were equipped with indwelling jugular catheters the day before the trial starts. Extension tubing was used to take blood samples from outside the respiration chambers into Fe-Fluoride monovettes (Sarstedt, Germany) and immediately put on ice. Blood samples were centrifuged (2,700 rpm (4,000 × g), 4°C) for 20 min and the supernatants were stored at -80°C until analysis for NEFA, BHBA, total ghrelin, and cortisol. Plasma concentrations of NEFA and BHBA were measured by routine analysis (Cobas Mira, Clinic for Cattle, Stiftung Tierärztliche Hochschule Hannover, Hannover, Germany) using kits from Wako Chemicals (NEFA kit 434 - 91795) and Randox Laboratories (BHBA kit RB 998), respectively. Total ghrelin (acyl + desacyl ghrelin) was determined in 400-μl freeze-dried plasma samples by using the RIA method described previously by ThidarMyint *et al.* (2006). Plasma cortisol concentrations were determined by radioimmunoassay at the Veterinary Physiology, Vetsuisse Faculty, University of Bern as described previously by Thun *et al.* (1981).

Statistical analysis

[0032] The statistical analyses were carried out by using SAS software, Version 9.4 for Windows. Copyright, SAS Institute Inc., Cary, NC, USA.

[0033] Differences of the HRV variables (Table 3) and of parameters related to the energy, nutrient and activity status (Table 4) between various periods (P1, P2 and P3) were analysed by one way repeated measurement ANOVA.

Table 3 Heart rate variability indices determined for cows under control conditions (P1 = ad libitum feeding) and during fasting (P2) or re-feeding (P3 = food ad libitum).

	Parameter	Period	LSM	SE	Min	Max
Time domain	HR [bpm]	P1	71.7 ^a	1.5	59.3	80.9
		P2	60.9 ^b	1.6	52.1	68.5
		P3	72.7 ^a	1.4	62.2	78.9
	RR [ms]	P1	844.0 ^a	19.0	744.0	1014.0
		P2	993.0 ^b	26.0	878.0	1154.0
		P3	832.0 ^a	17.0	762.0	966.0
	RMSSD [ms]	P1	12.8	2.2	4.9	25.7
		P2	16.5	2.0	6.6	25.4
		P3	12.4	2.1	5.2	22.8
	SDNN [ms]	P1	30.5	3.0	20.4	53.8
		P2	41.9	4.6	23.2	65.1
		P3	37.8	3.7	23.6	62.4
	HRV _{index}	P1	6.4	0.5	3.9	10.7
		P2	7.5	0.7	4.6	10.3
		P3	6.7	0.6	4.4	10.2
Frequency domain	LF [n.u.]	P1	90.9	2.6	67.0	98.9
		P2	89.2	2.0	77.0	99.3
		P3	92.4	2.5	76.2	99.5
	HF [n.u.]	P1	9.1	2.6	1.1	33.0
		P2	10.8	2.0	0.7	23.0
		P3	7.6	2.5	0.5	23.8
	LF/HF*	P1	31.7	10.3	2.1	98.1
		P2	34.8	15.4	3.5	152.0
		P3	44.8	20.0	3.4	208.0
Nonlinear domain	L _{MAX}	P1	277.0 ^{ab}	26.0	41.0	394.0
		P2	236.0 ^a	17.0	160.0	304.0
		P3	313.0 ^b	29.0	68.0	384.0
	%REC	P1	46.5	3.4	20.0	57.7
		P2	51.0	1.9	40.0	66.3
		P3	52.1	2.8	39.3	62.5
	ShanEn	P1	3.7	0.1	2.6	4.2
		P2	3.8	0.1	3.4	4.2
		P3	3.8	0.1	3.2	4.4

*LF/HF has been calculated from the non-normalized values of HF and LF (not shown).

P1 = control (ad libitum feeding), P2 = fasting, and P3 = re-feeding (food ad libitum).

Min = Minimum value; Max = Maximum value; Data are given as LSM ± SE; N = 10.

a, b, c Significant differences between periods (P < 0.05).

Table 4 Response of parameters related to the energy, nutrient and activity status to a 10-hours fasting (P2) and 14-hours re-feeding (P3) period.

Parameter	Units	Period	LSM	SE	Min	Max
BT	°C	P1	38.42	0.09	38.00	39.16
		P2	38.46	0.06	38.10	39.60
		P3	38.39	0.09	38.10	39.10

(continued)

Parameter	Units	Period	LSM	SE	Min	Max
Cortisol	nM/l	P1	5.54	0.30	3.98	9.77
		P2	5.67	0.45	3.56	17.64
		P3	6.36	0.78	1.56	9.33
Cortisol Peak	nM/l	End of P2	5.99	0.81	3.52	18.42
HP	KJ/kg ^{0.75} /d	P1	750.56 ^a	48.09	551.17	1177.07
		P2	620.58 ^b	39.81	463.72	1075.35
		P3	765.12 ^a	47.43	566.30	1207.29
EB	KJ/kg ^{0.75} /d	P1	-22.78 ^a	45.46	-1060.40	186.68
		P2	-615.20 ^b	41.52	-2292.54	-462.30
		P3	339.72 ^c	83.30	-382.24	851.28
DMI	kg/h	P1	0.44 ^a	0.04	0.30	1.40
		P2				
		P3	0.65 ^b	0.06	0.49	1.33
VVI	l/d	P1	25.95 ^a	3.82	12.00	99.00
		P2	3.98 ^b	1.17	1.00	31.00
		P3	24.07 ^a	3.21	14.00	87.00
CO ₂ (ferm)	l/h	P1	21.87 ^a	1.54	12.59	38.01
		P2	12.65 ^b	0.89	9.06	20.30
		P3	22.27 ^a	1.28	15.05	40.00
Activity	counts/h	P1	10818.00 ^a	1342.00	4474.00	21034.00
		P2	7065.00 ^b	833.00	2122.00	15803.00
		P3	11583.00 ^a	1957.00	3468.00	21359.00
Standing/ Lying		P1	1.60 ^a	0.23	0.55	3.36
		P2	0.91 ^b	0.18	0.22	1.60
		P3	1.87 ^a	0.40	0.75	4.79
Ghrelin total	ng/ml	P1	1.99 ^a	0.57	0.32	5.04
		P2	5.30 ^b	0.89	0.89	12.69
		P3	1.80 ^a	0.47	0.20	4.38
NEFA	μM/l	P1	176.37 ^a	37.27	72.17	864.67
		P2	328.00 ^b	31.08	144.94	1724.00
		P3	169.65 ^a	33.52	67.2	1309.00
BHBA	mM/l	P1	0.40	0.03	0.26	2.82
		P2	0.37	0.03	0.24	2.24
		P3	0.43	0.05	0.24	2.29

P1 = control (*ad libitum* feeding), P2 = fasting, and P3 = re-feeding (food *ad libitum*). Data are given as LSM ± SE; Min = Minimum value; Max = Maximum value; N = 10. a, b, c Significant differences between periods (P < 0.05).

[0034] With the exception of BT, all parameters from table 4 were evaluated as 24 h-means for the *ad libitum* feeding period (P1: 240 data sets) and as means for the fasting period (P2: 06:30 to 16:30 h, 99 data sets) and for the *ad libitum* re-feeding period (P3: 16:30 to 06:30, 140 data sets). Data obtained in P2 and P3 were converted into 24-h values.

[0035] The response of HF (an indicator of parasympathetic activity) to fasting ($HF_{P1} - HF_{P2} = \Delta HF_{P1-P2}$) was evaluated for individual cows. HF_{P1} is synonymously also called $HF_{ad\ libitum}$; HF_{P2} is synonymously also called $HF_{fasting}$ and ΔHF_{P1-P2} is synonymously also called simply ΔHF , i.e. $HF_{P1} - HF_{P2} = \Delta HF_{P1-P2}$ can synonymously be expressed as $\Delta HF = HF_{ad\ libitum} - HF_{fasting}$. $\Delta HF_{P1-P2} / \Delta HF$ allows for separation of two groups (HF+ (increase of HF in response to fasting) and HF- (decrease of HF in response to fasting) cf. figure 2). Then HRV data were analysed by two-way repeated measurement analysis of variance (ANOVA) with the MIXED procedure of SAS/STAT software. The ANOVA model contained the fixed effects Group (levels: HF+ and HF-) and Period (levels: P1, P2, P3) and the interaction Group * Period. Repeated measurements on the same animal were taken into account by the repeated statement of the MIXED procedure by using an unstructured residual covariance matrix.

[0036] In a further analysis the relationship between ΔHF_{P1-P2} and HR, R-R interval, and L_{MAX} at P1 ($L_{MAXad\ libitum}$)

was investigated by linear regression using the REG procedure of SAS/STAT software with the aim to select possible biomarker(s) that predict the sensitivity of individual cows for metabolic stress, and to define a threshold for such biomarker. Of the investigated HRV parameters only L_{MAX} fulfilled the criteria for a possible biomarker and two groups ($<L_{MAX}$ (L_{MAX} lower than threshold in P1: control conditions with feed *ad libitum*) and $>L_{MAX}$ (L_{MAX} higher than threshold in P1: control conditions with feed *ad libitum*) cf. figure 3A) were defined. After grouping the cows according to the L_{MAX} threshold one way ANOVAs were done for the variables BT, HP, EB, CO_2 (ferm), dry matter intake (DMI) and water intake (WI), plasma concentrations of NEFA, BHBA, glucose, cortisol, ghrelin (total), and insulin, standing time, activity and milk parameters (energy corrected milk; ECM, milk fat, milk protein, milk lactose and fat/protein quotient) to test the group effect (test for biomarker).

[0037] The first ANOVA model contained the fixed effects Group (levels: $<L_{MAX}$ and $>L_{MAX}$) and Day (levels: day 1 = P1 and day 2 = P2 + P3 *ante partum*, day 3 = P1 and day 4 = P2+P3 *post partum*) and the interaction Group * Day (Table 5).

Table 5 Prepartal and postpartal $<L_{max}$ und $>L_{max}$ group differences in parameters related to metabolic status and stress level.

Parameter	Day	$<L_{max}$		$>L_{max}$		P value
		LSM	SE	LSM	SE	
BT (°C)	1	38.53	0.16	38.30	0.11	n.s.
	2	38.64	0.11	38.27	0.07	0.0206
	3	38.92	0.15	38.63	0.15	n.s.
	4	38.93	0.24	38.50	0.16	n.s.
Cortisol (nM/l)	1	6.22	0.51	4.86	0.33	0.0534
	2	6.07	0.75	5.27	0.49	n.s.
	3	6.60	1.10	7.23	0.72	n.s.
	4	8.75	1.78	11.17	1.16	n.s.
Cortisol Peak (nM/l)	2	6.76	1.35	5.22	0.88	n.s.
	4	8.40	1.88	15.05	1.23	0.0200
HP (kJ/kg ^{0.75} /d)	1	750.12	80.12	744.80	52.45	n.s.
	2	703.75	73.23	702.02	47.94	n.s.
	3	953.54	45.45	1064.14	29.75	0.0761
	4	902.22	58.51	1016.78	38.30	n.s.
EB (kJ/kg ^{0.75} /d)	1	-6.07	6.32	6.80	4.14	n.s.
	2	-14.20	11.69	-1.25	7.66	n.s.
	3	-66.98	21.22	-94.17	13.89	n.s.
	4	-66.77	20.04	-115.60	13.12	0.0759
Ghrelin ng/ml	1	2.17	0.95	1.80	0.62	n.s.
	2	5.23	1.49	5.37	0.98	n.s.
	3	1.28	0.65	2.10	0.42	n.s.
	4	5.87	1.34	10.17	0.88	0.0279
ECM kg/d	3	40.59	3.88	50.14	2.54	0.0734
	4	40.93	2.20	49.86	1.44	0.0095

Day 1/3 (P1) = control (ad libitum feeding) ante partum/post partum, Day 2/4 (P2 + P3) = fasting and re-feeding (food ad libitum) ante partum/post partum. Data are given as LSM $\pm$ SE, N = 16, Significant differences between $<L_{max}$ and $>L_{max}$ groups (P < 0.05), n.s. = not significant

[0038] The second ANOVA model contained the fixed effects Group (levels: $<L_{MAX}$ and $>L_{MAX}$) and Week (levels: weeks -5 to -2 ante partum and weeks +2 to +5 post partum) and the interaction Group * Week (Table 6).

Table 6 Prepartal and postpartal $<L_{max}$ und $>L_{max}$ group differences in cows kept under normal housing conditions.

Parameter	Units	Weeks	$<L_{max}$		$>L_{max}$		P value
			LSM	SE	LSM	SE	
Insulin	μ g/l	ap	8.41	5.64	27.50	3.74	0.0274
		pp	6.86	3.04	7.67	1.99	n.s.
DMI	kg/d	ap	10.50	1.12	12.65	0.65	n.s.

(continued)

Parameter	Units	Weeks	<L _{max}		>L _{max}		P value
			LSM	SE	LSM	SE	
ECM	kg/d	pp	15.99	0.73	18.51	0.42	0.0099
		pp	41.80	1.97	47.29	1.14	0.0302

ap = weeks -5 to -2 *ante partum*, pp = weeks +5 to +2 *post partum*, N = 16

[0039] Repeated measurements on the same animal were taken into account by the repeated statement of the MIXED procedure by using an unstructured residual covariance.

[0040] Least square means (LSM) and their standard errors (SE) were calculated and pairwise tested for each effect in each model by using the Tukey-Kramer procedure for pairwise multiple comparisons. Effects and differences were considered significant if $P < 0.05$.

Results

Response of HR and HRV indices to a 10-h feed deprivation and subsequent re-feeding

[0041] Table 3 summarizes the effects of the 10-h feed deprivation (P2) and subsequent re-feeding (P3) on HRV indices. The mean HR and the resulting R-R interval were 72 ± 2 beats/min and 844 ± 19 ms, respectively, under control conditions (ad libitum feeding, P1). HR and R-R intervals showed a significant reduction ($15 \pm 2\%$) or increase ($18 \pm 3\%$) in P2 compared with P1 and returned to baseline levels during P3 (Table 3). During all experimental periods HR was positively correlated with HP (P1: $r = 0.58$, $p = 0.08$; P2: $r = 0.78$, $p = 0.007$; P3: $r = 0.72$, $p = 0.02$). L_{MAX} values were significantly higher during the refeeding period (313 ± 29) compared with P2 (236 ± 17). Over all cows none of the other HRV parameters were significantly influenced by the 10-h feed deprivation.

Characterization of the energy and metabolic status, and the behavioural response of the cows

[0042] Parameters related to the energy, metabolic and behavioural status of cows are depicted in Table 4 showing significant effects of the 10-h feed deprivation on heat production (HP), energy balance (EB), fermented carbon dioxide (CO_2 (ferm)), NEFA, total ghrelin, and physical activity. The measured EB was already negative in P1. As expected, compared with P1, the cows EB switched to strongly negative values during P2 and recovered to significantly more positive values during P3. This was accompanied by reductions of HP ($18 \pm 1\%$, $P < 0.05$), physical activity ($33 \pm 3\%$, $P < 0.05$), standing : lying ratio ($40 \pm 7\%$, $P < 0.05$), and production of CO_2 (ferm) ($41 \pm 2\%$, $P < 0.05$) in P2 and recovery of these parameters to ad libitum levels in P3. NEFA plasma concentrations increased 1.8-fold ($P < 0.05$) and total ghrelin concentrations 2.8-fold ($P < 0.001$) during P2 and normalized during P3. A compensatory increase of dry matter intake (DMI) amounting to 48% was seen in P3 compared with P1.

[0043] Mean body temperature of cows was 38.4°C during all feeding periods. In addition, cortisol levels reacted only marginally to the feed removal (P2) or re-feeding (P3).

Analyses of HRV responses to feed removal in individual cows

[0044] The minimum and maximum values of calculated HRV indices show a wide range (Table 3) pointing to inter-individual differences. Therefore, the behaviour of frequency-domain parameters (HF, LF, LF/HF), known indicators of autonomic control, in response to the 10-h feed deprivation ($\Delta P1$ -P2) was evaluated for individual cows allowing for the separation of two groups. As shown in figure 2, after feed removal, the power in the HF band which reflects the parasympathetic control increased in five cows (HF+), but in the other five cows, a decrease (HF-) was observed. A reverse response, i.e., a decrease in the HF+ group and an elevation in HF- group, was observed for LF ($-12 \pm 3\%$ vs. $11 \pm 6\%$) and the LF/HF ratio ($-73 \pm 11\%$ vs. $500 \pm 312\%$), respectively. Cows retrospectively assigned to these two groups were shown to differ significantly in their HR (HF+: 76 ± 2 bpm, HF-: 68 ± 2.4 bpm), R-R interval (HF+: 796 ± 18 ms, HF-: 892 ± 33 ms) and L_{MAX} (HF+: 357 ± 26 , HF-: 187 ± 52) under *ad libitum* control conditions (P1). Thus, a possible link between these parameters and ΔHF_{P1-P2} was tested by performing regression analysis. The coefficient of determination (R^2) was low for HR (0.372) and R-R interval (0.325). However, a regression model with L_{MAX} as independent variable reveal an R^2 of 0.76 (Figure 3A), suggesting that it explains the variation in ΔHF_{P1-P2} to a high extent. From this regression model a threshold ($TS = 0 = -23.14 + 0.0897 \cdot L_{MAX}$) for L_{MAX} ($TS_{L_{MAX}} = 258$) was calculated. Thereafter, the experimental animals (N = 10) were re-assigned to groups having L_{MAX} values below ($<L_{MAX}$) or above ($>L_{MAX}$) this TS (Figure 3A). According to $TS_{L_{MAX}}$ three HF- cows (numbers 1, 5 and 10) were grouped as $<L_{MAX}$ whereas all HF+

and two HF- (number 2 and 6) cows were grouped as $>L_{MAX}$. As shown in figure 3B, $<L_{MAX}$ and $>L_{MAX}$ groups differ significantly in their P1 values for HF (19.6 ± 4.0 n.u. vs. 4.7 ± 2.6 n.u., $P < 0.002$), LF (80.4 ± 4.0 n.u. vs. 95.3 ± 2.6 n.u., $P < 0.002$) and L_{MAX} (109.3 ± 26.1 vs. 348.2 ± 17.1 , $P < 0.001$).

Characterization of phenotypic differences between cows assigned to $<L_{MAX}$ and $>L_{MAX}$ groups

[0045] *Results from trials in respiratory chambers.* To uncover possible phenotypic differences between $<L_{MAX}$ and $>L_{MAX}$ groups all parameters listed in table 4 were re-analysed for the day of *ad libitum* feeding (P1) and for day 2 of the experiment (P2+P3). In addition, data from a second trial performed during week 2 of lactation (*post partum*; pp) under the same conditions were used giving us the possibility to explore milk parameters (fat, protein, fat/protein ratio, lactose and energy corrected milk; ECM).

[0046] The results are summarized in table 5. In ap cows, BT was significantly higher in $<L_{MAX}$ compared with $>L_{MAX}$ cows during feed deprivation (P2+P3). In addition, pregnant $<L_{MAX}$ cows had higher cortisol levels than those of the $>L_{MAX}$ group during the control *ad libitum* feeding at day 1 (Table 5). Throughout the complete ap experiment (P1 to P3) cortisol levels differ significantly between $<L_{MAX}$ and $>L_{MAX}$ groups (6.7 ± 0.5 nM/l vs. 5.1 ± 0.3 nM/l, $P < 0.03$).

[0047] During the pp experiment L_{MAX} group differences were found at day 2 (P2+P3) for the parameters cortisol peak (maximum value measured at the end of P2), total ghrelin and ECM. Cortisol peak and ghrelin (total) responses, and ECM were all higher in $>L_{MAX}$ compared with $<L_{MAX}$ cows (Table 5).

[0048] *Results from experimental trials under normal housing conditions.* To further test the possibility that L_{MAX} could predict different phenotypes, data obtained during weeks -5 to -2 (ap) and weeks 2 to 5 (pp) of the joint research project were used (Börner *et al.*, 2013, Schäff *et al.*, 2012). Results of these data re-analysis ($N = 16$ cows) are given in table 6 that summarize parameters differing significantly between $>L_{MAX}$ and $<L_{MAX}$ cows. Of the parameters analysed, only serum insulin concentrations differ during the complete ap period and were much higher (227%) in $>L_{MAX}$ cows. In addition, for $>L_{MAX}$ cows higher DMI (16%) and ECM (13%) were found during the postnatal period. NEFA concentrations however, were different at week +2 only ($<L_{MAX}$: 548 ± 145 μ M/l, $>L_{MAX}$: 931 ± 84 μ M/l; $P = 0.0242$).

Discussion

General adaptive response of cows to feed deprivation

[0049] Compared to the period of *ad libitum* feeding (P1), in all cows HP was significantly reduced during the 10 h feed deprivation (P2) to save energy (Brosh 2007; Derno *et al.*, 2005; Freetly *et al.*, 2006). A reduced blood supply to the portal-drained viscera, mainly the rumen and liver, and thus, a decreased metabolic rate of these organs presumably contribute markedly to energy conservation (Chilliard *et al.*, 1998). All cows also lowered physical activity (reduction of movements, shorter standing times) during P2 which is contrary to experimental results showing that steers (Derno *et al.*, 2005) and calves (Schrama *et al.*, 1995) spend more time standing during energy restriction. Our data suggest a reduction of activity-related HP to be a main component of at least short-term behavioural adaptation to feed deprivation in dairy cows. In accordance with findings showing that the HR of dairy cows must be considered in relation to its metabolic and behavioural status (Brosh, 2007), it was positively correlated with HP during all experimental periods. Our data reveal that under conditions of *ad libitum* feed intake (P1), the mean HR (72 ± 2 beats/min) was similar to levels reported previously for pregnant, non-lactating cows (Davidson and Beede, 2009; Hagen *et al.*, 2005; Mohr *et al.*, 2002). In all cows, a strong and immediate HR decrease occurs in response to feed removal in P2 and is known to result from a reduced sympathetic activity to the heart (Young and Landsberg, 1977). In addition, reductions in intrinsic heart rate and/or an increased vagal tone can contribute to this effect (Clabough and Swanson, 1989; Després *et al.*, 2002).

[0050] In concert with these energy-saving mechanisms, NEFA plasma concentrations are increased indicating that nutrients are provided by lipolysis (Gross *et al.*, 2011; Weber *et al.*, 2013). In addition, a marked elevation (179%) of the growth hormone-releasing and orexigenic peptide hormone ghrelin (Bradford and Allen, 2008; Wertz-Lutz *et al.*, 2006) has been observed in all cows.

[0051] During NEB, a reduction of body temperature (BT) and elevated plasma levels of cortisol are physiological mechanisms to reduce energy expenditure and to ensure glucose supply to tissues (Turbill *et al.*, 2011; Samuelsson *et al.*, 1996). However, BT and blood cortisol levels were unchanged by fasting suggesting that under our experimental conditions the metabolic load was not strong enough to induce a response in all cows.

Frequency domain HRV analysis reveals regulatory differences between cows

[0052] Frequency-domain analysis of HRV has been shown to be a sophisticated tool for the detection of ANS regulation of the heart (Yang *et al.*, 2000). However, the distribution of the power and the central frequency of the HRV spectral components also depend on the state of the central nervous system (Cabiddu *et al.*, 2012) and reflect the ANS regulatory

capacity and activity in response to psychophysiological stress (Borell von *et al.*, 2007). With regard to its oscillating frequency and underlying mechanism it is categorized into high-frequency (HF) and low-frequency (LF) components (Yang *et al.*, 2000). The LF component jointly represents both parasympathetic and sympathetic tonus (Borell von *et al.*, 2007) whereas the HF component reflects the parasympathetic control (Després *et al.*, 2002; Kézér *et al.*, 2014). The ratio of LF and HF components (LF/HF) mirrors sympatho-vagal balance and is also considered to reflect sympathetic modulation (Stuart *et al.*, 2008; Yang *et al.*, 2000). In our study, by analysing the behaviour of frequency domain HRV parameters it was possible to separate cows showing different autonomic regulation in response to fasting. Cows retrospectively assigned to the HF+ group responded to fasting with increased activity of the parasympathetic branch of the ANS characterized by an HF increase and reduction of the LF/HF ratio (Clabough and Swanson, 1989; Després *et al.*, 2002). In contrast, cows of the HF- group showed a reduction of the HF power accompanied by a 200% increase of the LF/HF ratio. Thus, they reacted to the food removal with a reduction of vagal tone and a shift of their sympatho-vagal balance towards a much stronger dominance of the sympathetic branch of the ANS. In various studies (Gygax *et al.*, 2008; Hagen *et al.*, 2005; Kézér *et al.*, 2014; Mohr *et al.*, 2002; Stuart *et al.*, 2008), a decreased parasympathetic activity has been shown to be associated with stress, reduced well-being, and regulatory capacity. Our data indicate that cows retrospectively assigned to the HF- group experience a higher stress level when food was removed and had a restricted regulatory capacity compared with HF+ cows. Having defined these two groups retrospectively, it was further investigated whether the observed differences could have been predicted by specific HRV indices during control conditions (P1).

[0053] It was found that under *ad libitum* feeding (P1) HF+ and HF- cows differed significantly in the interdependent variables HR and IBI duration and, much more interesting, in L_{MAX} . HR and/or mean R-R interval duration are average values based on a 5-minute period integrating the influence of various factors such as ambient temperature, metabolic, and motoric activity. Short-term fluctuations, trends or changes in regulation during this time span are masked which limits their usefulness as predictive markers. In accord regression analysis with ΔHF_{P1-P2} revealed low R^2 values for HR (0.37) and R-R interval (0.33). In contrast to HR and R-R interval, L_{MAX} describes the dynamics of the regulation processes during this 5-minute period. The states of natural systems typically change in time. Those changes can be described by the recurrence plot analysis (RP), where vectors (trajectories) describe the behaviour of elements (points) in a phase space. L_{MAX} describes the longest diagonal line found in the RP. The length of this diagonal line is determined by the duration of similar local evolution of the trajectory segments. The faster the trajectory segments diverge, the shorter are the diagonal lines (Marwan *et al.*, 2007), meaning the system changes between different states. Therefore L_{MAX} is more suitable to describe differences in central autonomic regulation. Indeed, regression analysis with ΔHF_{P1-P2} results in a high value (0.76) of R^2 and allows for calculation of TSL_{MAX} ($= 258$), which is prerequisite to use L_{MAX} for predictive purposes. Of the ten cows used in the present study, 7 cows had L_{MAX} values above 258 (348 ± 17 , $>L_{MAX}$ group) and 3 cows had L_{MAX} values below the threshold (109 ± 26 , $<L_{MAX}$ group). A shorter L_{MAX} means a higher fluctuation in control of a system, whereas a longer L_{MAX} corresponds to a more deterministic-chaotic character of the time series (Mohr *et al.*, 2002). In our case, $<L_{MAX}$ cows are characterised by a less stable regulation during P1 and the demand of very strong regulation during the metabolic stress of fasting in P2 indicating a restricted regulatory capacity of these animals compared with $>L_{MAX}$ cows. Therefore, it seems conceivable that L_{MAX} can be used to detect alterations in autonomic regulation that might precede metabolic disturbances or a compromised immune function in pregnant and lactating cows in energy deficit.

L_{max} as a possible predictor of disturbed autonomic regulation in response to metabolic stress

[0054] In cows grouped by L_{MAX} several phenotypic differences were observed, most of them during the lactation period and in conjunction with the additional stress of fasting (Table 5 and Table 6).

[0055] In pregnant cows the stress parameters BT and cortisol (Kataoka *et al.*, 2014; Willett and Erb, 1972) differ between groups, and both were higher in $<L_{MAX}$ compared with $>L_{MAX}$ cows. For the BT a significant difference between groups was found at day 2 (P2+P3) of the ap experiment, pointing to development of a stress-induced hyperthermia (SIH) in fasting $<L_{MAX}$ cows. SIH means a rise in BT that occurs prior to and during exposure to stress and is different from fever (Vinkers *et al.*, 2010). An ACTH-independent increase in eye temperature has been observed in calves disbudded without local anaesthetic (Stuart *et al.*, 2008). SIH is known to be mediated by the dorsomedial hypothalamus and sympathetic premotor neurons in the rostral medullar raphe region that induce thermogenesis and peripheral vasoconstriction (Kataoka *et al.*, 2014) which is in accord with activation of the sympathetic branch of the ANS in pregnant, fasting $<L_{MAX}$ cows. The plasma level of cortisol is influenced by feeding and by the nutritional status (Chilliard *et al.*, 1998; Samuelsson *et al.*, 1996), and has been shown to increase as an anticipatory response to forthcoming food (Willett and Erb, 1972) and in feed-deprived cows (Mills *et al.*, 1979; Samuelsson *et al.*, 1996). Elevated levels of cortisol are important for glucose supply in animals being in NEB (Samuelsson *et al.*, 1996), but a noticeable increase was only seen in lactating $>L_{MAX}$ cows at day 2 (P2+P3) of the experiment. In addition, peak cortisol levels measured at the end of P2, and reflecting the cortisol response to fasting, were also shown to be significantly higher in $>L_{MAX}$ cows (210% vs. 35% in $<L_{MAX}$ cows).

[0056] At the same time point $<L_{MAX}$ and $>L_{MAX}$ cows differ in serum concentrations of total ghrelin. Interestingly, in rodents and humans, ghrelin is possibly involved in the neuroendocrine and behavioral responses to stress (Asakawa *et al.*, 2001; Lambert *et al.*, 2011). The peptide hormone acts at centers of the central nervous system to reduce sympathetic activity (Krapalis *et al.*, 2012; Matsumura *et al.*, 2002), and has been suggested to prevent central stress-induced sympathoactivation (Asakawa *et al.*, 2001; Lambert *et al.*, 2011). Moreover, adrenocorticotrophic hormone (ACTH), cortisol, and epinephrine, but not norepinephrine a global marker of overall sympathetic nervous system activity, increase after ghrelin application (Krapalis *et al.*, 2012; Matsumura *et al.*, 2002). Higher total ghrelin levels as observed in $>L_{MAX}$ cows might thus have a sympatholytic effect.

[0057] The results confirm a higher stress level and instable regulatory processes in $<L_{MAX}$ cows which is also in accord with the marked reduction (about 10 kg/day) of energy corrected milk yield that has been observed.

[0058] In this context it is interesting to note that L_{MAX} grouping of cows (N = 16) and re- analysis of data obtained under normal housing conditions (Börner *et al.*, 2013, Schäff *et al.*, 2012) also reveal differences between $<L_{MAX}$ and $>L_{MAX}$ groups. Compared to cows of the $>L_{MAX}$ group, cows of the $<L_{MAX}$ group had lower blood insulin levels during weeks -5 to -2 ap and showed constantly lower DMI and ECM during weeks 2 to 5 of lactation. Altogether, these results point to L_{MAX} being a predictive tool for identifying animals at risk and selecting highly adaptable and robust animals.

Description of Figures

[0059]

Fig. 1 shows time schedule and experimental design. From one cow representative 48 h original recordings of heat production (HP) and of standing-lying behaviour are also shown. Standing periods (ST) are displayed by grey coloured columns and the right y-axis labelling gives the standing time per 6-min measuring interval in min; 0 min = lying position. The 20-min time periods selected for heart rate variability analysis have been encircled.

Fig. 2 shows response of the high frequency domain (HF) of heart rate variability to fasting. It mainly reflects the activity of the parasympathetic branch of the autonomic nervous system. The response of HF to fasting (HF during *ad libitum* feeding (P1) minus HF during fasting (P2) = ΔHF_{P1-P2}) is shown for individual cows. Note the increase in vagal tone (ΔHF_{P1-P2} increase) in five out of 10 cows (defined as group HF+) and an impaired vagal activation (ΔHF_{P1-P2} decrease) in another five cows (assigned to group HF-).

Fig. 3 shows prediction of group differences in autonomic control by the nonlinear domain HRV component Maxline (L_{MAX}). **A:** Regression analysis was performed with ΔHF_{P1-P2} as depend, and L_{MAX} as independent variable. The obtained regression model ($R^2 = 0.76$) allows for calculation of a threshold value (TS = 258) for L_{MAX} and assignment of cows to groups having L_{MAX} values above ($>L_{MAX}$) or below ($<L_{MAX}$) the TS. **B:** Under control conditions (P1: *ad libitum* feeding) cows of the $>L_{MAX}$ (N=7) and $<L_{MAX}$ (N=3) groups differ in L_{MAX} ($P<0.001$), high-frequency (HF, $P<0.002$), and low-frequency (LF, $P<0.002$) components of HRV.

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Claims

1. Method for identifying a farm animal having an impairment of regulative capacity in response to metabolic stress comprising:

a) assessing the value of the nonlinear domain heart rate variability component L_{MAX} of a farm animal based on a heart beat interval data set obtained for said farm animal;

b) comparing the value of L_{MAX} assessed according to (a) with a species specific threshold of L_{MAX} ; whereby a farm animal having an impairment of regulative capacity will be identified.

2. The method according to claim 1, wherein the farm animal is selected from the group of species consisting of horse, cow, pig and goat, preferably a cow, more preferably a dairy cow.

3. The method according to claim 1 or 2, further comprising:

c) identifying the farm animal having an impairment of regulative capacity in response to metabolic stress by its value of L_{MAX} being below the species specific threshold.

4. The method according to any one of claims 1 to 3, wherein the heart beat interval data obtained for said farm animal according to a) are heart beat interval data of a *feed-ad-libitum*-period.

5. The method according any one of claims 1 to 4, wherein the species specific threshold of L_{MAX} according to (b) is determined based on

- values of the nonlinear domain heart rate variability component L_{MAX} of at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a *feed-ad-libitum*-period ($L_{MAX \text{ ad libitum}}$);

- values of the high frequency domain parameter of heart rate variability (HF) of the at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a *feed-ad-libitum*-period ($HF_{\text{ad libitum}}$), preferably from the same *feed-ad-libitum*-period as used for $L_{MAX \text{ ad libitum}}$; and

- values of the high frequency domain parameter of heart rate variability (HF) of the at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a *fasting*-period (HF_{fasting}).

6. The method according to claim 5, wherein the species specific threshold of L_{MAX} according to (b) is determined by a method comprising:

i) determining the values of the nonlinear domain heart rate variability component L_{MAX} of at least two farm animals of the species to be assessed based on heart beat interval data sets obtained for said farm animals from a *feed-ad-libitum*-period ($L_{MAX \text{ ad libitum}}$);

ii) determining the values of the high frequency domain parameter of heart rate variability (HF) of the same at least two farm animals as in (i) based on heart beat interval data set obtained for said farm animals from a *feed-ad-libitum*-period ($HF_{\text{ad libitum}}$);

iii) determining the values of the high frequency domain parameter of heart rate variability (HF) of the same at least two farm animals as in (i) based on heart beat interval data sets obtained for said farm animals from a *fasting*-period (HF_{fasting}).

7. The method according to claim 5 or 6, comprising:

iv) determining the difference between $HF_{\text{ad libitum}}$ and HF_{fasting} (ΔHF) for each of the at least two farm animals;

v) linear regression ΔHF versus $L_{MAX \text{ ad libitum}}$.

8. The method according to claim 7 comprising:

vi) determining the species specific threshold of L_{MAX} in that the value of L_{MAX} ad libitum corresponding to $\Delta HF = \text{zero}$ in the linear regression according to v) represents the species specific threshold of L_{MAX} .

9. The method of any one of claims 1 to 8, wherein the species specific threshold of L_{MAX} for dairy cows is in the range of from 200 to 300, preferred in the range of from 220 to 280, more preferred in the range of from 250 to 270, more preferred in the range of from 255 to 265, more preferred 258.

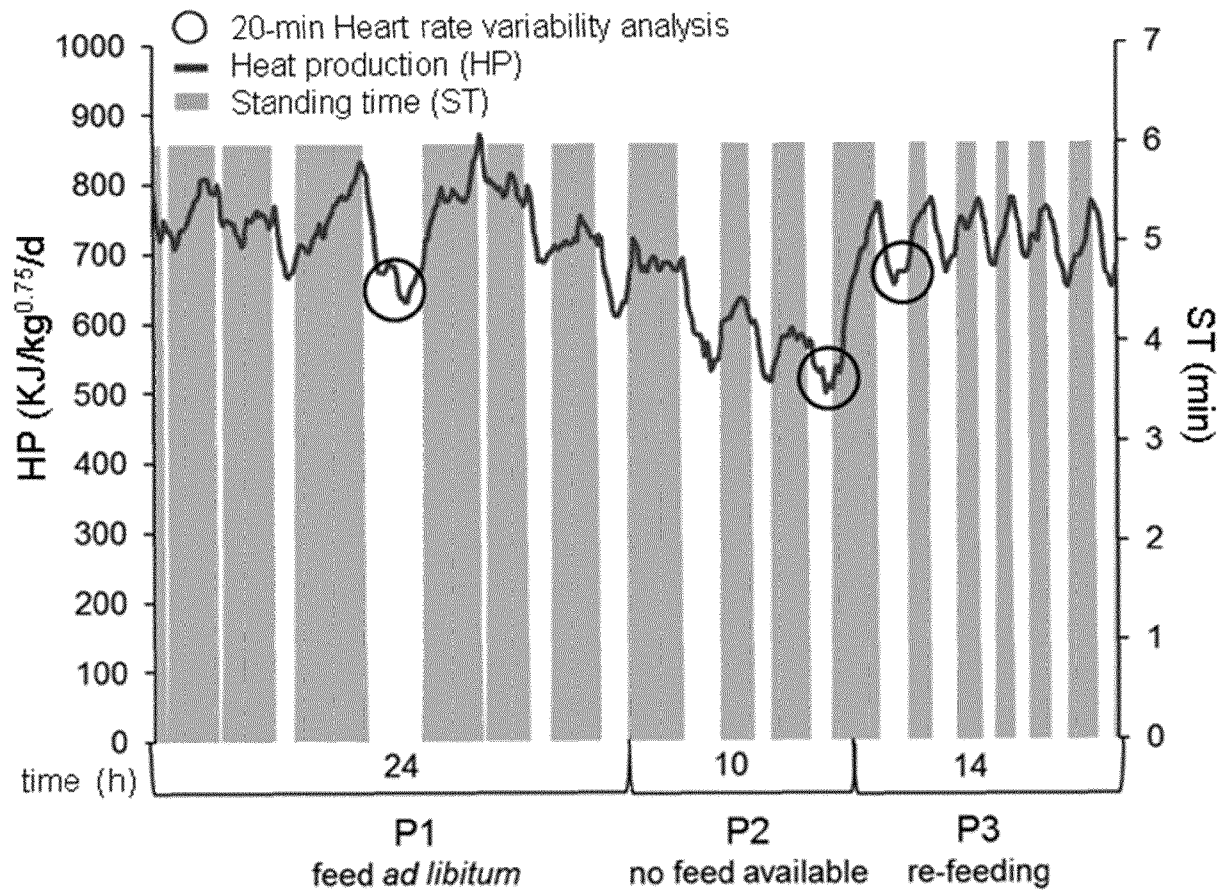
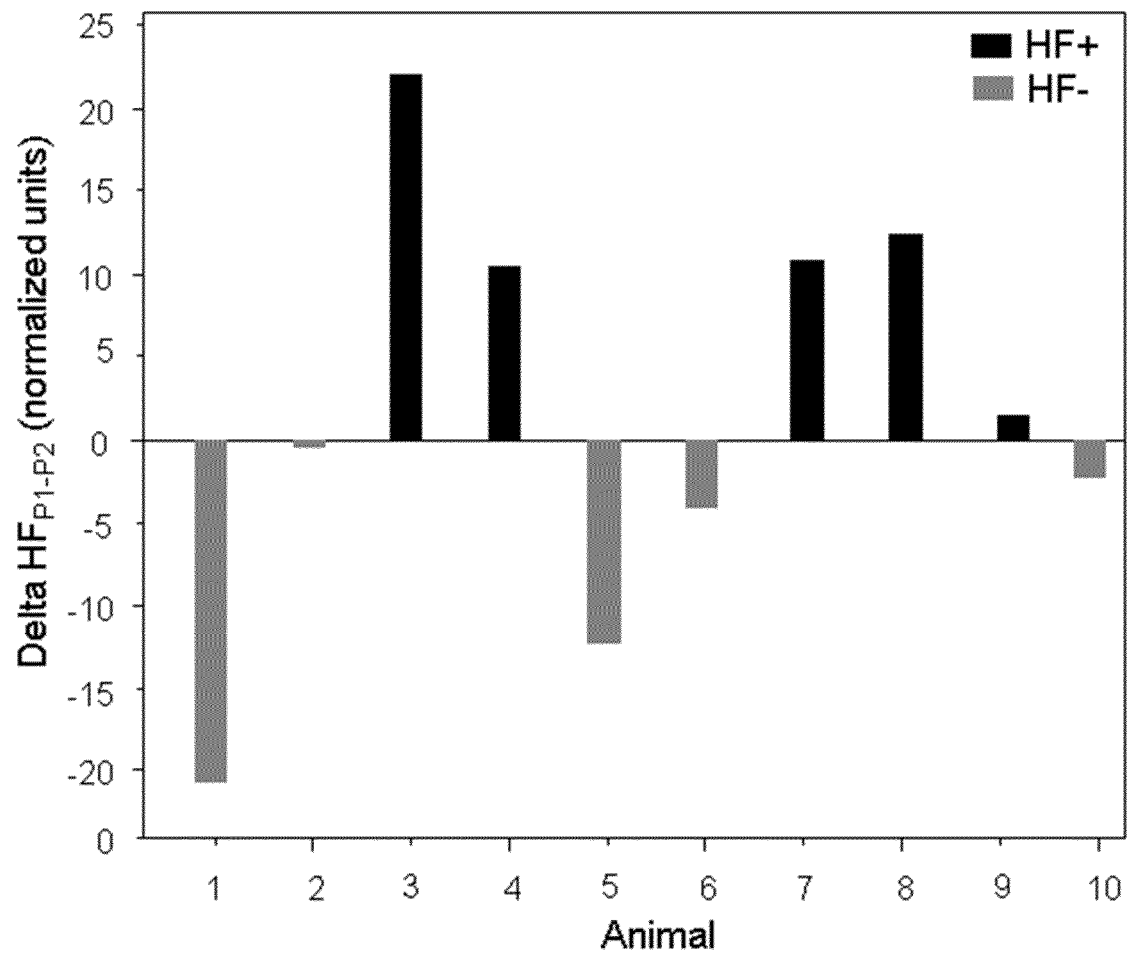


Fig. 1

**Fig. 2**

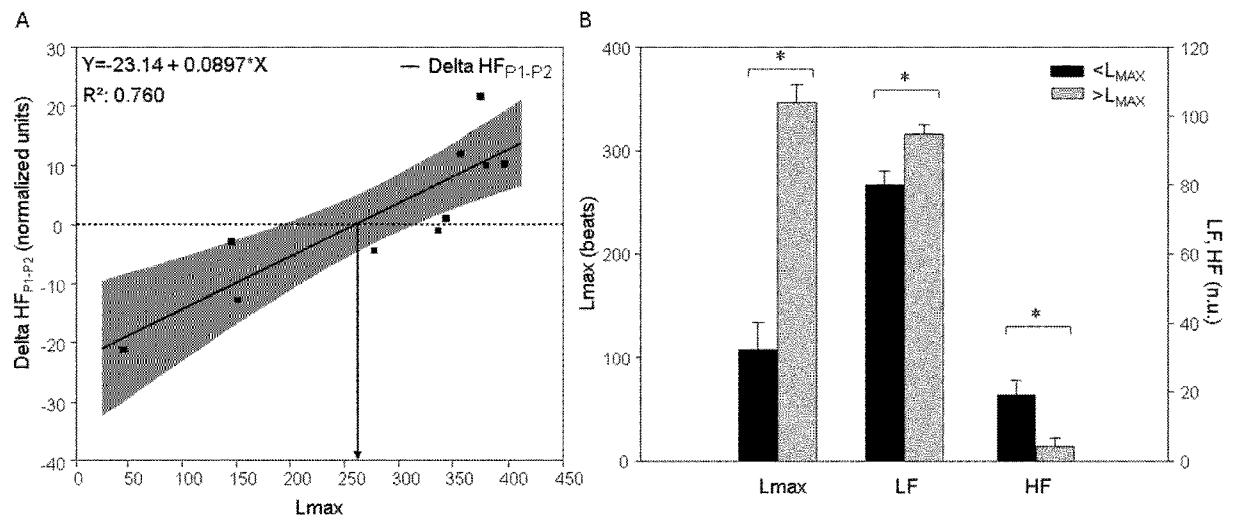


Fig. 3



EUROPEAN SEARCH REPORT

Application Number
EP 17 19 2564

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Place of search The Hague		Date of completion of the search 26 February 2018	Examiner Bataille, Frédéric
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

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专利名称(译)	用于鉴定响应于代谢应激而具有调节能力受损的农场动物的方法		
公开(公告)号	EP3459446A1	公开(公告)日	2019-03-27
申请号	EP2017192564	申请日	2017-09-22
申请(专利权)人(译)	莱布尼茨学院农场动物生物学		
当前申请(专利权)人(译)	莱布尼茨学院农场动物生物学		
[标]发明人	RONTGEN MONIKA		
发明人	RÖNTGEN, MONIKA ERDMANN, SANDRA		
IPC分类号	A61B5/024 A61B5/00		
CPC分类号	A61B5/02405 A61B5/4035 A61B5/4884 A61B2503/40		
审查员(译)	BATAILLE, 弗雷德里克		
外部链接	Espacenet		

摘要(译)

本发明涉及一种用于识别具有响应于代谢应激的调节能力损害的农场动物的方法，包括：a) 基于心跳间隔数据集评估农场动物的非线性域心率变异性分量LMAX的值。为所述农场动物获得；b) 将根据 (a) 评估的LMAX值与物种特定阈值LMAX进行比较，从而确定具有调节能力损害的农场动物。

Table 2 Glossary for time domain, frequency domain, and nonlinear domain measures of heart rate variability (Borell, von et al., 2007; Mohr et al., 2002)

Parameter	Physiological meaning
Heart rate; HR (beats per minute, bpm) Frequency of heart beats	Joint activity of vagus and sympathetic
Interbeat interval, IBI, R-R interval (ms) Time interval between succeeding heart beats	Joint activity of vagus and sympathetic
RMSSD (ms) Standard deviation of differences between successive R-R intervals	vagally mediated changes in the sympatho-vagal balance, short-term variability
SDNN (ms) Standard deviation of all RR intervals	Overall variability present at the time of recording, long-term variability
HRV triangular index; HRV _{index} Integral of all R-R intervals divided by the height of the histogram of all R-R intervals	Joint activity of vagus and sympathetic
Low frequency; LF [n.u.] Normalized power in the low frequency band ranging from 0.0133 to 0.2 Hz	Joint activity of vagus and sympathetic; results primary from activity of sympathetic neurons, effect via vasomotoric activity
High frequency; HF [n.u.] Normalized power in the high frequency band ranging from 0.2 to 0.58 Hz	Vagal activity, respiratory sinus arrhythmia
LF/HF*	Sympatho-vagal balance
Maxline; L _{MAX} Longest diagonal line segment of consecutive recurrence points	Proportion of deterministic chaos or coincidence in a system
Percentage of recurrence; %REC points in the whole triangular area; vector repetition in the multidimensional space	Flexibility of a system (quantitative)
Shannon Entropy; ShanEn deterministic line length distribution	Complexity or irregularity of HRV

*Quantitative parameters derived from recurrence plots by non-linear mathematical analysis of HRV (Recurrence Quantification Analysis, RQA)