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(54) **Apparatus for monitoring heart failure via respiratory patterns**

Gerät zur Überwachung des Herzversagens mittels Analyse des Atemmusters

Appareil de surveillance de l'insuffisance cardiaque par l'observation des rythmes respiratoires

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WO-A-86/07248 **DE-A- 2 527 475**
FR-A- 2 664 487 **US-A- 5 020 541**
US-A- 5 605 151 **US-A- 5 738 102**

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Description

[0001] The present invention relates to an apparatus for monitoring changes of the disease state of a heart failure patient, comprising:

- (a) means for sensing a physiologic signal that varies in response to changes in breathing patterns to provide physiologic parameter data indicative of periodic breathing (PB); and
- (b) means for analyzing said physiologic parameter data to derive an indication of periodic breathing.

[0002] Such an apparatus is known from documents US-A-5,738,102 and DE 25 27 475.

BACKGROUND OF THE INVENTION

I. Field of the Invention

[0003] This invention relates generally to implantable monitoring devices and implantable cardiac therapy devices, and more particularly to an apparatus for monitoring the respiratory patterns of a chronic heart failure patient to track changes in disease state.

II. Description of the Related Art

[0004] Congestive heart failure (CHF) is a debilitating, end-stage disease in which abnormal function of the heart leads to inadequate blood flow to fulfill the needs of the body's tissues. Typically, the heart loses propulsive power because the cardiac muscle loses capacity to stretch and contract. Often, the ventricles do not adequately fill with blood between heartbeats and the valves regulating blood flow may become leaky, allowing regurgitation or backflow of blood. The impairment of arterial circulation deprives vital organs of oxygen and nutrients. Fatigue, weakness, and inability to carry out daily tasks may result.

[0005] Not all CHF patients suffer debilitating symptoms immediately. Some may live actively for years. Yet, with few exceptions, the disease is relentlessly progressive.

[0006] As CHF progresses, it tends to become increasingly difficult to manage. Even the compensatory responses it triggers in the body may themselves eventually complicate the clinical prognosis. For example, when the heart attempts to compensate for reduced cardiac output, it adds muscle causing the ventricles to grow in volume in an attempt to pump more blood with each heartbeat. This places a still higher demand on the heart's oxygen supply. If the oxygen supply falls short of the growing demand, as it often does, further injury to the heart may result. The additional muscle mass may also stiffen the heart walls to hamper rather than assist in providing cardiac output.

[0007] CHF has been classified by the New York Heart

Association (NYHA). Their classification of CHF corresponds to four stages of progressively worsening symptoms and exercise capacity from Class I to Class IV. Class I corresponds to no limitation wherein ordinary physical activity does not cause undue fatigue, shortness of breath, or palpitation. Class II corresponds to slight limitation of physical activity wherein such patients are comfortable at rest, but where ordinary physical activity results in fatigue, shortness of breath, palpitations, or angina. Class III corresponds to a marked limitation of physical activity wherein, although patients are comfortable at rest, less than ordinary activity will lead to symptoms. Lastly, Class IV corresponds to inability to carry on any physical activity without discomfort, wherein symptoms of CHF are present even at rest and where with any physical activity, increased discomfort is experienced.

[0008] Current standard treatment for heart failure is typically centered around medical treatment using ACE inhibitors, diuretics, and digitalis. It has also been demonstrated that aerobic exercise may improve exercise tolerance, improve quality of life, and decrease symptoms. Only an option in 1 out of 200 cases, heart transplantation is also available. Other cardiac surgery is also indicated for only a small percentage of patients with particular etiologies. Although advances in pharmacological therapy have significantly improved the survival rate and quality of life of patients, patients in NYHA Classes III or IV, who are still refractory to drug therapy, have a poor prognosis and limited exercise tolerance. Cardiac pacing has been proposed as a new primary treatment for patients with drug-refractory CHF.

[0009] Heart failure patients require close medical management to reduce morbidity and mortality. Because the disease status evolves with time, frequent physician follow-up examinations are often necessary. At follow-up, the physician may make adjustments to the drug regimen in order to optimize therapy. This conventional approach of periodic follow-up may be less satisfactory for heart failure, in which acute, life-threatening exacerbations can develop between physician follow-up examinations. It is well known among clinicians that if a developing exacerbation is recognized early, it can be more easily and inexpensively terminated, typically with a modest increase in oral diuretic. However, if it develops beyond the initial phase, an acute heart failure exacerbation becomes difficult to control and terminate. Hospitalization in an intensive care unit is often required. It is during an acute exacerbation of heart failure that many patients succumb to the disease. Early identification may also allow for pacing therapy from an implanted pulse generator.

[0010] In patients with CHF, alterations of breathing patterns such as Cheyne-Stokes respiration or periodic breathing have been identified during both day- and night-time. Periodic breathing is defined as a waxing and waning of tidal volume without periodic phases of apnea, whereas Cheyne-Stokes respiration is defined as a type of periodic breathing that includes periods of apnea be-

tween the phases of hyperventilation (hyperpnea) and hypoventilation (hypopnea.) These alterations of breathing are associated with marked oscillations of arterial oxygen saturation and heart rate.

[0011] Detection of periodicity and the underlying frequency content of a signal such as arterial oxygen saturation, breath to breath interval, tidal volume amplitude or heart rate (R to R intervals) can be accomplished via any of several widely used techniques of power spectral analysis. These techniques include, but are not limited to, periodogram estimation, the maximum entropy (all poles) method and the Blackman-Tukey algorithm.

[0012] Conventional cardiac monitors, such as defibrillators, pacemakers, Holter monitors, and cardiac event records, are tailored for the diagnosis and/or therapy of abnormalities of the cardiac electrical system. In contrast, heart failure is a disease of the cardiac mechanical system: it is primarily a failure of the myocardium to meet the mechanical pumping demands required of it. In monitoring the status of a heart failure patient, measuring the mechanical hemodynamic variables is clearly desirable. Examples of mechanical hemodynamic variables include atrial, ventricular, and arterial pressures, and cardiac output (volume of blood pumped into the aorta per unit time). However, because of the complex feedback network that monitors and controls cardiac performance, measuring variables that do not directly reflect the mechanical performance of the heart is also useful.

[0013] Some limitations of monitoring systems have been addressed by the development of an implantable system that monitors hemodynamic status (Medtronic Chronicle, Medtronic, Inc., Minneapolis, MN). While this system potentially avoids the need for active patient participation in disease state monitoring, it relies on an intravascular pressure transducer placed in the right ventricle of the heart. Examples of other hemodynamic monitoring systems include U.S. Patent 5,454,838 in which Vallana *et al.* teach placement of a sensor on the myocardial wall using an intravascular approach. In U.S. Patent 5,496,351, Plicchi *et al.* propose placing a sensor within the myocardial wall. Mortazavi in U.S. Patent 5,040,538 and Cohen *et al.* in U.S. Patent 4,815,469 describe placement of an optical sensor within the right ventricle.

[0014] An Implantable Ambulatory Electrocardiogram Monitor is described by Nappholz *et al.* in U.S. Patent 5,113,869. This device is designed for chronic extravascular implantation. In contrast to cardiac recorders, it performs analysis on the electrocardiogram signal in order to predict imminent cardiac arrhythmias and to detect cardiac ischemia. Like the cardiac recorders, it is capable of storing raw ECG data for later review by a physician. This feature, along with the record of arrhythmic events it detected, allows the physician to tailor pharmacologic therapy. In addition, Nappholz *et al.* mention the use of transthoracic impedance for minute ventilation, ultrasound transducers for arterial pressure, or other sensors to allow discrimination of arrhythmias from normal cardi-

ac rhythms caused by exertion or physiologic stress.

[0015] The use of impedance plethysmography, also called minute ventilation or minute volume, to control an exercise responsive or rate adaptive pacemaker is well known in the art. See for example U.S. Pat. No. 4,702,253 to Nappholz *et al.*, U.S. Patent No. 5,197,467 to Steinhilber *et al.* and U.S. Patent No. 5,562,711 to Yerich *et al.* Minute ventilation is defined by the equation:

$$V_E = RR \times TV$$

where RR = respiration rate in breaths per minute, and TV = tidal volume in liters. Clinically, the measurement of V_E is performed by having the patient breathe directly into a device that measures the exchange of air and computing the total volume per minute. The direct measurement of V_E is not practical with an implanted device. However, measurement of the impedance changes of the thoracic cavity can be implemented with an implanted pacemaker, and transthoracic impedance has been shown to correlate well with V_E . A pacemaker that is provided with impedance measurement capabilities is disclosed in the Nappholz *et al.* '253 patent mentioned above. The magnitude of the change of the impedance signal corresponds to the tidal volume and the frequency of change corresponds to respiration rate. Thus, measurement of thoracic impedance can be used as one method for obtaining both respiratory rate and tidal volume data.

[0016] In practice, thoracic impedance can be measured through assessment of the impedance present between two or more cardiac electrodes, such as the electrodes otherwise used for pacing and/or sensing in connection with a cardiac pacemaker. In particular, it has been shown that thoracic impedance can be measured by delivering constant-current excitation pulses between two "source" electrodes, such that the current is conducted through some region of the thoracic cavity. The voltage differential between two "recording" electrodes can then be measured to ascertain the impedance as reflected by the voltage differential arising from the conduction of the excitation current pulses through the thorax.

[0017] Because of the considerations described above, the principal object of the present invention is to provide an apparatus for use of a device that monitors a patient's hemodynamic status.

[0018] Another object of the invention is to monitor the status of a patient with chronic heart failure in order to optimize patient therapy.

[0019] A further object is to monitor the status of a chronic heart failure patient in order to recognize and facilitate the early termination of a developing exacerbation.

[0020] Further objects and advantages will become apparent from a consideration of the ensuing description and drawings

BRIEF SUMMARY OF THE INVENTION

[0021] The above-mentioned document US-A-5,738,102 discloses a general system for monitoring and analyzing select physiological variables of a patient in real time in order to alert medical personnel to the need for medical treatment, including analyzing breathing patterns so as to detect for instance Cheyne-Stokes breathing.

[0022] Abnormal breathing patterns are recognized by comparing sensed signals with reference versions of the same signal.

[0023] Document US-A-5,020,541 discloses to sense the impedance respiration of a patient by sensing the patient's transthoracic impedance.

[0024] Document DE-25 27 475 A1 discloses an apparatus for automatically monitoring body functions, including respiration. Thresholds are set, wherein an alarm is generated if a threshold has been exceeded. For instance, if the signal of a chest impedance exceeds a certain threshold, this is recognized as a breath. If no breath is sensed for a certain time interval, an alarm is generated.

[0025] Document US-A-5,605,151 discloses a system for utilizing oximetry-derived waveforms to accurately diagnose sleep apnea.

[0026] It is a principal object of the present invention to provide an improved apparatus for monitoring a patient's hemodynamics status.

[0027] Another object of the invention is to monitor the status of a patient with chronic heart failure in order to optimize patient therapy.

[0028] A further object is to monitor the status of a chronic heart failure patient in order to recognize and facilitate the early termination of a developing exacerbation.

[0029] The above object is achieved by an apparatus for monitoring changes of the disease state of a heart failure patient, as mentioned at the outset, wherein the sensing means senses the patient's respiratory tidal volume and respiratory rate by sensing transthoracic impedance.

[0030] Further objects and advantages will become apparent from a consideration of the ensuing description and drawings.

[0031] The present invention provides an apparatus for monitoring changes in the hemodynamic status of a heart failure patient by monitoring respiratory patterns. An implantable or other ambulatory monitor senses one or more of several physiologic signals to identify breathing patterns. The physiologic signals include transthoracic impedance, intracardiac electrograms and blood oxygen content. These physiologic signals are processed to generate physiologic parameter data that include the patient's respiratory rate, respiratory tidal volume, heart rate or arterial oxygen saturation. The physiologic parameter data are analyzed to derive an indication of periodic breathing. This is accomplished in a first

preferred embodiment by a thresholding/binning technique to determine cycles of hyperpnic and hypopnic breathing within a periodic breathing episode. In a second preferred embodiment of the invention, this is accomplished by power spectral analysis of the physiologic parameter data.

[0032] The physiologic parameter data are derived from the physiologic signal that is sensed using a known physiologic sensor. In a preferred embodiment for the physiologic data of respiratory rate and tidal volume, transthoracic impedance is used providing a signal that changes with the lungs filling with air and expelling air.

[0033] Some physiologic parameter data such as respiratory rate, respiratory tidal volume, and heart rate are not continuous measures, but rather are defined at discrete intervals as suggested by the underlying process. For example, respiratory tidal volume and respiratory rate are both derived on a per-breath basis; thus, successive samples of these data would preferably be obtained at breath-to-breath intervals. As another example, heart rate is derived on a per-heartbeat basis; thus, successive samples of these data would preferably be obtained at heartbeat-to-heartbeat intervals. In contrast, other physiologic parameter data do not have an inherently preferred sampling interval. One such example is arterial oxygen saturation. For these cases, any convenient sampling interval could be adopted, for example at an interval related to heartbeat intervals or breath intervals. Determining the presence of periodic breathing provides an indicator of a current or impending exacerbation of a chronic heart failure condition. Appropriate steps such as initiation of pacing therapy to the heart or providing a warning to the patient or a healthcare provider of the condition may then be taken.

[0034] In one example of a preferred embodiment, respiratory tidal volume is monitored and a flag is set when the tidal volume exceeds or falls below predetermined thresholds indicative of hyperpnea or hypopnea and thus outside the normal breathing range. The time difference between repeated settings of this flag are tracked to determine if the difference is regular. Such a regular pattern is an indicator of periodic breathing which is itself an indicator of a CHF exacerbation. It is preferred that this monitoring occur during the time when the patient is at rest or asleep to avoid the introduction of extraneous influences such as may be present with patient exercise or other activity. Additionally, a long cycle length, on the order of about 15 seconds, or at least 3 breaths for excursions above or below the thresholds may be used as an added requirement to provide a clear indication of hyperpnea or hypopnea, respectively.

[0035] In an alternative embodiment of the invention, respiratory rate is monitored. The duration of excursions above a first threshold and below a second threshold are used as indicators of hyperpnea and hypopnea. As with the embodiment described above, these excursions provide an indicator of periodic breathing and a potential CHF exacerbation. Alternatively, power spectral analysis

can be performed on respiratory rate or respiratory tidal volume data.

[0036] In another alternative embodiment of the invention, an electrogram signal is sensed from a sensing electrode. Heartbeat R-R intervals are calculated and a power spectrum analysis is performed on a sequence of such intervals. Changes in the very low frequency component of a power spectrum of R-R intervals are used to indicate the presence of periodic breathing. An analysis of heart rate excursions with the binning/thresholding method can also be used to indicate periodic breathing.

[0037] In yet another alternative embodiment of the invention, a blood oxygen saturation sensor is provided. Periodic changes in blood oxygen saturation are monitored as the indicator of periodic breathing. These data may be analyzed using either power spectral analysis or looking for excursions above and below predetermined thresholds.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

[0038] These and various other features and aspects of the present invention will be readily understood with reference to the following detailed description taken in conjunction with the accompanying drawings, in which:

Fig. 1 shows a functional block diagram of a preferred embodiment of the invention;

Fig. 2 shows a flow chart illustrating a preferred embodiment of the invention.

Fig. 3 shows an exemplary representation of periodic breathing based on tidal volume measure alternating between hypopnic and hyperpnic breathing; and

Fig. 4 shows an exemplary representation of periodic breathing based on tidal volume measure alternating between hyperpnic and normal breathing.

DETAILED DESCRIPTION OF THE INVENTION

[0039] Detection and monitoring of periodic breathing (PB), with and without periods of apnea (Cheyne-Stokes Respiration) is accomplished according to the invention by sensing a physiologic signal and deriving at least one of four independent physiologic measures or parameters from the physiologic signal. These data are then analyzed according to one of the embodiments of the invention. The physiologic measures are respiratory tidal volume, respiratory rate (B-B interval), arterial oxygen saturation (SaO_2) and heart rate (R-R interval). The data collected of these physiologic measures may then be analyzed by performing spectral analysis or thresholding/binning. Each analysis method can be applied to each measure or combination thereof. In the preferred embodiment, the physiologic measures are made when the patient has been identified as being at rest or asleep to prevent interference from activity-related respiratory variations.

[0040] The physiologic signals to be collected for deriving the physiologic measures may be collected using techniques well known to those skilled in the art. Referring to Figure 1, a sensing or monitoring system 10 is provided. Such a system may be implemented in a pacemaker or implantable cardioverter defibrillator or may be part of a monitoring system that does not separately provide cardiac therapy. A physiologic sensor 12 is utilized to sense one or more physiologic signals. In a preferred embodiment, tidal volume and respiratory rate are determined from a sensed impedance plethysmography signal. Heart rate is determined from an electrocardiogram sensor placed in or around the heart or elsewhere in or on the chest. Oxygen saturation is measured using sensors known to those skilled in the art. The sensor signal is first processed in a signal processor 14 to extract data for analysis. Such analysis includes the measures mentioned hereinabove of tidal volume, respiratory rate, heart rate and arterial oxygen saturation. The physiologic data are then further analyzed in signal analysis processor 16. As will be understood by those skilled in the art, elements 14 and 16 may be implemented together or separately.

[0041] A first preferred embodiment of the invention will now be discussed with reference to Fig. 2. This apparatus comprises means of detecting cyclic behavior in any of the four physiologic parameters with simple comparisons of present value to programmed thresholds and subsequent binning. Inherent in this technique is comparison of a present value to a long-term average (LTA) for that measure. Long-term is defined here as being on the time scale of tens of minutes to hours. Thresholds are determined as percentages of the LTA. For illustrative purposes, further discussion will be based on tidal volume although the method can be equivalently implemented with the other three measures.

[0042] At step 20, a determination is made as to whether the patient is at rest or asleep. This can be done in various ways such as monitoring their position using an accelerometer to determine if they have been prone for an extended period or monitoring for a noted lack of activity for an extended period. If the patient is not at rest or asleep, the algorithm will not proceed until rest or sleep is detected. This avoids the introduction of extraneous influences such as may be present with patient exercise or other activity. At step 22, the system is reset and prior data are cleared from the bin memories. Next, at step 24, physiologic signals are acquired from the physiologic sensor. Depending on the implementation, the physiologic signals and derived physiologic parameter data may already be being acquired such as minute ventilation data used for rate responsive pacing or R-R intervals extracted from an electrogram for use by a pacemaker or defibrillator in arrhythmia detection. Running averages of the physiologic measure(s) are updated at step 25.

[0043] The data are analyzed to determine the type of breath sequence at step 26. If a normal breath sequence is detected, the algorithm is returned at step 28 to step

22. Otherwise, the appropriate bin for the periodic breathing cycle count for hyperpnic or hypopnic breathing is incremented at step 30. At step 32, determination is made of whether the cycles are within bounds, as will be discussed further below. If it is not, the method returns to step 22 to reset and begin again.

[0044] If the periodic breathing cycle count is within bounds, the count is compared to a preset threshold at step 34. A cycle is defined as a period over which the detected physiologic parameter crosses a threshold to enter a hyperpnic or hypopnic zone, exits the zone and crosses the threshold to reenter the zone. If the cycle count is less than the cycle count threshold, the algorithm returns to step 24 to collect more data from the physiologic sensor. If the cycle count equals or exceeds the cycle count threshold, the episode is flagged as Periodic Breathing at step 36 and the archived data on such episode are updated. The system returns to step 24 to continue to monitor the physiologic sensor(s) for indications of periodic breathing.

[0045] A determination of periodic breathing requires a minimum number of consecutive cycles, nominally at least 3, where each cycle length is within expected bounds (nominally 25-100 seconds). An insufficient number of cycles or cycle lengths out of bounds will cause the bins to be reset.

[0046] A specific method for determining of the type of breath sequence detected will now be discussed. Episodes of hyperpnea are defined as $100+XX\%$ of the LTA, nominally $XX=50$, so 150% of LTA. Episodes of hypopnea are defined as $100-YY\%$ of LTA, nominally $YY=50$, so 50% of the LTA. In the device of the invention, these parameters are programmable over a fairly broad range and are optimized based on clinical experience. Determination of hyperpnic and hypopnic events can be made on a breath-by-breath basis or as a function of time. For example, in one embodiment of the invention the algorithm requires a sustained excursion above the hyperpnic threshold for at least N consecutive breaths (nominally 3) or for at least M seconds (nominally 15 sec.) or for x-of-y breaths (nominally 3-of-4). The preferred implementation is x-of-y breaths as the requirement of N consecutive breaths can also be implemented in the x-of-y approach by setting both x and y to the same value.

[0047] A determination of classic periodic breathing requires hyperpnic and hypopnic events in succession without an extended intervening period of normal breathing. Extended normal breathing (defined as greater than Z successive breaths or a period of time or x-of-y criteria) resets the bins that have been incremented for hyperpnic and hypopnic events.

[0048] It is noted that determination of other modes of periodic breathing, not before described in the classic literature but yet potentially of interest, will also be detected. This includes cycles between only hyperpnea and normal or cycles between only hypopnea and normal. Extended normal breathing in these modes would also cause the resetting of bins.

[0049] Referring now to Figures 3 and 4, exemplary representations of periodic breathing are shown. In Figure 3, the tidal volume measure alternates between hypopnic and hyperpnic breathing where the measured tidal volume falls below 50% of the Long Term Average for at least 3 breaths and exceeds 150% of the LTA for at least 3 breaths, respectively. In Figure 4, the periodic breathing alternates between hyperpnic breathing and normal breathing.

[0050] Achievement of true periodic breathing criteria will initiate archiving of the sequence. The data to be archived for a periodic breathing sequence include any or all of the following: time/breaths of hyperpnea per cycle, time/breaths of hypopnea per cycle, time of apnea per cycle (if any), largest hyperpnic excursion per cycle, largest hypopnic excursion per cycle, number of cycles the sequence was sustained and average cycle length of periodic breathing in the sequence. Note that apnea is defined as a special form of hypopnea where the tidal volume has not only decreased but breathing has actually ceased, to begin again several seconds later.

[0051] In an alternative preferred embodiment, spectral analysis is performed on the measured physiologic parameter. All four physiologic measures show cyclic variation during periodic or Cheyne-Stokes breathing with a median frequency of 0.02 Hz, ranging from about 0.01 to about 0.04 Hz. To identify whether a signal (any of the four measures) has a significant frequency component in that range, power spectral analysis can be performed using any traditional, well-known technique.

[0052] Archived data will be retrieved later by physician interrogation of the device and used to evaluate disease progression/regression and effectiveness of therapy. Alternatively, the data may be downloaded by automatic telemetry for transmission to a healthcare provider. Additionally, the periodic breathing data may be an indicator of increased mortality risk, for example from ventricular arrhythmias. The clinician may take appropriate steps to respond to such indications.

[0053] Although the invention is mainly described with respect to method steps, it should be understood that the invention relates to an apparatus that is capable of performing such method steps, e.g. by programming a signal processor.

[0054] Although presently preferred embodiments of the present invention have been described in detail hereinabove, it should be clearly understood that many variations and/or modifications, which may appear to those skilled in the pertinent art, will still fall within the scope of the present invention, as defined in the appended claims.

Claims

1. An apparatus (10) for monitoring changes of the disease state of a heart failure patient, comprising:

(a) means (14; 24) for sensing a physiologic sig-

- nal that varies in response to changes in breathing patterns to provide physiologic parameter data indicative of periodic breathing (PB); and (b) means (16; 26-36) for analyzing said physiologic parameter data to derive an indication of periodic breathing
- characterized in that** said sensing means (14; 24) is adapted to sense the patient's respiratory tidal volume and respiratory rate by sensing transthoracic impedance.
2. The apparatus of claim 1, **characterized in that** said analyzing means (16; 26-36) includes means for determining whether said physiologic parameter data exceeds a first threshold or falls below a second threshold for a predetermined duration.
3. The apparatus of claim 1 or 2, **characterized by**:
- (c) means for defining a hyperpnic zone for physiologic data falling above the first threshold and a hypopnic zone for physiologic data falling below the second threshold and a normal zone between said hyperpnic and hypopnic zones; and (d) means for identifying successive occurrences when said physiologic data falls in one of said hyperpnic or hypopnic zones for a predetermined duration;
- wherein said analyzing means (16; 26-36) includes means for determining if a periodic breathing pattern exists based on the sequence of occurrences when said data falls in each of said zones.
4. The apparatus of claim 2 or 3, **characterized in that** a periodic breathing is indicated if the physiologic parameter data exceed the first threshold or fall below the second threshold for at least three breaths.
5. The apparatus of claim 2 or 3, **characterized in that** a periodic breathing is indicated if the physiologic parameter data exceed the first threshold or fall below the second threshold for a cycle length in the order of about 15 seconds.
6. The apparatus of claim 2 or 3, **characterized in that** a periodic breathing is indicated if the physiologic parameter data exceed the first threshold or fall below the second threshold for x-of-y breaths, where y is a positive integer greater than or equal to 2 and x is a positive integer less than or equal to y.
7. The apparatus of any of claims 1 to 6, **characterized by** means for determining that said patient is at rest or asleep.
8. The apparatus of any of claims 1 to 7, **characterized in that** said means (16; 26-36) for analyzing said

physiologic parameter data includes means for analyzing at least one of heart rate and arterial oxygen saturation.

Patentansprüche

1. Vorrichtung (10) zur Überwachung von Änderungen des Krankheitszustands von einem Patienten mit Herzversagen, aufweisend:
- (a) Mittel (14; 24) zum sensorischen Erfassen eines physiologischen Signals, das in Abhängigkeit von Änderungen von Atemmustern variiert, um physiologische Parameterdaten bereitzustellen, die indikativ für ein periodisches Atmen (PB) sind; und
- (b) Mittel (16; 26-36) zum Analysieren der physiologischen Parameterdaten, um eine Indikation für ein periodisches Atmen abzuleiten, **dadurch gekennzeichnet, dass** die Sensormittel (14; 24) dafür ausgebildet sind, das respiratorische Atemvolumen und die Atemrate des Patienten sensorisch zu erfassen, indem ein transthorakaler Widerstand sensorisch erfasst wird.
2. Vorrichtung nach Anspruch 1, **dadurch gekennzeichnet, dass** die Analysemittel (16; 26-36) Mittel aufweisen, um zu bestimmen, ob die physiologischen Parameterdaten für eine vorgegebene Zeitdauer einen ersten Schwellwert überschreiten oder unter einen zweiten Schwellwert fallen.
3. Vorrichtung nach Anspruch 1 oder 2, **gekennzeichnet durch**:
- (c) Mittel zum Definieren eines hyperpnoischen Bereichs für physiologische Daten, die über dem ersten Schwellwert liegen, und eines hypopnoischen Bereichs für physiologische Daten, die unter den zweiten Schwellwert fallen, und eines Normalbereichs zwischen dem hyperpnoischen Bereich und dem hypopnoischen Bereich; und
- (d) Mittel zum Identifizieren von sukzessivem Ereignissen, bei denen die physiologischen Daten für eine vorgegebene Zeitdauer im hyperpnoischen oder im hypopnoischen Bereich liegen;
- wobei die Analysemittel (16; 26-36) Mittel aufweisen, um zu bestimmen, ob ein periodisches Atemmuster existiert, basierend auf der Sequenz der Ereignisse, bei denen die Daten in einem der Bereiche liegen.
4. Vorrichtung nach Anspruch 2 oder 3, **dadurch gekennzeichnet, dass** ein periodisches Atmen ange-

zeigt wird, wenn die physiologischen Parameterdaten für mindestens drei Atemzüge den ersten Schwellwert übersteigen oder unter den zweiten Schwellwert fallen.

5. Vorrichtung nach Anspruch 2 oder 3, **dadurch gekennzeichnet, dass** ein periodisches Atmen angezeigt wird, wenn die physiologischen Parameterdaten für eine Zykluslänge in der Größe von ungefähr 15 Sekunden den ersten Schwellwert übersteigen oder unter den zweiten Schwellwert fallen. 10
6. Vorrichtung nach Anspruch 2 oder 3, dass ein periodisches Atmen angezeigt wird, wenn die physiologischen Parameterdaten für x aus y Atemzügen den ersten Schwellwert übersteigen oder unterhalb des zweiten Schwellwerts fallen, wobei y eine positive ganze Zahl größer gleich 2 ist und x eine positive ganze Zahl kleiner gleich y ist. 15
7. Vorrichtung nach einem der Ansprüche 1 bis 6, **gekennzeichnet durch** Mittel zur Feststellung, ob der Patient ruht oder schläft. 20
8. Vorrichtung nach einem der Ansprüche 1 bis 7, **dadurch gekennzeichnet, dass** die Mittel (16; 26-36) zum Analysieren der physiologischen Parameterdaten Mittel zur Analyse der Herzfrequenz und/oder der arteriellen Sauerstoffsättigung aufweisen. 25

Revendications

1. Appareil (10) de surveillance de changements dans l'état de santé d'un patient souffrant d'insuffisance cardiaque, comprenant : 35
 - (a) des moyens (14 ; 24) servant à détecter un signal physiologique qui varie en réponse à des changements dans les rythmes respiratoires, de manière à fournir des données de paramètres physiologiques indicatives d'une respiration périodique (PB) ; et 40
 - (b) des moyens (16 ; 26 à 36) servant à analyser lesdites données de paramètres physiologiques de manière à en tirer une indication de respiration périodique, 45

caractérisé en ce que lesdits moyens de détection (14 ; 24) sont adaptés pour détecter le volume respiratoire courant et la fréquence respiratoire d'un patient par la détection d'une impédance transthoracique. 50
2. Appareil selon la revendication 1, **caractérisé en ce que** lesdits moyens d'analyse (16 ; 26 à 36) comprennent des moyens servant à déterminer si lesdites données de paramètres physiologiques dépassent un premier seuil ou descendent en dessous 55

d'un second seuil pendant une durée prédéterminée.

3. Appareil selon la revendication 1 ou 2, **caractérisé par** : 5

(c) des moyens servant à définir une zone hyperpnique pour des données physiologiques qui dépassent le premier seuil et une zone hypopnique pour des données physiologiques qui descendent en dessous du second seuil, ainsi qu'une zone normale entre lesdites zones hyperpnique et hypopnique ; et
(d) des moyens servant à identifier des occurrences successives quand lesdites données physiologiques entrent dans l'une desdites zones hyperpnique ou hypopnique pendant une durée prédéterminée ;

dans lequel lesdits moyens d'analyse (16 ; 26 à 36) comprennent des moyens servant à déterminer si un rythme respiratoire périodique existe, sur la base de la séquence d'événements quand lesdites données entrent dans chacune desdites zones.

4. Appareil selon la revendication 2 ou 3, **caractérisé en ce qu'un** rythme respiratoire périodique est signalé si les données de paramètres physiologiques dépassent le premier seuil ou descendent en dessous du second seuil pendant au moins trois respirations. 30

5. Appareil selon la revendication 2 ou 3, **caractérisé en ce qu'un** rythme respiratoire périodique est signalé si les données de paramètres physiologiques dépassent le premier seuil ou descendent en dessous du second seuil pendant une longueur de cycle de l'ordre de 15 secondes environ. 35

6. Appareil selon la revendication 2 ou 3, **caractérisé en ce qu'un** rythme respiratoire périodique est signalé si les données de paramètres physiologiques dépassent le premier seuil ou descendent en dessous du second seuil pendant x -of- y respirations, où y est un nombre entier positif supérieur ou égal à 2, et x est un nombre entier positif inférieur ou égal à y. 40

7. Appareil selon l'une quelconque des revendications 1 à 6, **caractérisé par** des moyens servant à déterminer si ledit patient se repose ou est endormi. 50

8. Appareil selon l'une quelconque des revendications 1 à 7, **caractérisé en ce que** lesdits moyens (16 ; 26 à 36) d'analyse desdites données de paramètres physiologiques comprennent des moyens servant à analyser au moins l'une d'une fréquence cardiaque et d'une saturation du sang en oxygène. 55

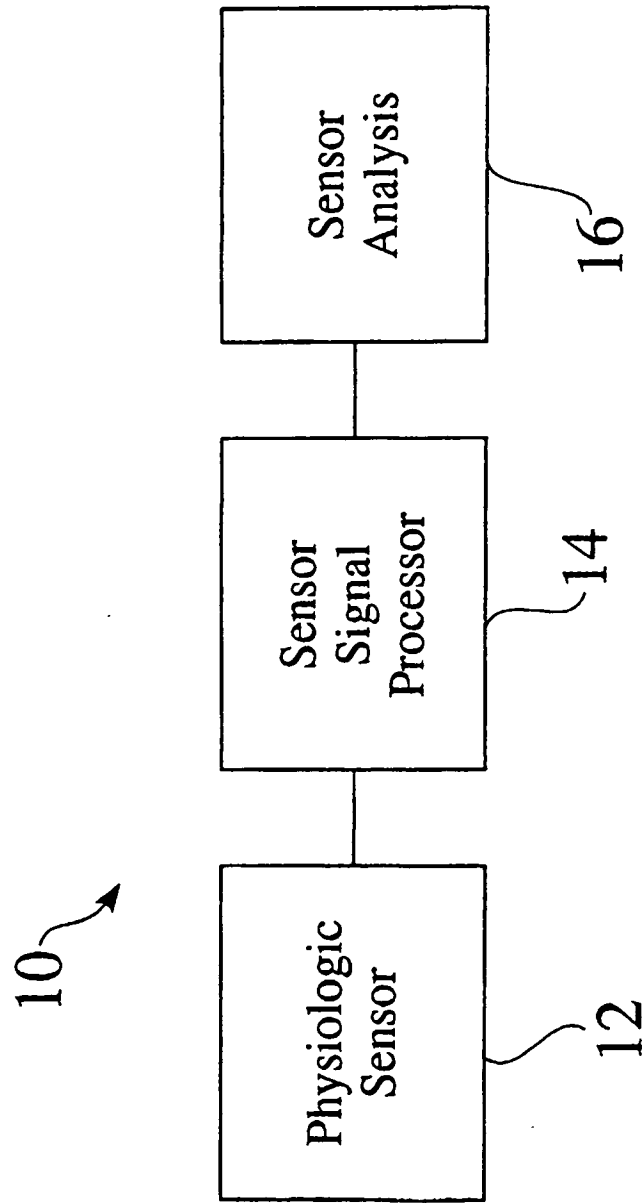
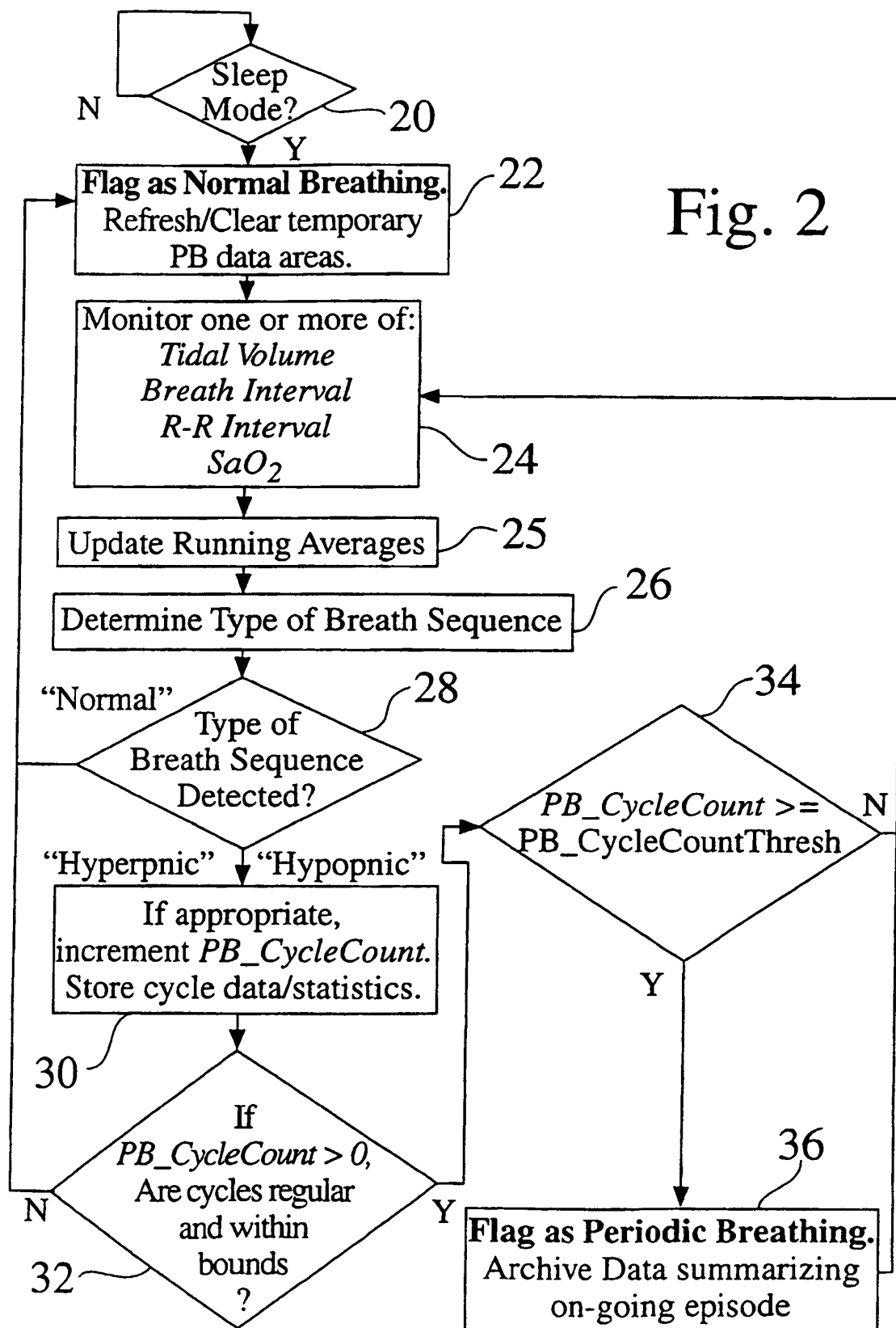


Fig. 1

Fig. 2



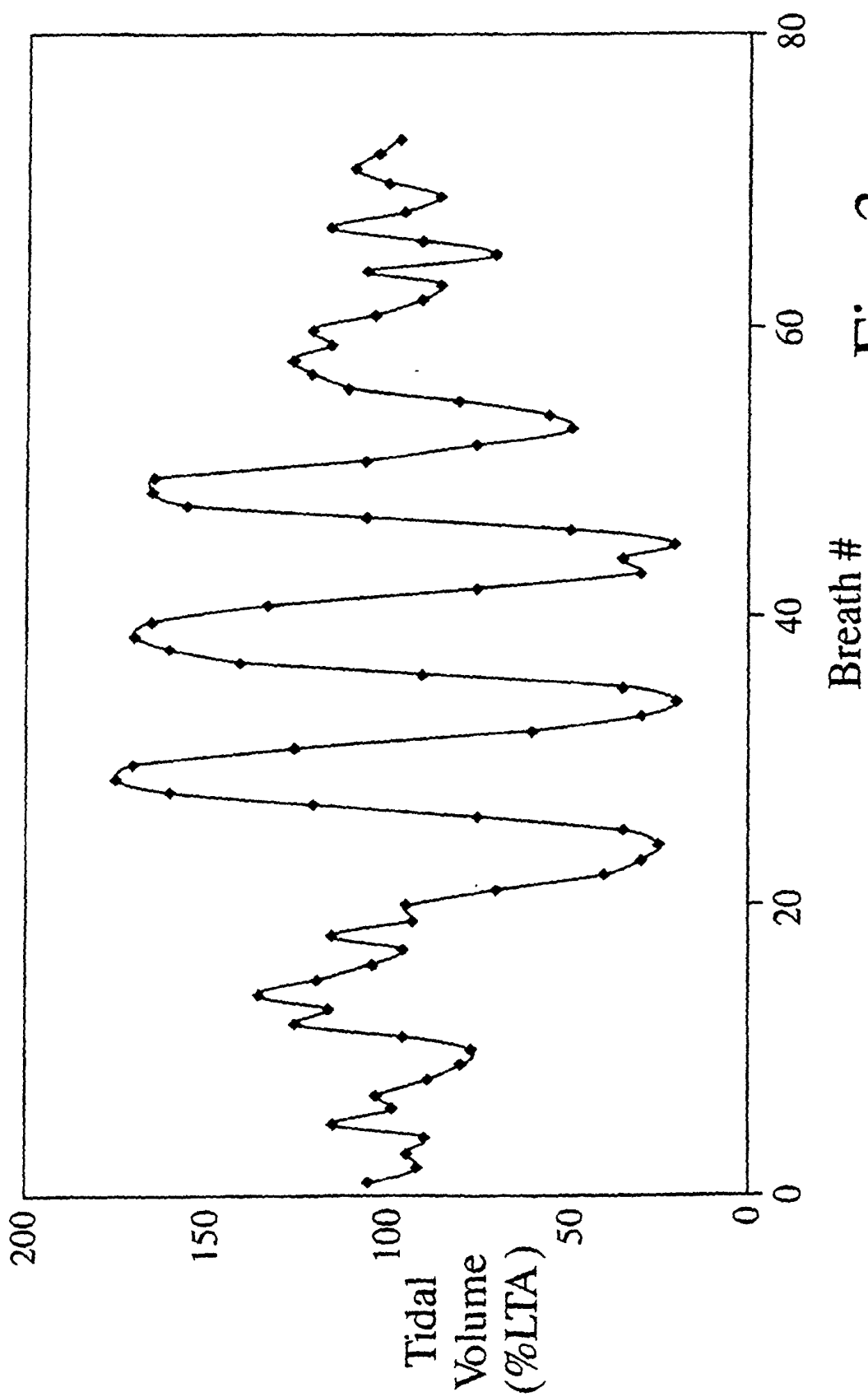


Fig. 3

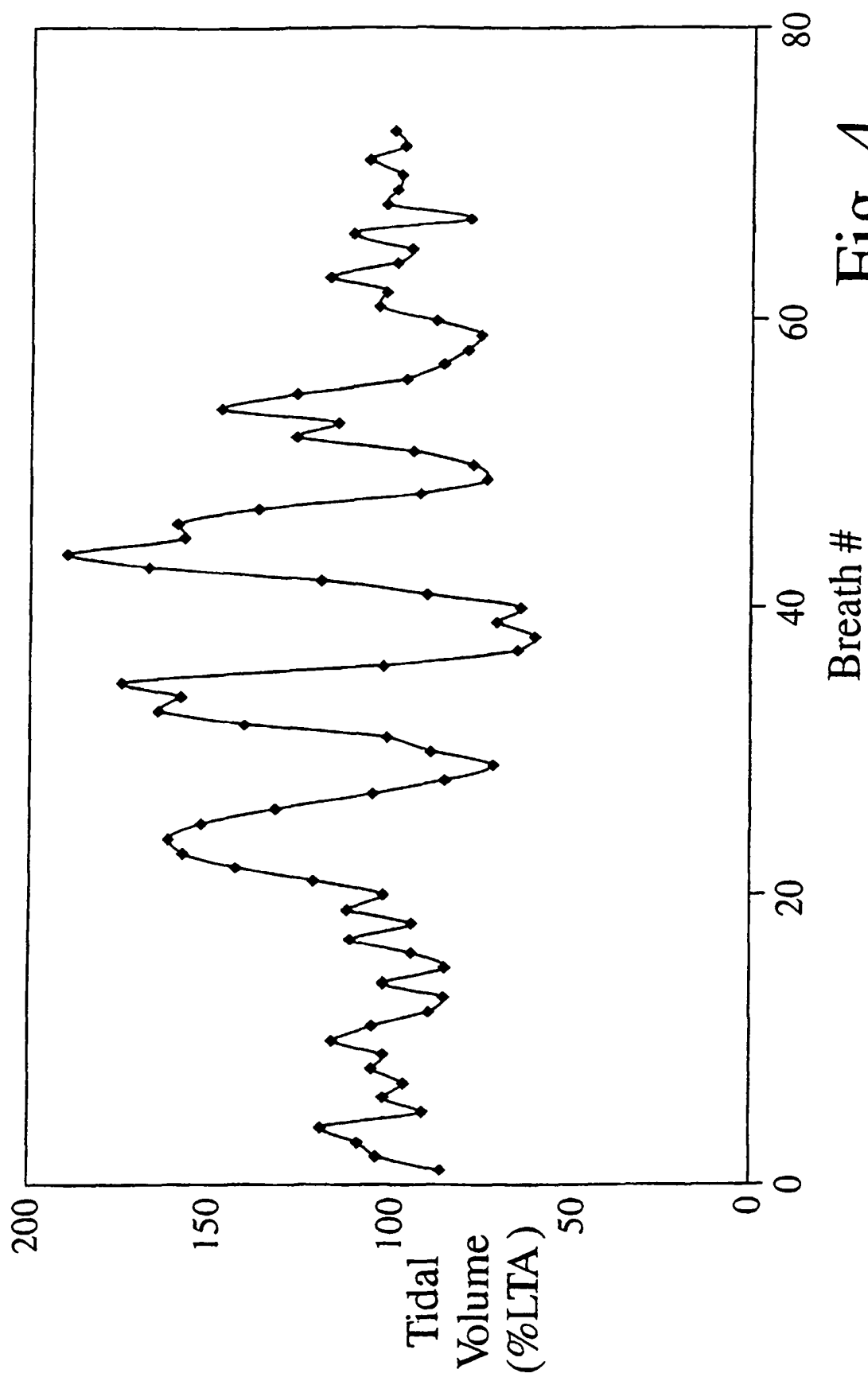


Fig. 4

专利名称(译)	通过呼吸模式监测心力衰竭的装置		
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摘要(译)

检测和监测周期性呼吸 (PB) 以提供心力衰竭患者的血液动力学状态变化的指示通过用于监测四个独立生理参数中的至少一个的手段来实现。生理参数是呼吸潮气量，呼吸频率 (B-B间期)，动脉血氧饱和度 (SaO2) 和心率 (R-R间期)。然后通过执行功率谱分析或阈值/分级来分析收集的这些生理测量的数据。每种分析方法可以应用于每种度量或其组合。在优选实施例中，当患者被识别为处于休息或睡眠时进行生理测量以防止与活动相关的呼吸变化的干扰 (图2)。

$$V_E = RR \times TV$$